ORIGINAL RESEARCH

β-Lactam/β-Lactamase Inhibitor Combinations Non-Susceptible ESBL-Producing *Enterobacteriaceae* Bloodstream Infections: An Underestimated Clinical Entity

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Background: To describe the clinical and molecular characteristics of β -lactam/ β -lactamase inhibitor combinations (BLBLIs) nonsusceptible ESBL-producing Enterobacteriaceae (BnESBL-E) bloodstream infections (BSIs).

Methods: A cohort study was performed with ESBL-E-BSI cases from 2017 to 2019 in East China. Clinical characteristics, risk factors, and all-course mortality were evaluated. Whole-genome sequencing and antibiotic susceptibility testing were performed.

Results: Among the 187 patients with ESBL-E-BSI, 39.57% (74/187) had BnESBL-E-BSI. Nosocomial infections constituted 63.51% of BnESBL-E-BSIs, and 39.19% of cases originated from intra-abdominal sources. Risk factors for BnESBL-E-BSI included BLBLIs exposure within the preceding 3 months, ICU admission within the last 3 months, and the duration of hospital stay prior to BSI. Notably, a urinary source of bacteremia emerged as a protective factor against BnESBL-E-BSI(OR, 0.177; 95% CI, 0.049–0.647; p=0.009). BnESBL-E-BSIs were associated with a higher 28-day mortality compared to BLBLIs-susceptible cases (31.08%vs.16.81%; p=0.031). Multivariate analysis identified the Pitt bacteremia score, CRP level, and hospitalization within the preceding 3 months as risk factors for BnESBL-E-BSI-related mortality, while receipt of carbapenems within 72 hours of symptom onset improved survival (OR, 0.128; 95% CI, 0.018–0.912; p = 0.04). BnESBL-E isolates demonstrated no clonal transmission and remained highly susceptible to amikacin, carbapenems and tigecycline. Coexistence of multiple ESBL types was frequently observed, occurring in 40.6% of BnESBL-*Ec* and 72.7% of BnESBL-*Kp* isolates.

Conclusion: Given the high prevalence and mortality of BnESBL-E-BSI, carbapenems may be preferable treatment option for nonurinary ESBL-E-BSIs. BnESBL-E represents an underestimated clinical threat, warranting timely identification of risk factors and the consideration of appropriate empirical therapy.

Keywords: extended spectrum β -lactamase, β -lactam/ β -lactamase inhibitors combinations, bloodstream infections, *Enterobacteriaceae*

Background

Extended-spectrum β -lactamase-producing Enterobacteriaceae (ESBL-E) have emerged as significant etiological agents of both community-acquired and nosocomial bloodstream infections (BSIs) worldwide.^{1,2} Among these,

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β-lactam/β-lactamase inhibitor combinations (BLBLIs) and carbapenems are the most commonly used agents for managing infections caused by ESBL producers.^{8,9} In the last decades, BLBLIs have emerged as an alternative to carbapenems used in ESBL-E infections.¹⁰ BLBLIs, such as piperacillin/tazobactam (TZP) and cefoperazone/sulbactam (CSL) are often employed as empirical therapy in hospitalized patients. However, the increased clinical application of BLBLIs has driven bacterial evolution, leading to the emergence and proliferation of ESBL-E strains that exhibit resistance to these combinations. A decline in TZP susceptibility among *Ec* and *Kp* has been observed over time.¹¹ Although numerous clinical studies have focused on ESBL-E-BSIs,^{6,12,13} there is a paucity of systematic clinical research specifically addressing BLBLIs non-susceptible ESBL-E (BnESBL-E) BSIs. Infections caused by BnESBL-E pose a significant clinical challenge, as empirical treatment with BLBLIs may prove ineffective, resulting in therapeutic delays and increased mortality rates.¹⁴ Thus, the early identification of patients at risk for BnESBL-E infections is crucial to enable prompt initiation of appropriate antimicrobial therapy.

This study aims to investigate the clinical characteristics, risk factors, and outcomes associated with BnESBL-E-BSIs in a tertiary hospital in East China from 2017 to 2019. Additionally, we seek to characterize the genomic alterations within the BnESBL-E population and their corresponding phenotypic and pathogenic traits. Our findings aim to enhance the understanding of BnESBL-E-BSIs and provide guidance for clinical management.

Method

Study Population

A retrospective cohort study was conducted on cases of ESBL-E-BSIs at a 5000-bed tertiary teaching hospital in East China from January 2017 to December 2019. Cases of ESBL-E-BSIs were retrieved from the conventional microbiology laboratory database. Patients with a blood culture positive for ESBL-*Ec* or ESBL-*Kp*, clinical manifestations of infection, and a complete clinical data set were included. Initially, 192 patients were identified; however, 5 cases of polymicrobial BSI were excluded, resulting in a final cohort of 187 patients. For patients with two or more positive blood cultures, only the first episode was selected. The study flowchart is shown in Figure 1. Epidemiological and clinical data were collected using a standardized case record form. The study was conducted in accordance with the Declaration of Helsinki. This retrospective study received approval from the Institutional Review Board of the First Affiliated Hospital of Zhejiang University in China, under the research ethics approval code IIT20231028A. The requirement for informed consent was waived due to the retrospective nature of the study, and it did not include any protected patient information.

Bacterial Identification, Antimicrobial Susceptibility Testing and ESBL Production Detection

The automated VITEK 2 COMPACT system was used for bacterial identification. Antimicrobial susceptibility testing was performed by broth dilution method and Kirby-Bauer method according to Clinical and Laboratory Standards Institute (CLSI) guidelines. The minimum inhibitory concentrations (MICs) of TZP and CSL were determined according to CLSI guidelines. And ESBL production was determined by *double-disk* potentiation assay using amoxicillin-clavulanic acid, cefotaxime, ceftazidime, or cefepime, according to CLSI guidelines. *Ec* ATCC 25922 and *Kp* ATCC 700603 were employed as quality-control strains.

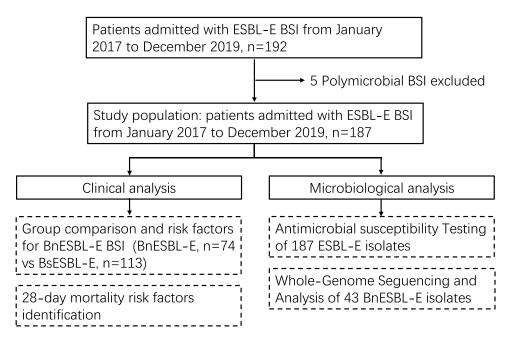


Figure I Study design.

Abbreviations: ESBL, extended-spectrum β -lactamase; ESBL-E, extended-spectrum β -lactamase producing Enterobacteriaceae; BLBLIs, β -lactam/ β -lactamase inhibitors combinations; BSI, bloodstream infection; BnESBL-E, BLBLIs non-susceptible ESBL-E; BsESBL-E, BLBLIs sensitive ESBL-E.

CLSI 2023 criteria were used to interpret the results according to the interpretation standards for TZP ($\leq 8/4$ mg/ L=sensitive, $\geq 32/4$ mg/L=resistant). The FDA criteria for cefoperazone were used to interpret the results for CSL ($\leq 16/8$ mg/L=sensitive, $\geq 64/32$ mg/L=resistant).

Whole Genome Sequencing and Bioinformatics Analysis

The genomic DNA extraction kit (Qiagen, USA) was used to extract genomic DNA. The genome library was sequenced on the Illumina Hi Seq[™] 2000 sequencing platform. In silico analyses were performed on the CLC Genomic Workbench (version 8.0). Genome annotation was performed via the RAST server (<u>http://rast.nmpdr.org/</u>). Sequence type (ST) and resistance genes were detected using MLST 2.0 and ResFinder 4.1 on the CGE server with default settings (<u>https://cge.cbs.dtu.dk/services/</u>). Virulence genes were determined online in the Institute Pasteur database (<u>https://bigsdb.pasteur.fr/</u>).

Variables and Definitions

The duration of stay before BSI was defined as the time from hospital admission to the collection of the first positive blood culture. Nosocomial bacteremia was identified by the isolation of ESBL-E from blood samples collected more than 48 hours after admission. Co-infection in other parts was defined as the simultaneous presence of infectious lesions in areas other than the primary lesion. The source of bacteremia was determined according to the Centers for Disease Control's definitions of nosocomial infections (1988). The Pitt Bacteremia Score (PBS) was utilized, incorporating variables such as temperature, hypotension, mechanical ventilation, cardiac arrest, and mental status, as outlined in reference.¹⁵ The PBS is widely acknowledged as an objective indicator of acute illness severity in patients with severe infections, including BSI.¹⁵ Our research employed the PBS to evaluate infection severity. At BSI diagnosis, laboratory examinations and PBS were taken. The clinical outcome was 28-day all-cause mortality. Appropriate therapy was defined as the administration of effective drugs, as determined by the in vitro susceptibility testing, during the infection episode.¹⁶

Patients infected with ESBL-E sensitive to TZP and CSL were classified as having BLBLIs sensitive ESBL-E (BsESBL-E) infections. Patients infected with ESBL-E intermediate or resistant to TZP or CSL were classified as having BnESBL-E infections.

Statistical Analysis

Continuous variables were expressed as medians (interquartile ranges) or means \pm standard deviations and assessed using Student's *t*-test (for normally distributed variables) or Mann–Whitney-*U* test (for non-normally distributed variables). Categorical variables were expressed as percentages of the group and analyzed by the χ 2 test or the two-tailed Fisher exact test appropriately. Two-tailed tests were used to determine statistical significance. Multivariate analysis was performed by logistic regression, and incorporating variables with a *p*-value≤0.1 from the univariate analysis through a stepwise approach. Kaplan–Meier analysis was utilized to estimate the survival distribution function, and Kaplan–Meier survival curves were generated for patients with BsnESBL-E and BsESBL-E infections. In all analyses, a *p*-value<0.05 was considered significant. All statistical analyses were performed using SPSS version 23.0 (IBM Corporation, Armonk, NY, USA).

Results

Clinical Characteristics of 187 Patients Infected with ESBL-E-BSI

A total of 187 cases of ESBL-E-BSI were included in the study, consisting of 74 cases (39.57%) from the BnESBL-E group and 113 cases (60.43%) from the BsESBL-E group. The proportion of BnESBL-E-BSI within the ESBL-BSI cohort ranged from 35.23% to 47.29% between 2017 and 2019, demonstrating an increasing trend over the years (<u>Appendix 1</u>). Among the 187 patients, 140 (74.87%) were infected with ESBL-*Ec*, while 47 (25.13%) were infected with ESBL-*Kp* cases, whereas BnESBL-*Ec* constituted 29.28% (41/140) of the ESBL-*Ec* cases.

The epidemiological and clinical characteristics of these 187 patients are detailed in Table 1. The median age was 60.83 years, with 44.39% of the patients aged over 65. Males comprised 64.71% of the cohort. The most prevalent underlying comorbidities were cardiovascular disease (86%), solid malignancies (80%), and pancreaticobiliary disease (58%). Additionally, 107 patients (57.22%) had been hospitalized within the preceding 3 months, and 18 (9.63%) had

Characteristic	Total (n=187)	BnESBL-E BSI	BsESBL-E BSI	p value	Multivariate Analysis				
		Group (n =74)	Group (n =I I 3)		Sig.	ExpB (B)	95.0% CI for EXP(B)		
							Lower	Upper	
Demographic									
Sex (male)	121(64.71)	53(71.62)	68(60.18)	0.12					
Age, years, median (IQR)	60.83 (17.73)	60.43(17.01)	61.09(18.25)	0.55					
Elderly (age>65y)	83 (44.39)	32(43.24)	51(45.13)	0.881					
Underlying medical conditions	181(96.79)	72(97.30)	109(96.46)	I					
Cardiovascular diseases	86(45.99)	27(36.49)	59(52.21)	0.037*					
Solid malignant tumor	80(42.78)	34(45.95)	46(40.71)	0.546					
Pancreaticobiliary diseases	58(31.02)	23(31.08)	35(30.97)	I.					
Hepatic disease	54(28.88)	20(27.03)	34(30.09)	0.742					
Diabetes	37(19.79)	12(16.22)	25(22.12)	0.354					
Gastrointestinal Diseases	34(18.18)	15(20.27)	19(16.81)	0.566					
Chemotherapy	32(17.11)	12(16.22)	20(17.7)	0.845					
Urinary system diseases	27(14.44)	5(6.76)	22(19.47)	0.019*					
Pulmonary disease	24(12.83)	13(17.57)	11(9.73)	0.125					
Cerebrovascular disease	19(10.16)	6(8.11)	13(11.5)	0.622					
Liver transplantation	13(6.95)	5(6.76)	8(7.08)	I					
Trauma	6(3.21)	3(4.05)	3(2.65)	0.682					
Surgery									
Prior abdominal surgery within preceding 3 months	40(21.39)	20(27.03)	20(17.7)	0.147					
Prior abdominal surgery within last 1 month	31(16.58)	12(16.22)	19(16.81)	1					

Table I Clinical Characteristics of 187 ESBL-E BSI Patients in This Study

(Continued)

Table I (Continued).

Characteristic	Total (n=187)	BnESBL-E BSI	BsESBL-E BSI	p value		Multivariate Analysis			
		Group (n =74)	Group (n =113)		Sig.	ExpB (B)		CI for P(B)	
							Lower	Upper	
Prior invasive procedure and/or devices									
Prior invasive procedure within 3month	81(43.32)	37(50.00)	44(38.94)	0.18					
Prior invasive procedure within 1 month	61(32.62)	26(35.14)	35(30.97)	0.633					
Prior devices	40(21.39)		. ,	0.069					
Prior hospitalization	. ,								
Prior hospitalization within preceding 3 months	107 (57.22%)	50(67.57)	57(50.44)	0.009*					
ICU admission (prior to bacteremia onset) within preceding 3 months	18 (9.63%)	13(17.57)	5(4.42)	0.004*	0.048*	3.167	1.009	9.937	
Prior antibiotic-Antimicrobial exposure within 3 month									
Antibiotic exposure within preceding 3 months	101 (54.01%)	42(56.76)	59(52.21)	0.653					
Fluoroquinolone exposure within preceding 3 months	29(15.51)	11(14.86)	18(15.93)	I					
$\boldsymbol{\beta}$ Lactam antibiotics exposure within preceding 3 months	77(41.18)	39(52.70)	38(33.63)	0.010*					
BLBLIs exposure within preceding 3 months	45(24.06)	27(36.49)	18(15.93)	0.002*	0.024*	2.340	1.117	4.904	
Carbapenems exposure within preceding 3 months	35	15	20	0.703					
Duration of stay before BSI, median (IQR)	4 (1,14)	8.5 (1.75,17)	2 (0,10)	0*	0.010*	1.035	1.008	1.062	
Nosocomial	96(51.34)	47(63.51)	49(43.36)	0*					
Community acquired	73(39.04)	15(20.27)	58(51.33)	0*					
Patient location at time of first positive culture	. ,		. ,						
ICU	56(29.95)	28(37.84)	28(24.78)	0.072					
Internal Medicine Departments	86(45.99)	25(33.78)	61 (53.98)	0.007*					
Surgery	45(24.06)	21(28.38)	24(21.24)	0.296					
Pitt bacteremia score, median (IQR)	I (0,2)	I (0,4)	I (0,2)	0.026*					
Laboratory examination									
White blood cell, median (IQR)	(7.2,17.1)	14.75 (6.95,25.18)	9.8 (7.2,14.85)	0.006*					
WBC>15	64(34.22)	36(48.65)	28(24.78)	0.001*					
WBC<2	23(12.3)	7(9.46)	16(14.16)	0.494					
Neutrophilic granulocyte, median (IQR)	9.80 (5.90,15.55)	12.80 (5.45,22.80)	8.3 (5.9,12.4)						
C-reactive protein, median (IQR)	104.68 (53.40,164.05)	113.63 (58.67,170.21)	94.35 (50.93,152.67)	0.246					
CRP>100	94(50.27)	42(56.76)	52(46.02)	0.096					
CRP>200	26(13.9)	10(13.51)	16(14.16)	1					
Procalcitonin, median (IQR)	4.23 (0.64,17.29)	5.13 (1.48,13.75)	3.95 (0.47,21.16)	0.34					
Albumin<30	103(55.08)	43(58.11)	60(53.1)	0.454					
Albumin minimum during BSI, median (IQR)	29.30 (25.76,33.80)	28.40 (25.60,33.33)	29.7 (26.6,34.4)	0.102					
Source of bacteremia									
Intra-abdominal infection	56(29.95)	29(39.19)	27(23.89)	0.034*					
Кр	П	7							
Ec	45	22							
Urinary tract infection	30(16.04)	3(4.05)	27(23.89)	0*	0.009*	0.177	0.049	0.647	
Кр	4	2							
Ec	26	I							
Pulmonary	16(8.56)	7(9.46)	9(7.96)	0.79					
Perianal infection	2(1.07)	0(0)	2(1.77)	0.52					
Muscle	I (0.53)	0(0)	I (0.88)	I					
Bone	2(1.07)	0(0)	2(1.77)	0.52					
Unknown	80(42.78)	34(0)	46(40.71)						
Length of hospital stay	18 (10,29)	22 (13,36.25)	15 (9,26)	0.003*					
All-cause death at 28 d post bacteremia	42(22.46%)	23(31.08)	19(16.81)	0.031*					

Note: **p*<0.05.

Abbreviations: ESBL, extended-spectrum β -lactamase; ESBL-E, extended-spectrum β -lactamase producing Enterobacteriaceae; BLBLIs, β -lactam/ β -lactamase inhibitors combinations; BnESBL-E, BLBLIs non-susceptible ESBL-E; BSESBL-E, BLBLIs sensitive ESBL-E; BSI, bloodstream infections; WBC, white blood cell; TZP, piperacillin/ tazobactam; CSL, cefoperazone/sulbactam; *Ec, Escherichia coli; Kp, Klebsiella pneumoniae*.

been admitted to the intensive care unit (ICU) during the same timeframe. Notably, 101 patients (54.01%) had prior antibiotic/antimicrobial exposure within the last 3 months. In 39.04% of cases, the infections were nosocomial. The primary source of bacteremia was intra-abdominal infection (29.41%), followed by urinary tract infection (13.90%) and respiratory tract infection (7.49%). The overall 28-day mortality rate was 22.46%.

Risk Factors for Development of BnESBL-E-BSI

Table 1 compared the epidemiological and clinical characteristics between the BnESBL-E group and the BsESBL-E group. Both groups had similar distributions in terms of age, gender, history of abdominal surgery, and prior invasive procedures or device use. However, cardiovascular and urinary system diseases were significantly more prevalent in the BsESBL-E group (p=0.037 and p=0.019, respectively). In contrast, the BnESBL-E group was more likely to have prior BLBLIs exposure within the last 3 months (p=0.002) and prior ICU admission within the last 3 months (p=0.004). In the BnESBL-E group, more patients were nosocomial (p < 0.001), with longer duration of stay before the onset of BSI (p < 0.001). Within the BnESBL-E group, 55.41% (41/74) of cases were caused by ESBL-Ec, while 44.59% (33/74) were caused by ESBL-Kp, In contrast, the BsESBL-E group had proportions of 87.61% (99/113) for ESBL-Ec and 12.39% (14/113) for ESBL-Kp, respectively.(p < 0.01) Furthermore, intra-abdominal infection (39.19%) was the most common source of bacteremia in the BnESBL-E group, followed by pulmonary (9.46%) and urinary tract infections (4.05%), while in the BsESBL-E group, intra-abdominal and urinary tract infections were equally prevalent as the primary sources of bacteremia (23.89% each), with pulmonary infection accounting for 7.96%. In addition, the BnESBL-E group exhibited higher PBS and elevated white blood cell (WBC) levels (p = 0.026 and p = 0.006, respectively). Notably, the 28-days all-cause mortality was significantly higher in the BnESBL-E group compared to the BsESBL-E group (p = 0.031). The 28-day survival curves, stratified by the susceptibility of ESBL-E to BLBLIS, demonstrated a significant difference, as detailed in Appendix 2.

Multivariate analysis identified prior BLBLIs exposure within the last 3 months (OR, 2.340; 95% CI, 1.117–4.904; p = 0.024), ICU admission within the last 3 months (OR, 3.167; 95% CI, 1.009–9.937; p = 0.048), and prolonged duration of stay before BSI (OR, 1.035; 95% CI, 1.008–1.062; p = 0.01) as independent risk factors for BnESBL-E-BSI (Table 1). According to the ROC curve analysis, a duration of stay before BSI of \geq 3 days predicted BnESBL-E-BSI with a sensitivity of 71.62% and a specificity of 54.87%. In contrast, a urinary source of bacteremia emerged as a protective factor against BnESBL-E-BSI(OR, 0.177; 95% CI, 0.049–0.647; p=0.009). (Table 1)

Among the 74 patients with BnESBL-E-BSI, 47 individuals (63.51%) had infections caused by ESBL-E non-susceptible to either TZP or CSL, classified as BnESBL-E group 1, while 27 individuals (36.49%) were infected with ESBL-E non-susceptible to both agents, categorized as BnESBL-E group 2. Multivariate analysis revealed that age was the only risk factor for infections with ESBL-E non-susceptible to both TZP and CSL. In comparison, community-acquired infections were more likely to involve ESBL-E non-susceptible to either TZP or CSL. (Appendix 3)

Mortality Predictor Analysis for 28-Day Mortality in ESBL-E-BSI Patients

Among the 187 patients with ESBL-E-BSI, 145 (77.54%) survived, while 42 (22.46%) succumbed to the infection. Mortality rates were comparable between patients infected with ESBL-*Kp* and those with ESBL-*Ec* (23.40% vs 22.14%, p=0.84). Detailed factors potentially influencing 28-day mortality are provided in <u>Appendix 4</u>.

Multivariate analysis revealed the PBS (OR, 1.297; 95% CI, 1.091–1.543; p=0.003) as the only risk factor for ESBL-E-BSI related mortality, while the administration of appropriate therapy within 72 hours after symptom onset was identified as a significant protective factor(OR, 0.317; 95% CI, 0.107–0.940; p=0.038).

Mortality Predictor Analysis for 28-Day Mortality in BnESBL-E-BSI Patients

Among the 74 patients with BnESBL-E-BSI, the 28-day mortality rate was found to be 31.08% (23/74). Notably, there was no significant difference in mortality between patients infected with BnESBL-*Kp* and those with BnESBL-*Ec* (30.30% vs 31.71%, p=1.00). Univariate analysis indicated that non-survivors exhibited distinct characteristics compared to survivors, including hospitalization within the preceding 3 months, higher PBS, elevated WBC counts, increased CRP levels, and reduced serum albumin levels. (p<0.05)

In terms of treatment, carbapenems and BLBLIs were the most commonly used drugs, with 63.51% (47/74) of patients receiving carbapenem monotherapy, and 21.62% (16/74) receiving BLBLIs monotherapy within 72 hours of symptom onset. Univariate analysis revealed that initiating carbapenem treatment within 72 hours of symptom onset was significantly associated with reduced mortality. However, the administration of BLBLIs within 72 hours of symptom onset did not improve outcomes (Table 2).

Covariate	Die (n=23)	Survived (n=51)	P value	Multiva	riate Analy	vsis	
				Sig.	ExpB (B)	95.0% C EXP(B)	
						Lower	Upper
Demographic							
Sex (male)	15 (65.22)	36 (70.59)	0.5				
Age, years, mean (SD)	59.78(16.79)	61.87(17.80)	0.56				
Elderly (age>65y)	II (47.83)	21 (41.18)	0.62				
Underlying medical conditions	23 (100)	49 (96.08)	1				
Gastrointestinal Diseases	6 (26.09)	9 (17.65)	0.53				
Pancreaticobiliary diseases	6 (26.09)	17 (33.33)	0.6				
Hepatic disease	4 (17.39)	16 (31.37)	0.27				
Pulmonary disease	6 (26.09)	7 (13.73)	0.21				
Diabetes	4 (17.39)	8 (15.69)	1				
Cerebrovascular disease	2 (8.7)	4 (7.84)	1				
Cardiovascular diseases	8 (34.78)	19 (37.25)	1				
Solid malignant tumor	14 (60.87)	20 (39.22)	0.13				
Urinary system diseases	0 (0)	5 (9.8)	0.32				
Liver transplantation	3 (13.04)	2 (3.92)	0.17				
Chemotherapy	6 (26.09)	6 (11.76)	0.17				
Trauma	I (4.35)	2 (3.92)	1				
Surgery	. ,						
Prior abdominal surgery within 3 months	5 (21.74)	15 (29.41)	0.58				
Prior abdominal surgery within I month	2 (8.7)	10 (19.61)	0.32				
Prior hospitalization	. ,	· · · ·					
Prior hospitalization within last 3 month	20 (86.96)	30 (58.82)	0.012*	0.005*	136.765	4.533	4126.777
ICU admission (prior to bacteremia onset) within last 3 month	3 (13.04)	10 (19.61)	0.74				
Days from admission to culture, median (IQR)	7(2,29)	9(1,17)	0.74				
Nosocomial	13 (56.52)	34 (66.67)	0.29				
Co infection in other parts	20 (86.96)	36 (70.59)	0.24				
Pitt bacteremia score, median (IQR)	4(2,7)	I (0,2.25)	0.000*	0.005*	1.605	1.149	2.240
Resistant to both TZP and CSL	9 (39.13)	18 (35.29)	0.8	0.005	1.005	1.1.17	2.2.10
Bacterial species	7 (37.13)	10 (33.27)	1				
Ec	13	28	1				
Кр	10	23					
MICs of TZP	10	25	0.42				
≤4ug/mL	6(26.09)	13(25.49)	0.72				
-	6(26.09) 4(17.39)	13(25.49)					
8ug/mL	4(17.39)	6(11.76)					
l 6ug/mL	0(0)	6(11.76)					
32ug/mL	5(21.74)	5(9.8)					
64ug/mL	3(13.04)	9(17.65)					
≥128ug/mL	5(21.74)	12(23.53)	0.45				
MICs of CSL	(0/005 (5)	0(17.65)	0.45				
≤8ug/mL	68(295.65)	9(17.65)					
16 ug/mL	40(173.91)	5(9.8)					
32 ug/mL	20(86.96)	20(39.22)					
≥64ug/mL	17(73.91)	17(33.33)					

(Continued)

Table 2 (Continued).

Covariate	Die (n=23)	Survived (n=51)	P value	Multivariate Analy		rsis		
				Sig.	ExpB (B)	95.0% CI for EXP(B)		
						Lower	Upper	
Laboratory examination								
White blood cell, median (IQR)	24.2(12.9,31.8)	12.7(6.1,17.5)	0.008*					
WBC>15	16 (69.57)	20 (39.22)	0.024*					
WBC<2	3 (13.04)	4 (7.84)	0.67					
Neutrophilic granulocyte, median (IQR)	18.3(4.47,27.4)	11.4(5.6,16.4)						
C-reactive protein, median (IQR)	159.06(110.22,203.5)	99.15(51.41,136.54)	0.005*	0.007*	1.025	1.007	1.044	
CRP>100	18 (78.26)	24 (47.06)	0.038*					
CRP>200	6 (26.09)	4 (7.84)	0.067					
Procalcitonin, median (IQR)	3.26(1.75,9.02)	8.09(0.69,14.28)	0.68					
Albumin<30	19 (82.61)	24 (47.06)	0.006*					
Albumin minimum during BSI, median (IQR)	26.45(25.1,28.53)	30.8(25.75,33.95)	0.012*					
Source of bacteremia								
Intra-abdominal infection e	8 (34.78)	21 (41.18)	0.8					
Urinary tract infection	0 (0)	3 (5.88)	0.55					
Pulmonary	4 (17.39)	3 (5.88)	0.19					
Treatment								
$\beta\mbox{-lactam}/\beta\mbox{-lactamase}$ inhibitor Combinations monotherapy within 72h	11(47.83)	5(9.80)	0.001*					
Carbapenem monotherapy within 72h	11(47.83)	36(70.59)	0.072*	0.04*	0.128	0.018	0.912	

Note: *p<0.05.

Abbreviations: BLBLIs, β -lactam/ β -lactamase inhibitors combinations; ESBL, extended-spectrum β -lactamase; ESBL-E, extended-spectrum β -lactamase producing Enterobacteriaceae; BSI, bloodstream infections; BnESBL-E, ESBL-E non-susceptible to BLBLIs; MICs, minimal inhibit concentrations; *Ec, Escherichia coli; Kp, Klebsiella pneumoniae.*

Multivariate analysis identified several independent predictors of BnESBL-E-BSI-related mortality: a higher PBS (OR, 1.605; 95% CI, 1.149–2.240; p=0.005), elevated CRP levels (OR, 1.025; 95% CI, 1.007–1.044; p=0.007), and hospitalization within the last 3 months (OR, 136.765; 95% CI, 4.533–4126.777; p=0.005). Conversely, receipt of carbapenems within 72 hours of symptom onset was significantly associated with improved survival (OR, 0.128; 95% CI, 0.018–0.912; p=0.04). (Table 2)

Antimicrobial Susceptibility Testing of Isolates

The results of antimicrobial susceptibility testing on 187 ESBL-producing isolates are summarized in Table 3. Among these, 113 isolates were categorized as BsESBL-E, consisting of 14 BsESBL-*Kp* and 99 BsESBL-*Ec*. The remaining 74 isolates were classified as BnESBL-E, which included 30 isolates non-susceptible to CSL (6 ESBL-*Kp*, 24 ESBL-*Ec*), 17 isolates non-susceptible to TZP (14 ESBL-*Kp*, 3 ESBL-*Ec*), and 27 isolates non-susceptible to both TZP and CSL (13 ESBL-*Kp*, 14 ESBL-*Ec*). The MICs for TZP and CSL of all 187 ESBL-E isolates are presented in <u>Appendix 5</u>. Overall, BnESBL-E isolates demonstrated a nonsusceptibility rate of 59.46% to TZP and 77.03% to CSL.

Antibiotics	BnESBL-E Non-Susceptible Rate (%)	BsESBL-E Non-Susceptible Rate (%)	p value	BnESBL-Ec Non-Susceptible Rate (%)	BsESBL- Ec Non-Susceptible Rate (%)	p value	BnESBL-Kp Non-Susceptible Rate (%)	BsESBL- <i>Kp</i> Non-Susceptible Rate (%)	p value
Amikacin	9.46	2.65	0.052	9.76	3.03	0.19	9.09	0	0.54
Gentamicin	53.10	29.41	0.006	56.67	27.89	0.011	47.06	52.63	1
Ciprofloxacin	83.02	70.83	0.14	84.37	71.21	0.21	80.95	66.67	0.59
Levofloxacin	70.27	66.67	0.63	78.05	69.07	0.408	60.60	50	0.54

Table 3 Antimicrobial Susceptibilities of 187 ESBL-E Isolates

(Continued)

Table 3	(Continued).
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Antibiotics	BnESBL-E Non-Susceptible Rate (%)	BsESBL-E Non-Susceptible Rate (%)	p value	BnESBL-Ec Non-Susceptible Rate (%)	BsESBL- Ec Non-Susceptible Rate (%)	þ value	BnESBL-Kp Non-Susceptible Rate (%)	BsESBL-Kp Non-Susceptible Rate (%)	p value
Latamoxef	28.95	2.56	0.001	21.05	3.03	0.062	36.84	0	0.14
Cefoxitin	39.73	21.43	0.008	48.78	22.45	0.004	28.13	14.29	0.46
Cefuroxime	100.00	100	1	100	100	1	100.00	100	I.
Ceftazidime	76.06	53.33	0.003	67.5	55.43	0.182	87.10	62.5	0.002
Cefepime	82.43	50.89	0	80.95	51.04	0.001	87.10	42.86	0.004
Aztreonam	78.43	62.86	0.075	64.52	63.49	1	100.00	57.14	0.012
Piperacillin- tazobactam	59.46	0	0	41.46	0	0	81.82	0	0
Cefoperazone- sulbactam	77.03	0	0	92.68	0	0	57.58	0	0
Ertapenem	0.00	0	I	0	0	1	0.00	0	I.
Imipenem	0.00	0	1	0	0	1	0.00	0	I.
Meropenem	0.00	0	1	0	0	I	0.00	0	T
Tigecycline	2.90	0	0.14	2.44	0	0.29	3.57	0	T

Abbreviations: BLBLIs, β -lactam/ β -lactamase inhibitors combinations; ESBL, extended-spectrum β -lactamase; ESBL-E, extended-spectrum β -lactamase producing Enterobacteriaceae; BnESBL-E, BLBLIs non-susceptible ESBL-E; BsESBL-E, BLBLIs sensitive ESBL-E; MICs, minimal inhibit concentrations; *Ec, Escherichia coli; Kp, Klebsiella pneumoniae*.

Comparative analysis showed that BnESBL-E isolates had higher nonsusceptibility rates than BsESBL-E isolates. Both BnESBL-*Ec* and BnESBL-*Kp* displayed high level of nonsusceptibility to gentamicin, ciprofloxacin, levofloxacin, cefuroxime, ceftazidime, cefepime and aztreonam. BnESBL-*Ec* isolates exhibited significantly higher nonsusceptibility to gentamicin, cefoxitin and cefepime compared to BsESBL-*Ec* (56.67% vs 27.89%, p=0.001; 48.78% vs 22.45%, p=0.004; 80.95% vs 51.04%, p=0.001). Similarly, BnESBL-*Kp* isolates showed higher nonsusceptibility to ceftazidime and cefepime than BsESBL-*Kp* isolates (87.10% vs 62.5%, p=0.002; 87.10% vs 42.86%, p=0.004; 100.00% vs 57.14%, p=0.012, respectively). It is worth noting that both BnESBL-E and BsESBL-E showed high susceptibility to amikacin (90.54% vs 97.35%), ertapenem (100% vs 100%), imipenem (100% vs 100%), meropenem (MEM, 100% vs 100%) and tigecycline (97.1% vs 100%). (Table 3)

Genetic Relatedness, Antibiotic Resistance Genes, and Virulence Genes of Isolates

Among the 74 BnESBL-E isolates, 43 has been conserved previously, with their genomic characteristics illustrated in Figure 2. In this study, the 17 *Kp* strains and 26 *Ec* strains exhibited diverse ST types.

Of the 17 BnESBL-*Kp* strains, the $bla_{\text{CTX-M}}$ gene was the most prevalent ESBL gene (14 strains, 82.35%), followed by bla_{TEM} (13 strains, 76.47%) and bla_{SHV} (10 strains, 58.82%). Of the 13 strains harboring bla_{TEM} , 12 (92.31%) contained the $bla_{\text{TEM-1}}$ variant. A significant proportion (82.35%) of these BnESBL-*Kp* strains (14/17) harbored multiple ESBL genes. Specifically, 35.29% (6/17) possessed $bla_{\text{CTX-M}}+bla_{\text{TEM}}+bla_{\text{SHV}}$, 29.41% (5/17) possessed $bla_{\text{CTX-M}}$ + bla_{TEM} , 11.76% (2/17) possessed $bla_{\text{SHV}}+bla_{\text{CTX-M}}$ and 5.88% (1/17) possessed $bla_{\text{SHV}}+bla_{\text{TEM}}$. Only 17.65% (3/17) *carried* a single ESBL gene. Additionally, co-existence of ESBLs with other beta-lactamases was observed within the same isolate. (Figure 3)

Among the 26 BnESBL-*Ec* strains, $bla_{\text{CTX-M}}$ was the predominant ESBL genotype (24, 92.31%), followed by bla_{TEM} (16, 61.54%). The bla_{SHV} gene was absent in all BnESBL-*Ec* strains. The $bla_{\text{CTX-M-14}}$ variant emerged as the most common type, found in 14 isolates (53.85%). Of the 16 strains harboring bla_{TEM} , 12 (75%) contained the $bla_{\text{TEM-1}}$ variant. Half of the BnESBL-*Ec* strains (13/26) carried multiple ESBL genes, including 18.75% (3/16) possessed a combination of two $bla_{\text{CTX-M}}$ genes and bla_{TEM} , while 62.5% (10/16) carried $bla_{\text{CTX-M}}+bla_{\text{TEM}}$. The remaining 50% of BnESBL-*Ec* strains (13/26) carried a single ESBL gene, with 10 strains containing $bla_{\text{CTX-M}}$ and 3 strains harboring bla_{TEM} . Additionally, two BnESBL-*Ec* strains harbored AmpC in addition to ESBL genes. (Figure 3)

The analysis of virulence genes revealed that the 17 BnESBL-*Kp* strains exhibited a low carriage rate of such genes. Notably, no hypervirulence-associated genes, such as *rmpA/rmpA2*, *iucA*, *iroB* and *peg-344*, were detected. In contrast,

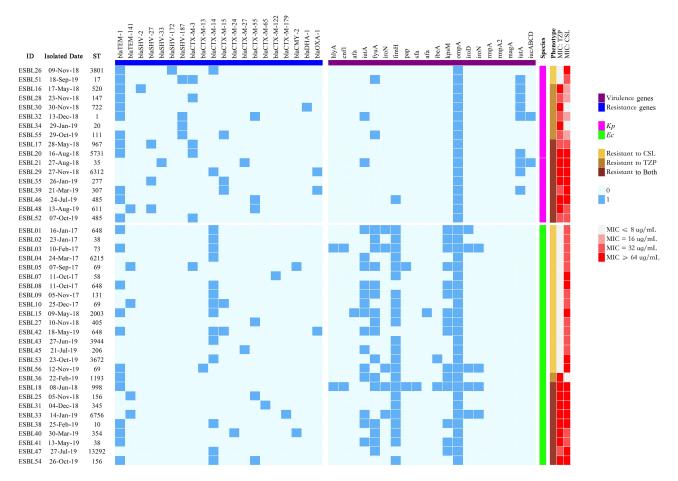


Figure 2 The genome characters of the 43 BnESBL-E isolates.

Abbreviations: ESBL, extended-spectrum β -lactamase; *Kp*, *Klebsiella pneumoniae*; *Ec*, *Escherichia coli*; CSL, cefoperazone/sulbactam; TZP, piperacillin/tazobactam; MICs, minimal inhibit concentrations; BnESBL-E, BLBLIs non-susceptible ESBL-E; BsESBL-E, BLBLIs sensitive ESBL-E.

a significant proportion of the 26 BnESBL-Ec strains carried virulence factors, including the adhesin-related virulence gene *fimH* (23/26, 88.5%), the effective iron uptake gene *fyuA* (16/26, 61.5%), *kpsM* (15/26, 57.7%), *iutA* (14/26, 53.8%), and *iroD* (5/26, 19.2%). (Figure 3)

Discussion

BLBLIs have been widely used to treat ESBL-producing bacterial infections. However, the prevalence and clinical prognosis of infections caused by ESBL-producing bacteria that are non-susceptible to BLBLIs remain insufficiently explored. Our study provides a comprehensive clinical and microbiological characterization of a cohort with BnESBL-E-BSIs. This detailed analysis enhances the current understanding of the clinical diagnosis and empirical antibiotic treatment of these challenging infections.

We report a striking prevalence of BnESBL-E-BSI, constituting 39.57% of ESBL-E-BSIs in a tertiary care center in China, highlighting an urgent need for improved surveillance and early detection strategies. The 28-day mortality rate associated with BnESBL-E-BSI was significantly higher than that of BsESBL-E-BSI, at 31.08% versus 16.81%, respectively. Moreover, the highest mortality rate was observed in cases that were non-susceptible to both TZP and CSL (33.33%). These findings underscore the adverse impact of BnESBL-E bacteremia on patient outcomes, including severe clinical presentations, extended hospitalization, and increased mortality, thereby emphasizing its underestimated clinical significance.

Given the high prevalence and elevated mortality rate associated with BnESBL-E-BSI, it is crucial to identify risk factors that can guide appropriate empirical therapies. Our analysis identified prior BLBLIs exposure within three months

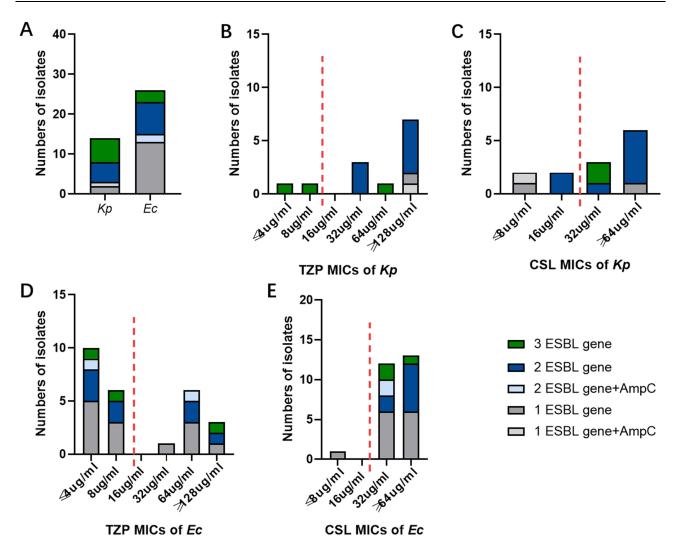


Figure 3 The β -lactamase combination distribution of the 43 BnESBL-E isolates. (**A**) The β -lactamase combination distribution in BnESBL- E_c ; (**B**-**E**) The β -lactamase combination distribution with different TZP MICs and CSL MICs in BnESBL- E_c . **Abbreviations:** ESBL, extended-spectrum β -lactamase; Kp, *Klebsiella pneumoniae*; E_c , *Escherichia coli*; CSL, cefoperazone/sulbactam; TZP, piperacillin/tazobactam; MICs,

minimal inhibit concentrations; BnESBL-E, BLBLIs non-susceptible ESBL-E; BsESBL-E, BLBLIs sensitive ESBL-E.

as a significant risk factor for developing BnESBL-E-BSI. Antibiotic exposure, can foster the development of drug resistance. Previous studies have similarly underscored the role of oxyimino-beta-lactams in increasing the risk of ESBL-E-related BSIs.^{14,17} Specifically, prior exposure to BLBLIs has been linked to an increased risk of BSI caused by TZP non-susceptible but ceftriaxone-susceptible isolates.¹⁸ The association between BLBLIs exposure and the risk of BnESBL-E-BSI may be attributed to the selection of BnESBL-*Ec* and *Kp* as dominant isolates, promoting the emergence of endogenous infections, and triggering the generation of these pathogens in clinical settings.^{18,19} For instance, BLBLIs exposure in vivo could induce IS26-mediated duplication of *bla*_{CTX-M-65}, leading to higher cephalosporin resistance in *Ec*.¹⁹ Additionally, ICU admission within the last three months and prolonged hospital stays prior to BSI were also identified as risk factors, which are consistent with established risk factors for multidrug-resistant infections.^{20–23}

Our analysis also underscores the differential sources of BnESBL-E-BSI compared to BsESBL-E-BSI. In our study, intra-abdominal infections emerged as the primary source of BnESBL-E-BSI, whereas BsESBL-E cases exhibited comparable frequencies of intra-abdominal and urinary tract sources. Interestingly, a urinary source of bacteremia was identified as a protective factor against BnESBL-E-BSI. This observation may be due to the lower likelihood of BLBLIs exposure in community-acquired urogenital bacteremia, where BsESBL-E isolates accounted for up to 90% of cases, compared to only 10% for BnESBL-E. This finding aligns with the Infectious Diseases Society of America's (IDSA)

recommendation for carbapenem therapy in ESBL-E infections originating outside the urinary tract.²⁴ Furthermore, the rapid and accurate identification of the infection source (eg, urinary source) can facilitate the timely initiation of appropriate antibiotic therapy and improve clinical outcomes.

In our study, the ST types of the 17 BnESBL-Kp strains and 26 BnESBL-Ec were widely distributed, indicating a highly diverse genotype distribution among these strains, and ruling out clonal epidemic transmission. Moreover, our study did not detect hypervirulent clones of Ec and Kp commonly associated with bloodstream infections, such as serotypes K1 and K2 of Kp and ST131 of Ec. Given the low prevalence of virulence genes in BnESBL-E isolates, we speculate that the acquisition of drug resistance may impose an adaptive cost on these bacteria.

Nowadays, CTX-M-type enzymes are the most common ESBL type.²⁵ In our study, bla_{CTX-M} was predominant in BnESBL-*Ec* (92.31%), with $bla_{CTX-M-14}$ being predominant in BnESBL-Ec, consistent with other reports.²⁶ Following this, bla_{TEM} was present in 76.47% of BnESBL-*Kp* and 61.54% of BnESBL-*Ec*, with bla_{TEM-1} being the most prevalent variant. A prior study has shown that bla_{TEM} is the most frequently identified β -lactamase gene detected in TZP-intermediate or resistant *Ec* isolates, with the majority producing TEM-1, which aligns with our findings.²⁷ The hyperproduction of TEM-1 is a well-documented mechanism contributing to resistance against BLBLIs.^{28,29} Furthermore, TEM enzymes are involved in the acquired resistance to TZP, potentially facilitating the progression from low-level to high-level resistance to BLBLIs.³⁰ The high proportion of *bla*_{TEM} observed in our study could potentially contribute to resistance against BLBLIs. However, this study did not evaluate the expression levels of *bla*_{TEM} genes.

An important finding of the study was the coexistence of different ESBLs and other beta-lactamase genes within the same isolate. Specifically, 82.35% of BnESBL-*Kp* and 50% of BnESBL-*Ec* harbored at least 2 ESBL genes. Previous studies have documented the coexistence of different ESBLs in ESBL-*Kp* and ESBL-*Ec*, which correlates with higher antibiotic resistance.^{26,31,32} For example, ESBL-*Kp* and ESBL-*Ec* harboring bla_{CTX-M} , bla_{TEM} , and bla_{SHV} have been reported to exhibit increased resistance to trimethoprim-sulfamethoxazole, gentamicin, ciprofloxacin, and meropenem.³² This may be due to the frequent co-harboring of other antimicrobial resistance genes on the same conjugative plasmids carrying ESBL genes.^{32–34} In addition, the presence of additional ESBLs or AmpC may create a "complex background" that renders BLBLIs ineffective.³⁵ Thus, the co-expression of different β -lactamases within a single BnESBL-E is prevalent and may represent one of the mechanisms underlying BLBLIs resistance, warranting further investigation.

Currently, carbapenems are the preferred treatment for BSI caused by ESBL-E. In our study, carbapenems and BLBLIs were the most frequently utilized antimicrobial agents for treating BnESBL-E-BSI. Our findings indicated that patients receiving carbapenem monotherapy exhibited a reduced 28-day mortality rate compared to those receiving BLBLIs monotherapy. Additionally, multivariate analysis demonstrated that the early administration of carbapenems within 72 hours of symptom onset was associated with an improved prognosis in BnESBL-E-BSI, whereas BLBLIs were not. Therefore, carbapenems represent a more suitable therapeutic option than BLILIs for the management of BnESBL-E-BSI. A pivotal randomized clinical trial has demonstrated that TZP treatment is associated with higher mortality rates in ESBL-E-BSI cases compared to treatment with meropenem, thereby not supporting the use of TZP in this context.³⁶ Nevertheless, this study did not include data from China, and available data from this region were limited. Our research contributes data from the Chinese population, and the results are consistent with those of the aforementioned large-scale international clinical study.³⁶ Moreover, there are instances in clinical practice where non-standard use occurs, with physicians choosing BLBLIs to treat patients with ESBL-E infections originating outside the urinary tract when drug susceptibility tests indicate sensitivity to BLBLIs. Our research advocates for the use of carbapenems in cases of ESBL-E-BSI, irrespective of the pathogen's susceptibility to BLBLIs. This study provides recommendations for the clinical management of ESBL bacterial infections within the Chinese population and holds clinical significance. This research reinforces the recommendation of carbapenems as the first-line therapy for ESBL-E-BSI. Furthermore, the BnESBL-E isolates demonstrated susceptibility to amikacin and tigecycline, in addition to carbapenems, which may be considered alternatives for the treatment of BnESBL-E-BSIs. The administration of timely and appropriate antibiotic therapy has been consistently associated with improved outcomes. Therefore, prompt treatment with carbapenems is recommended for managing BnESBL-E-BSIs.

Our study has certain limitations. Firstly, the sample size was relatively small, and serial imaging to monitor disease progression was not feasible. Secondly, the mechanisms underlying BLBLIs resistance in ESBL-E, including betalactamase expression and porin efflux pump activity, were not evaluated in this study and warrant further investigation. Consequently, future research is necessary to enhance our understanding of this subset of patients and the resistance mechanisms associated with this unique phenotype.

Conclusion

In conclusion, BnESBL-E-BSI are associated with considerable mortality rates and are becoming increasingly prevalent. Independent risk factors for the development of BnESBL-E-BSI include exposure to BLBLIs within the preceding 3 months, recent ICU admission, and prolonged hospital stay prior to the onset of BSI. Additionally, a higher PBS, elevated CRP levels, and hospitalization within the last 3 months were identified as predictors of BnESBL-E-BSI-related mortality. Importantly, administration of carbapenems within the first 72 hours significantly improved patient survival outcomes. BnESBL-E isolates demonstrated high levels of antibiotic resistance, with frequent co-occurrence of BnESBL-E infections and emphasize the importance of continuous surveillance of antibiotic resistance patterns and the molecular characteristics of ESBL-producing *Enterobacteriaceae*.

Abbreviations

ESBL, Extended-spectrum β-lactamase; ESBL-E, Extended-spectrum β-lactamase–producing Enterobacteriaceae; BSIs, bloodstream infections; *Ec, Escherichia; Kp, Klebsiella pneumoniae*; ESBL-E-BSIs, BSIs caused by ESBL-E; TEM, temoniera β-lactamase; SHV, sulfhydryl reagent variable β-lactamase; CTX-M, cefotaxime-M β-lactamase; BLBLIs, β-lactam/β-lactamase inhibitor combinations; TZP, piperacillin/tazobactam; CSL, cefoperazone/sulbactam; BnESBL-E, BLBLIs non-susceptible ESBL-E; CLSI, Clinical and Laboratory Standards Institute; MICs, minimum inhibitory concentrations; PBS, Pitt Bacteremia Score; BsESBL-E, BLBLIs sensitive ESBL-E; ICU, intensive care unit; WBC, white blood cell.

Data Sharing Statement

The data in this study can be accessed by sending a request to the corresponding author.

Ethical Approval

This study received approval from the Institutional Review Board of the First Affiliated Hospital of Zhejiang University in China, under the research ethics approval code IIT20231028A.

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Disclosure

The authors declare no conflict of interest.

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