

# A Review of Nonsurgical Neurolytic Procedures for Neuropathic Pain

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**Introduction:** Ideally, a physical or chemical nonsurgical neurolytic procedure provides targeted neurolysis to relieve pain for a suitable length of time without causing complications. This narrative review focuses on five nonsurgical neurolytic procedures that are well-established and well-documented in the literature for the treatment of refractory neuropathic pain and peripheral neuropathies, in particular: two physical nonsurgical neurolytic techniques (cryoablation and radiofrequency ablation) and three chemoneurolytic agents (alcohol injection, phenol injection, and a high-concentration capsaicin 8% topical system).

**Methods:** Using the definition of nonsurgical physical and chemical neurolytic procedures for neuropathic pain, a focused literature search of the PubMed database for English-language, human studies published through July 2024 included, but was not limited to, the following search terms: “neuropathic pain” AND “cryoablation”, “cryoneurolysis”, “radiofrequency ablation”, “alcohol neurolysis”, “alcohol injection”, “phenol neurolysis”, “phenol injection”, “chemoneurolysis”, “topical capsaicin”, and “TRPV1.” While attempts were made to identify prospective clinical trials for each type of neurolytic procedure, information regarding the conduct and safety and efficacy of some of these nonsurgical neurolytic procedures was primarily limited to case studies and anecdotal evidence.

**Results:** The risk benefit basis of each technique is discussed, and recommendations for proper use based on the literature are summarized. Most techniques require ultrasound or fluoroscopy guidance. Pain relief typically ranges from 3 to 12 months, with repeat neurolytic procedures often required to maintain suitable levels of pain relief.

**Conclusion:** The authors provide their insights as to the best utilization of these identified nonsurgical physical and chemoneurolytic procedures for the treatment of refractory neuropathic pain in different patient populations based on neural targets. Together, these five nonsurgical neurolytic techniques provide patients and physicians with a variety of options for the treatment of refractory neuropathic pain.

**Keywords:** alcohol injection, capsaicin 8%, chemoneurolysis, cryoablation, neuropathic pain, peripheral neuropathy, phenol injection, radiofrequency ablation, topical

## Introduction

Neurolysis, also referred to as ablation, involves the intentional application of physical or chemical neurolytic agents to a nerve to cause nerve fiber degeneration. Neurolytic agents are used to treat both cancer pain and long-term chronic pain of noncancer origin. This narrative review focuses on five nonsurgical neurolytic procedures that are well-established and well-documented in the literature for the treatment of refractory neuropathic pain. Two physical neurolytic procedures utilize cold (cryoneurolysis/ cryoablation) or heat (radiofrequency ablation) to produce lesions, and three neurolytic procedures use chemoneurolytic agents, including alcohol injection, phenol injection, or a high-concentration capsaicin

## Graphical Abstract

# NONSURGICAL NEUROLYTIC PROCEDURES FOR REFRACTORY NEUROPATHIC PAIN

## Introduction

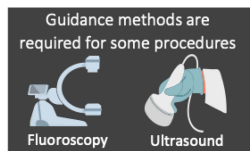
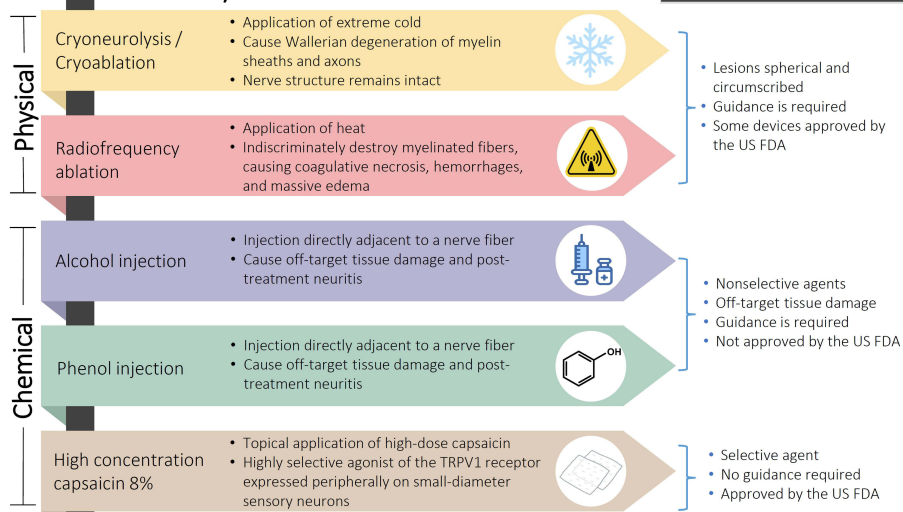
- Nonsurgical neurolytic procedures, both physical and chemical, have been used for centuries to treat pain by targeting and disrupting nerves. While these interventions are not permanent unless the nerve cell body is destroyed, they can provide long-lasting relief without significant complications.
- This review summarizes five key procedures, detailing their mechanisms, applications, and potential benefits in treating refractory neuropathic pain.

## Methods

- A focused literature review using PubMed was conducted with targeted keywords such as "neuropathic pain," "cryoablation," "cryoneurolysis," "radiofrequency ablation," "alcohol neurolysis," "phenol neurolysis," "chemoneurolysis," "topical capsaicin," and "TRPV1."
- Studies on the safety and efficacy of neurolytic procedures for treating painful peripheral neuropathies were identified.

The review provides insights into the best utilization of these nonsurgical neurolytic procedures for different patient populations based on neural targets.

## Neurolytic Procedures



## Duration of pain relief → 3 to 12 months

Repeat procedures often necessary to maintain pain relief.



## Conclusion

These techniques offer valuable options for ongoing management of refractory neuropathic pain, though careful consideration of the risks and benefits is essential.

8% topical system (HCCTS). To the best of our knowledge, this is the first article to review these five nonsurgical neurolytic procedures together. Other potential treatments for neuropathic pain, including low-level laser, high-level laser, LED therapy, and extracorporeal shockwave therapy, were considered to be outside the scope of and, therefore, not included in this review because they are not generally identified in the literature as nonsurgical neurolytic procedures.

## Methods

This publication attempts to rationally analyze data for the five identified nonsurgical neurolytic procedures. As this is a narrative review, rather than a systematic or scoping review, PRISMA guidelines were consulted but not strictly followed. Using the definition of nonsurgical neurolytic procedures for neuropathic pain, a focused literature search of the PubMed database for English-language, human studies published through July 2024 included, but was not limited to, the following search terms: "neuropathic pain" AND "cryoablation", "cryoneurolysis", "radiofrequency ablation", "alcohol neurolysis", "alcohol injection", "phenol neurolysis", "phenol injection", "chemoneurolysis", "topical capsaicin", and "TRPV1." A review of the reference lists of identified sources was also performed to gather additional potentially relevant articles. While attempts were made to identify prospective clinical trials for each type of neurolytic procedure, information regarding the conduct and safety and efficacy of some of these nonsurgical neurolytic procedures was primarily limited to case studies and anecdotal evidence. We acknowledge the potential limitations and inherent bias

associated with utilizing a single database (PubMed) and lack of systematic/scoping review methodology. Future work should incorporate broader database searches and systematic methodologies.

## Brief Overview of Peripheral Neuropathic Pain

Peripheral neuropathies associated with neuropathic pain include diabetic peripheral neuropathy (DPN), postherpetic neuralgia (PHN), HIV infection, trigeminal neuralgia/neuropathy, painful radiculopathy, amputation (eg, phantom limb pain), peripheral nerve injury (eg, following surgery or trauma), chemotherapy-induced peripheral neuropathy, central post-stroke pain, complex regional pain syndrome, and chronic inflammatory demyelinating polyneuropathy.<sup>1,2</sup> It is important to note that not all patients with peripheral neuropathy develop neuropathic pain.<sup>2</sup> Neuropathic pain is defined by the Neuropathic Pain Special Interest Group of the International Association for the Study of Pain as pain that occurs as a direct result of damage to or lesions of the somatosensory nervous system.<sup>3</sup> Neuropathic pain distribution can be focal or generalized, which is typically symmetrical.<sup>2</sup> In the distal extremities, “glove and stocking” distribution can occur due to pain in the feet, calves, hands, and forearms. Patients often describe their spontaneous or evoked pain as burning, shooting, pricking, pins and needles, squeezing, or freezing pain or an electrical-like sensation.<sup>4</sup> There may also be abnormal sensations, including dysesthesias (abnormal unpleasant painful, itching, burning, or restrictive sensations) and paresthesias (abnormal sensations such as tingling, numbness, skin crawling, or itching sensations).<sup>4</sup> In addition to sensory loss, there may be allodynia (pain due to a stimulus that does not normally provoke pain) and hyperalgesia (unusually severe or more intense pain from a stimulus that normally provokes pain).<sup>4</sup>

In the largest epidemiologic study of neuropathic pain to date, conducted in the UK, the overall prevalence of neuropathic pain was 9.2%.<sup>5</sup> Chronic neuropathic pain occurs more frequently in women (8% vs 5.7% in men) and in individuals over the age of 50 years (8.9% vs 5.6% in those <49 years of age) and most commonly affects the lower back and lower limbs, neck, and upper limbs.<sup>6</sup> The prevalence of neuropathic pain is likely to increase due to the aging global population, increased incidence of diabetes mellitus, and increasing rates of cancer and the consequences of chemotherapy, all of which affect sensory nerve fibers.<sup>2</sup> The health impact and quality of life burden of neuropathic pain is higher than for non-neuropathic pain, leading to increased drug prescriptions, healthcare visits, sleep disturbances, anxiety, and depression.<sup>5,7-9</sup>

## The Case for Neurolysis

Mechanistically, neuropathic pain is dissimilar to other chronic pain conditions and is, therefore, treated differently.<sup>2</sup> As neuropathic pain does not typically respond to analgesics such as nonsteroidal anti-inflammatory drugs (NSAIDs) or opioids, it can be difficult to successfully treat, with fewer than half of patients experiencing 50% or greater pain relief with currently available pharmacological treatments.<sup>10,11</sup> Consequently, symptoms persist and tend to become chronic and refractory. Oral pharmacotherapies for neuropathic pain include anticonvulsants including gabapentinoids, tricyclic/tetracyclic antidepressants, selective serotonin/ norepinephrine reuptake inhibitors such as duloxetine and venlafaxine, tapentadol, tramadol, and, in some cases, stronger opioids, which carry the potential for dependency. Topical treatments for neuropathic pain include local anesthetics, compounded agents, including ketamine and gabapentin, and capsaicin in multiple formulations. Neurolytic procedures can be used in combination with oral pharmacotherapies without increasing a patient's pill burden.

If oral pharmacotherapy or topical application of lidocaine or low-dose capsaicin fail to provide sufficient pain relief, then physical and chemical neurolytic techniques, brain neuromodulation/neurostimulation techniques,<sup>12,13</sup> or surgical neurolytic techniques may be considered. Surgical neurolytic procedures are permanent and are not ideal for the treatment of neuropathic pain. This review focuses on nonsurgical neurolytic procedures, which interrupt signal transmission and, for some patients, provide lasting pain relief. As illustrated in Table 1, none of the nonsurgical neurolytic (physical and chemical) techniques discussed in this review destroy the nerve; if the dorsal root ganglion is left intact, the nerve is capable of regeneration, and subsequently, pain can theoretically return.

Pain sensations are transmitted via peripheral nerves to the dorsal root ganglion. The pathology of peripheral disorders that cause neuropathic pain predominantly involves the small, unmyelinated C- fibers and myelinated A-fibers, namely, A $\beta$ - and A $\delta$ -fibers. As opposed to central nervous system damage, peripheral nerves can regenerate following an injury. As briefly reviewed by Stierli et al in 2018,<sup>14</sup> the peripheral nerve regenerative process includes guiding axonal regrowth across the site

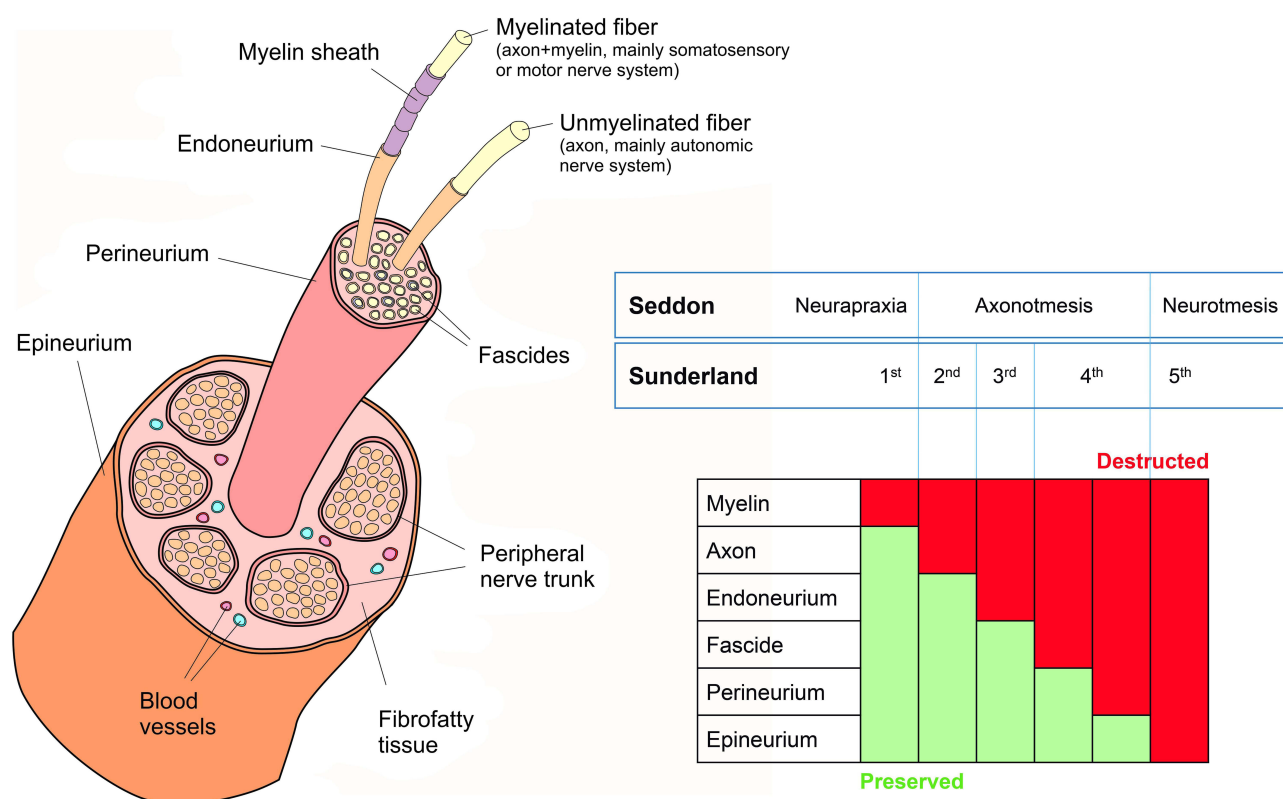
**Table 1** Comparison of Representative Nerve Ablation and Modulation Methods

Neurolytic Procedure		Mechanism of Nerve Degradation	FDA Approval Status
Physical Neurolysis	Cryoneurolysis	<ul style="list-style-type: none"> <li>Temporarily interrupts nerve conduction</li> <li>Myelin sheaths and axons degenerate via Wallerian degeneration, but nerve structure (perineurium, epineurium, and basal lamina) remain intact</li> </ul>	<ul style="list-style-type: none"> <li>Various cryoneurolysis devices cleared by the US FDA for cryoanalgesia (temporarily blocking pain by ablating peripheral nerves) and palliation of pain associated with metastatic lesions that have spread to bone where standard therapy has not worked or is not suitable for a patient</li> </ul>
	Conventional Radiofrequency Ablation	<ul style="list-style-type: none"> <li>Indiscriminate destruction of myelinated fibers, coagulative necrosis, hemorrhages, and massive edema</li> </ul>	<ul style="list-style-type: none"> <li>Various conventional radiofrequency ablation devices cleared by the US FDA for the treatment of chronic pain</li> </ul>
	Pulsed Radiofrequency Ablation	<ul style="list-style-type: none"> <li>Causes microscopic damage, including abnormal mitochondrial membranes and morphologic changes of mitochondria, and disruption/ disorganization of microfilaments and microtubules in the axons</li> </ul>	<ul style="list-style-type: none"> <li>Various pulsed radiofrequency ablation devices cleared by the US FDA for the treatment of chronic pain</li> </ul>
Chemoneurolysis	Alcohol Injection	<ul style="list-style-type: none"> <li>Nonselective</li> <li>Extracts cholesterol/ phospholipid and cerebroside from the neural membranes and precipitates mucoproteins and lipoproteins</li> </ul>	<ul style="list-style-type: none"> <li>Procedure not approved or cleared by the US FDA for the treatment of neuropathic pain</li> </ul>
	Phenol Injection	<ul style="list-style-type: none"> <li>Nonselective</li> <li>Denatures protein, causing the loss of cellular fatty content</li> <li>Separates the myelin sheath from the axon</li> <li>Causes axonal edema and Schwann cell dissolution inside basal lamina tubes</li> </ul>	<ul style="list-style-type: none"> <li>Procedure not approved or cleared by the US FDA for the treatment of neuropathic pain</li> </ul>
	High-Concentration Capsaicin 8% Topical System (HCCTS)	<ul style="list-style-type: none"> <li>Selective</li> <li>Highly selective agonist of the transient receptor potential vanilloid 1 (TRPV1) receptor; a calcium-permeable ion channel-receptor complex primarily expressed peripherally on small-diameter sensory neurons like C and A<math>\delta</math> fibers</li> </ul>	<ul style="list-style-type: none"> <li>US FDA indications for the treatment or neuropathic pain associated with postherpetic neuralgia (PHN) and neuropathic pain associated with diabetic peripheral neuropathy (DPN) of the feet</li> </ul>

of injury, opening the blood-nerve barrier and controlling the inflammatory response, remodeling the environment surrounding the nerve, and promoting the regrowth of surviving axons. In the case of patients suffering from painful DPN, peripheral nerve fibers neurolysed with HCCTS regenerate in a healthier state with increased nerve fiber density and improved sensory thresholds and axon-reflex vasodilatation.<sup>15</sup>

# Classification of Peripheral Nerve Injury and Appropriate Use of Neurolytic Techniques

Neurolysis involves the deliberate injury of a nerve by freezing or heating or the application of chemicals to cause a temporary degeneration of targeted nerve fibers, causing an interruption along the nerve in the signal nerve transmission. In particular, neurolysis implies the destruction of neurons by placing a needle close to the nerve and either injecting neurodestructive chemical agents or producing damage with a physical method such as cold (ie, cryotherapy) or heat (ie, radiofrequency ablation, RFA). As illustrated in [Figure 1](#), a schematic representation of a peripheral nerve, individual myelinated axons and groups of unmyelinated axons are surrounded by the endoneurium. Collections of axons enclosed by the perineurium are called fascicles. The internal epineurium (epifascicular) lies between fascicles, which make up the



**Figure 1** Comparison Between Seddon's and Sunderland's Classification of Peripheral Nerve Injury. Sunderland subdivided axonotmesis into three types with different degrees of nerve disruption and different capabilities for spontaneous regeneration. Reproduced from Choi EJ, Choi YM, Jang EJ, Kim JY, Kim TK, Kim KH. Neural ablation and regeneration in pain practice. *Korean J Pain*. 2016;29(1):3–11. Copyright © The Korean Pain Society, 2016. Creative Commons Attribution Non-Commercial License.<sup>16</sup>

peripheral nerve trunk. The external epineurium (epineurial) surrounds the nerve trunk. The prognosis for nerve recovery depends on the extent of peripheral nerve injury (PNI).<sup>16</sup>

Two grading systems define the degree of PNI. The Seddon classification defines three degrees of PNI: neurapraxia (non-action), axonotmesis, and neurotmesis (cutting).<sup>17</sup> Neurapraxia is used to describe a short-lived paralysis followed by recovery without any evidence of true regeneration. Axons are intact but nonfunctional, and there are no structural changes to the myelin. Segmental demyelination results in motor and sensory loss, but no axon disruption or Wallerian degeneration is observed. Wallerian degeneration refers to the active, well-orchestrated process of anterograde degeneration of the distal end of an axon, Schwann cells, and myelin sheaths that occurs after peripheral nerve injury via trauma, toxic, ischemic, or metabolic events. This axon death is distinct from apoptosis and involves breakdown of the blood-nerve barrier, recruitment of circulating macrophages, reorganization of the endoneurial space, changes in the endoneurial extracellular matrix, and increased neurotrophin and cytokine production, as reviewed in 2011 by Dubovy et al.<sup>18</sup> With axonotmesis, there is actual damage to the peripheral nerve fibers, which results in complete peripheral degeneration. The axon and its myelin sheath break into fragments, and Wallerian degeneration occurs. In third-degree PNI, neurotmesis, there is complete neural separation, including loss of most of the connective tissue and distortion of the epineurium. Because axonotmesis involves damage to the axon of a nerve fiber but preserves the surrounding connective tissue, while neurotmesis involves complete disruption of both the axon and the connective tissue, axonotmesis is considered to be a less severe nerve injury compared to neurotmesis.

Sunderland<sup>19,20</sup> further subcategorized Seddon's PNI classification system by splitting axonotmesis into three categories based on the severity of nerve injury: second degree, third degree, and fourth degree. In second-degree PNI, there is axonal discontinuity, but the endoneurium, fascicular arrangement, and perineurium are preserved. In third-degree PNI, the myelin, axon, and endoneurium are disrupted, but the fascicular arrangement is preserved. Finally, in fourth-degree PNI, only the epineurium remains intact.



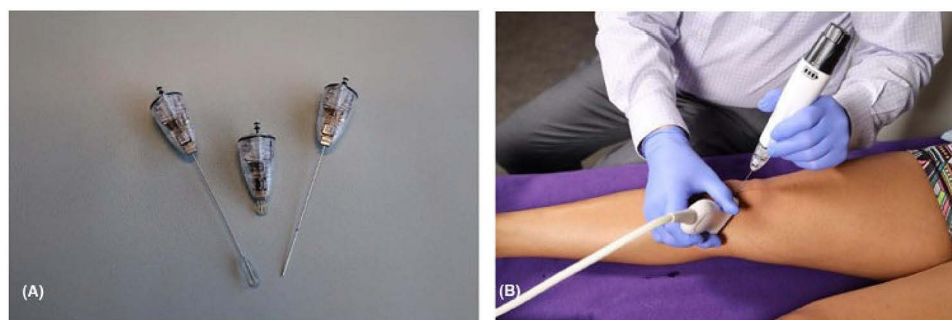
The goal of neurolytic procedures is to produce a reversible injury, which involves third-degree PNI to the myelin, axon, and endoneurium without disrupting the fascicular arrangement, perineurium, and epineurium.<sup>16</sup> Ideally, a physical or chemical neurolytic technique provides satisfactory neurolysis for a suitable duration of pain relief without causing complications, which include neuritis (nerve inflammation secondary to injury), anesthesia dolorosa (deafferentation pain after traumatic or surgical injury to the trigeminal nerve), or reflex or motor deficits.<sup>16</sup> While the goal is a reversible injury, in the informed consent process, the risk of permanent and irreversible injury should be considered.

## Cryoneurolysis (Cryoablation)

Cryoneurolysis/cryoablation uses an “ice ball” formed at the tip of a probe (see Figure 2) that, when applied to a nerve, temporarily interrupts nerve conduction.<sup>21,22</sup> After cryoneurolysis, myelin sheaths and axons degenerate via Wallerian degeneration, but nerve structure (perineurium, epineurium, and basal lamina) remain intact, allowing nerve regeneration and physiologic restoration of the neuronal structure.<sup>22–24</sup> In cryoneurolysis procedures, neuromas are unlikely to form because inflammatory reactions are minimal.<sup>22</sup> To perform cryoneurolysis, the optimal treatment temperature is between  $-60^{\circ}\text{C}$  and  $-100^{\circ}\text{C}$ . Warmer temperatures lead to insufficient nerve lesions/ pain reduction, and colder temperatures may be associated with permanent nerve damage.<sup>25</sup> After cryoneurolysis, damaged axons regenerate at a rate of approximately 1 to 3 mm per day.<sup>26</sup>

Few randomized studies demonstrating the long-term effects of cryoneurolysis for peripheral mononeuropathies exist, but results have been published for cervicogenic headache, osteoarthritis, and nociceptive knee pain.<sup>28–31</sup> Several case reports have suggested heterogeneous responses to cryoneurolysis for the treatment of acute and chronic pain.<sup>27</sup> The use of cryoneurolysis to treat chronic lower extremity phantom pain yielded pain relief lasting fewer than 4 months, suggesting success but the need for repeat procedures.<sup>32</sup> Recent applications for cryoneurolysis include postoperative pain management following mastectomy,<sup>32</sup> total knee arthroplasty,<sup>33</sup> or idiopathic trigeminal neuralgia.<sup>34</sup>

A retrospective cohort study was conducted involving 24 patients with chronic refractory peripheral mononeuropathy who had been treated with cryoneurolysis.<sup>22</sup> For inclusion in the study, patients were required to have neuropathic pain attributable to a specific peripheral nerve, be nonresponsive to noninvasive therapy, and experience at least 50% pain relief following two ultrasound-guided prognostic differential blocks (first infiltration with lidocaine 2%; second infiltration with ropivacaine 0.5%). Most of the nerves treated included the intercostal, subcostal, ilioinguinal/ hypogastric, superficial peroneal, and saphenous nerves. More than half of patients received pretreatment with anticonvulsants, opioids, antidepressants, and/or topical lidocaine or capsaicin. The cryoneurolysis procedure was performed by three pain specialists and required a previously placed peripheral venous indwelling catheter, ultrasound guidance, lidocaine 2% before the procedure, and ropivacaine 0.5% after the procedure. Two to three lesions per nerve were created by keeping the probe in place for 2 minutes each at a temperature of minus  $78^{\circ}\text{C}$ . At 1 month, 54.2% reported significant pain reduction of at least 30%. This decreased to 13.8% at 3 months and 9.1% at 6 months, which failed to reach statistical significance. Thus, repeated cryoneurolysis may be a viable treatment option for patients with refractory peripheral mononeuropathy, although additional investigation will be necessary to determine the duration of relief.



**Figure 2** Cryoneurolysis Devices. (A) Needle Tips from Handheld Cryoneurolysis Devices. (B) FDA-Approved Handheld Cryoneurolysis Devices Used in Combination with Ultrasound Guidance. Reproduced from: Biel E, Aroke EN, Maye J, Zhang SJ. The applications of cryoneurolysis for acute and chronic pain management. *Pain Practice*. 2023;23:204–215. © 2022 The Authors. *Pain Practice* published by Wiley Periodicals LLC on behalf of World Institute of Pain. Creative Commons CC-BY-NC-ND.<sup>27</sup>

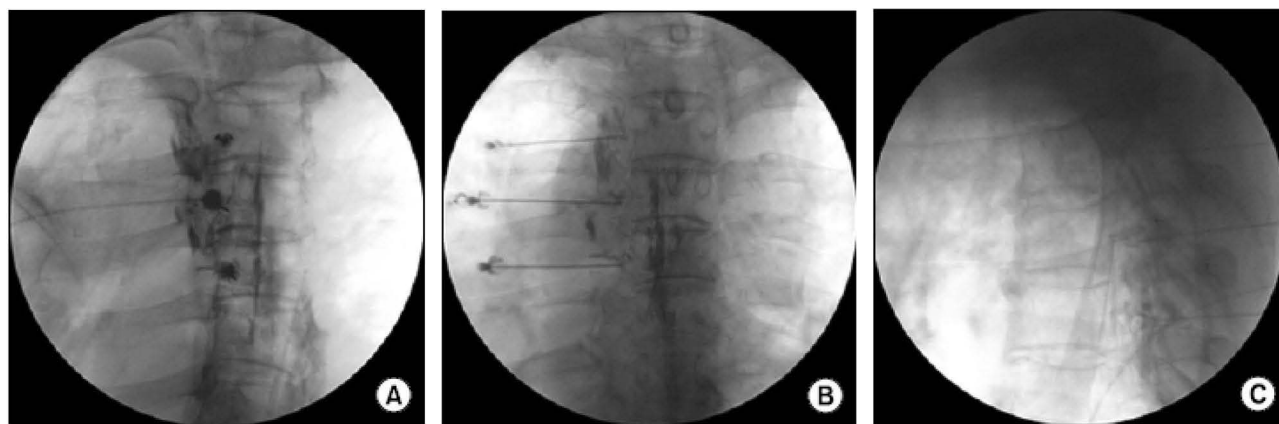
In a prospective case study of 22 patients with refractory chronic peripheral neuropathy who underwent cryoneurolysis, pain relief was noted for up to 12 months following the procedure, although half of the patients received repeat cryoneurolysis during this timeframe.<sup>35</sup> Cryoneurolysis was performed under sedation and with additional pain medication before, during, and after the intervention. It is important to note that a significantly lower temperature ( $-135^{\circ}\text{C}$  to  $-160^{\circ}\text{C}$ ) was used in this study than that usually applied, and colder temperatures are associated with a higher risk of permanent nerve damage.<sup>25,36</sup> In this study, however, there were no complications from the procedures.

Per the 2010 American Society of Anesthesiologists' task force on chronic pain management and the American Society of Regional Anesthesia and Pain Medicine guidelines (hereafter referred to as the ASA/ASRAPM guidelines) for select patients with lumbar facet joint pain, low back pain (medial branch), post-thoracotomy neuralgia, or peripheral nerve pain, cryoneurolysis can result in pain relief ranging from 1 to 12 months.<sup>37</sup>

## Radiofrequency Ablation: Thermal (Conventional, Continuous) and Pulsed Radiofrequency Ablation

Thermal radiofrequency ablation (RFA) utilizes a constant, high-frequency (500 kHz) alternating current to create a thermal neurodestructive lesion at the active tip of an insulated cannula.<sup>16,38</sup> The alternating current induces a coagulative necrosis in the target tissue, and an electrical field causes charged molecules to oscillate and generate heat in the surrounding tissues when the probe temperature reaches between  $60^{\circ}\text{C}$  and  $80^{\circ}\text{C}$ . The magnitude of the tissue destruction is related not only to the distance from the electrode tip but also to tissue temperature, electrode size, and procedure duration.<sup>38</sup> As illustrated in the ultrasound-guided images in Figure 3, the lesion that is created with RFA is spherical in shape and well circumscribed, unlike with chemical neurolysis.<sup>38</sup> In clinical practice, the cannula should be placed parallel to the target nerve, which can be difficult.<sup>39</sup> The entire procedure, from start to finish, usually requires 30 minutes, but time may vary based on anatomical complexity and physician experience.

According to the ASA/ASRAPM guidelines, good evidence exists for the relief of low back pain for periods of 2 to 6 months using conventional ( $80^{\circ}\text{C}$ ) or thermal ( $67^{\circ}\text{C}$ ) RFA.<sup>37</sup> Pain relief for periods up to 6 months have been reported for patients with neck pain and no radiculopathy.<sup>37</sup> Pain relief for periods of up to 3 months have been reported for patients with chronic sacroiliac joint pain.<sup>37</sup> Anecdotal reports represent the normative response, but longer durations of effect have been reported in the literature. Given these results, the ASA/ASRAPM guidelines recommend the use of conventional/constant focused RFA of the medial branch nerves to the facet joint for patients with low back (medial branch) pain when previous diagnostic or therapeutic injections of the joint or medial branch nerve have provided temporary relief.<sup>37</sup> Additionally, the ASA/ASRAPM guidelines recommend conventional RFA for neck pain and water-cooled RFA



**Figure 3** Pulsed Radiofrequency Ablation in the Thoracic Dorsal Root Ganglion for the Treatment of Postherpetic Neuralgia. (A) Oblique view. The target point is below the pedicle. (B) Anteroposterior view. The needle is advanced to the dorsal root ganglion below the pedicle. (C) Lateral view. The depth of the needle is adjusted under the lateral view. A contrast medium spreads to the left posterior epidural space, and the dorsal root ganglia become apparent. Reproduced from Choi EJ, Choi YM, Jang EJ, Kim JY, Kim TK, Kim KH. Neural ablation and regeneration in pain practice. *Korean J Pain*. 2016;29(1):3–11. Copyright © The Korean Pain Society, 2016. Creative Commons Attribution Non-Commercial License.<sup>16</sup>

for chronic sacroiliac joint pain.<sup>37</sup> Finally, the guidelines recommend against the routine use of conventional or thermal RFA of the dorsal root ganglion for the treatment of lumbar radicular pain due to the lack of high-quality evidence and the potential for complications.<sup>37</sup>

Pulsed RFA also uses a 500 kHz high frequency current, but it is applied at a rate of two bursts per second, with each pulse lasting 20 ms over a 120-second interval.<sup>16</sup> During pulsed RFA, each “silent” phase (480 ms) provides time for heat to dissipate, which generally keeps the target tissue below 42°C.<sup>38</sup> Here, it is the electromagnetic field rather than heat that is used to treat neuropathic pain.<sup>16</sup> Rabbit and rat models have been used to demonstrate that pulsed RFA results in transient endoneurial edema rather than the Wallerian degeneration caused by continuous RFA.<sup>38</sup> Studies of the internal ultrastructural components of axons have demonstrated microscopic damage to the mitochondria and mitochondrial membranes and disruption and disorganization of cellular microfilaments and microtubules. Additionally, the microscopic damage appears to be more pronounced in unmyelinated C-fibers compared with thickly myelinated A $\delta$ - and A $\beta$ -fibers.<sup>40</sup> Compared with conventional thermal RFA, pulsed RFA should be used for a longer duration and in a repeated manner.<sup>16</sup> The advantages of pulsed over continuous RFA are that pulsed RFA has broader applicability to neuropathic pain and is associated with fewer side effects compared to continuous RFA.<sup>38</sup> Pulsed RFA is currently used to treat PHN that has scarred the dorsal horn of the spinal cord and the dorsal root ganglion and may be applied to the injured dorsal root ganglion in type 2 complex regional pain syndrome.<sup>16,38</sup> However, because peer reviewed studies of pulsed RFA are only now beginning to appear in the medical literature, in the United States, pulsed RFA is seen as a relatively new technique, and many insurance companies do not cover the procedure. As data continue to evolve, this will likely change, particularly as pulsed RFA techniques are frequently performed in Europe with high rates of success.

## Chemoneurolysis

Chemoneurolysis is defined as the dose-dependent destruction of a nerve, resulting in necrosis, death, Wallerian degeneration, and a complete conduction block in all fibers contained within the nerve. Neurolytic agents used in chemolysis include injections of either alcohol or phenol or topical application of high-concentration capsaicin (Figures 4–6). Agents such as alcohol and phenol have the potential for off-target tissue damage, which can lead to skin sloughing and damage to other, non-nerve tissue. Concerns with these agents also include post-procedure neuritis. In addition, in some settings, such as the celiac plexus, attention must be paid to the vasculature, since vascular damage and catastrophic injury, although rare, can occur. Chemical neurolysis with alcohol or phenol, thus, is typically a therapy of last resort.<sup>41</sup> The ASA/ASRAPM guidelines stipulate that, based on the quality of evidence obtained only from observational studies and case reports, chemoneurolysis should not be used in the routine care of non-cancer patients with chronic pain.<sup>37</sup> Unlike alcohol and phenol, HCCTS, although a chemoneurolytic agent, is not toxic to surrounding tissues due to its selectivity for transient receptor potential vanilloid 1 (TRPV1)-expressing nerve fibers.

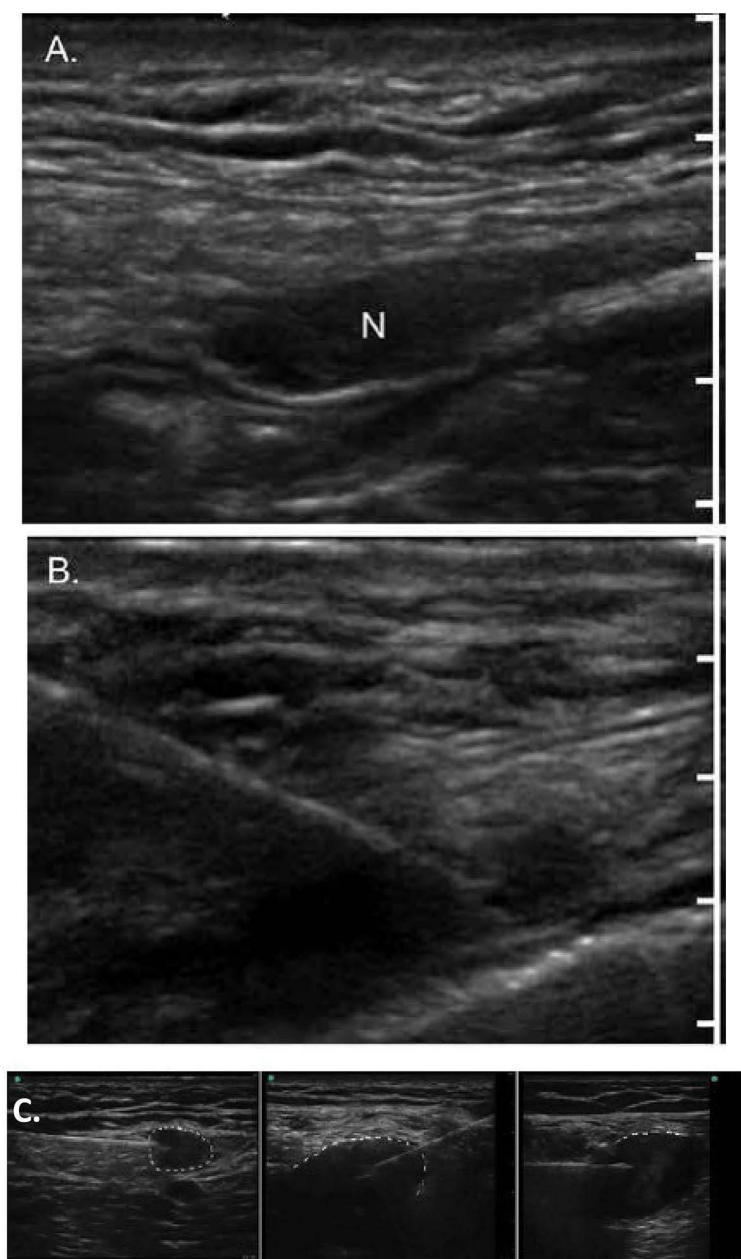
## Alcohol Chemoneurolysis via Injection

When injected at a concentration of at least 35% to 60%, ethyl alcohol (ethanol) functions as a nonselective chemoneurolytic agent and can cause a burning sensation on injection.<sup>46</sup> Alcohol can spread rapidly from the site of injection; therefore, large-volume injections are often necessary for effective chemoneurolysis.<sup>46</sup> For visceral cancer pain or pain recurrence following thermal conventional or continuous RFA, alcohol neurolysis is routinely used to increase the post-procedure duration of pain relief.<sup>16</sup> Alcohol neurolysis of peripheral nerves is also used to treat spasticity.<sup>47</sup>

Once injected, alcohol damages nerves by nonselectively denaturing proteins, extracting cholesterol, phospholipids, and cerebroside from the neural membranes, and precipitating mucoproteins and lipoproteins.<sup>48,49</sup> This can lead to retrograde Wallerian degeneration of the nerve fibers.<sup>47</sup>

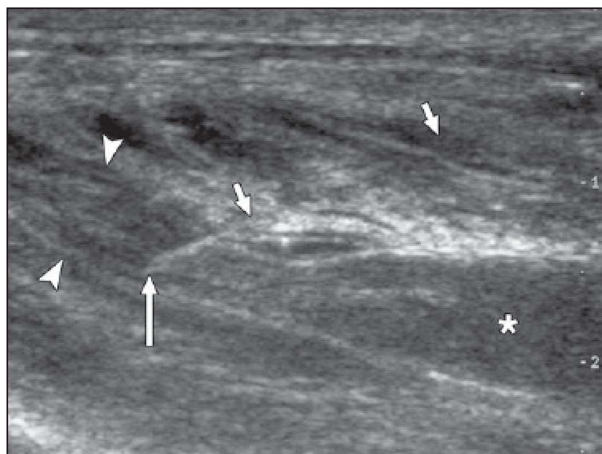
Ultrasound is the preferred technique to visualize and localize nerves and is frequently used to guide alcohol neurolysis, as illustrated in Figure 4. Upon intrathecal injection, patients are tilted forward 45 degrees so that the dorsal sensory rootlets but not the ventral motor rootlets are affected.<sup>46,50</sup> In some settings, physicians choose fluoroscopy as a mechanism of guidance for alcohol or phenol neurolysis. This method uses landmarks to place the needle and is often preferred by those not proficient in ultrasound and in settings where ultrasound is not available.<sup>51</sup>





**Figure 4** Chemoneurolytic Methods for Neuropathic Pain: Alcohol injection. (A) Ultrasound-guided alcohol neurolysis for an inguinal neuroma. (B) Satisfactory needle and injectate placement into the inguinal neuroma. (A) and (B) Reproduced with permission from: Reeves RA, Miller CJ, Wang D, Ng A, Heller JE, Nazarian LN. Use of high-resolution ultrasound to guide alcohol neurolysis for chronic pain. *Pain Physician*. 2022;25:E1297-E1303. CC-BY-NC.<sup>42</sup> (C) Representative images of alcohol neurolysis to the neuroma. Reproduced from Zhang X, et al. Ultrasound-guided alcohol neurolysis and radiofrequency ablation of painful stump neuroma: effective treatments for post-amputation pain. *J Pain Res*. 2017;10:295–302. © 2017 The Author(s). This work is published and licensed by Dove Medical Press Limited under the terms of <http://creativecommons.org/licenses/by-nc/3.0/>.<sup>43</sup>

In one retrospective case series of 35 patients, 23 of whom had refractory peripheral neuropathy, ultrasound-guided alcohol neurolysis resulted in a durable average 2- to 3-unit reduction in pain scores at 1 week ( $P<0.001$ ), 1 month ( $P<0.001$ ), and 1 year ( $P=0.002$ ) following alcohol neurolysis; however, one third of patients with aberrant postsurgical anatomy progressed to surgery due to decreased efficacy in this patient subset.<sup>42</sup> Overall, 60% of patients reported that alcohol neurolysis resulted in more effective pain relief than oral pain medications. In this study, alcohol neurolysis was performed in an operating room with the patient under moderate sedation and after administration of 1% lidocaine. Approximately 1 to 2 mL of 99% ethanol was injected into the nerve followed, after 2 minutes, by an injection of steroid



**Figure 5** Chemoneurolytic Methods for Neuropathic Pain: Phenol injection. 73-year-old man with stump neuroma of right tibial nerve after traumatic amputation 30 years ago. Sonographic image shows phenol instillation into neck (arrowheads) of stump neuroma (asterisk). Tip (long arrow) of needle (short arrows) is positioned intraneurally with fusiform widening of targeted nerve segment caused by injected phenol. Surrounding hypoechoic patchy fluid accumulations are caused by local anesthetic. Reproduced with permission of the American Roentgen Ray Society from: Gruber H, Glodny B, Bodner G, Kopf H, Bendix N, Galiano K, et al. Practical experience with sonographically guided phenol instillation of stump neuroma: predictors of effects, success, and outcome. *AJR*. 2008;190:1263–1269.<sup>44</sup>

and local anesthetic to ease postprocedural inflammation. Prior to alcohol neurolysis, patients had undergone a median of two steroid injections, with a median interval between steroid injections of 3.7 months.

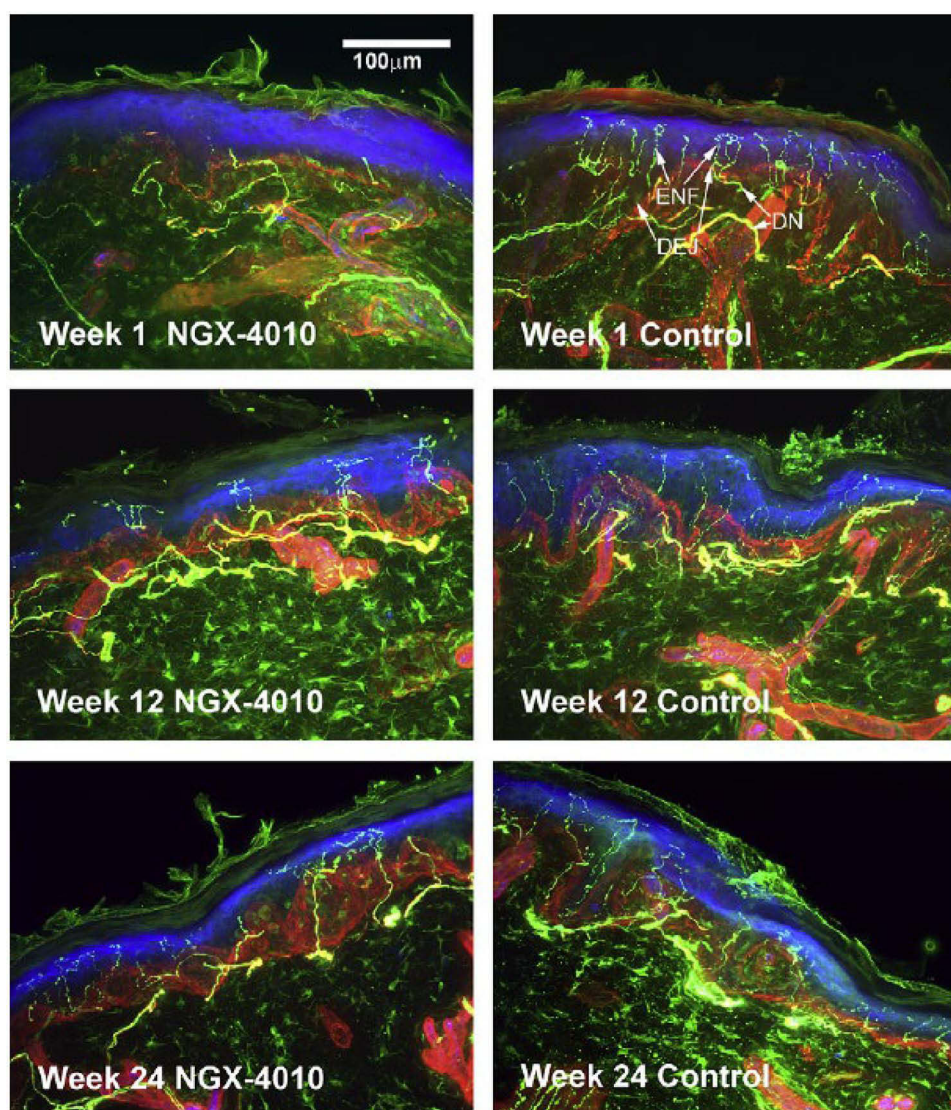
Other successful cases of using ultrasound-guided alcohol neurolysis for pain management include neurolysis of the lateral femoral nerve for intractable meralgia paresthetica, a rare sensory entrapment mononeuropathy,<sup>52</sup> and in combination with and without RFA for painful stump neuroma.<sup>43,53</sup> In a retrospective case series of 6 patients with meralgia paresthetica, 3 mL of 50% alcohol in 0.25% bupivacaine was injected, and resulting pain relief lasted for up to 12 weeks.<sup>52</sup> In the initial study of alcohol neurolysis of painful stump neuroma, 1.2 mL of anhydrous alcohol was injected into the nerves proximal to the neuroma, and when pain returned several months later, repeat neurolysis with 0.3 mL of anhydrous alcohol was performed.<sup>53</sup> In the second study of painful stump neuroma, 10 mL of local anesthetic was administered around the nerve proximal to the neuroma prior to administering 2 to 5 mL of anhydrous alcohol solution into the neuroma body.<sup>43</sup> In this study, 7 of 15 subjects (54%) achieved pain relief following one to three alcohol neurolysis treatments performed 2 weeks apart.

No clear correlation exists between the volume and concentration of alcohol used and associated adverse effects.<sup>47</sup> Theoretically, injection of alcohol or phenol (discussed in the next section) could result in seizures, central nervous system depression, and cardiovascular collapse.<sup>47</sup> Unlike phenol, a possible complication of alcohol injection is inebriation, potentially limiting its use in children and those with liver impairment.<sup>47</sup> Compared to phenol injection, alcohol injection acts more slowly and is more irritating to adjacent tissue.<sup>54</sup> However, most studies of alcohol neurolysis have demonstrated limited local site injection pain and sensory dysesthesias that are limited to a few weeks' duration and that respond well to oral antiepileptic or antidepressant medication.<sup>47</sup>

## Phenol Chemoneurolysis via Injection

Like alcohol, phenol also functions as a chemoneurolytic agent. Phenol is comprised of carboic acid, phenic acid, phenylic acid, phenyl hydroxide, hydroxybenzene, and oxybenzone.<sup>41</sup> When injected near neural structures, phenol causes nonselective nerve destruction by denaturing protein and causing the loss of cellular fatty content, separating the myelin sheath from the axon, and causing axonal edema and Schwann cell dissolution inside basal lamina tubes.<sup>46,47,55,56</sup> Ultrasound, as illustrated in Figure 5, is typically used to guide the injection.

Phenol is typically available in an 89% aqueous, glycerin, or lipid solution and must be prepared by the hospital pharmacy.<sup>41</sup> When mixed with glycerin, phenol diffuses slowly, resulting in a very limited spread pattern. It is unstable at room temperature and oxidizes in the presence of air and light. The exact concentration of phenol required for neurolysis is not well defined but is thought to range from 3% to 12%.<sup>41,46,57</sup>



**Figure 6** Chemoneurolytic Methods for Neuropathic Pain: Capsaicin 8% Topical System. Representative images of epidermal nerve fiber (ENF) density in skin areas exposed to NGX-4010, and in unexposed control areas on the thighs of healthy volunteers. The 6 panels show immunostaining at the time points indicated in the insets. ENF and dermal nerves (DN), immunoreactive for PGP9.5, are stained yellow or green; the basement membrane, immunoreactive for Type IV collagen, at the dermal-epidermal junction (DEJ) and around blood vessels is red, and the epidermis is blue. ENFs are clearly lower in density 1 week following capsaicin patch application as compared with control biopsies. ENFs have increased in density 12 weeks after patch application, but still lower than control biopsies. At 24 weeks, ENF density appears similar to the control biopsy. Scale bar=100  $\mu$ m. Reproduced from Kennedy WR, Vanhove GF, Lu S-P, Tobias J, Bley KR, Walk D, et al. A randomized, controlled, open-label study of the long-term effects of NGX-4010, a high-concentration capsaicin patch, on epidermal nerve fiber density and sensory function in healthy volunteers. *J Pain*. 2010;11(6):579–587<sup>45</sup>. Copyright © 2010 American Pain Society. Published by Elsevier Inc. All rights reserved.

Phenol has local anesthetic properties and often causes a warming sensation upon injection.<sup>46</sup> When injected intrathecally, the patient is tilted backward 45 degrees so that the dorsal sensory rootlets are affected but the ventral motor rootlets are not. Phenol has an immediate onset of action and can be titrated to optimize dosing and clinical effect, and injection can be repeated within days rather than weeks or months, if needed.<sup>47</sup> According to the ASA/ASRAPM guidelines, an observational study demonstrated that chemoneurolysis using phenol was effective in providing pain relief for patients with neuropathic, facet, or musculoskeletal pain for a period of assessment ranging from 2 to 24 weeks.<sup>37</sup>

A prospective study of 82 patients involved the use of ultrasound-guided phenol neurolysis for pain management of stump neuroma.<sup>44</sup> In this study, up to 0.8 mL of 80% phenol solution was used according to a standardized protocol<sup>58</sup> that involved initial instillation of 15 mL of local anesthetic (lidocaine) and repeated phenol neurolysis at 1, 6, and 12 weeks. To prevent spread of the phenol into surrounding soft tissues, the needle was withdrawn during a constant flush



with saline solution. Overall, 12 subjects (15%) were pain-free following one to three injections, including 9 subjects who achieved pain relief after the first injection. After 6 months, 38% reported almost unnoticeable pain, and 64% reported pain levels similar to those achieved with other therapies. In 156 phenol installations, there were eight cases (5.1%) of minor complications that were self-limiting with local treatment; these included four cases of unspecific painful local myopathy. In 3 subjects, local skin redness suggested the presence of confined infection, which responded to local therapy. However, major lesions were identified in 1.3% of injections, including one case of infectious erysipeloid, an acute bacterial infection of traumatized skin or other organs, that was treated with systemic antibiotics and one case of toxically induced local soft-tissue necrosis that was treated with surgical resection of the necrotic tissue.

## Capsaicin Chemoneurolysis via Topical Application

Capsaicin, a naturally occurring active ingredient found in hot peppers, is a highly selective agonist of the TRPV1 receptor, a calcium-permeable ion channel-receptor complex. TRPV1 receptors are primarily expressed peripherally on small-diameter sensory neurons including C- and A $\delta$ -fibers but can also be found centrally in trigeminal ganglia and the dorsal root.<sup>59,60</sup> They are not expressed on neurons in the skin that are involved in cold detection and cold pain or tactile or vibration sensitivity.<sup>60,61</sup> When TRPV1 receptors are activated, burning, itching, stinging, and other physiologic responses result.<sup>59,60,62</sup>

Unlike alcohol and phenol chemoneurolysis, which are delivered via injection, HCCTS is a topically delivered chemoneurolytic agent that is approved by the US Food and Drug Administration for the treatment of neuropathic pain associated with PHN and for neuropathic pain associated with DPN of the feet.<sup>63</sup>

The effects of capsaicin on pain modulation are complex and driven by multiple mechanisms. First, activation and overstimulation of TRPV1 receptors desensitize the TRPV1-expressing fibers, which suspends pain signals to the brain.<sup>64–66</sup> Second, high-concentration capsaicin triggers a chemical cascade that results in temporary, reversible chemoneurolysis of the TRPV1-expressing nerve fibers.<sup>15,62,63</sup> High capsaicin concentrations markedly increase intracellular calcium levels, which activate the protease, calpain. Once activated, calpain degrades membranes and cytoplasmic and nuclear substrates, leading to microtubule disorganization and the breakdown of cell architecture, dysfunction of axonal mitochondria, and apoptosis. A mouse model of neuropathic pain demonstrated that calcium/calpain mediates the neurolysis of axonal terminals, which is necessary for long-lasting analgesia. Therefore, high concentrations of capsaicin can lead to long-term “dysfunctionalization” mediated by the desensitization of capsaicin receptor TRPV1-expressing afferent terminals, temporary loss of membrane potential, inability to transport neurotrophic factors, and reversible retraction or pruning of epidermal nerve fiber terminals.<sup>15,62</sup> This deep pruning of nociceptors can prevent the transmission of pain signals and provide significant and sustained analgesia that persists for several months. Other publications have also referenced the chemoneurolytic properties of capsaicin.<sup>1,67–71</sup> Finally, unlike any other available pain or neuropathy treatment, in some patients with painful and nonpainful DPN, HCCTS has the potential for disease modification via nerve regeneration and restoration of function of cutaneous nerve fibers.<sup>15</sup> Specifically, as demonstrated using skin biopsies, the density of both intraepidermal and subepidermal nerve fibers were significantly increased at 3 months post-HCCTS treatment, and these changes correlated with pain relief and axon-reflex vasodilatation. In fact, subepidermal nerve fiber density, as assessed using a selective marker of regenerating nerve fibers, was not only significantly higher at 3 months than at baseline but also higher than that of control patients. Nerve fiber density after capsaicin application is illustrated in Figure 6.

A matrix topical system with a silicon adhesive has been developed that contains a lipogel with a high concentration of capsaicin (640  $\mu\text{g}/\text{cm}^2$ ) dissolved in glycol ether.<sup>64</sup> Using this delivery system not only enables direct, locally confined contact between the skin and the active substance, capsaicin, but it also creates a forced diffusion of high-concentration capsaicin into the skin such that a cutaneous reservoir of bioavailable capsaicin is formed within the epidermis where it activates TRPV1 receptors.<sup>64</sup> This reservoir is sufficient to enable pain relief for up to 3 months.<sup>63</sup> Each 280  $\text{cm}^2$  HCCTS contains a micro-reservoir of 179 mg of capsaicin. Once in the skin, very little systemic absorption of capsaicin occurs; in fact, it is generally so low that it is expected to have little or no pharmacologic or toxicologic relevance.<sup>59,62–64,72</sup> Owing to the low systemic exposure, drug-drug interactions between HCCTS and systemic medications are highly unlikely, and dose adjustments are not required for patients with hepatic or renal failure.<sup>59,63</sup>

HCCTS is applied in a clinical setting by a healthcare professional, and patients can be pretreated with a topical anesthetic or oral medications to proactively address potential application-site pain.<sup>63,73</sup> HCCTS remains in place for 30 to 60 minutes, depending on the treatment indication and subsequent body location.<sup>63,64</sup> Although HCCTS may be associated with transient application-site reactions, for most patients, these dissipate within 48 hours of application.<sup>73</sup>

A 12-week, randomized, controlled, Phase 3 trial evaluated the efficacy and safety of a single, 30-minute application of HCCTS relative to placebo for the treatment of 386 patients with painful DPN of the feet. In this trial, HCCTS provided sustained pain relief for up to 12 weeks.<sup>74</sup> Compared to placebo, during Weeks 2 to 8, mean daily pain scores improved from baseline to a significantly greater extent with HCCTS (−27.4% vs −20.9%;  $P=0.025$ ). Analysis of the secondary endpoint, change in average daily pain score from baseline to between Weeks 2 to 12, demonstrated that this reduction in mean daily pain scores was maintained (−28.0% vs −21.0%,  $P=0.018$ ). Results from a 52-week, open-label, randomized trial<sup>75–77</sup> provided evidence of the long-term safety and efficacy of up to seven HCCTS applications for the treatment of painful DPN of the feet. The study also demonstrated that HCCTS does not cause any deterioration in sharp, cold, or warm sensory perception.

Similarly, the efficacy of HCCTS in the treatment of PHN has also been demonstrated in several randomized, controlled trials.<sup>78–80</sup> In a multicenter, double-blind, parallel-group trial of 402 patients, those treated with a 60-minute application of HCCTS, compared with those treated with a low-concentration capsaicin control patch, exhibited a significant ( $P=0.001$ ) 9.7% greater reduction in pain scores 2 to 8 weeks post-application (−29.6% vs −19.9%, respectively) and significant improvements in pain during Weeks 2 to 12 (−29.9% vs −20.4%,  $P=0.002$ ). Overall, 42% achieved  $\geq 30\%$  reduction in mean pain scores. Irving et al<sup>79</sup> also conducted a multicenter, randomized, double-blind study involving 418 patients and found that HCCTS-treated patients had a greater mean reduction from baseline in pain during Weeks 2 to 8 compared with control (−32.0% vs −24.4%,  $P=0.011$ ). Forty-six percent achieved  $\geq 30\%$  reduction in pain scores compared with 34% of controls ( $P=0.02$ ). In a third trial,<sup>80</sup> HCCTS reduced neuropathic pain in PHN patients—even among those patients who were taking concomitant systemic (oral) neuropathic pain medications.

In clinical trials, most treatment-emergent adverse drug reactions (ADRs) reported with the capsaicin 8% topical system were application-site reactions, including burning, pain, erythema, pruritus, papules, swelling, and dryness, and were generally transient, self-limiting, and typically mild or moderate in intensity.<sup>63</sup> No drug-related ADRs led to permanent discontinuation, and no drug-related serious ADRs or deaths occurred.

## Conclusion

This narrative review has focused on five nonsurgical neurolytic procedures that may be used to treat patients with refractory neuropathic pain and peripheral neuropathies, in particular. Ideally, a physical or chemical neurolytic technique provides targeted neurolysis to relieve pain for a suitable length of time without causing complications. Two techniques, cryoablation and radiofrequency ablation, are physical techniques that utilize the application of extreme cold and heat, respectively, to temporarily interrupt nerve conduction and relieve pain. Unlike chemoneurolytic lesions, lesions created with cryoablation and radiofrequency ablation tend to be spherical and well circumscribed. There is a potential for permanent nerve damage, although ultrasound or fluoroscopy guidance has made these procedures safer. Effects typically last on the order of 3 to 12 months, although repeat procedures may be required to maintain suitable pain relief. Three additional techniques utilize chemoneurolytic agents. Two injectable chemoneurolytic agents, alcohol and phenol, and one topical chemoneurolytic agent, HCCTS, have been used to relieve neuropathic pain. Both types of injections (alcohol and phenol) require the use of ultrasound or fluoroscopy to guide the injection and may cause posttreatment infection or neuritis. Alcohol and phenol are nonselective agents, which can lead to off-target tissue damage and post-procedure neuritis, whereas HCCTS does not because it is a highly selective agonist of the TRPV1 receptor. HCCTS is associated with temporary (up to 48 hours) application-site reactions, but these are generally transient, self-limiting, and of mild or moderate intensity. With these chemoneurolytic procedures, pain relief, again, is on the order of 3 to 12 months, and, as with the physical neurolytic methods, repeat chemoneurolytic procedures may be required to maintain suitable levels of pain relief. Together, these five nonsurgical neurolytic techniques provide patients and physicians with a variety of options for the treatment of refractory neuropathic pain.



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## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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