REVIEW

# Metabolic signals in sleep regulation: recent insights

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Correspondence: Radhika Basheer Department of Psychiatry, VA Boston Healthcare System, Harvard Medical School, 1400 VFW Parkway, West Roxbury, MA 02132, USA Tel +1 857 203 6181 Fax +1 857 203 5592 Email radhika\_basheer@hms.harvard.edu **Abstract:** Sleep and energy balance are essential for health. The two processes act in concert to regulate central and peripheral homeostasis. During sleep, energy is conserved due to suspended activity, movement, and sensory responses, and is redirected to restore and replenish proteins and their assemblies into cellular structures. During wakefulness, various energy-demanding activities lead to hunger. Thus, hunger promotes arousal, and subsequent feeding, followed by satiety that promotes sleep via changes in neuroendocrine or neuropeptide signals. These signals overlap with circuits of sleep-wakefulness, feeding, and energy expenditure. Here, we will briefly review the literature that describes the interplay between the circadian system, sleep-wake, and feeding-fasting cycles that are needed to maintain energy balance and a healthy metabolic profile. In doing so, we describe the neuroendocrine, hormonal/peptide signals that integrate sleep and feeding behavior with energy metabolism.

Keywords: sleep, energy balance, hypothalamus, metabolism, homeostasis

# Overview of the relationship between energy balance and sleep

Sleep and energy balance are essential for health. The two processes act in concert to regulate central and peripheral homeostasis. Epidemiological and experimental evidence have linked insufficient sleep to metabolic disorders.<sup>1,2</sup> During sleep, energy is conserved due to suspended activity, movement, and sensory responses, and is redirected to restore and replenish proteins and their assemblies into cellular structures. During wakefulness, various energy-demanding activities lead to hunger. Thus, hunger promotes arousal, and subsequent feeding, followed by satiety that promotes sleep via changes in neuroendocrine or neuropeptide signals. These signals overlap with circuits of sleep-wakefulness, feeding, and energy expenditure. A diurnal rhythm maintained by the internal circadian clock in response to the external environmental cues establishes rhythmicity of the physiological processes and hormonal release. These alterations are associated with the sleep-wake-state changes<sup>3,4</sup> and the feeding-fasting cycles.<sup>5</sup> Together, they maintain the energy balance that is critical for health.<sup>6</sup> Disturbing one of the cycles often results in a cascade of effects, resulting in imbalance contributing to numerous metabolic disorders. Chronic circadian misalignments not only influence sleep but also influence several other systems including the immune system,<sup>7</sup> appetitive hormones, and energy balance.<sup>8-10</sup> Another promising evidence has emerged from the epidemiological studies using genome-wide associations revealing close linkage between sleep/circadian and metabolism-related genes.<sup>11,12</sup> Thus, the circadian rhythmicity, sleep-wake, and feeding behavior are integral to bodily energy homeostasis.

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http://dx.doi.org/10.2147/NSS.S62365

Nature and Science of Sleep 2016:8 9-20

© 2016 Shukla and Basheer. This work is published by Dove Medical Press Limited, and licensed under Creative Commons Attribution — Non Commercial (unported, v3.) permission from Dove Medical Press Limited, provided the work is properly attributed. Permissions beyond the scope of the License are administered by Dove Medical Press Limited, Information on how to request Permission any be found at: http://www.dovepress.com/permissions.pp Here, we will briefly review the literature that describes the interplay between the circadian system, sleep-wake, and feeding-fasting cycles that are needed to maintain energy balance and a healthy metabolic profile (Figure 1). We will also describe the brain centers that integrate these three systems by regulating the autonomic and endocrine signals and discuss their functional interactions. The main focus of this article will be on the interaction between the neuronal and endocrine signals from the gastrointestinal tract and brown adipose tissue (BAT) to influence sleep-wake behavior and energy metabolism. Finally, we will review the causalities and the biological basis of the combined manifestations of sleep disturbances/disorders and briefly discuss the potential therapeutic perspectives.

# Interactive regulation of circadian, sleep, and feeding behavior

Sleep/rest and wake/active behavioral states are observed in all living organisms. The state changes are assessed by recording the cortical electrical activity patterns using electroencephalogram (EEG) and skeletal muscle activity using electromyogram in mammals. Wakefulness is defined by low-voltage fast EEG activity and high muscle tone, whereas the non-rapid eye movement (NREM) sleep is defined by high-amplitude low-frequency EEG and decreased muscle tone, and rapid eye movement (REM) sleep is characterized by low-voltage fast EEG activity accompanied by complete loss of muscle tone.<sup>5</sup> These assessments preclude sleep assessments in animals that do not have a well-defined cortex. However, researchers have proposed specific behavioral criteria to describe the presence of sleep-like states in several species of fish, reptiles, amphibians, and some invertebrates.<sup>13</sup> One common

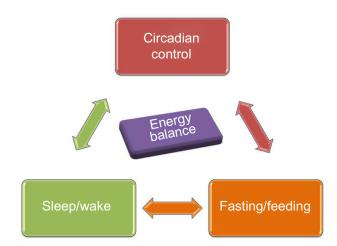


Figure I Bidirectional relationship between circadian clock, sleep-wake, and fastingfeeding behavior underlies maintenance of energy balance in the body. factor that remains constant across all species is the circadian rhythmicity in behavioral state changes.

Since the first description of the circadian "clock" (circadian locomotor output cycles kaput) gene in the suprachiasmatic nuclei (SCN) within the brain,14 other clocks in the peripheral organs associated with energy regulation have been recognized that follow the master clock to maintain physiological rhythmicity.15 Homozygous clock mutant mice exhibit a significant increase in wakefulness by ~2 hours/24 hours independent of light-dark cues.16 These mice also show significantly attenuated diurnal feeding and locomotor rhythms, hyperphagia, and obesity. Most importantly, the mutant mice exhibit hyperglycemia accompanied by insufficient insulin production; a condition associated with type 2 diabetes in humans.17 These characteristics of clock mutant mice provide credence to the fundamental idea of the interactive manifestations of circadian rhythmicity, sleep-wake regulation, and feeding in order to maintain the physiological energy balance.

In the brain, the levels of the high energy molecule, adenosine triphosphate, exhibit a diurnal pattern with highest levels during sleep when the neuronal activity is decreased in the wake-associated areas.<sup>18</sup> The hypothalamus serves as a multifunctional center regulating circadian, sleep, and feeding behaviors and also integrates central and peripheral neuroendocrine, endocrine, and peptide signals. The bodily homeostatic mechanisms and sleep homeostasis rely on the complex integrative activity of various neuronal subgroups within hypothalamus.<sup>19</sup> Anatomical studies further substantiated by lesion studies describe how the circadian center, the SCN, functionally connects to sleep and feeding centers within hypothalamus. The efferent projections from the SCN target the dorsal and ventral subparaventricular zone (SPZ) with a subset of the axons extending to the dorsomedial nucleus (DMH) in the hypothalamus.<sup>20</sup> Thus, the ventral SPZ serves as a relay center and regulates rhythmicity in sleep and feeding as lesions in this area eliminate circadian rhythms of sleep-wakefulness, locomotor activity, and feeding.<sup>21,22</sup> Although very few direct axonal inputs from SCN and ventral SPZ have been detected to the sleepregulating ventrolateral preoptic area (VLPO), a larger contingent of the axons project to the DMH. The DMH sends divergent efferents to the 1) sleep regulation center VLPO; 2) paraventricular nucleus (PVN) containing the neurons synthesizing corticotropin-releasing hormone (CRH) and neurons that mediate preganglionic output to autonomous nervous system; and 3) lateral hypothalamus, an area that contains the hunger-stimulating and wakefulness-promoting

peptide orexin (hypocretin) and melanin-concentrating hormone (Figure 2).<sup>19</sup> The DMH also receives inputs from the arcuate nucleus, which is recognized for regulating food intake and energy expenditure by sensing the peripheral energy status through the "satiety hormone" leptin<sup>23</sup> and the functionally opposite "hunger peptide" ghrelin.<sup>24</sup> The ventromedial nucleus of the hypothalamus (VMH) lies close to the DMH, arcuate nucleus, and third ventricle. It has no known neuroendocrine projections, but is directly involved in feeding behavior and regulating body weight.<sup>25,26</sup> Lesions in the VMH region in rats lead to hyperphagia and weight gain.<sup>27</sup> Brain-derived neurotrophic factor (BDNF) is a neurotrophin whose expression is regulated by signals from the melanocortin 4 receptor (MC4R).<sup>28</sup> Compared with other brain regions, the expression of BDNF is most dense in the VMH, and this region also mediates the ability of BDNF to increase energy expenditure.<sup>29</sup> As BDNF regulates sleep onset (Table 1) along with hedonic food intake, this ventromedial hypothalamic region is likely to closely interact with the overlapping circuits of energy expenditure and sleep regulation.<sup>30,31</sup> Thus, the hypothalamus is poised to serve as a center that integrates circadian, sleep-wake regulation, and feeding behavior (Figure 3).

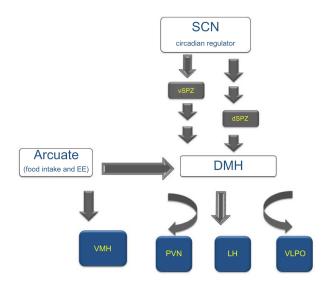


Figure 2 The neuronal connections between circadian, sleep, and feeding centers within the hypothalamus.

**Notes:** The efferent projections from suprachiasmatic nucleus (SCN) target the dorsal subparaventricular zone (dSPZ) and ventral subparaventricular zone (vSPZ) with a subset of the axons extending to the dorsomedial nucleus (DMH) in the hypothalamus. Few direct axonal inputs from SCN and ventral SPZ to the sleep-regulating area of ventrolateral preoptic area (VLPO), and a larger contingent of the axons project to the dorsomedial hypothalamus (DMH). The DMH also receives inputs from the arcuate nucleus, which is recognized for regulating food intake and energy expenditure (EE) and sends projections to ventromedial hypothalamus (VMH). The DMH sends divergent afferents to i) sleep regulation center VLPO, ii) paraventricular nucleus (PVN) containing the neurons synthesizing corticotropin-releasing hormone and neurons that mediate preganglionic output to autonomous nervous system, and iii) lateral hypothalamus (LH), an area that contains the hunger-stimulating and wakefulness-promoting peptides.

### Neuronal and endocrine signals from the gastrointestinal tract involved in sleep regulation

Multiple neuronal and endocrine signals have been identified for their direct or indirect roles in integrating sleep and energy balance via feeding mechanisms. Here, we describe the three most recognized peptides, orexin, leptin, and ghrelin, followed by a short summary of others that are included in Table 1.

Orexin is important for both sleep-wake regulation as well as feeding and energy balance. Mainly expressed in the perifornical region of the lateral hypothalamus, orexin exists in two forms, the neuropeptide orexin A (a 33 aminoacid peptide with two disulfide bonds) and orexin B (28 amino acid peptide) first described in 1998.32,33 The orexin system has a wide distribution of cognate receptors extending its effects on central as well as peripheral targets, thereby regulating various physiological mechanisms such as feeding, energy metabolism, arousal, onset of REM, reward, and autonomic function.34-38 Clinical studies have underscored the importance of orexin signaling in human pathophysiology, as abnormalities in this system can lead to disorders such as narcolepsy,<sup>39</sup> obstructive sleep apnea (OSA),<sup>40</sup> excessive daytime sleepiness secondary to traumatic brain injury,<sup>41</sup> post-traumatic stress disorder,42 insomnia, and age-related changes in sleep and energy expenditure.<sup>43-45</sup> The central administration of orexin dose-dependently increases food intake, waking time, motor activity, and metabolic rate, as well as heart rate and blood pressure in many species (reviewed in Siegel<sup>46</sup>). Ablations of the orexinergic system lead to sleep disturbances. In 1999, two seminal papers reported that the absence of orexinergic signaling, either due to orexin (peptide) gene knockout (KO) in mice47 or due to orexin receptor 2 mutation in dogs,48 results in elevated wake to rapid eye movement sleep (REMS) transitions, and increased REMS during the active period, mimicking narcolepsy in humans. Human narcoleptic subjects contain low levels of orexin in cerebrospinal fluid<sup>49,50</sup> and exhibit loss of orexin neurons.<sup>39,51,52</sup> In rats, lesioning orexin neurons also leads to a narcoleptic phenotype.53 Further evidence for the association between orexin neurons and wakefulness is provided from the experiments where selective optogenetic stimulation of orexin neurons reduced latency to wakefulness and increased sleep-to-wake transitions.54

Orexin is also known to link wake-state and feeding behavior. It activates overlapping neural substrates that modulate both arousal and feeding, thereby affecting energy homeostasis. Animal experiments have shown that

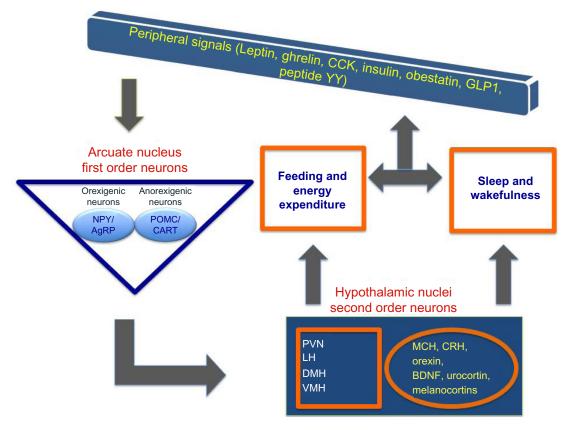


Figure 3 The interaction between peripheral and central signals regulating circadian, sleep, and feeding centers within hypothalamus.

**Notes:** The peripheral signals first act on the first-order neurons of the arcuate nucleus to release orexinergic or anorexinergic peptides, which in turn act on the secondorder neurons to regulate both sleep and feeding behavior. Finally, changes in the levels of these signals induced by sleep and feeding regulate the peripheral system. **Abbreviations:** AgRP, agouti-related protein; BDNF, brain-derived neurotrophic factor; CART, cocaine- and amphetamine-regulated transcript; CRH, corticotropinreleasing hormone; DMH, dorsomedial nucleus; LH, lateral hypothalamus; MCH, melanin-concentrating hormone; NPY, neuropeptide Y; POMC, proopiomelanocortin; PVN, paraventricular/nucleus; VMH, ventromedial hypothalamus; GLP1, glucagon like peptide 1; CCK, Cholecystokinin.

intracerebral injections of orexin during the light (sleep) period induce feeding behavior in rodents as well as in zebrafishes.32,55,56 A study showed that orexin-deficient mice not only suffer from narcolepsy, but also exhibit metabolic imbalance and are obese due to decreased energy expenditure despite the concomitant reduction in feeding.<sup>43</sup> Additionally, orexin neurons act as sensors of energy reserves; high extracellular glucose and leptin induce significant hyperpolarization whereas depleted glucose and ghrelin lead to depolarization of orexin neurons.<sup>57-59</sup> The orexinergic (neuropeptide Y, NPY and agouti-related protein [AgRP]) and anorexinergic (proopiomelanocortin cocaine- and amphetamine-regulated transcript) neurons innervate and regulate orexin neurons.<sup>60,61</sup> Thus, in concert with the other neuropeptides and hormones including melanin-concentrating hormone (MCH) and CRH (Table 1 and Figure 3), the orexinergic system serves as an integral part of the hypothalamic neuronal network that regulates feeding behavior and energy homeostasis.62

In addition to orexin, two peptides from the peripheral system, leptin and ghrelin, have gained recognition for their

opposing functions in the regulation of appetite, energy homeostasis, and sleep.<sup>63</sup> Leptin, an anorectic peptide, is secreted primarily from white adipose tissue and acts on the arcuate nucleus to inhibit NPY/AgRP neurons, and activate the proopiomelanocortin (POMC)/cocaine- and amphetamine-regulated transcript neurons. Ghrelin, secreted by the stomach has an opposite function. In hypothalamus, orexin, leptin, and ghrelin are the key peptides that link sleep-wake behavior to energy homeostasis. The first-order neurons from arcuate nucleus send orexigenic/anorexigenic signals to neurons projecting not only to the lateral hypothalamus as described earlier, but also to other hypothalamic nuclei (Figure 3). A close association exists between the duration of sleep and the serum levels of leptin and ghrelin. Increasing sleep duration leads to an increase in leptin and a reciprocal decrease in ghrelin; the opposite is seen with short duration sleep.<sup>64-66</sup> In controlled laboratory conditions, both acute<sup>67</sup> and chronic partial sleep deprivation decrease serum leptin concentrations.<sup>68</sup> This relationship is suggested to be the basis of increased body mass index (BMI) and obesity with

Table I Central an	d peripheral sig	als involved in energy	v balance and sleep regulation
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Hormone/neuropeptide	Site of synthesis	Function		References
		Energy metabolism	Sleep	
Leptin	Adipose, Gl	Decreases appetite, increases energy expenditure	During sleep deprivation, decrease in leptin	64,75
Ghrelin	GI	Orexigenic, decreases energy expenditure	During sleep deprivation, increase in ghrelin Induces wakefulness	63,137
Obestatin	GI	Decreases appetite	Sleep-promoting effect triggers NREM sleep and reduces SWA	38,   39
Cholecystokinin	GI	Decreases appetite, energy expenditure	Induces postprandial sleep	140,141
Glucagon like peptide I	GI	Decreases appetite	Delayed satiety response after sleep deprivation	142,143
Peptide YY	GI	Decreases appetite	Elevated with sleep deprivation	8,144
Neuromedin	GI, brain	Decreases appetite, increases energy expenditure	Sleep-wake architecture, NREM–REM transitions	145
Neuropeptide Y	Hypothalamus	Anorexigenic	Sleep onset, modulates REM, sleep architecture	146-149
Agouti-related peptide	Hypothalamus	Anorexigenic	Sleep-wake regulation	149,150
Proopiomelanocortin	Hypothalamus	Suppresses food intake	Sleep-wake regulation	149,151,152
Orexin	Hypothalamus	Appetite stimulating	Increases wakefulness, suppresses REM	47,153,154
Thyrotropin-releasing hormone	Hypothalamus	Anorexigenic	Arousal	149,155
Urocortin	Hypothalamus	Anorexigenic, feeding and EE during stress	Sleep-wake pattern (wake, NREM)	156,157
Brain-derived neurotrophic factor	Hypothalamus	Anorexigenic, hedonic food intake	Sleep onset	30,31
Melanin-concentrating hormone	Hypothalamus	Orexigenic-appetite stimulating	Sleep-promoting effect	158
Corticotropin-releasing hormone	Hypothalamus	Anorexigenic, feeding and energy expenditure during stress	REM sleep, rebound sleep following sleep deprivation	157,159,160
Glucocorticoids	Adrenal cortex	Feeding	Elevated with sleep deprivation and wakefulness	161,162

Abbreviations: GI, gastrointestinal; NREM, non-rapid eye movement; REM, rapid eye movement; EE, energy expenditure; SWA, slow wave activity.

sleep loss in humans.<sup>64</sup> The common sleep disorder, OSA, is associated with low ghrelin and elevated leptin levels, a condition also observed in obese individuals.<sup>69</sup> Leptin is increasingly conceptualized as a biomarker for sleep disorders due to the close relationship between serum leptin levels and sleep durations; however, the topic is still debated due to some inconsistencies in the leptin levels reported in different studies.<sup>70</sup> However, in narcoleptic patients with abnormal REMs and excessive daytime sleepiness, the mean 24-hour plasma leptin levels show a significant decrease with the loss of nocturnal acrophase.<sup>71</sup> These observations, while establishing a relationship between the plasma levels of leptin or ghrelin with sleep and obesity, are not yet conclusive without a clear understanding of molecular regulations. The discovery of acyl ghrelin in stomach using reverse pharmacology is a noteworthy breakthrough. It is the only protein to be octanylated by ghrelin O-acyltransferase (GOAT).<sup>72</sup> Due to this unique post-translational modification, inhibition of ghrelin O-acyltransferase may provide a critical molecular target in developing novel therapeutics

for obesity and type 2 diabetes and is unlikely to affect the synthesis of other proteins.

There are several other mediators of metabolism as listed in Table 1 that regulate both energy metabolism and sleep. Sleep disorders such as OSA are linked to specific mutations in genes coding for neuroendocrine molecules or hormones. For example, a MC4R deficiency has been associated with OSA, and also with metabolic disorders along with obesity.<sup>73,74</sup> The MC4R is expressed largely in the hypothalamus and is closely involved in appetite regulation, autonomic, and endocrine functions, as well as in insulin resistance.<sup>75,76</sup>

In humans, sleep loss has also been suggested to influence the choice of food, increasing preference for high-fat food facilitating weight gain.<sup>77,78</sup> The feeding pattern and diet contents are also known to regulate the sleep quality and quantity due to the resulting influence on plasma levels of endocrine peptide.<sup>79</sup> For example, a high-fat diet increases sleepiness in humans.<sup>80</sup> Similarly, bariatric surgeries that impact the diet and associated endocrine factors can alter sleep in obese

OSA patients. In a study of severely obese patients with OSA who underwent gastric bypass, the Epworth sleepiness score for the group fell from 14 (severe sleepiness) preoperatively to 5 (normal) at 1 month after surgery, a time when weight loss is expected to be just 10–12 pounds.<sup>81</sup> Although the association between sleep dysregulation, feeding, and energy metabolism is gaining much attention, the exact mechanisms and the interactions between various molecules need further investigation.

## Neuronal and endocrine signals from BAT involved in sleep regulation

Known for its production of heat from uncoupling of mitochondrial oxidative phosphorylation, BAT is highly differentiated, and is uniquely characterized by the presence of uncoupling protein (UCP) 1 in large quantities. On activation, UCP1 allows rapid dissipation of chemical energy in the form of heat via the mitochondrial mechanism of maintaining proton flow across its inner membrane.82 The energy transfer and this direct conversion from food to heat are under the control of the sympathetic nervous system and the release of norepinephrine. Brown fat plays a critical role in maintaining metabolic homeostasis by regulating energy expenditure and glucose disposal. The acute ability of this tissue to generate heat by non-shivering thermogenesis has been the focus of many studies over the past decade for its possible homeostatic and thermoregulatory role in small mammals as well as in humans.83-86 Although white fat deposition increases with a defect in BAT in small animals,85 in humans, a higher BMI is associated with decreased BAT.87,88 It has been estimated that roughly 60 g of BAT can contribute up to 20% of total daily heat production in humans,<sup>89</sup> implying an important functional role in temperature regulation. Although our understanding of the physiological and functional roles of BAT is still relatively limited, it is known that it can be rapidly activated by cold exposure<sup>90</sup> and has a key role in overall energy balance regulation.<sup>91</sup> Systems that regulate energy balance and have a homeostatic role are often linked to the neural circuits that modulate sleep and sleep quality. Here, we focus on the interaction of sleep and temperature regulation via BAT.

It has been reported that activated BAT generates sleeppromoting signals, which are essential for restorative sleep after a prolonged period of wakefulness.<sup>92</sup> Sleep, particularly slow wave sleep, can be increased by specifically activating BAT thermogenesis.<sup>93</sup> The importance of the role of UCP1 in thermoregulatory role of BAT is further established in the studies from UCP1 KO mice. In response to sleep deprivation, an elevated UCP1 mRNA expression is observed in BAT along with increased NREM, REM, and slow wave activity.<sup>92</sup> Compared with wild type, the rebound changes that are characteristic of homeostatic sleep are significantly reduced in the UCP1 KO mice.<sup>92</sup> Similarly, chronic sleep deprivation in rats upregulates UCP1 gene expression in BAT.<sup>94</sup> Since UCP1 mediates heat production, these findings highlight the role of thermogenic activity of the BAT in increased sleep after sleep loss.

A number of neuroendocrine signals integrate to trigger these signals via BAT. Neuropeptides such as leptin, tumor necrosis factor, and interleukins 1 and 6 are present in adipose tissue and potentially carry sleep-promoting signals to the brain.95-97 However, the precise mechanism or a direct impact on the level of thermogenic effect in BAT is poorly understood. There is a close interaction between hypothalamic thermosensitivity and somnogenic mechanisms.98 The increase in core body temperature as a result of heat production from BAT and the brain can affect these hypothalamic systems, in turn altering sleep. For example, temperature-sensing transient receptor potential vanilloid 4 channels are also found in these adipose tissues and are highly sensitive to subtle changes in temperature.<sup>99,100</sup> Additionally, extensive sensory afferent innervation links interscapular BAT extending to the hypothalamus including PVN, and to other areas such as periaqueductal gray, parabrachial nuclei, and raphe nuclei.<sup>101,102</sup> There is evidence to suggest that these innervations are temperature sensitive and prevent excessive heating of the tissue by a negative feedback loop.<sup>103</sup> Recent selective interscapular BAT deafferentation experiments in mice provide some evidence of significant sensory denervation in BAT; animals with disrupted innervation also show impaired recovery sleep response suggesting a neuronal regulation of sleep via BAT.<sup>92</sup> The increase in sleep typically seen in ambient temperatures (35°C) in wild-type mice is significantly diminished in the UCP1 KO mice in warmer environment, independent of differences in food intake and energy expenditure.92 Since increased lipolysis is a sleep-promoting signal, the impaired lipolytic response in UCP1-deficient mice could explain their sleep deficiency in the warm environment. However, there is a significant time lag between the sleep-promoting and temperature effects of BAT activation, suggesting that an enhancement of sleep is not due to elevated body temperature.<sup>104</sup> Together, these findings indicate the role of metabolic activity of BAT, which in turn works in cohesion with promoting optimal sleep.

Most research on establishing the link between obesity and sleep has focused on OSA. Significant weight loss and reduction in BAT has been reported in a rat model of sleep apnea,<sup>105</sup> while a reduction in UCP1 expression in BAT was seen in a mouse model of OSA.<sup>106</sup> Reduced function of the orexin system resulting in the disordered sleep patterns of narcolepsy is also characterized by disruptions in thermoregulation and in energy homeostasis, along with obesity propensity.<sup>107-109</sup> In rats, neuronal activation of orexin projections from the perifornical lateral hypothalamus increases BAT thermogenesis, indicating that orexin could significantly mediate the overall tone of BAT thermogenesis, thereby regulating body temperature during sleep and wakefulness.<sup>110,111</sup> Impaired BAT function is a possible common link between inadequate sleep and metabolic disorders. Overall, metabolic status is tightly regulated by a complex signaling mechanism, which also adjusts the sleep-wake activity in order to maintain constant homeostasis. Feeding signals and others related to metabolism, activity, and thermoregulation interact with sleep circuits. Signals arising from BAT are part of this signaling mechanism and are likely to alter sleep and wakefulness.

### Integration of metabolic signals with sleep regulation: neurobiology and neurochemistry

The homeostatic regulatory system works in close association with the peripheral metabolic signals to modulate energy balance. The central orexinergic and anorexinergic molecules such as NPY, orexin, histamine, cholecystokinin, and CRH influence the sympathetic activity to intercapsular BAT.<sup>112–118</sup> Similarly, the circulating hormones that act as signals of energy surplus or surfeit activate hypothalamic neuronal populations that regulate sleep homeostasis.<sup>113</sup> The central melanocortin system is important in sensing and interacting with peripheral, neural, and endocrine cues such as insulin, leptin, and ghrelin, which then influence downstream mediators of energy balance.<sup>76,113</sup>

Along with their established role in altering appetite and energy utilization, these neuronal and endocrine signals also interact with sleep-wake behavior. Further, their cyclical high/low profiles are often well synchronized with the sleepwake timing within a 24-hour period. Several recent studies have revealed that the bodily energy balance maintained by such synchronized systems is disturbed by changing the sleep-wake durations and timing within the 24-hour period. The major impact of shorter sleep durations on the feeding and energy imbalance leads to higher incidences of insulin resistance, glucose intolerance, and result in metabolic syndrome.<sup>114,115</sup> The quality of sleep is also an important factor in maintaining energy balance. Sufficient slow wave sleep is needed to trigger a number of peripheral and central effects such as release of growth hormone and prolactin, and inhibiting corticotropic and thyrotropic activity which in turn regulate blood pressure, heart rate, and sympathetic nerve activity.<sup>115,119</sup>

Deficiencies in sleep are associated with obesity, yet little is known about how chronic sleep restriction influences energy expenditure. There is substantial literature to suggest that there are changes in satiety and hunger hormones during sleep loss; however, the mechanisms by which sleep loss contributes to weight gain are likely to be more complex.<sup>120,121</sup> In a report from human subjects in which the effect of 5 days of reduced sleep was investigated, significant weight gain was observed in spite of changes in the levels of ghrelin, leptin, and peptide YY that signal excess energy stores suggestive of decreased sensitivity to the satiety hormones during sleep loss.<sup>8</sup>

An elevated food demand is likely to be a physiological adaptation to provide the resources to sustain prolonged wakefulness during sleep loss. However, in the current obesogenic environment, weight gain is plausible because energy intake is in excess of the required energy demands during sleep loss. This could be because sleep loss can alter brain mechanisms involved in non-homeostatic food intake (eg, mood, comfort, reward, and reduced eating restraint).77,114,122 A recent study in humans using functional magnetic resonance imaging and food-desire tasks demonstrated that the brain regions affected by sleep loss also affect appetitive food desire and enhance the response to hedonic food.<sup>77,122</sup> They confirm that lack of sleep diminishes activity in appetite evaluation centers in the brain and further triggers an increase in craving for a high-calorie diet.<sup>77</sup> Sleep loss also consistently increases food intake in humans, mainly at night. Sleep and circadian systems are highly integrated; lack of sleep also leads to a delay in circadian timing and thus a change in the circadian timing of meals, especially breakfast.123,124 A recent Drosophila study involving timerestricted feeding showed a direct effect on caloric intake, sleep quality, and cardiovascular profile to further indicate this interesting interplay between circadian cycle, feedingfasting, and the overall metabolic health.<sup>125</sup> These reports strongly implicate the circadian periodicity of metabolic pathways in sleep loss-related weight gain.

A study comparing sleep deprivation (4 hours/2 nights) vs sleep extension (10 hours/2 nights) reported a panel of endocrine changes that indicate metabolic imbalance. The

individuals with sleep debt were found to have lower morning insulin levels, higher glucose, elevated ghrelin-to-leptin ratio, and an increase in appetite for high carbohydrate food.65 Other reports including a large population study show a direct link between circulating leptin and ghrelin levels with sleep restriction.<sup>64,126</sup> In general, curtailed sleep is accompanied by elevated cortisol during the day.<sup>115</sup> Chronic lack of sleep is also associated with an aberrant carbohydrate tolerance and impaired glucose tolerance, thus increasing the risk for type 2 diabetes. Central and peripheral alterations due to excessive glucocorticoids may contribute to aging and related deficits in memory.<sup>127,128</sup> Figure 3 shows the key mediators of these pathways and their integration at the central nervous system having a direct two-way communication with sleep and metabolic parameters. Thus, sleep duration has a multitude of effects on both endocrine and metabolic functions, and the neuroendocrine regulation of appetite and food consumption is altered by sleep restriction and may favor the development of obesity.

## Therapeutic perspectives: potential pharmacological targets for the treatment of sleep disorders, especially those linked to obesity and metabolic disorders

It is widely recognized that short sleep duration is associated with elevated BMI in many epidemiological studies. As discussed earlier, several pathways link sleep deprivation to energy imbalance, including increased food intake, decreased energy expenditure, and changes in levels of appetite-regulating hormones including leptin and ghrelin. One cannot ignore the contribution of multimedia (eg, television viewing, computer, and Internet), which aggravates sedentary behavior and increases caloric intake. Electronic devices including e-Readers have been recently shown to directly affect circadian cycle and alter sleep and alertness, the following day.<sup>129</sup> In addition, shift-work, long working hours, and increased time commuting to and from work can contribute to obesity and related metabolic disorders, because of their strong link to shorter sleep times. Consequently, the first and foremost consideration in treating the metabolic disorders is the evaluation of the life style and its potential impact on energy imbalance.

The hormone melatonin, normally secreted from the pineal gland at night, serves as the signal of darkness in the organism and plays a pivotal role in the physiological regulation of circadian rhythms, including sleep. There is a

growing body of evidence suggesting a link between disturbances in melatonin production and impaired insulin, glucose, lipid metabolism, and antioxidant capacity.<sup>130,131</sup> Several studies support that melatonin can prevent hyperadiposity in animal models of obesity. In obese rats, melatonin treatment ameliorated abdominal obesity, hyperinsulinemia, hypercholesterolemia, hyperglycemia, hyperbetalipoproteinemia, and glycosuria.<sup>132</sup> Melatonin is one of the several system targets for sleep disorders. Ramelteon is a selective melatonin receptor type 1 (MT1) and melatonin receptor type 2 (MT2) receptors agonist and is commonly used for insomnia.133 However, no published studies have systematically evaluated its effects on metabolic pathways. Sleep aids directed toward GABA<sub>A</sub> receptors, such as zolpidem, eszopiclone, and zaleplon, are commonly used despite a paucity of published reports on the drug's effects on metabolic processes. However, the orexin peptides and their receptors, by virtue of their involvement in multiple physiological processes such as the regulation of sleep/wakefulness state, and energy homeostasis are proving to be good targets for drug development. The use of small-molecule orexin receptor antagonists as novel therapies for the treatment of insomnia is increasingly being discussed.<sup>134</sup> Recently, a dual receptor antagonist, suvorexant was approved by the US Food and Drug Administration for treating insomnia and is being marketed by Merck Pharmaceuticals as Belsomra.<sup>135,136</sup> Increased levels of signaling via the orexin peptide/receptor system enhance sleep and may protect against obesity. Thus, orexin agonists and antagonists are thought to be promising avenues toward the treatment of sleep disorders as well as for targeting eating disorders.

In summary, epidemiological studies and empirical evidence from well-crafted human and animal experiments have begun to converge upon the close association between sleep-wake and feeding-fasting cycles that maintain energy balance. The hypothalamus is increasingly being recognized for its unifying role in maintaining energy balance. Research continues to explore and understand neuropeptide-specific populations within hypothalamus, their connectivity and their selective contributions that will allow identification of precise therapeutic targets for combined treatment of sleep and metabolic imbalances.

#### **Acknowledgments**

This work was supported by the Department of Veterans Affairs Medical Research Service Merit Award, NINDS 079866, and NIMH 39683. We would like to thank Dr Colleen M Novak for critically reading this review.

#### Disclosure

The authors report no conflicts of interest in this work.

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