

# Hepatocellular carcinoma: early-stage management challenges

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**Abstract:** Hepatocellular carcinoma (HCC) is a major cause of cancer death and is increasing in incidence. This review focuses on HCC surveillance and treatment of early-stage disease, which are essential to improving outcomes. Multiple societies have published HCC surveillance guidelines, but screening efforts have been limited by noncompliance and overall lack of testing for patients with undiagnosed chronic liver disease. Treatment of early-stage HCC has become increasingly complex due to expanding therapeutic options and better outcomes with established treatments. Surgical indications for HCC have broadened with improved preoperative liver testing, neoadjuvant therapy, portal vein embolization, and perioperative care. Advances in post-procedural monitoring have improved efficacies of transarterial chemoembolization and radiofrequency ablation, and novel therapies involving delivery of radiochemicals are being studied in small trials. Finally, advances in liver transplantation have allowed for expanded indications beyond Milan criteria with non-inferior outcomes. More clinical trials evaluating new therapies and multimodal regimens are necessary to help clinicians design better treatment algorithms and improve outcomes.

**Keywords:** hepatocellular carcinoma, hepatitis, surveillance, Barcelona clinic liver cancer, staging, cirrhosis, hepatectomy, hepatic resection, locoregional therapy, radiofrequency ablation, transarterial chemoembolization, liver transplantation

## Introduction

Hepatocellular carcinoma (HCC) accounts for 70%–90% of primary liver cancers and is the second leading cause of cancer death in East Asia and sub-Saharan Africa and the sixth most common in Western countries.<sup>1,2</sup> The incidence of HCC in the US has tripled over the last 4 decades, which is attributable to multiple factors including undiagnosed chronic hepatitis C virus (HCV), alcohol consumption, and a rising incidence of obesity and metabolic syndromes.<sup>3,4</sup> HCC also represents the fastest rising cause of cancer-related death in the US and remains difficult to manage, with an average 5-year survival <15% (Table 1).<sup>3</sup> Contributing factors include limited responsiveness to systemic chemotherapy, association with chronic liver disease, and delayed diagnoses despite improved surveillance in developed regions. In this study, we describe the challenges and limitations associated with HCC surveillance and the impact these have on diagnosis and treatment. New technologies and expanded indications for standard therapies have led to improved patient outcomes. But choosing a therapeutic plan has become increasingly complex and requires consideration of multiple factors including tumor burden, baseline liver function, and comorbidities, usually by a multidisciplinary

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**Table 1** HCC epidemiology**HCC statistics**Represents 70%–90% of all primary liver cancers<sup>1</sup>Second most common cause of cancer-related mortality in East Asia and underdeveloped nations<sup>1,2</sup>Sixth most common cause of cancer-related death in the US and Europe<sup>1</sup>Fastest-rising cause of cancer-related death in the US<sup>3,4</sup>Five-year survival remains <15%<sup>3</sup>**Abbreviation:** HCC, hepatocellular carcinoma.

team. In this context, we discuss the expanding role of ablative therapy, surgical resection, and transplantation for HCC in the modern era.

## HCC screening: a moving target

HCC surveillance guidelines for at-risk populations have been put forth by multiple international organizations and vary in frequency and method of screening.<sup>5</sup> Many clinicians in the United States follow the American Association of Liver Diseases (AASLD) consensus guidelines, which recommend surveillance for at-risk populations every 6 months with abdominal ultrasound (US). However, there remains uncertainty regarding optimal timing of screening, particularly for the highest risk patients. Two trials compared 6- and 12-month surveillance intervals, and no survival differences were found.<sup>6,7</sup> However, a separate, large trial also comparing these time intervals did show several advantages with biannual screening, including smaller tumor size at diagnosis, a higher percentage of curative interventions, and improved survival.<sup>8</sup> The 3- and 4-month intervals have also been evaluated. More abnormal nodules were detected in the 3-month group compared to the 6-month group, although this led to more diagnostic investigations without evidence of increased HCC diagnosis or improved survival.<sup>9</sup> Finally, 4- and 12-month intervals were compared in patients with chronic viral hepatitis. The 4-month interval detected more patients with early, resectable tumors, although this did not translate to a difference in 5-year overall survival.<sup>10</sup> Unlike the AASLD, the Japanese Society of Hepatology (JSH) recommends screening every 3–4 months for the subset of higher risk patients who have concomitant viral hepatitis and cirrhosis.<sup>11</sup> Notably, certain factors may abrogate the potential benefit of more frequent screening, including lack of follow-up testing for concerning lesions, length time bias, and difficulty differentiating early HCC from dysplasia.<sup>12</sup> Nonetheless, there is low-strength evidence that surveillance improves outcomes at a population level.<sup>13</sup>

The use of alpha-fetoprotein (AFP) for HCC screening is also variably recommended among international organizations. AFP is no longer recommended by Western societies due to its low positive predictive value in these populations, which have a lower HCC prevalence compared to East Asian populations. Lok et al evaluated AFP for its potential in diagnosing HCC prior to clinical detection in the Hepatitis C Antiviral Longterm Treatment against Cirrhosis (HALT-C) trial population, which includes patients with chronic HCV and documented bridging fibrosis on biopsy. Among those with eventual HCC, US detected a suspicious nodule prior to diagnosis in 58% of cases, whereas AFP was elevated in only 20% of patients. AFP elevation is more apparent in established disease but appears to provide little value for preclinical diagnosis. The authors also trialed a lower serum AFP threshold to obtain a higher sensitivity of at least 90%, though this was associated with a significant drop in AFP specificity to 15%–57%.<sup>14</sup> Higher baseline serum AFP levels in cirrhotic patients, presumably due to chronic hepatocyte injury, further compound this lack of specificity.<sup>15</sup> More recently, several meta-analyses have investigated the value of AFP and other serum biomarkers in screening and have similarly shown variable sensitivity and specificity.<sup>16</sup>

AFP screening has also demonstrated mixed cost-effectiveness in at-risk populations due to differences in disease prevalence and available resources. In resource-limited settings, AFP does appear to provide cost-savings value.<sup>17</sup> However, in non-resource-limited settings, the value of AFP in addition to US compared to US alone has only been evaluated in several studies. Saab et al<sup>18</sup> compared US, US plus AFP, and CT in a hypothetical cohort awaiting liver transplantation for end-stage liver disease using a Markov model and found that the incremental cost-effectiveness ratio was \$60.30 per life-year for US alone compared to \$74.00 for US plus AFP. Based on published analyses, AFP does not appear to represent a large economic burden, though in settings where it has been shown to provide little or no additional value, the cost is not justified. A summary of commonly used screening guidelines published by different national liver societies is shown in Table 2.

## Surveillance noncompliance among at-risk populations

Despite rigorous evaluation of optimal screening frequency, surveillance noncompliance in the US and abroad remains an important contributing factor to delayed diagnosis of HCC. Among patients with known risk factors in the US, less

**Table 2** Comparison of commonly used HCC screening guidelines

	AASLD <sup>98</sup>	NCCN <sup>38</sup>	EASL-EORTC <sup>99</sup>	JHS <sup>100</sup>	APASL <sup>101</sup>
Population recommended to undergo screening	Cirrhosis of any etiology	Cirrhosis of any etiology HBV carriers with additional risk factors*	Cirrhosis of any etiology HBV carriers with active infection or family history of HCC HCV carriers with advanced fibrosis	Extremely high-risk: cirrhosis due to HBV or HCV High-risk: HBV or HCV, cirrhosis of any other etiology	Cirrhosis due to HBV or HCV
Abdominal US screening frequency	6 months	6–12 months	6 months	Extremely high-risk: 3–4 months High-risk: 6 months	6 months
Serum markers	Not recommended	Not recommended	Not recommended	Recommend: AFP, DCP, and AFP-L3% Extremely high-risk group: every 3–4 months High-risk group: every 6 months	Recommend AFP
Axial imaging for concerning nodules	Four-phase contrast-enhanced CT Gadolinium-enhanced MRI	Four-phase contrast-enhanced CT Gadolinium-enhanced MRI	Four-phase contrast-enhanced CT Gadolinium-enhanced MRI	Four-phase contrast-enhanced CT Gd-EOB-DTP-enhanced MRI	Four-phase contrast-enhanced CT Gadolinium-enhanced or SPIO MRI
CEUS	Not recommended	Not recommended	Not recommended	Sonazoid contrast	Sonazoid or Levovist contrast
Accepted methods for diagnosis	Axial imaging Core biopsy	Axial imaging Core biopsy	Axial imaging Core biopsy	Axial imaging CEUS Core biopsy	Axial imaging CEUS Core biopsy

**Notes:** \*Additional risk factors include HBV carrier with family history of HCC, Asian males  $\geq 40$  years, Asian females  $\geq 50$  years, and African/North American Blacks with HBV.

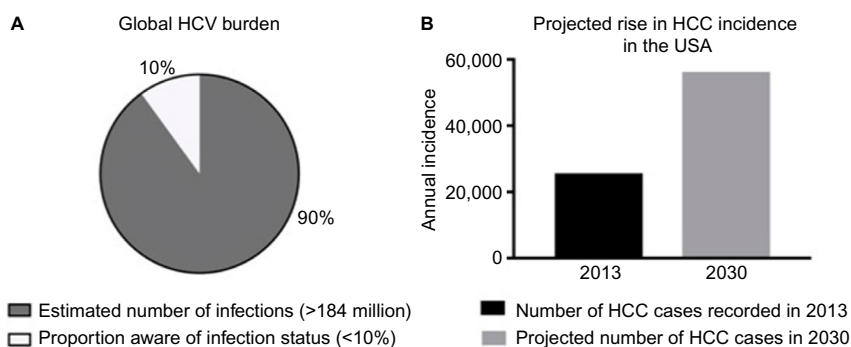
**Abbreviations:** AASLD, American Association for the Study of Liver Diseases; AFP, alpha-fetoprotein; APASL, Asian Pacific Association for the Study of the Liver; CEUS, contrast-enhanced ultrasound; CT, computed tomography; DCP, des-gamma-carboxy prothrombin; EASL-EORTC, European Association for the Study of the Liver – European Organisation for Research and Treatment of Cancer; Gd-EOB-DTP, gadolinium-ethoxybenzyl-diethylenetriamine pentaacetic acid; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; HCV, hepatitis C virus; JHS, Japan Society of Hepatology; MRI, magnetic resonance imaging; NCCN, National Comprehensive Cancer Network; SPIO, superparamagnetic iron oxide; US, ultrasound.

than one-third are up to date with recommended screening guidelines.<sup>19–21</sup> Providers, patients, and the American health care system all contribute to failed surveillance programs.<sup>22</sup> Among health care providers, patients followed in gastroenterology specialty clinics are more likely to be screened according to guidelines.<sup>23</sup> This finding was corroborated by a review of patients coinfecting with HIV and hepatitis B virus (HBV) who were followed primarily in infectious disease clinics. These individuals underwent significantly fewer recommended screenings compared to patients mono-infected with HBV who were regularly seen by a hepatologist.<sup>24</sup> Similarly, patients followed in transplant clinics were also found to have overall better compliance with recommended surveillance guidelines.<sup>25</sup> Certain patient factors have been associated with screening adherence. Henrion et al<sup>26</sup> showed that etiology of liver disease, particularly alcohol-related cirrhosis, was associated with worse surveillance compliance, especially for patients with continued use. Other factors including male sex and patient involvement in care have been associated with improved compliance on multivariate analysis, whereas non-Caucasian race and low socioeconomic

status have been associated with less surveillance.<sup>23,27</sup> Regarding the health care system, cost of testing, difficulty scheduling, and limited transportation options have been reported as barriers to regular screening.<sup>28</sup> However, insurance status does not appear to improve compliance.<sup>19</sup> In summary, screening failure likely results from a complex interplay of multiple deterring factors, and more trials focused on surveillance adherence are necessary to ultimately increase the percentage of early-stage diagnoses.

## Unknown chronic liver disease: a silent killer

In addition to poor surveillance compliance among known at-risk populations, a second major contributing factor to delayed diagnosis of HCC is that many patients with chronic liver disease are unaware of their condition and therefore receive no care specific for it. In the USA, this is mainly driven by HCV infection. Approximately 1.6% of the population is infected with HCV, though three-quarters of patients are unaware of their infection. Globally, <5% of HCV-positive patients are aware of their infection status (Figure 1A).<sup>29</sup> Nearly 80% of



**Figure 1** HCV global burden and rising incidence of HCC in the USA.

**Notes:** (A) HCV global statistics from adapted by permission from Macmillan Publishers Ltd: *Nat Rev Gastroenterol Hepatol*, Thrift AP, El-Serag HB, Kanwal F, Global epidemiology and burden of HCV infection and HCV-related disease, 2017;14(2):122–132, copyright 2016.<sup>107</sup> (B) Projected HCC incidence data reprinted with permission ©2016 American Society of Clinical Oncology. All rights reserved. Petrick JL, Kelly SP, Altekruse SF, McGlynn KA, Rosenberg PS. Future of hepatocellular carcinoma incidence in the United States forecast through 2030. *J Clin Oncol*. 2016;34(15):1787–1794.<sup>108</sup>

**Abbreviations:** HCC, hepatocellular carcinoma; HCV, hepatitis C virus.

HCV patients develop chronic hepatitis, from which 15% will progress to cirrhosis. The risk of HCC among cirrhotic patients is ~3% per year. The progression from infection to cirrhosis and HCC occurs over a few decades, and most patients diagnosed with HCC are >60 years old. Although the annual number of total infections is declining, the prevalence of the diagnoses of HCV cirrhosis and HCC in the US continues to rise because the majority of patients were infected before knowledge of the virus and screening technology (Figure 1B).<sup>30</sup> Most HCV-infected patients will not experience symptoms until they have developed advanced liver disease. The impact of effective HCV antiviral therapies on HCC incidence is not well established, although it is known that patients with cirrhosis are still at risk for HCC after obtaining a sustained virologic response (SVR).<sup>31</sup> Therefore, the Centers for Disease Control (CDC) proposed a series of HCV screening recommendations based on risk exposures.<sup>32</sup> However, risk-based screening guidelines did not gain traction among the medical community, and therefore, in 2012, the CDC presented new recommendations based upon birth year. The CDC is primarily focused on baby boomers born between 1945 and 1965, as this subset of the population has the highest HCV prevalence, which is nearly 3%.<sup>33</sup> The updated guidelines recommend one-time HCV testing for all persons born during 1945–1965, regardless of HCV risk. Long-term studies are needed to determine the effectiveness of this approach.

As a consequence of undiagnosed chronic liver disease and poor surveillance compliance, only 3% of newly diagnosed patients meet criteria for very early disease and 25% for early disease, as defined by the Barcelona Clinic Liver Cancer (BCLC) staging classification.<sup>34,35</sup> Very early HCC (Stage 0) is limited to a single tumor <2 cm in diameter in a patient with asymptomatic Child–Pugh A class liver disease. Early HCC (Stage A) is limited to a single tumor <5 cm in

diameter or three tumors that are each <3 cm in diameter. In addition, patients must have either Child–Pugh A or B liver disease without symptoms or change in performance status.<sup>36</sup> Although there are conflicting reports, it has been shown that very early-stage disease patients survive longer than those with early stage.<sup>34</sup> In combination, these groups make up the 10%–30% of HCCs amenable to surgical resection, with the remainder of patients relegated to transplant waiting lists, palliative therapy, and higher mortality.<sup>34</sup>

## Diagnostic uncertainty: navigating cirrhosis, dysplasia, and early HCC

Cirrhotic nodules, dysplasia, and early HCC are often difficult to differentiate on imaging and histopathology, adding complexity to the diagnosis of very early- and early-stage disease. The AASLD recommends US for initial HCC screening, followed by axial imaging for suspicious nodules. Contrast-enhanced ultrasound (CEUS) and AFP are not recommended by the AASLD or National Comprehensive Cancer Network (NCCN).<sup>36–38</sup> The diagnostic sensitivity of US decreases in proportion to tumor size, nearing 63% for early-stage lesions.<sup>39</sup> To prevent overdiagnosis of noncancerous lesions, nodules <1 cm, which are unlikely to be HCC, are monitored with increased surveillance every 3 months.<sup>36</sup> HCC tumor doubling time ranges from 1 to 12 months; therefore, this interval is thought to be sufficient to appropriately diagnose the majority of fast-growing HCC before disease progression.<sup>40</sup> In contrast, lesions >1 cm are more likely to be HCC and are subjected to axial imaging with either triple-phase computed tomography (CT) or contrast-enhanced magnetic resonance imaging (MRI).<sup>41</sup> Mature HCCs display arterial hypervascular enhancement with washout on the venous phase, a radiographic quality that is distinct from the surrounding hepatic parenchyma. This pattern of contrast phasing is highly specific

for HCC and is sufficient to establish a diagnosis without biopsy.<sup>36</sup> However, early HCCs are well differentiated and less radiographically distinct in >50% of cases, thus requiring a biopsy for diagnosis.<sup>42</sup> Percutaneous biopsy in a cirrhotic liver is prone to erroneous sampling, and early HCCs can be difficult to distinguish on histology from surrounding cirrhotic tissue and dysplastic nodules.<sup>43</sup> Therefore, patients with suspicious imaging followed by a negative or indeterminate biopsy are subjected to increased surveillance with abdominal US every 3 months to assess for progression of suspicious nodules.<sup>36</sup> Unfortunately, there are still no available serum markers with sufficient sensitivity and specificity to aid in diagnosis during such instances in which both imaging and tissue sampling fail to yield a definitive result.

## Navigating treatment options for early-stage disease

Multiple treatment modalities are available for HCC including local ablative therapy, embolization, surgical resection, liver transplantation, and systemic chemotherapy. The decision analysis for treatment should incorporate patient comorbidities and offer the greatest opportunity for complete tumor eradication while considering procedural risks. The high prevalence of advanced liver disease in the HCC population precludes many patients from curative resection. Establishing an individualized treatment plan is best done with a multidisciplinary team of surgeons, oncologists, and interventional radiologists, generally at a tertiary care center that is well trained in managing chronic liver disease.<sup>44</sup>

## Expanding indications for surgical resection of HCC

The decision to pursue surgical resection for HCC is determined by the size and location of the tumor, the patient's baseline liver function, and comorbid conditions.<sup>45</sup> Due to the high frequency of underlying liver disease associated with HCC, liver surgeons are forced to balance competing objectives, which are to provide an oncologic resection with adequate negative margins while minimizing the volume of hepatic parenchyma resected. In support of these principles, current AASLD guidelines recommend limiting resection to patients with very early- and early-stage HCC.<sup>46</sup> To be considered for surgery, cirrhotic patients generally must have preserved hepatic function without evidence of clinically significant portal hypertension or hyperbilirubinemia and their disease burden is ideally limited to a unifocal lesion without macrovascular invasion.<sup>47</sup> When reviewing outcomes among all resectable patients, those with solitary HCC <5 cm

in diameter in the absence of cirrhosis have the greatest long-term survival.<sup>48</sup> By current guidelines, patients with multiple HCC, very large tumors, or macrovascular invasion are often not considered operative candidates.

However, improvements in liver surgery technique and perioperative care have led to expanded criteria for HCC resection in some tertiary centers. The East-West Study Group recently completed a multinational retrospective analysis in which 2,046 patients with HCC staged beyond Milan criteria who were treated with hepatic resection and evaluated for survival outcomes. Fifty percent of patients were categorized as BCLC stage B or higher; yet, all stages had similar 5-year disease-specific survival. The reported 3- and 5-year overall survival for BCLC stages B and C patients was 62% and 50%, respectively, markedly greater than the expected survival with treatment based on consensus guidelines. Interestingly, multifocal HCC was not considered a risk factor for poor outcome on multivariate analysis, though tumor size and macrovascular invasion were.<sup>49</sup> Similar survival outcomes were reported in two separate, large analyses of patients with HCC beyond Milan criteria who were treated with resection.<sup>50,51</sup> These findings suggest that surgery for expanded indications with respect to tumor size and multiplicity is a reasonable treatment option for a subset of patients.

## Navigating cirrhosis in operative planning

Multiple techniques have been developed to facilitate hepatic resection in the setting of cirrhosis. This is particularly relevant for patients with solitary, early-stage HCC who could otherwise be cured with hepatectomy but have limiting chronic liver disease. Postoperative liver failure is the major source of morbidity and mortality after resection, and preoperative physiologic and biochemical markers of liver function do not provide a reliable prediction of postoperative outcomes.<sup>52</sup> One approach to address this problem has been to develop techniques to characterize the future liver remnant (FLR), which represents the remaining liver after resection. FLR calculations are rooted in either volumetric or functional algorithms, though the two approaches do not appear to be equally reliable. Although liver size and function correlate under normal conditions, this relationship devolves with cirrhosis, and therefore, functional tests have been shown to be more accurate predictors of operative outcome.<sup>53</sup> Nonetheless, a volumetric FLR >20% in patients with healthy livers and >40% in the setting of chronic liver disease are established standards.<sup>54</sup> In multiple reviews, both



volumetric and functional FLR have been shown to reduce postoperative liver failure and mortality in cirrhotic patients undergoing major hepatectomy.<sup>55</sup>

For cirrhotic patients with insufficient predicted FLR, portal vein embolization (PVE) has been shown to significantly reduce the incidence of postoperative complications related to liver failure.<sup>56</sup> PVE is a preoperative technique that induces hyperplasia of contralateral hepatic parenchyma, increasing the size and function of the FLR, and thereby reducing the risk of postoperative liver failure. The mechanism of action underlying PVE is multifactorial. First, reduced blood flow to hepatocytes results in the release of growth factors that stimulate cellular division and proliferation in the remaining healthy parenchyma via paracrine signaling. Second, augmentation in portal venous pressure and flow is also thought to stimulate hepatic growth. This logic is predicated on evidence that the greatest amount of regeneration is seen in the periportal region, though finer details regarding the exact mechanism are not well established.<sup>57</sup> The goal of PVE is to enable surgical resection that could not be performed safely without growth of the predicted FLR.

When compared with transarterial chemoembolization (TACE), PVE followed by hepatectomy has been shown to provide superior 5-year survival outcomes.<sup>58</sup> More recently, combined preoperative PVE and TACE followed by hepatectomy has also been investigated as a means to both down-stage advanced disease for resection and reduce the risk of postoperative liver failure.<sup>59</sup>

Finally, there is ongoing debate regarding the impact of clinically significant portal hypertension on hepatectomy outcomes. Historically, the presence of portal hypertension has been considered an absolute contraindication to surgery in HCC. However, multiple retrospective analyses have shown that portal hypertension is less important than baseline model end-stage liver disease (MELD) and extent of hepatectomy, and some authors recommended that it no longer be considered an absolute contraindication to resection.<sup>60,61</sup> However, a meta analysis of 11 studies that specifically addressed portal hypertension in hepatectomy patients concluded that it was an independent predictor of mortality at 3 and 5 years, though progression-free survival and disease-free survival were not evaluated in this study.<sup>62</sup> Uncertainty on the topic remains for multiple reasons, including a paucity of prospective data and limited subgroup analyses in retrospective studies. Specifically, portal hypertension may have variable significance depending on the degree of hypertension, the severity of associated cirrhosis, the tumor burden and presence or absence of vascular invasion, and the planned size of hepatic

resection. Surgeons are thus left to consider the significance of portal hypertension on an individual basis until updated consensus guidelines are established.

## Laparoscopic versus open hepatectomy

Laparoscopic liver resection was first pioneered in the 1990s, though it was initially slow to gain popularity. Concerns among surgeons included loss of tactile feedback in an already challenging operation, reduced ability to control bleeding, injury to the biliary system, and gas embolism. However, refinements in technique and improved technologies, such as energy sources for coagulation of liver parenchyma, led to better outcomes and increased adoption by hepatobiliary surgeons. Multiple institutions have published their experience with laparoscopic hepatectomy compared to open surgery, with overall promising results.<sup>63</sup> Importantly, there does not appear to be a difference in oncologic outcomes. Cheung et al performed a long-term survival analysis of pure laparoscopic versus open hepatectomy for HCC and found no difference in survival outcomes between techniques, albeit a small sample size (32 laparoscopic cases versus 64 case match control open resection cases). Laparoscopy was associated with less blood loss, shorter hospital stay, and fewer postoperative complications.<sup>64</sup> Multiple other groups have reported similar improvements in hospital length of stay and reduced complications.<sup>65–67</sup>

Laparoscopy is most commonly confined to minor hepatectomy and is ideally suited for superficial lesions, though many centers now routinely perform laparoscopic hemihepatectomies. For patients with cirrhosis, this approach may be better tolerated than open surgery and may allow for expanded indications for surgical resection.<sup>68</sup> It is not established yet what the limits of laparoscopic hepatectomy will be, if any, in terms of size and location of resection.<sup>69</sup> Nonetheless, it is likely that laparoscopy will continue to have an increasing role in the operative management of HCC, particularly as current trainees are increasingly exposed to this technique.

## Radiofrequency ablation versus hepatectomy for early-stage HCC

The choice between Radiofrequency ablation (RFA) and liver resection remains a controversial topic. Historically, most studies have shown that surgery provides a higher rate of cure, longer disease-free survival, and improved overall survival.<sup>70</sup> There have been three randomized controlled

trials designed to compare RFA and surgical resection for early-stage HCC. The only trial showing a significant difference was performed by Huang et al, in which 230 patients with BCLC stage A HCC were randomized to receive RFA or surgical resection. Surgical resection provided significantly longer overall survival and recurrence-free survival and lower recurrence rate at 5 years.<sup>71</sup> In a separate trial by Lu et al, 105 patients with BCLC stage A HCC were randomized to receive percutaneous RFA or surgical resection. There was no difference in overall survival or treatment-related complication, though there was a nonsignificant trend toward improved disease-free survival with surgical resection.<sup>72</sup> Finally, Feng et al randomized 168 patients with HCC <4 cm in diameter and up to two nodules to RFA or surgical resection. There was no difference in overall survival or recurrence-free survival at 3 years. However, there was a trend toward higher recurrence in the RFA group.<sup>73</sup>

Although most current evidence supports the use of surgical resection over RFA, for appropriate lesions <3.5 cm, RFA offers the advantage of a less invasive intervention, shorter hospital stay, and faster recovery. And it is particularly useful for patients with advanced liver disease who cannot tolerate hepatectomy. Moreover, advances in RFA technique have led to improved outcomes. One such approach involves cotreatment with RFA and an additional modality, such as radiation seeds or TACE. Clinical trials evaluating multimodal therapies are shown in Table 3.

Improvements in post-procedure monitoring for tumor progression and recurrence have also allowed for better

detection of small nodules and recurrent HCC after locoregional therapy.<sup>74,75</sup> Hepatobiliary phase MRI with hepatocyte-specific contrast agents including gadoxetic acid and gadobenate dimeglumine has been shown in multiple trials to provide better diagnostic sensitivity for HCC compared to the current standard multidetector CT (MDCT).<sup>76</sup> Kim et al<sup>75</sup> compared imaging with gadoxetic MR and CT versus CT alone and found that MR upstaged 13% of patients with HCC by BCLC criteria, which changed their management plans and led to improved long-term survival. Gadoxetic-based MRI has also been applied in the acute post-RFA period as a means to grade the ablative margin radiographically. In a trial of 124 HCC patients, Koda et al<sup>77</sup> were able to use this approach to accurately stratify patients who underwent RFA based on their risk for local recurrence.

Given its advantages, hepatocyte-specific contrast imaging could improve HCC care in several ways. First, it may provide more clarity regarding diagnostic uncertainty of small nodules in cirrhotic livers. Second, it may allow for more accurate post-procedural monitoring after locoregional therapy or surgery. In this regard, a recent, large trial comparing RFA and surgical resection for patients with very early-stage HCC found that surgery provided superior outcomes with respect to both recurrence and survival. However, on subgroup analysis, among patients that recurred, there was no difference in survival between treatment groups.<sup>78</sup> This suggests that increased recurrence is the driver of worse prognosis after ablative therapy, and therefore, a method detecting earlier recurrence may ameliorate differences in outcomes between locoregional treatment and surgery. Third, given the

**Table 3** Clinical trials evaluating multimodal locoregional therapies

Author	Year	Enrollment and inclusion criteria	Treatment	Findings
Azab et al <sup>102</sup>	2011	90 patients BCLC stage 0-A HCC	RFA + percutaneous ethanol injection versus RFA monotherapy	RFA + PEI associated with improved survival at 18 months for lesions sized 3.1–5.0 cm ( $p=0.03$ )
Peng et al <sup>103</sup>	2013	189 patients Single HCC <7 cm or three lesions each <3 cm Child–Turcotte–Pugh A or B cirrhosis	RFA + TACE versus RFA monotherapy	RFA + TACE associated with improved overall survival ( $p=0.002$ ) and recurrence-free survival ( $p=0.009$ ) at 3 years
Bian et al <sup>104</sup>	2014	127 patients BCLC stage 0-B HCC	RFA + radioconjugated [ <sup>131</sup> I]metuximab versus RFA monotherapy	Radiolabeled metuximab co-therapy with RFA associated with longer recurrence-free survival ( $p=0.03$ )
Chen et al <sup>105</sup>	2014	167 patients BCLC stage 0-A HCC	RFA + iodine <sup>125</sup> radiation seeds versus RFA monotherapy	RFA + iodine <sup>125</sup> associated with reduced recurrence at 5 years ( $p=0.004$ ) and improved 5-year overall survival ( $p=0.003$ )
Liu et al <sup>106</sup>	2016	200 patients BCLC Stage 0-A HCC	RFA + TACE versus hepatectomy	Hepatectomy associated with improved 5-year overall survival ( $p=0.007$ ) and recurrence-free survival ( $p=0.026$ ), though surgery associated with higher complication rate ( $p=0.026$ )

**Abbreviations:** BCLC, Barcelona Clinic Liver Cancer; HCC, hepatocellular carcinoma; PEI, percutaneous ethanol injection; PVE, portal vein embolization; RFA, radiofrequency ablation; TACE, transarterial chemoembolization.

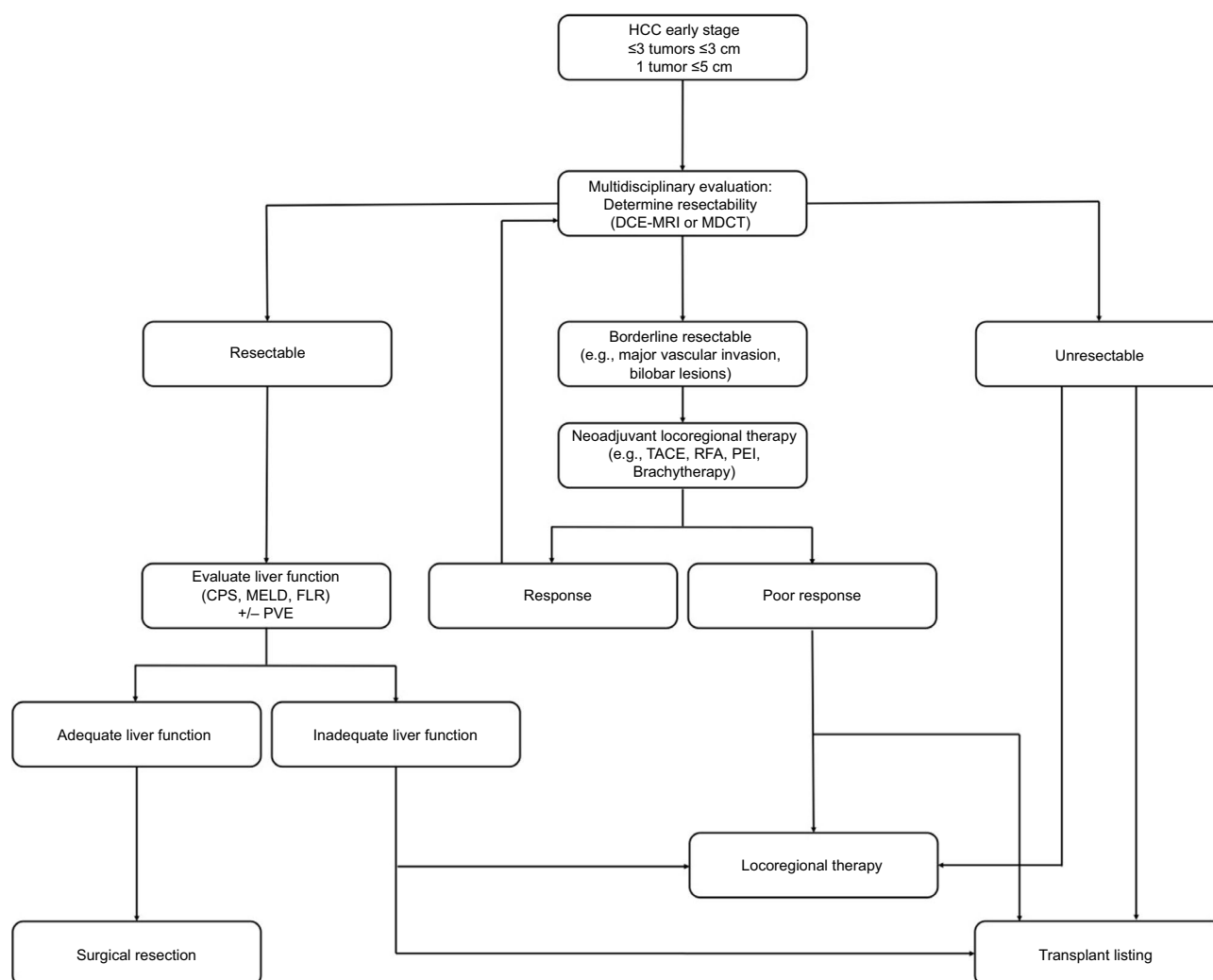
high rate of HCC recurrence due to baseline liver disease in a majority of patients, chemopreventative strategies are an area of active investigation, which could have a beneficial impact on this patient population. Hepato-pancreato-biliary-specific MR may provide a method for stratifying patients based on recurrence risk to determine who should be treated with chemopreventative therapy.

Thus, advances in both ablative therapy and liver-specific imaging are likely to improve outcomes with locoregional therapy, and the decision to pursue RFA versus surgery will become increasingly complex, particularly for patients who can withstand surgical resection. Considering the current capabilities of locoregional therapy and surgical resection, we propose the following treatment algorithm for the management of early-stage HCC (Figure 2). This updated approach incorporates combinatorial therapy, specifically neoadjuvant

locoregional therapy for borderline resectable disease, as well as expanded indications for surgical resection. Going forward, clinical trials designed to investigate these novel technologies in comparison to standard therapies will be critical to provide objective information for clinicians.

## Advances in liver transplantation for HCC

Liver transplantation offers the potential of cure for patients with early-stage HCC in the setting of end-stage liver disease and for patients with unresectable HCC. Advantages to transplant include complete extirpation of cancerous tissue and at-risk cirrhotic liver, reduced risk for local recurrence, and reversal of liver failure. However, for resectable patients with preserved liver function, there remains controversy regarding benefits of transplantation versus surgery. Multiple tertiary centers have



**Figure 2** Early-stage HCC treatment algorithm.

**Abbreviations:** CPS, Child–Pugh score; DCE-MRI, dynamic contrast-enhanced magnetic resonance imaging; FLR, future liver remnant; HCC, hepatocellular carcinoma; MDCT, multidetector computed tomography; MELD, model end-stage liver disease; PEI, percutaneous ethanol injection; PVE, portal vein embolization; RFA, radiofrequency ablation; TACE, transarterial chemoembolization.



compared their experience with both treatments, with most finding no difference in survival measures.<sup>79</sup> Recently, Koniaris et al published the largest review to date of patients treated with either resection or transplantation. Interestingly, resection provided superior overall survival among patients with preserved liver function (MELD <10) who met either Milan or University of California San Francisco (UCSF) criteria when compared with transplantation. Two factors appeared to adversely impact transplant results. First, transplant is associated with a higher mortality within the first 2 years after procedure, likely related to graft dysfunction or immunosuppressive complications. Second, a portion of patients progressed while waiting for transplant. On subgroup analysis, comparing only those who went on to transplant versus surgery, there was no difference in 5-year survival. However, transplantation was associated with longer 5-year recurrence-free survival compared to resection in patients with advanced MELD.<sup>80</sup>

Thus, as revealed by these findings, HCC progression while waiting for transplant remains a critical problem. Demand for organs has outpaced supply in recent years.<sup>81</sup> The percentage of transplants for HCC has markedly increased to >20% in the last decade.<sup>82</sup> Multiple attempts have been made to modulate the fraction of grafts allocated to HCC patients, one of which is the adoption of exception points by the United Network for Organ Sharing (UNOS). Priority scoring is calculated based on risk assessment of HCC mortality, with a goal of achieving transplant within 6–12 months in most regions.<sup>83</sup> Although this intervention has reduced wait-list time and death from disease, the 1-year dropout rate from disease progression remains between 15% and 30%.<sup>84</sup>

Another approach to improve HCC outcomes through transplantation has been to expand transplant indications beyond the Milan criteria. Initial forays into liver transplantation in the 1960s to 1980s were plagued by frequent selection of patients with advanced HCC, high recurrence rates, and poor outcomes.<sup>85</sup> In 1996, UNOS adopted the Milan criteria, thereby providing an upper limit of acceptable tumor burden that standardized transplantation for HCC across the United States and markedly improved outcomes.<sup>86</sup> However, with improvements in bridging therapy, tumor staging via cross-sectional imaging, and perioperative care, it has been shown that good outcomes are obtainable for patients with HCC beyond these criteria.<sup>87,88</sup> The strongest evidence for this argument is seen in studies of results using the UCSF criteria, which allows for a larger tumor burden. In a review of the University of California Los Angeles liver transplant experience, Duffy et al evaluated 467 patients who underwent transplant for HCC and were stratified by

stage, which included the Milan criteria, UCSF criteria, and a third group for patients beyond UCSF criteria. The authors found no significant difference in 5-year post-transplant survival between Milan and UCSF groups, though patients beyond UCSF criteria did markedly worse. On multivariate analysis, tumor number, lymphovascular invasion, and poor differentiation were associated with worse outcome.<sup>89</sup> In a separate review, Mazzaferro et al analyzed 1,556 patients who underwent transplant for HCC, of which 1,112 patients were staged beyond the Milan criteria. This latter group did worse overall, though subgroup analysis revealed that patients beyond Milan criteria without microvascular invasion who still met the up-to-seven rule (seven as the sum of the largest tumor in centimeters plus number of tumors) did nearly as well as all patients meeting Milan criteria.<sup>88</sup> Although this study used different criteria, it provides additional evidence that transplantation can provide good outcomes for appropriately selected patients under expanded criteria.

Tumor downstaging with neoadjuvant locoregional therapy and chemoembolization has also been used to broaden transplant eligibility. UNOS currently grants exception points only to patients with tumor–node–metastasis (TNM) stage II HCC, and those with more advanced disease are unlikely to obtain a sufficient MELD based on liver dysfunction. However, a patient may qualify for priority scoring through adequate downstaging. In this regard, Chapman et al evaluated 76 patients with HCC beyond Milan criteria who were treated with TACE. Eighteen patients (23%) were sufficiently downstaged to undergo orthotopic liver transplant, and their survival outcomes were expectedly better compared to those who did not qualify. At the end of the trial, only one patient had recurrence, and there were no disease-related mortalities.<sup>90</sup> Interestingly, the liver explants of downstaged patients showed upwards of 90% tumor necrosis in 75% of patients, which is higher than average for TACE therapy. It has been shown that adequate tumor downstaging is critical for good transplant survival outcomes, which may be less about reducing tumor burden and more about selecting biology. In this regard, TACE responsiveness has been proposed as a more accurate predictor of survival after transplant than tumor size and number.<sup>91,92</sup>

Finally, the use of living donor liver transplantation (LDLT) has increased the supply of available organs among HCC patients. The first adult LDLT took place in 1994, sparking optimism for increased organ supply.<sup>93</sup> However, LDLT has been associated with higher rates of local recurrence, which may be attributable to several factors. First, living donations are often provided under urgent circumstances,

and recipients frequently have more severe tumor burden at transplant.<sup>94</sup> Second, fast-tracking to surgery with living donation may preclude selection for good biology by virtue of withstanding a waiting period.<sup>95</sup> Third, it is speculated that rapid organ regeneration is associated with growth factors and cytokines that may stimulate indolent HCC cells.<sup>96</sup> Despite this potential drawback, multiple trials have since compared LDLT to brain dead (BDLT) or deceased donation (DDLT), with similar safety and long-term survival profiles.<sup>97</sup>

## Conclusion

HCC incidence will continue to rise in the 21st century, and unfortunately mortality remains high for the majority of patients. Multiple treatment options for HCC exist. Ablation, surgical resection, and transplantation are considered the only potentially curative therapies for candidate patients. Going forward, innovation in diagnostic and surveillance technology, improvements in locoregional treatment modalities, application of combinatorial therapy, and expanded indications for standard procedures hold promise for improving outcomes for HCC.

## Disclosure

The authors report no conflicts of interest in this work.

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