# Pinhole X-ray fluorescence imaging of gadolinium and gold nanoparticles using polychromatic X-rays: a Monte Carlo study

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Abstract: This work aims to develop a Monte Carlo (MC) model for pinhole K-shell X-ray fluorescence (XRF) imaging of metal nanoparticles using polychromatic X-rays. The MC model consisted of two-dimensional (2D) position-sensitive detectors and fan-beam X-rays used to stimulate the emission of XRF photons from gadolinium (Gd) or gold (Au) nanoparticles. Four cylindrical columns containing different concentrations of nanoparticles ranging from 0.01% to 0.09% by weight (wt%) were placed in a 5 cm diameter cylindrical water phantom. The images of the columns had detectable contrast-to-noise ratios (CNRs) of 5.7 and 4.3 for 0.01 wt% Gd and for 0.03 wt% Au, respectively. Higher concentrations of nanoparticles yielded higher CNR. For 1×10<sup>11</sup> incident particles, the radiation dose to the phantom was 19.9 mGy for 110 kVp X-rays (Gd imaging) and 26.1 mGy for 140 kVp X-rays (Au imaging). The MC model of a pinhole XRF can acquire direct 2D slice images of the object without image reconstruction. The MC model demonstrated that the pinhole XRF imaging system could be a potential bioimaging modality for nanomedicine.

Keywords: X-ray fluorescence, pinhole, nanoparticles, bioimaging, CNR, radiation dose

#### Introduction

The development and application of metal nanoparticles (MNPs) have become emerging research areas in medicine, known as nanomedicine. In particular, the applications of MNPs in cancer therapy and diagnosis include for tumor targeting, for drug and gene delivery, as imaging contrast agents, and as radiosensitizers. 1-5 Among the various MNPs, gadolinium oxide nanoparticles (GdNPs) and gold nanoparticles (AuNPs) were proven to have the greatest potential for these purposes. For example, GdNPs can provide contrast enhancement for magnetic resonance imaging (MRI).<sup>6-8</sup> In addition, there have been efforts to use GdNPs as therapeutic agents for radiation therapy. 9,10 More recently, the radiosensitizing properties of GdNPs compared to those of Gd(III) chelates have been studied.<sup>11</sup> Among all the MNPs, AuNPs were proven to be a much safer and less toxic sensitizing agent for cancer treatment. 12 Since Hainfeld et al13 first experimentally demonstrated the feasibility of using AuNP as a radiosensitizer in 2004, numerous studies on AuNP-enhanced radiation therapy using external X-ray sources, brachytherapy sources, as well as proton and carbon ion beams have been conducted. 14-21 The mechanisms of the radiation sensitization effect and methodologies for characterizing such effects have also been investigated. <sup>22–24</sup> The low-energy electrons emitted from AuNPs locally deposit their energies in close proximity, which results in physical radiation dose enhancement effect.<sup>22–25</sup> In addition, the effects of

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AuNPs on the biological system (particularly on the cell cycle), metabolic activities, and DNA repair pathways have been reported.<sup>22,26–31</sup> The dependency of radiation sensitization on the size, shape, and concentration of AuNPs has also been presented by several studies.<sup>23,27,32–36</sup>

In order to further develop the nanoparticle-enhanced radiation therapy, monitoring the biological effects and toxicity based on in vivo biodistributions and concentrations of the nanoparticles (NP) in the target and normal tissues must be carried out during preclinical studies.<sup>23</sup> However, due to the lack of an effective in vivo imaging tool, there has been a slow progress on further studies in this area.<sup>37</sup> X-ray fluorescence (XRF) computed tomography (XFCT) is one of the promising imaging modalities for in vivo imaging.<sup>38</sup> XFCT was first proposed in 1986 using synchrotron sources.<sup>39</sup> Since polychromatic X-ray sources were made available on the laboratory scale, implementing benchtop fluorescence imaging systems has shown that XFCT could be a potential molecular imaging device similar to positron emission tomography (PET) and single-photon emission computed tomography (SPECT).38 Focusing on K-shell XFCT, 0.5% gold by weight (wt%) was able to be detected using 105 kVp cone-beam X-rays by Jones et al.40 Ahmad et al41 showed images of 0.25 wt% AuNP using 120 kVp pencil-beam X-rays by optimizing the detector angular configuration. Recently, L-shell imaging of AuNP using a benchtop X-ray source has been developed to improve the detection limit on the order of parts per million (ppm).<sup>42-44</sup> The previously mentioned studies used a single cadmium-telluride (CdTe) detector and a single silicon drift detector (SDD) for K-shell and L-shell imaging, respectively. However, the image acquisition time was long because it was necessary to rotate the phantoms and translate the detectors to obtain full three-dimensional (3D) images. The research by Meng et al<sup>45</sup>, Fu et al<sup>46</sup>, and Groll et al<sup>47</sup> demonstrated improved images obtained by the pinhole-based system and 2D position-sensitive detectors computationally and experimentally. In these studies, pinhole collimation with a 2D X-ray charge-coupled device (CCD) camera (Model #934N, Andor Technology), which has a detection efficiency of ~30% at 10 keV and ~15% at 15 keV, was used to detect iron, zinc, and bromine solutions, whose energies of K-shell fluorescence X-rays range from 6.4 keV to 13.3 keV. Very small samples (ie, 0.75 mm diameter cylindrical tubes) were used due to the limited penetration depth of these low-energy fluorescence X-rays. On the other hand, L-shell XFCT for AuNP can detect much lower concentrations than K-shell XFCT due to its much lower Compton background noise. However, the penetration depth of L-shell fluorescence limits the imaging technique to very small samples of <1 cm in diameter or thickness.<sup>42-44</sup> To perform XFCT for animal and human clinical studies, K-shell fluorescence images for MNPs would be necessary.

In this work, a Monte Carlo (MC) model was developed for pinhole K-shell XRF imaging of GdNPs and AuNPs using fan-beam polychromatic X-rays combined with a 2D array of position-sensitive detectors. XRF photons stimulated by incoming X-rays are isotropic sources, and thus, pinhole collimation is a good choice for imaging. Furthermore, the photons passing through a pinhole were expected to contain its position information, which enable the acquisition of a 2D slice image directly from one sheet of fan-beam irradiation without image reconstruction, translation, and rotation of the object. The MC model for this pinhole XRF system was quantitatively evaluated in terms of image quality and radiation dose for the polychromatic and monochromatic X-rays.

# Materials and methods

#### MC model

A pinhole XRF imaging system was modeled using MC N-Particle Version 6.1 (MCNP6.1), as illustrated in Figure 1.48 The MCNP code has been widely used for the simulation studies on cadmium-zinc-telluride (CZT), CdTe, and high-purity germanium (HPGe) semiconductor detectors for medical imaging.<sup>49–51</sup> The pinhole XRF imaging system has an imaging mechanism very similar to SPECT.<sup>38</sup> The model was benchmarked against the design and the dimension of the pinhole, as well as the type and the pixel size of the detector commonly used in preclinical pinhole SPECT.52-56 A cylindrical water phantom in the middle, as shown in Figure 1A, had a diameter of 5 cm and a height of 5 cm each. Four cylindrical water columns, 1 cm in diameter and 3 cm in height, containing GdNPs or AuNPs of different concentrations (0.01 wt%, 0.03 wt%, 0.06 wt%, and 0.09 wt%) were placed in the water phantom, as shown in Figure 1B. Two pinhole collimators with pinholes of 2 mm in diameter were located on both sides of the phantom on a lead sheet with a thickness of 5 mm. The pinhole had a cone-shaped profile with an acceptance angle of 102° (Figure S1). The beam plane-to-collimator and the collimator-to-detector distances were 2.5 cm each.

The incident X-ray beam was specified as a fan beam of 5 mm width and 5 cm length. Due to the high-energy resolution of the semiconductor detector, <sup>57</sup> a commercial pixelated CZT gamma camera composed of four SRE4001-CZT25.4 modules (Integrated Detect Electronics AS, Oslo, Norway) was chosen as the detector model for the MC simulation. The detector consisted of 32×32 pixels, with each pixel having

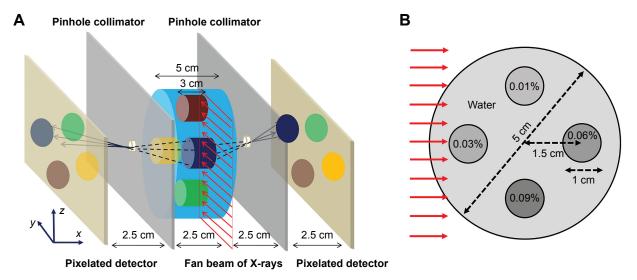


Figure 1 MC simulation geometry and imaging water phantom.

Notes: (A) MC simulation geometry for pinhole K-shell XRF imaging system and (B) imaging water phantom where four columns are assumed to have different concentrations of either gadolinium (Gd) or gold (Au) nanoparticles. The red arrows indicate the incident fan-beam X-rays. Gd or Au columns of 0.01 wt%, 0.03 wt%, 0.06 wt%, and 0.09 wt% are located left, in, out, and right with respect to the incident direction of X-rays, respectively.

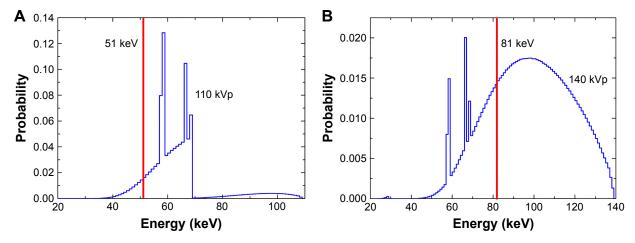
Abbreviations: MC, Monte Carlo; XRF, X-ray fluorescence; Gd, gadolinium; Au, gold; wt, weight.

a dimension of 1.6×1.6 mm<sup>2</sup> and a thickness of 5 mm. The detector had an Al6061 window with a thickness of 1.5 mm in front of the CZT crystal. The geometric efficiency and system resolution were calculated as shown in the Supplementary materials. Utilizing the geometrical symmetry of the collimator-and-detector configuration (Figure 1A), MC images from both sides were combined to reduce the noise for a given computation time.

# X-ray sources

To acquire Gd K-shell XRF images, 110 kVp polychromatic X-rays filtered with 0.3 mm tungsten (W) (denoted as 110 kVp) were used. On the other hand, 140 kVp X-rays with 1.5 mm aluminum (Al), 0.25 mm copper (Cu), and 0.75 mm tin (Sn) filtration (denoted as 140 kVp) were used for Au

K-shell XRF imaging. The filters used for 140 kVp X-rays were commercial filters of the X-RAD 320 (Precision X-ray Inc, North Branford, CT, USA). The energy spectra for the two polychromatic X-rays were extracted from the SpekCalc code that has been validated with measurements and MC results and were previously used in several studies.  $^{58-61}$  In addition, monochromatic X-rays of two different energies (ie, 51 keV for Gd and 81 keV for Au) were used to compare the image quality and the radiation dose. The X-rays of 51 keV and 81 keV were expected to have more fluorescence emissions, since these energies are close to the  $K_{\rm edge}$  energies of Gd (ie, 50.2 keV) and Au (ie, 80.7 keV). The incident X-ray source spectra for Gd and Au are shown in Figure 2. Each simulation was conducted with  $1\times10^{11}$  histories.



**Figure 2** Incident X-ray source spectra for pinhole K-shell XRF imaging for **(A)** gadolinium and **(B)** gold. **Abbreviation:** XRF, X-ray fluorescence.

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# Image processing

XRF and scattered photons reaching the detector array were tracked by the energy-specific pulse height tallies (ie, F8 of MCNP6.1). In order to detect the distinct K-shell XRF peaks above the Compton background counts, an energy bin of 1 keV was set for the detector tallies. The  $K_{\alpha 1}$  and  $K_{\alpha 2}$  peaks for Gd and Au were acquired in the simulation. The peak energies of Gd were 42.4 keV and 43.1 keV, while Au XRF lines resulted in peaks at 67.2 keV and 69.0 keV.  $^{62}$ 

Since the energy resolution of CZT gamma camera was much higher than 1 keV, degradation of simulation results was needed to reflect realistic situations. We measured the energy spectrum of amerisium-241 (Am-241) radioisotope (Ortec, Oak Ridge, TN, USA) emitting 59.5 keV gammas (Figure S2). Full width at half maximum (FWHM) at 59.5 keV was assumed to not be significantly different from the %FWHM at K-shell XRF energies of Gd (~43 keV) and Au (~68 keV). Degradation with the measured %FWHM was applied for the MC-based 1 keV spectra, which caused XRF peaks to be broadened (Figures S3 and S4). The raw fluorescence signals were derived by subtracting 41–46 keV (GdNP imaging) and 66–71 keV (AuNP imaging) photon counts of the pure water phantom from the photon counts at the same energies for the phantom inserted with Gd and Au columns.

The attenuation corrections for the incident beam and XRF photons were taken into account by using the mass

attenuation coefficient published by the National Institute of Standards and Technology (NIST),<sup>63</sup> which were derived using the following equation:

$$N_a^i = N_0^i \left( 1/e^{-\mu_I y^i} \right) \left( 1/e^{-\mu_K x^i} \right) \tag{1}$$

where  $N_a^i$  is the attenuation corrected fluorescence photon count,  $N_0^i$  is the raw fluorescence photon count of the *i*th pixel, µ, is the linear attenuation coefficient of water at the incident X-ray energy, and  $\mu_{\kappa}$  is the linear attenuation coefficient of water at K-shell XRF energy (ie, Gd: 43 keV and Au: 68 keV). The midplane of the water phantom where the incident fan beam passed through was divided into 32×32 pixels similar to a pinhole projection of detector array. Since the beam plane-to-collimator and collimatorto-detector distances were the same, the photons from the ith pixel of the midplane were assumed to be the photons arriving at the *i*th pixel of the detector.  $y^i$  is the path length of incident X-rays in the phantom, while  $x^i$  indicates the path length of XRF photons of the *i*th pixel in the phantom (Figure 3). For the polychromatic X-rays, the term  $e^{-\mu_I y^i}$ was replaced with

$$e^{-\mu_I y^i} = \left(\sum_{m=E_K}^{E_{\text{max}}} N_m e^{-\mu_I y^i}\right) / \sum_{m=E_K}^{E_{\text{max}}} N_m$$
 (2)

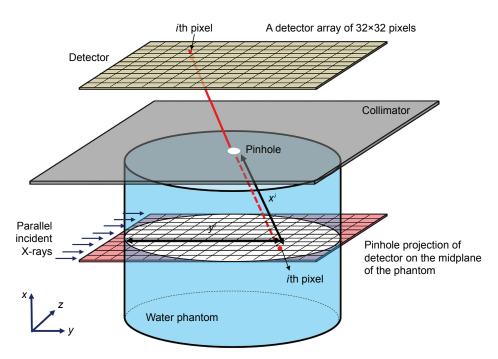


Figure 3 Schematic representation of pinhole XRF imaging system.

**Notes:** The midplane of the water phantom was divided into 32×32 pixels, each of which had 1.6×1.6 mm<sup>2</sup> area.  $y^i$  is the path length of incident X-rays in the phantom, while  $x^i$  is the path length of XRF photons of the ith pixel in the phantom. **Abbreviation:** XRF, X-ray fluorescence.

where  $N_m$  and  $\mu_m$  are the number of photons and the linear attenuation coefficient of water at the photon energy m, respectively.  $E_K$  is the energy right above the  $K_{\rm edge}$  energy (ie, Gd:  $E_K$ =51 keV and Au:  $E_K$ =81 keV), while  $E_{\rm max}$  is the maximum energy of the incident polychromatic X-rays.

As the radial distance from the central pixel of the detector array increased, the detection sensitivity at the peripheral pixels suffered from the inverse distance-square. 64 Such sensitivity correction factors were determined from empirical data obtained by additional MC simulations. A cylindrical virtual disk source of 5 cm in diameter and 5 mm in height, isotropically emitting photons of the same energy with K-shell fluorescence X-rays at 43 keV for Gd and 68 keV for Au, was located in a position of the midplane of water phantom (Figure S5). The sensitivity of the pinhole collimator was best for photons originating from the center of the virtual source, whereas photons from the periphery were much less detectable. The number of photons detected in each pixel of detector was normalized with respect to the value at the central pixel. Reciprocals of the values in each pixel represent the sensitivity correction factors. By multiplying all the correction factors with the raw signals at each pixel, corrected pixel values were obtained. The corrected values were directly plotted on a 2D map, representing the location and concentration of Gd or Au columns. The Gaussian filter was applied to decrease the noise. The contrast-to-noise ratio (CNR) is given by the following equation:

$$CNR = (\overline{C}_{ROI} - \overline{C}_{bg})/\sigma_{bg}$$
 (3)

where  $\overline{C}_{ROI}$  and  $\overline{C}_{bg}$  are the mean pixel values in the region of interest (ROI) and the background region, respectively, which was used to evaluate the image quality for the incident X-ray spectra. The ROIs were the pixels projected by the Gd or Au columns, while the background region was set to the central pixels of field of view (FOV).  $\sigma_{bg}$  is the standard deviation of the pixel values in the background region.

#### Results

# Geometric efficiency, system resolution, and energy resolution

Since the energies of the XRF photons were relatively low, the effective pinhole diameter was almost similar to the physical pinhole diameter, which indicated no significant penetration effect at the edge of the aperture. Furthermore, the geometric efficiency, which depends on the angle ( $\theta$ ), was  $1.65 \times 10^{-3}$  for the minimum angle (ie, 0°) and  $5.03 \times 10^{-4}$  for the maximum angle (ie, 47.7°). The imaging system had

the system resolution of 4.22 mm, while the collimator and intrinsic resolutions were 3.90 mm and 1.60 mm, respectively. From the measurement of the Am-241 photon peak at 59.5 keV, %FWHM of the detector was determined to be 18.6% (ie, 11.1 keV) (Figure S2). According to this %FWHM, the original MC spectra of 1 keV energy bin acquired by the polychromatic X-rays were degraded using the Gaussian distribution (MATLAB 2014a; MathWorks, Inc, Natick, MA, USA).

# Imaging of Gd

Figure 4 shows the 2D pinhole K-shell XRF images of Gd columns for 51 keV and 110 kVp X-rays. The subtracted XRF images were obtained by subtracting the photon counts at the 41–46 keV energy window of pure water phantom from those of Gd-loaded water phantom. The attenuation correction and the sensitivity correction were applied to the subtracted XRF images to generate corrected XRF images. Thus, 51 keV X-rays induced higher XRF counts than 110 kVp X-rays. Furthermore, even Gd columns with the lowest concentration at 0.01 wt% were still detectable in the corrected XRF images.

The linear relationship between the XRF signals and Gd concentrations was plotted, as shown in Figure 5A. Two curves for the incident X-rays showed a strong linearity (ie,  $R^2$ >0.9827), which implied that the corrections for the attenuation and sensitivity worked well. The CNRs of the Gd columns calculated from the pinhole K-shell XRF images are shown in Figure 5B. There was no significant difference in the CNRs of 51 keV and 110 kVp images.

# Imaging of Au

The 2D pinhole K-shell XRF images of Au columns for 81 keV and 140 kVp X-rays are shown in Figure 6. The subtracted XRF images were obtained by subtracting the photon counts at the 66–71 keV energy window of the pure water phantom from those of the Au-loaded water phantom. The attenuation and sensitivity corrections were applied to the subtracted XRF images to generate the corrected XRF images. The acquired images showed that more blurred regions were present for the images taken at 140 kVp than for those taken at 81 keV. After the correction factors were applied to the subtracted 140 kVp images, the blurred regions near the 0.06 wt% Au became conspicuous.

A good linear relationship (ie,  $R^2 > 0.9162$ ) was established between the integrated XRF signals and the Au concentrations, as shown in Figure 7A. The CNRs of the 81 keV and 140 kVp images were similar, except for 0.06 wt% Au

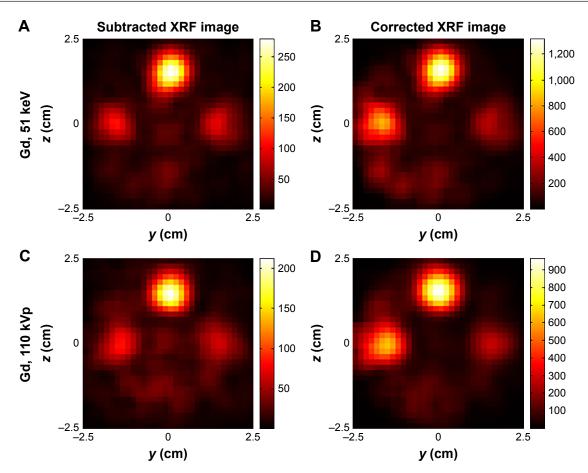


Figure 4 Subtracted and corrected XRF images for Gd.

Notes: (A) Subtracted XRF image between Gd-loaded water phantom and pure water phantom; (B) XRF image of Gd corrected by attenuation and sensitivity for monochromatic 51 keV X-rays; (C) subtracted XRF image between Gd-loaded water phantom and pure water phantom; and (D) XRF image of Gd corrected by attenuation and sensitivity for polychromatic 110 kVp X-rays.

Abbreviations: XRF, X-ray fluorescence; Gd, gadolinium.

(Figure 7B). For the 0.01 wt% Au columns, the CNRs of 0.01 wt% Au columns were 0.7 and 1.0 for 81 keV and 140 kVp images, respectively. For 140 kVp X-rays, according to the Rose criterion for CNR, the lowest distinguishable concentrations were 0.03 wt% for the 140 kVp images.

#### Radiation dose

The imaging doses of the pinhole XRF imaging system are summarized in Table 1. These radiation doses were calculated with  $1\times10^{11}$  histories of incident X-rays, which was also the number of histories to acquire all the images shown before.

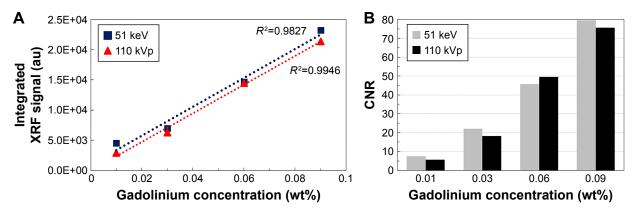


Figure 5 XRF signal intensities and CNR values versus Gd concentrations.

Notes: (A) Linear relationship between Gd concentrations and integrated XRF counts and (B) CNR values in the region of Gd columns for two different X-ray source spectra.

Abbreviations: CNR, contrast-to-noise ratio; XRF, X-ray fluorescence; wt, weight; Gd, gadolinium.

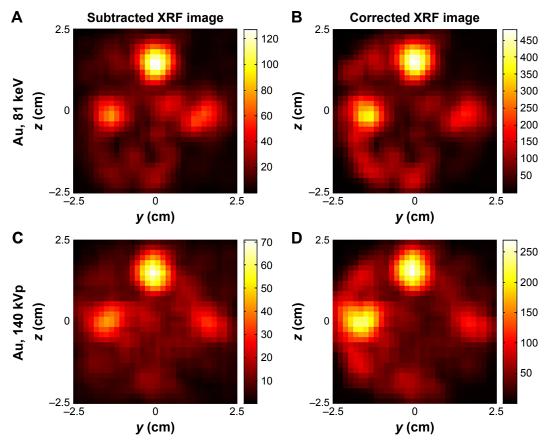


Figure 6 Subtracted and corrected XRF images for Au.

Notes: (A) Subtracted XRF image between Au-loaded water phantom and pure water phantom; (B) XRF image of Au corrected by attenuation and sensitivity for monochromatic 81 keV X-rays; (C) subtracted XRF image between Au-loaded water phantom and pure water phantom; and (D) XRF image of Au corrected by attenuation and sensitivity for polychromatic 140 kVp X-rays.

The imaging dose was composed of two components, the prescanning dose of the phantom without Gd or Au and the XRF scanning dose of the phantom with Gd or Au. The radiation doses with NP in the phantom were a little higher (by 0.1 mGy) than the dose for the pure water phantom. The total imaging dose is the sum of these two components.

Abbreviations: XRF, X-ray fluorescence; Au, gold.

## **Discussion**

Pinhole K-shell XRF imaging using polychromatic X-rays and 2D array of position-sensitive detectors appears to be feasible for in vivo imaging and quantification of GdNP- or AuNP-loaded columns within small-animal-sized phantoms. The developed model can acquire 2D XRF images directly

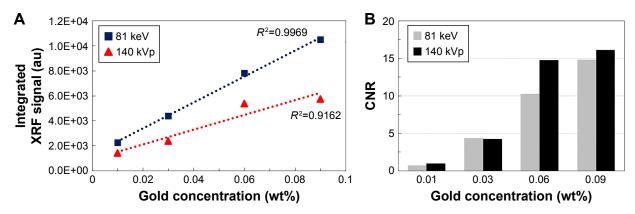


Figure 7 XRF signal intensities and CNR values versus Au concentrations.

Note: (A) Linear relationship between Au concentrations and integrated XRF counts and (B) CNR values in the region of Au columns for two different X-ray source spectra.

Abbreviations: CNR, contrast-to-noise ratio; XRF, X-ray fluorescence; Au, gold.

**Table I** Imaging dose of pinhole XRF imaging for four different incident X-ray sources

Incident X-rays	Average dose, mGy		
	Pure water	NP-loaded water phantom	Sum
51 keV	10.1	10.2	20.3
II0 kVp	9.9	10.0	19.9
81 keV	10.6	10.7	21.3
140 kVp	13.0	13.1	26.1

Abbreviations: NP, nanoparticle; XRF, X-ray fluorescence.

from only one fan-beam irradiation without the need of further processing like beam or detector translation and phantom rotation.

Although 51 keV and 81 keV X-rays are close to the  $K_{\text{edge}}$ energies of Gd and Au, respectively, the resulting images obtained by 110 kVp and 140 kVp beams showed similar level of image quality. Blurred regions in XRF images of the polychromatic X-rays were caused by the statistical noise from the subtraction of the Compton scatter background, which was sometimes larger than the signals of XRF. Although their integrated XRF counts were less than those of the monochromic X-rays, the proposed polychromatic X-rays could generate recognizable K-shell XRF images for 0.01 wt% GdNP and 0.03 wt% AuNP. For Au imaging, 140 kVp showed higher CNR in 0.06 wt% Au than that in the same region for the 81 keV XRF image. This might be caused by a slight overestimation of the attenuation correction factors, especially for deep-seated regions (ie, 0.06 wt% Au). The use of polychromatic X-rays showed blurring in the Gd or Au images because polychromatic X-rays generated less XRF photons than monochromatic X-rays. Moreover, the false photon counts from the scattered polychromatic X-rays in the phantom may have contributed to the blurring.

In the MC simulation, the images were degraded by the measured %FWHM. In fact, the radiation transport codes could not solely include the physical degradations of the detector response such as electron–hole trapping, nonuniformity, and charge sharing. <sup>65–67</sup> Therefore, the %FWHM of the CZT detector was measured to overcome the limitation of the MC study. Since the measured %FWHM applied to the simulated spectra was pixel-averaged, there is a possible overestimation in the quality of the processed images. In addition, any systematic errors could not be explicitly considered in this MC study. In order to reduce the interscanning systematic errors (eg, positioning and environmental condition for CZT detector), the experimental setup should be coincident for both prescanning and XRF scanning.

The current imaging system using commercial pixelated CZT gamma cameras has limitations in terms of system resolution (eg, pixel size and pinhole diameter) and energy

resolution. Use of a smaller detector pixel or a pinhole is possible and is expected to improve the system resolution. However, these modifications would dramatically reduce the photon counts per pixel, which would result in considerable increase in the imaging dose and irradiation time. A compromise between system resolution and irradiation time is required. There have been technical efforts to improve the energy resolution of pixelated semiconductor detectors. <sup>66</sup> Such detectors have been manufactured by several research groups for the purpose of detecting gamma rays in space <sup>66,68</sup> but are yet to be implemented for medical imaging purposes.

The previous XFCT system using a single CdTe detector took 90 minutes to get a single 2D slice image of a mouse. 69 As proposed in this study, if a 2D array of position-sensitive detectors can measure XRF and scattered photons, the image acquisition time can be dramatically reduced. Compared with the parallel-hole collimator XFCT system, the pinhole XRF imaging suffers from low detection efficiency. However, the pinhole system does not need to translate and rotate the sample to acquire a 2D slice image as required for the parallel-hole system. Moreover, the system can offer magnified images by simply adjusting the object-to-pinhole and pinhole-todetector distances. Meng et al<sup>45</sup> and Fu et al<sup>46</sup> also demonstrated that the pinhole imaging system could detect more XRF photons per unit time and that the extended volume of phantom irradiated by the incident X-rays improved the imaging speed. Therefore, these advantages could offset the low detection efficiency of the pinhole system. Furthermore, implementation of the multipinhole collimation system may improve the imaging speed and efficiency.

However, the dose level (~30 mGy) predicted by MC simulations of our imaging system was much less than the imaging doses (a few hundred mGy) delivered by XFCT and micro-CT scanning of small animals.<sup>69,70</sup> Since our system was modeled by benchmarking the pinhole SPECT system, which detects much higher energy photons than K-shell XRF photons,<sup>52–56</sup> further investigation in optimizing the system design – such as collimator design, X-ray filter, and tube potential – is needed to reduce the dose level and improve the image quality. In addition, if the aforementioned 2D pixelated detectors with high-energy resolution are commercially available, discrete XRF peaks can be analytically separated from the background photons. This eliminates the prescanning procedure and, thus, can reduce the imaging dose as well as the systematic errors by half.

#### **Conclusion**

The MC simulations demonstrated the feasibility of the pinhole K-shell XRF imaging system for GdNPs and AuNPs stimulated

by polychromatic X-rays using a 2D position-sensitive array of CZT pixelated detectors. The MC model for this pinhole XRF system showed direct 2D slice images of the object without image reconstruction. In a cylindrical water phantom of 5 cm depth and height, 0.01 wt% of GdNP and 0.03 wt% of AuNP were shown to be the minimum concentrations to achieve the Rose criterion for CNR (CNR =4). The MC technique developed in this study will be used to optimize experimental setups for future developments of the pinhole XRF imaging system.

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## **Author contributions**

SJY conceived the study concept, participated in all aspects of its design and coordination, and helped draft the manuscript. SJ performed MC simulations and drafted the manuscript. WS managed and commented on the methods for simulations and analysis. All authors contributed toward data analysis, drafting and critically revising the paper, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

#### **Disclosure**

The authors report no conflicts of interest in this work.

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# Supplementary materials

# Geometric efficiency (g)

The geometric efficiency (g) was calculated as follows:1

$$g \approx d_{_{eff}} \cos^3 \theta / 16b^2$$

$$d_{eff} = \sqrt{d[d + 2\mu^{-1}\tan(\alpha/2)]}$$

where  $d_{\it eff}$  is the effective pinhole diameter,  $\theta$  is the angle between the source (in our system, a midplane in the water phantom where the incident X-rays pass through) and a pinhole (Figure S1), and b is the beam plane-to-pinhole distance. The effective pinhole diameter  $d_{\it eff}$  accounts for penetration of the edges of the pinhole aperture.

# System resolution

The system resolution was calculated as follows:1

$$R_{svs} = \sqrt{R_{int}^2 + R_{coll}^2}$$

$$R_{coll} \approx d_{eff}(l+b)/l$$

where  $R_{int}$  is the intrinsic resolution of the detector,  $R_{coll}$  is the collimator resolution, and l is the distance from the pinhole to the active surface of the detector. The field of view (FOV) in this configuration was 5 cm diameter; however, the magnification factor and FOV were adjustable by varying the beam plane-to-collimator and the collimator-to-detector distances.

# Percentage full width at half maximum (%FWHM) measurement of Am-241 source with CZT gamma camera

The Am-241 source was electrodeposited, resulting in an active area of 3 mm diameter on a palladium disk of 12.7 mm diameter and 0.127 mm thickness. At the source-to-detector distance of 5 cm, the spectrum was measured by the pixelated CZT gamma camera for 1 hour at room temperature. All 1,024 pixel counts were averaged over to determine the %FWHM of the detector at 59.5 keV.

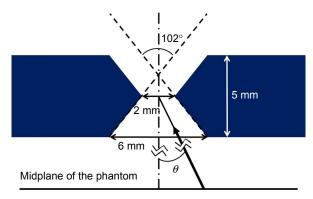
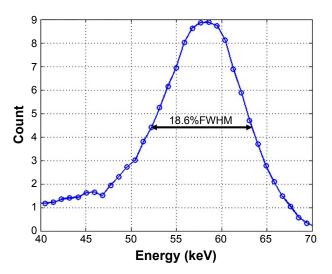


Figure \$1 Schematic of pinhole collimation, where the acceptance angle is 102° and the angle between a point on the midplane of water phantom and the center of pinhole is  $\theta$ .



**Figure S2** Energy spectrum of Am-241 acquired with the CZT gamma camera. **Abbreviations:** CZT, cadmium-zinc-telluride; FWHM, full width at half maximum.

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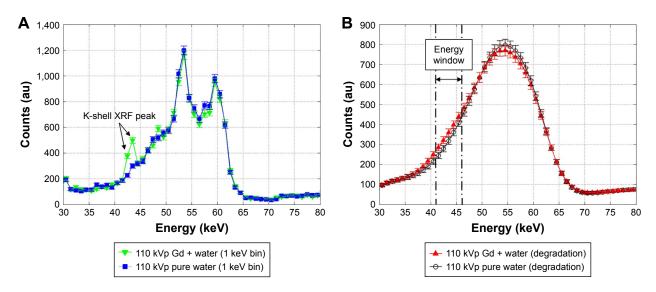


Figure S3 Energy spectra of K-shell XRF and Compton scattered photons from 0.09 wt% Gd column.

Notes: (A) Energy spectra of MC-based I keV bin and (B) energy spectra of a degradation with the measured %FWHM. Error bar indicates 68% confidence level.

Abbreviations: FWHM, full width at half maximum; MC, Monte Carlo; XRF, X-ray fluorescence; Gd, gadolinium.

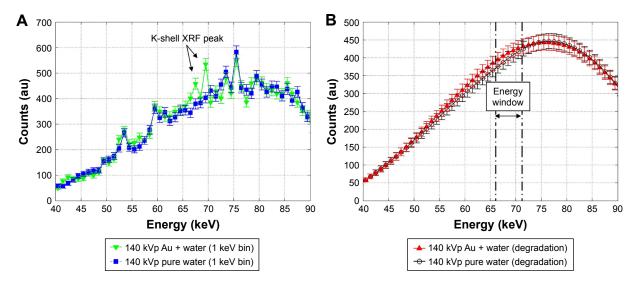


Figure S4 Energy spectra of K-shell XRF and Compton scattered photons from 0.09 wt% Au column.

Notes: (A) Energy spectra of MC-based I keV bin and (B) energy spectra of a degradation with the measured %FWHM. Error bar indicates 68% confidence level.

Abbreviations: FWHM, full width at half maximum; MC, Monte Carlo; XRF, X-ray fluorescence; Au, gold; wt, weight.

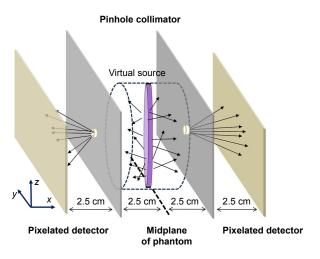


Figure S5 MC simulation model for sensitivity correction.

**Notes:** The virtual disk source of 5 cm diameter and 5 mm width (purple) in the midplane of water phantom isotropically emits fluorescence-like photons. The arrows describe photons emitting from the virtual source. The photons originating from the center of the source are detected more efficiently than those from the periphery. **Abbreviation:** MC, Monte Carlo.

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