

An annotated corpus with nanomedicine and pharmacokinetic parameters

Nastassja A Lewinski¹

Ivan Jimenez¹

Bridget T McInnes²

¹Department of Chemical and Life Science Engineering, Virginia Commonwealth University, Richmond, VA, ²Department of Computer Science, Virginia Commonwealth University, Richmond, VA, USA

Abstract: A vast amount of data on nanomedicines is being generated and published, and natural language processing (NLP) approaches can automate the extraction of unstructured text-based data. Annotated corpora are a key resource for NLP and information extraction methods which employ machine learning. Although corpora are available for pharmaceuticals, resources for nanomedicines and nanotechnology are still limited. To foster nanotechnology text mining (NanoNLP) efforts, we have constructed a corpus of annotated drug product inserts taken from the US Food and Drug Administration's Drugs@FDA online database. In this work, we present the development of the Engineered Nanomedicine Database corpus to support the evaluation of nanomedicine entity extraction. The data were manually annotated for 21 entity mentions consisting of nanomedicine physicochemical characterization, exposure, and biologic response information of 41 Food and Drug Administration-approved nanomedicines. We evaluate the reliability of the manual annotations and demonstrate the use of the corpus by evaluating two state-of-the-art named entity extraction systems, OpenNLP and Stanford NER. The annotated corpus is available open source and, based on these results, guidelines and suggestions for future development of additional nanomedicine corpora are provided.

Keywords: nanotechnology, informatics, natural language processing, text mining, corpora

Introduction

Nanotechnology is enabling new strategies to detect and treat disease through multifunctional (eg, targeted, activatable, diagnostic, and therapeutic) drug design. Formulating a drug as a nanomedicine can also improve its therapeutic index by changing its stability, pharmacokinetics, and toxicity. For several decades, researchers have primarily designed new nanomedicines based on an empirical approach. However, the number of possible nanomedicine formulations continues to increase exponentially as new nanomaterials, surface coatings, bioconjugates, and drug combinations are developed. As a consequence, experimentally assessing all possible nanomedicine formulations for efficacy and safety is not feasible or realistic. There is a critical need to automatically extract information and synthesize knowledge and trends in nanomedicine research to rationally prioritize testing and development.

Natural Language Processing (NLP) approaches can semi-automate the process of converting text-based unstructured data (eg, full-text articles) to structured data (eg, tables). Interest in applying NLP techniques to nanotechnology has increased over the years, with a number of systems developed for nanomedicine information extraction and nanotechnology patent mining.¹ Most NLP algorithms rely on annotated corpora for both training and evaluation of the system. Despite development of several NanoNLP systems, only one nanotechnology-related corpus has been described in the literature.²

Correspondence: Nastassja A Lewinski
Department of Chemical and Life Science Engineering, Virginia Commonwealth University, 601 W Main St, Richmond, VA 23284, USA
Tel +1 804 828 0452
Fax +1 804 828 3846
Email nalewinski@vcu.edu

Motivated by the need for a nanomedicine corpus, we present the Engineered Nanomedicine Database (END).

The main objective of this paper is to propose a framework for creating an annotated corpus for nanomedicine entity extraction. Toward this objective, we validate a manually annotated corpus of US Food and Drug Administration (FDA)-approved nanomedicines from drug product inserts collected from the Drugs@FDA Database.³ The extracted entities consist of nanoparticle physicochemical properties, exposure parameters, and biologic response information for 41 drugs. We evaluate the precision, recall, and F-measure between expert and non-expert generated annotations and evaluate the performance of two state-of-the-art named entity extraction systems applied to the corpus. To promote future development of nanomedicine corpora and entity extraction systems, we provide the expert annotated corpus as open source (<http://rampages.us/nanoinformatics/resources>).

The remainder of this paper is as follows. First, we describe related work associated with entity extraction. Second, we describe our method in developing the END dataset. Third, statistical analysis of the annotation process and the contents of the completed END corpus are presented. Finally, use of the corpus for creation of a named entity recognition (NER) system tailored to extracting nanomedicine data is discussed.

Materials and methods

Dataset

The documents selected for annotation were drug product labels for 41 nanomedicines that are currently approved for clinical use by the FDA. The labels were obtained from the Drugs@FDA online database. This document type was chosen for two reasons: compared to nanomedicines in the pipeline, FDA-approved nanomedicines are 1) expected

to have the most number of unique publications in the literature; 2) already being prescribed by physicians, and therefore, mentions could be contained in clinical notes. The list of nanomedicines chosen was based on review articles.^{4,5} The annotated drug product labels described nanomedicines consisting of liposomes, micelles, polymer conjugates, protein conjugates, and nanoparticles, which are listed in Table 1. The extracted entities relate to nanoparticle physicochemical properties, exposure, pharmacokinetics, and biologic response in addition to description information. A complete list of these entities is shown in Table 2.

Annotation process

To develop an entity extractor, a training set composed of relevant texts that have been manually annotated by domain experts is required. Manually annotated corpora are traditionally prepared by the NLP community through collective shared tasks. When conducted by individuals, it has been reported to take more than 10 hours to annotate a single research paper.² Recruiting professional nanomedicine researchers to donate this time is challenging; therefore, we hypothesized that a potential solution could be to train non-expert (student) annotators. It has been suggested that, with training, annotation tasks can be crowdsourced to non-experts to build annotated corpora of biomedical literature.^{6,7} In this work, we assessed the quality of annotations generated by non-experts to those of a domain expert on texts describing nanomedicines. The annotators included three undergraduate research assistants and one professor from the Virginia Commonwealth University Department of Chemical and Life Science and Engineering. The research assistants were entering their junior year and were given training in annotation as outlined in the following section. The General Architecture for Text Engineering (GATE)⁸ open source, annotation,

Table 1 US FDA-approved nanomedicines from the year 1975 to 2013

Platform	Drug
Conjugate	
Antibody–drug	Adcetris®, Bexxar®, Kadcyla®, Zevalin®
Polymer–aptamer	Macugen®
Polymer–protein	Adagen®, Cimzia®, Krystexxa®, Mircera®, Neulasta®, Oncaspar®, Pegasys®, PEG-Intron®, Somavert®
Protein–drug	Abraxane®, Ontak®
Lipid	
Liposome	Abelcer®, AmBisome®, Amphotec®, DaunoXome®, DepoCyt®, DepoDur®, Diprivan®, Doxil®, Marquibo®, Visudyne®
Micelle	Estrasorb™, Taxotere®
Nanocrystal	Emend®, Megace ES®, Rapamune®, TriCor®, TriGlide®
Nanoparticle	
Iron	Feraheme®, Ferlecit®, Venofer®
	Elestrin®
Polymer	Copaxone®, Eligard®, Renagel®, Welchol®

Abbreviation: FDA, US Food and Drug Administration.

Table 2 Extracted nanomedicine entities

Class	Entity
Nanomedicine description	Company
	FDA approval date
	Trade name
	US patent
Nanoparticle physicochemical characterization	Active ingredient
	Core composition
	Molecular weight
	Nanoparticle
	Particle diameter
	Surface coating
Exposure	Dose
	Route of administration
Pharmacokinetics	AUC
	Clearance
	Cmax
	Elimination half-life
	Plasma half-life
	Tmax
	Volume of distribution
	Adverse reaction
Biologic response	Indication

Abbreviations: AUC, area under the curve; Cmax, maximum concentration measured in blood; FDA, US Food and Drug Administration; Tmax, time to reach Cmax.

and development environment for computational language processing was used to manually annotate the drug product labels. An example of annotation is presented in Figure 1. The entity annotation guidelines, which include entity definitions and annotation rules (Table 3), were developed to reduce potential interpretation differences between annotators.

Annotator training

The following procedure was employed when training the students:

- “Preannotation guideline discussion phase:” In this phase, orthography and grammar rules, multiword entity rules, and definitions of entities were discussed. All students completed this phase.
- “Pilot annotation phase:” In this phase, the annotators were trained on six entities (active ingredient, dose, indication, nanoparticle, route of administration, trade name). Their annotations were compared with the expert’s annotations, and all differences were discussed with them. All students completed this phase.
- “Annotation phase:” In this phase, all 21 entities were given to the annotators. Orthography and grammar rules, multiword entity rules, and definitions of entities were again discussed. The annotators were also informed that if they had any questions, they were to ask the expert annotator. All questions and responses were circulated among all three of the annotators. One student fully completed and two students partially completed this phase.

Results

Characteristics of corpus

Table 4 shows a high-level breakdown of the expert-annotated drug label inserts within the END corpus. Within the 41 drug label inserts, there are 28,276 sentences and 465,890 words and a total of 22,033 annotations. On average, each drug label contains 690 (SD 496) sentences, 11,363 (SD 5,897) words, and 537 (SD 310) annotations. The largest class of nanomedicines, liposomes, was also examined to determine if restricting to a subset could be representative of the corpus overall. Table 5 shows the number of annotated mentions, the number of unique mentions, and the number of labels containing mentions for each entity. Not all labels contained a mention for all 21 entity types. The number of mentions across the drug labels varied from 6,689 (adverse reaction) to 7 (particle diameter). The unique types of mentions also varied, with the largest number annotated for adverse reaction where 1,773 of the 6,689 mentions were unique.

Evaluation of non-expert annotations

We evaluated the annotation agreement using precision, recall, and F-measure, which were calculated using the GATE framework. Precision measures the number of correctly identified entities as a percentage of the number of items identified. Recall measures the number of correctly identified entities as a percentage of the total number of correct entities. F-measure is the harmonic mean between precision and recall. In this work, we compare the student annotators to the expert annotator (Table 6) and the student annotators to other student annotators (Table 7). We do not report the inter-annotator agreement (eg, Cohen’s Kappa) because the concept of a nonentity is not defined, and therefore, the number of words contained in the nonentity is not known.

Demonstration of intended use of the corpus

We conducted an evaluation of the END corpus on two state-of-the-art NER systems. We evaluated the Apache OpenNLP Toolkit and Stanford NER. OpenNLP was created for processing general English natural language text and includes the NameFinder entity recognizer which uses a Maximum Entropy supervised learning algorithm to identify named entities in unstructured text.⁹ Stanford NER is a Java-based Named Entity Recognizer that has previously been used to automatically identify general English entities (eg, person and company names) and biomedical entities (eg, gene and protein names) from natural language text.¹⁰ Stanford NER uses Conditional Random Fields, also known as CRFClassifier.¹¹

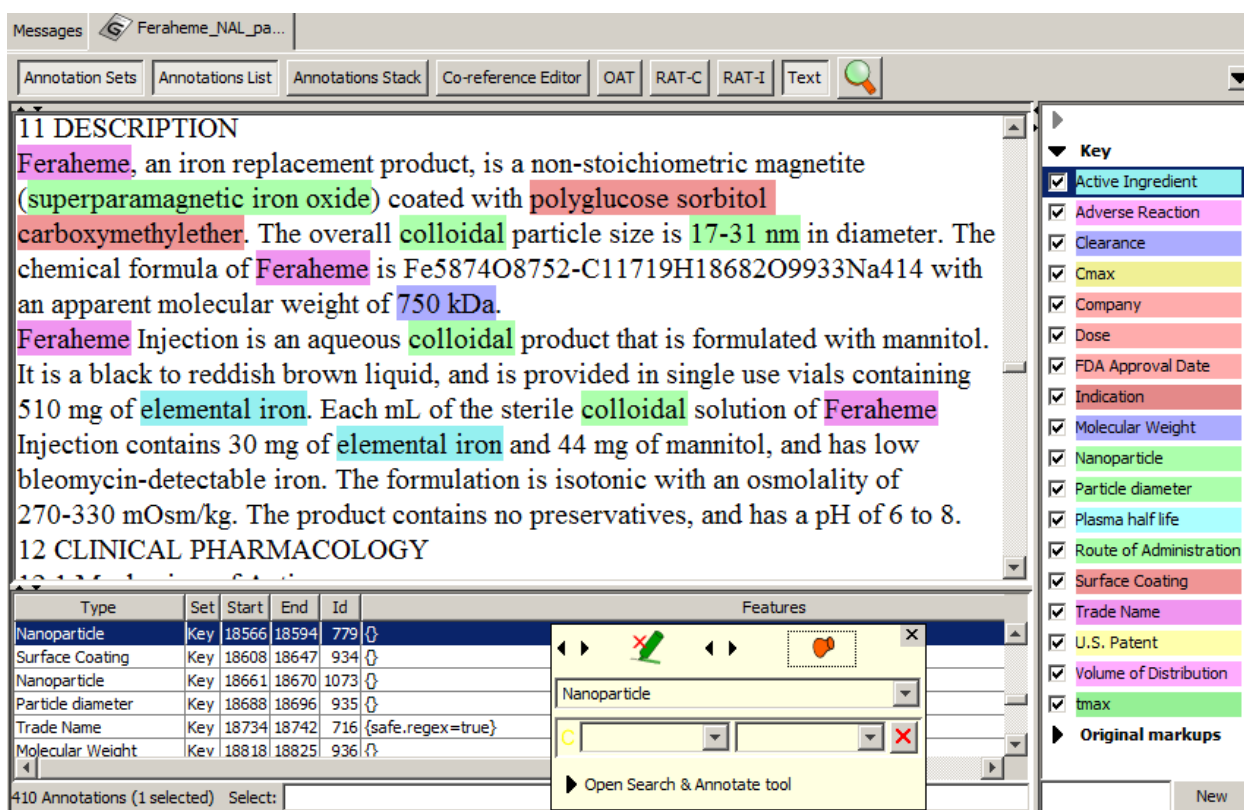


Figure 1 Annotated ferumoxytol drug product label using GATE.

Abbreviation: GATE, General Architecture for Text Engineering.

To evaluate the previously developed entity extractors, we performed 10-fold cross validation on each of the entity extraction models developed for the project for those entities that had at least 45 instances in our dataset. Each instance contains 10 words to the right and left of the entity. Table 8 shows the F1 score of the OpenNLP and Stanford NER entity extractors, and the number of instances in the current training data. The results show that for most entities, the Stanford NER system obtains a higher F1 score than the OpenNLP entity extractor for all of the entities evaluated. The Stanford NER system results show that it is able to identify some nano-entities very accurately (eg, molecular weight), but others poorly (eg, core composition). As expected, in general, entities that had a lower number of instances tended to have poorer results than those with more instances, although this is not the case for active ingredient.

The results indicate that further investigation is required into developing entity extraction methods for nanomedicines.

Current state-of-the-art named entity extraction systems, such as Stanford NER and OpenNLP, primarily utilize punctuation, lexical information (eg, previous word), morphologic information (eg, prefix), and orthographic information

(eg, capitalization) as features into a machine learning algorithm.¹² Although these types of features have been shown to perform well for general English entities (eg, People, Locations, and Organizations), they have been shown to be less useful within the biomedical domain.¹³ Analysis of the features utilized by Stanford NER and OpenNLP shows that Stanford NER incorporates more contextual information as features than OpenNLP. Our hypothesis is that the incorporation of this additional contextual information may be responsible for Stanford NER systems higher performance.

Discussion

In this work, we created and evaluated an annotated corpus with nanomedicine and pharmacokinetic parameters. FDA-approved nanomedicines were chosen due to the larger number of publications describing these nanomedicines, compared to those still in the development pipeline. We discovered in our chosen document type (ie, drug product labels) that a limited number of mentions specific to the physicochemical properties of nanomedicines are included. Of the 16 minimum characterization parameters extracted by other groups, only 5 (core composition, particle diameter, molecular weight, surface charge, surface chemistry) were

Table 3 Entity definitions contained in the annotation guidelines

Class	Entity	Description
Nanomedicine description	Company	Company names, including the drug manufacturer and distributor. When annotating, include any of the following abbreviation (eg, co., corp., inc., LLC)
	FDA approval date	The year the nanomedicine was approved for clinical use by the US FDA
	Trade name	The trademark name of the nanomedicine. When annotating, do not include the registered trademark symbol
	US patents	The US patent number(s) associated with the nanomedicine
Nanoparticle physicochemical characterization	Active ingredient	The chemical composition of the agent that is providing the pharmacologic effect
	Core composition (NPO_1808)	The chemical composition of the nanoparticle
	Molecular weight (NPO_1171)	The size of the nanomedicine or components in kilodaltons or other units based on daltons
	Nanoparticle (NPO_707)	The generic name of the nanomedicine (eg, ferumoxytol), the type of nanomedicine (eg, antibody–drug conjugate, liposome, lipid complex), or the written description of the nanomedicine (eg, paclitaxel formulated as albumin-bound nanoparticles). Part of speech variants (eg, liposomal vs liposome) should also be annotated
Pharmacokinetics	Particle diameter (NPO_1539)	The size of the nanomedicine or components in nanometers or other units based on meters
	Surface coating (NPO_1962)	The chemical composition (eg, polyethylene glycol [PEG]) of the surface coating of the nanomedicine. When annotating, include the abbreviations
	AUC (NPO_1523)	Area under the curve. The total drug concentration over time
	Clearance (NPO_1525)	The volume of blood from which a drug is irreversibly cleared
Exposure	Cmax (NPO_1527)	The maximum concentration measured in the blood
	Elimination half-life (NPO_1522)	The time at which half of the administered dose remains in the body
	Plasma half-life (NPO_1589)	The time at which half of the maximum concentration of the drug (systemically available) remains in the plasma. Also referred to as terminal half-life
	Tmax (NPO_1528)	The time to reach Cmax
Biologic response	Volume of distribution (NPO_1524)	The theoretical volume of the compartment the drug appears to fill as related to the concentration measured in the blood. $V_d = \text{dose}/C_{\text{max}}$
	Dose	The administered mass, volume, and/or concentration of the nanomedicine or other described drugs. Annotations should include units (eg, 5 mg)
	Route of administration	The method in which the nanomedicine is administered to patients. Possible routes of administration include: dermal (skin), SC, oral (by mouth), IM, IT, IV, intravitreal
	Adverse reaction	Nontherapeutic/off-target/side effects or toxic injury due to taking the nanomedicine
Indication	Indication	The disease(s) that the nanomedicine is used to detect, treat, or prevent

Abbreviations: Cmax, maximum concentration measured in blood; FDA, US Food and Drug Administration; IM, intramuscular; IT, intrathecal; IV, intravenous; PEG, polyethylene glycol; SC, subcutaneous; Vd, volume of distribution; NPO, NanoParticle Ontology.

contained in the drug product labels.¹ Particle diameter had the lowest number of entities due to being mentioned one to two times in only 6 of the 41 labels. Similarly, only 15 out of the 41 labels described the surface coating. Future work will include full papers from the primary literature to develop larger training sets that include data on additional characterization parameters as well as relation annotations. The inclusion of relation annotations will facilitate linking

the descriptors, that is, physicochemical characterization, exposure, and biologic response information, with the associated drug.

The 41 nanomedicines included in this corpus were chosen based on published literature reviews.^{4,5} More recent reviews identify additional nanomedicines and their drug product labels will serve as a test set when evaluating our developed entity extractor.^{14,15} The nanomedicines in the END corpus are all nanostructured compounds used for the treatment or prevention of disease. We recognize that the definition of nanomedicine is still not fully established in the research community. Erring on the side of inclusion, the END corpus contains conjugate formulations, although PEGylated proteins are not always recognized as nanomedicines.¹⁶ Despite some limitations, the END corpus can serve as a controlled dataset for developing entity extractors for nanomedicine.

Table 4 Summary of corpus text structure

Metric	Corpus	Liposomes
Number of inserts	41	10
Number of annotations	22,033	4,520
Average number of annotations per insert	537	468
Average number of sentences	690	542
Average number of words	11,363	8,728
Time span, year	1975–2013	1989–2012

Table 5 Statistics on the 21 annotated entities

Class	Entity	No mentions	No unique mentions	No labels included
Nanomedicine description	Company	197	69	41
	FDA approval date	34	19	41
	Trade name	6,716	41	41
	US patent	31	31	8
Physicochemical characterization	Active ingredient	2,161	61	41
	Core composition	89	26	16
	Molecular weight	50	40	34
	Nanoparticle	854	42	41
	Particle diameter	7	6	6
	Surface coating	62	11	15
Pharmacokinetic parameters	AUC	47	46	19
	Clearance	49	46	24
	Cmax	45	42	20
	Elimination half-life	16	15	11
	Plasma half-life	56	53	13
	Tmax	30	18	14
	Volume of distribution	29	27	19
Exposure	Dose	2,283	542	41
	Route of administration	1,192	20	41
Biologic response	Adverse reaction	6,689	1,773	41
	Indication	1,396	162	41

Abbreviations: AUC, area under the curve; Cmax, maximum concentration measured in blood; FDA, US food and drug administration; Tmax, time to reach Cmax.

Overall agreement between the student annotators and the expert annotator was relatively good in light of the wide experience gap. Low inter-annotator agreement primarily correlated with the complexity of the entity definition and the length of description in the drug product insert. For example, a common misannotation for dose often included values for the

dosage form, which does not always equal the administered dose. Misannotations for nanoparticle were due to confusion with the active ingredient. Contributing factors to the confusion include the label listing the generic name of the nanoparticle as the active ingredient and the student annotators' limited experience identifying components of nanostructures.

Table 6 Annotation agreement between student and expert annotator

Class	Entity	Precision	Recall	F-measure
Nanomedicine description	Company	0.96	0.46	0.62
	FDA approval date	0.97	1	0.98
	Trade name	0.99	1	1
	US patent	1	1	1
Physicochemical characterization	Active ingredient	0.89	0.79	0.84
	Molecular weight	0.89	0.69	0.78
	Nanoparticle	0.64	0.42	0.51
	Particle diameter	1	0.71	0.83
	Surface coating	0.48	0.27	0.34
	AUC	0.82	0.47	0.60
Pharmacokinetic parameters	Clearance	0.74	0.65	0.69
	Cmax	0.91	0.63	0.74
	Elimination half-life	0.80	0.73	0.76
	Plasma half-life	1	0.75	0.86
	Tmax	0.91	0.56	0.69
	Volume of distribution	0.91	0.87	0.89
	Dose	0.86	0.32	0.46
Exposure	Route of administration	0.95	0.49	0.65
	Adverse reaction	0.96	0.06	0.11
Biologic response	Indication	0.98	0.53	0.69
Total		0.95	0.55	0.69

Abbreviations: AUC, area under the curve; Cmax, maximum concentration measured in blood; FDA, US Food and Drug Administration; Tmax, time to reach Cmax.

Table 7 Annotation agreement between student annotators

Class	Entity	Precision	Recall	F-measure
Nanomedicine description	FDA approval date	1	0.97	0.99
	Trade name	1	1	1
	US patent	1	1	1
Physicochemical characterization	Active ingredient	0.80	0.89	0.84
	Molecular weight	0.93	0.65	0.77
	Nanoparticle	0.63	0.68	0.65
	Particle diameter	1	0.43	0.60
Exposure	Dose	0.99	0.56	0.72
	Route of administration	1	0.67	0.80
Biologic response	Indication	0.77	0.94	0.85
Total		0.92	0.90	0.91

Abbreviation: FDA, US Food and Drug Administration.

This limited experience also resulted in low inter-annotator agreement for surface coating. The low precision of surface coating is skewed due to misannotations contained in one label (Zevalin). The low recall is more representative, since the missing annotations for surface coating were consistent across the labels. Out of all entities, adverse reaction had the lowest recall. This was attributed to adverse reaction having the largest number of unique entities and being described in several sections of the label. Compared to a study reporting inter-annotator agreement between crowdsourced annotations and expert annotations, our overall F-measure across all entities was lower (0.69 vs 0.76).^{6,7} We believe this is in part due to the inclusion of more complex concepts in our entity set compared to the biomedical entities, disease and symptom, which more people are familiar with from life experience.

The agreement across students was higher for the 10 entities that all students completed across all 41 labels. The trends observed in the student–student and expert–student agreement

were similar. For example, the entities that presented the most difficulty and consequently resulted in the highest number of misannotations between students were active ingredient, dose, and nanoparticle. The reasons for the high number of false positives are the same to those described above. The student–student agreement for indication revealed an opposite result, low precision and high recall, compared to the expert–student agreement, high precision and low recall. This was due to the higher number of misannotations when comparing between students, compared to missing annotations when comparing between the expert and students. Overall, the performance of the students for entities that could describe any drug (eg, trade name, US patent) was better than for entities specific to nanomedicines (ie, nanoparticle, particle diameter).

Limitations

Several limitations must be discussed to facilitate the interpretation of the results of this study. First, the current

Table 8 F-measure of state-of-the-art NER systems

Class	Entity	No mentions	Open NLP	Stanford NER
Nanomedicine description	Company	197	0.65	0.74
	Trade name	6,716	0.72	0.81
Physicochemical characterization	Active ingredient	2,161	0.59	0.77
	Core composition	89	0.23	0.27
	Molecular weight	50	0.58	0.84
	Nanoparticle	854	0.65	0.82
	Surface coating	62	0.43	0.59
	AUC	47	0.26	0.41
Pharmacokinetic parameters	Clearance	49	0.35	0.31
	Cmax	45	0.45	0.50
	Plasma half-life	56	0.47	0.67
	Dose	2,283	0.54	0.68
Exposure	Route of administration	1,192	0.67	0.78
	Adverse reaction	6,989	0.10	0.12
Biologic response	Indication	1,396	0.51	0.64

Abbreviations: AUC, area under the curve; Cmax, maximum concentration measured in blood; NER, named entity recognition; NLP, natural language processing.

dataset consists of entities and their context extracted from FDA drug labels. It is still unclear if the context describing the entities within the labels is similar to that within the primary literature. The next step is to utilize this framework for a large-scale data creation study that focuses on primary literature. During this time, we will compare using the drug label dataset as test data for automatically extracting entities from labels not in the training set as well as different article types (eg, preclinical vs clinical).

Second, the size of the dataset is relatively small. However, we believe that this dataset and the framework developed for its creation can be used to facilitate 1) the development of additional larger-scale datasets for nanoparticle entity extraction and 2) the evaluation of current state-of-the-art NLP methods on secondary bio-focused tasks. For example, the pharmacokinetic parameters and biologic response entities are not solely relevant to nanomedicines (eg, plasma half-life, adverse effect). This dataset may be leveraged to aid in developing systems to extract this information across a wide variety of different types of medications.

Third, many nanomedicines in the pipeline are not represented by the formulations included in the set based on the current FDA-approved nanomedicines. The language describing these more sophisticated nanomedicine complexes may differ from the FDA-approved nanomedicines based on older technology. In addition, these new nanomedicines may receive FDA approval in the future, and future work will include active learning to better cover the complete dataset.¹⁷

Lessons learned

For this project, we used GATE to manually annotate the drug product labels.⁸ The annotation was conducted using the Windows and Mac operating systems. This caused some compatibility issues. Future annotations will be conducted using a single operating system to avoid these difficulties. In addition, manual annotation is a time-consuming and sometimes tiresome process. Future work will include active learning to focus manual annotation efforts on entities that need more instances to improve the entity recognition algorithm.

Analysis of current state-of-the-art named entity extraction systems showed that they are not applicable for each of the different nanomedicine parameters extracted by the system. Analysis of the parameters also showed that not all parameters may need a machine learning component to identify them within the text. For example, out of the 1,192 mentions of Route of Administration, only 20 were unique. Given the low performance of identifying this entity by the NER systems, incorporating simple rules and a dictionary for

a hybrid machine learning/rule-based approach may improve the overall results of the system.

Conclusion

In this paper, we proposed a framework for creating an annotated corpus for nanomedicine entity extraction. We validated our framework by annotating a corpus of FDA-approved nanomedicines from drug product inserts collected from the Drugs@FDA Database. We annotated the nanoparticle physicochemical properties, exposure parameters, and biologic response information and evaluated the reliability of the human ratings. Based on these results, we provided guidelines and suggestions for future development of additional nanomedicine corpora. We provided both the annotated corpus and the statistical software for their analysis as open source. Furthermore, we demonstrated the use of the proposed framework by evaluating two state-of-the-art named entity extraction systems on the corpus. In the future, we plan to extend this corpus to include the preclinical and clinical trial literature.

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Author contributions

NAL and BTM conceived the project idea, led the project, conducted data analysis, and wrote the manuscript. IJ performed annotations and assisted with data analysis. All authors contributed toward data analysis, drafting and revising the paper and agree to be accountable for all aspects of the work.

Disclosure

The authors report no conflicts of interest in this work.

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