

Overcoming tumor hypoxia as a barrier to radiotherapy, chemotherapy and immunotherapy in cancer treatment

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Abstract: Hypoxia exists to some degree in most solid tumors due to inadequate oxygen delivery of the abnormal vasculature which cannot meet the demands of the rapidly proliferating cancer cells. The levels of oxygenation within the same tumor are highly variable from one area to another and can change over time. Tumor hypoxia is an important impediment to effective cancer therapy. In radiotherapy, the primary mechanism is the creation of reactive oxygen species; hypoxic tumors are therefore radiation resistant. A number of chemotherapeutic drugs have been shown to be less effective when exposed to a hypoxic environment which can lead to further disease progression. Hypoxia is also a potent barrier to effective immunotherapy in cancer treatment. Because of the recognition of hypoxia as an important barrier to cancer treatment, a variety of approaches have been undertaken to overcome or reverse tumor hypoxia. Such approaches have included breathing hyperbaric oxygen, artificial hemoglobins, allosteric hemoglobin modifiers, hypoxia activated prodrugs and fluorocarbons (FCs). These approaches have largely failed due to limited efficacy and/or adverse side effects. Oxygen therapeutics, based on liquid FCs, can potentially increase the oxygen-carrying capacity of the blood to reverse tumor hypoxia. Currently, at least two drugs are in clinical trials to reverse tumor hypoxia; one of these is designed to improve permeability of oxygen into the tumor tissue and the other is based upon a low boiling point FC that transports higher amounts of oxygen per gram than previously tested FCs.

Keywords: fluorocarbon, solid tumor, oxygen therapeutics, dodecafluoropentane emulsion

Introduction

The biology of tumor hypoxia

There are several mechanisms involved in the development of hypoxia in tumors, including perfusion-limited O₂ delivery, diffusion-limited O₂ delivery and anemic hypoxia. The varying mechanisms cause substantial heterogeneity in the tissue oxygenation levels of the tumor.¹ Tumor blood vessels are chaotic and lack fundamental architecture of blood vessels in normal tissues. Perfusion-limited O₂ delivery is caused by the severe structural and functional abnormality of the tumor vasculature. The abnormal vessel shapes cause geometric resistance, which disrupts blood flow.² Furthermore, the vessel walls in tumor vasculature are more permeable as they are deficient in smooth muscle cells and often have an irregular endothelial cell lining and basement membrane.³ These structural abnormalities of the tumor vasculature lead to ischemic hypoxia. This type of hypoxia is also referred to as “acute” hypoxia. Diffusion-limited O₂ delivery is due to the deterioration of the diffusion geometry of the blood vessels. In normal tissues, blood vessels are arranged in a controlled and



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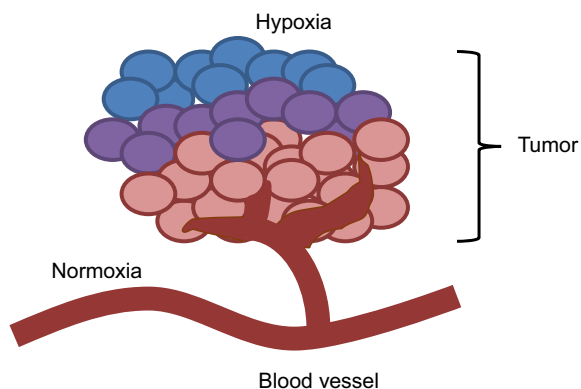


Figure 1 Hypoxic tumor regions lie further from feeding vessels.

systematic manner such that the distance from the cells to the capillaries is maintained to establish a constant and uniform oxygen gradient. In neoplastic tissues, blood vessels can form further from the cells, which deprive the cells of oxygen. Figure 1 shows a tumor supplied by a principal feeding artery. The cells in the periphery are further from the vascular supply and are thus, hypoxic. This diffusion-limited hypoxia is also referred to as “chronic” hypoxia.¹ Anemic hypoxia can be either tumor-associated or therapy-induced. Tumors that have low perfusion rates are especially susceptible to anemic hypoxia.⁴ Normal tissues are able to compensate for ischemia by increasing the amount of oxygen drawn from the blood and can counteract anemia by accelerating the rate of local blood flow. However, tumors are not able to regulate the diminishing oxygen levels, leading to the development of hypoxia.

Hypoxia is a characteristic hallmark of solid tumors that directly contribute to the malignant properties of cancers.⁴⁻⁶ As tumors develop regions of hypoxia, they must adjust their metabolism to adapt to this oxygen-depleted microenvironment. Tumors acclimate through the activation of hypoxia inducible factors (HIFs), which play an essential role in shifting to an anaerobic energy production.⁷ HIFs in turn upregulate the expression of multiple genes associated with angiogenesis, metabolic regulation, pH balance and cell apoptosis, which promote tumor survival. The essential role of HIFs in vascular protection, recovery of tumor blood and nutrient supply, makes solid tumors difficult to treat, leading to resistance in radiotherapy, chemotherapy (CT) and immunotherapy.⁷

Methods of detecting tumor hypoxia

As cancer therapy is significantly affected by hypoxia, a number of methods have been developed to measure and assess tumor hypoxia.^{1,8} These include invasive measurements

Tissue oxygenation, normal vs tumor, (partial pressure of O₂ in mm of Hg)

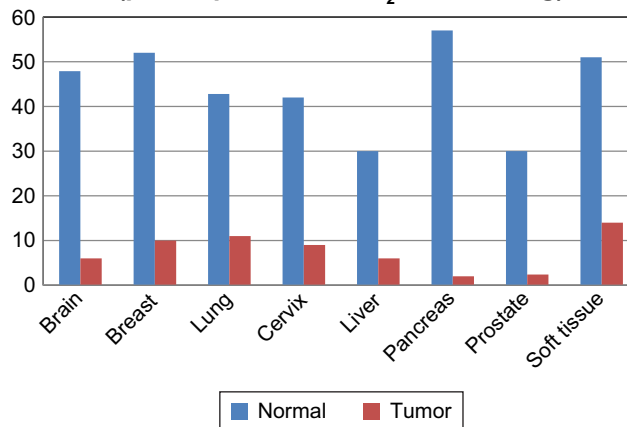


Figure 2 Tumor pO₂ measurements from published clinical studies documenting the hypoxic state of various solid tumors.

Note: Data from Vaupel et al.⁴

Abbreviation: pO₂, pressure of oxygen.

such as oxygen analysis with polarographic electrodes (see Figure 2)⁴ and fiber-optic probes, immunohistochemical detection of exogenously administered drugs (eg, pimonidazole, EF5 and 2-nitroimidazole drugs) that label hypoxic cells, and noninvasive imaging methods such as positron emission tomography (PET) using hypoxia tracers (eg, 2-nitroimidazole,¹⁸ F-labeled tracers (MISO, FMISO, EF5, FAZA and HX4), ¹¹C-acetate and others) and MRI, specifically blood oxygenation level dependent (BOLD) or tissue oxygenation level dependent (TOLD) MRI.^{8,9}

Oxygen electrodes have been used extensively to provide the oxygenation status of solid tumors.⁴ Figure 2 demonstrates the collective results of 125 clinical studies that show the hypoxic state of varying types of solid tumors.⁴ Although the use of polarographic measurement of partial pressure of oxygen (pO₂) is a common approach to identify hypoxia in solid tumors, it has the disadvantage of being an invasive technique that is prone to variability and measurement bias depending on the location of the sensor.^{1,10}

Hypoxyprobe™ (pimonidazole) is a non-toxic exogenous 2-nitroimidazole that forms adducts with thiol groups in proteins in hypoxic cells. Detection of pimonidazole adducts can then be detected through immunohistochemistry (IHC) for qualitative assessment of tumor hypoxia. Pimonidazole can be administered orally or IV in humans and can also be administered intra-peritoneally for animal studies. As shown in Figure 3, pimonidazole staining provides evidence of deep tissue hypoxia. IHC staining of ovarian tumor samples detected activation of Hypoxyprobe™-1 (adduct formation) in six different tumors.

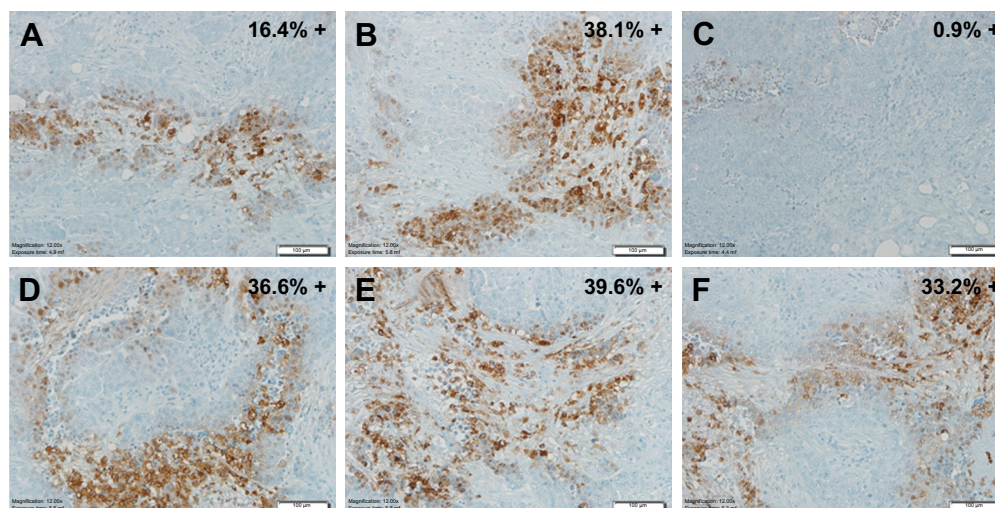


Figure 3 Hypoxyprobe™-I IHC staining in human ovarian tissue sections. The **A–C**, and **D–E**, respectively represent three separate tissue sections from two ovarian tumors; Percent positive staining for Hypoxyprobe™-I is indicated. All pictures are 20× magnification.

Note: IHC staining performed by the Tissue Acquisition and Cellular/Molecular Analysis Shared Resource (TACMASS); the core at the University of Arizona Cancer Center and analysis done by Dr Amanda Baker.

Abbreviation: IHC, immunohistochemistry.

Five of the six tumor samples showed greater than 15% staining of the tumor regions represented (see percent positive staining in upper right corner of each picture in Figure 3). The data also suggests that at least one of the ovarian tumors (comparing A, B and C) had significant intra-tumor heterogeneity. Due to the spatial and temporal heterogeneity of hypoxia, sampling bias is problematic and the clinical utility of endogenous biomarkers depends on the number, location and timing of samples biopsied.⁸

Precision medicine for cancer treatment will most likely require multiple biomarker assessments over time in a single patient, with individualized treatment based on the changing tumor and patient characteristics.⁸ For this type of assessment, noninvasive imaging methods offer an important benefit of providing the location of the hypoxic microregions throughout the tumor. PET imaging using 2-nitroimidazole ¹⁸F-labeled tracers can provide spatial mapping of hypoxia over time. However, the success of the imaging is dependent upon successful delivery of the tracer to the neoplastic tissue.¹⁰ ¹¹C-acetate is a PET radiotracer that has recently gained popularity due to its role in tumor metabolism, specifically lipid synthesis. Not only is ¹¹C-acetate overexpressed in certain types of cancers such as prostate cancer, renal cell carcinoma and hepatocellular carcinoma, but it may also be useful in the detection of tumor recurrence.^{11,12} Due to the novelty of ¹¹C-acetate, limited information is available on its prognostic value, but it is predicted to be an emerging imaging tool in the field of oncology.¹¹

Oxygen-enhanced MRI is an alternative approach in noninvasive imaging. BOLD MRI is a well-known imaging

modality to monitor oxygen levels in the blood, as transverse relaxation rate (R_2^*) is influenced by the conversion of deoxy- to oxyhemoglobin.¹³ However, BOLD MRI is largely dependent on blood flow and is not directly interpretable as tissue pO_2 or O_2 concentration.^{1,8,13} TOLD MRI is a novel approach in imaging hypoxia that uses the spin lattice relaxation rate (R_1) to measure the concentration of free oxygen molecules in the plasma or interstitial tissue fluid and thus, pO_2 .^{13,14} TOLD MRI is a more robust biomarker for tumor hypoxia than BOLD MRI and is more cost-effective than PET imaging, as it does not require the use of a radiotracer.

Tumor hypoxia as an impediment to radiotherapy, CT and immunotherapy

Table 1 below shows the critical oxygen tensions (millimeters of mercury, mmHg) at which typical cellular functions in solid tumors progressively cease or anticancer treatments are impaired as a result of inadequate O_2 availability in tumor

Table 1 Effect of oxygen tension on cancer therapy^a

Critical O_2 tension (mmHg)	Function or parameter observed
30–35	Effectiveness of certain (passive) immunotherapies
15–35	Cell death with PDT
25–30	Cell death on exposure to α - and γ -radiation
10–20	Binding of hypoxia markers
1–15	Proteome changes
0.2–1	Genome changes

Note: ^aReformatted from Höckel M, Vaupel P, Tumor hypoxia: definitions and current clinical, biologic, and Molecular Aspects. *J Natl Cancer Inst.* 2001;93(4):266–276, by permission of Oxford University Press.¹⁵

Abbreviation: PDT, photodynamic therapy.

tissue.¹⁵ Profound hypoxia promotes changes in the proteome and genome.¹ Genomic instability, in turn, promotes dedifferentiation and progression of the aggressive cancer genotype and phenotype.

The primary mechanism of radiation is creation of reactive oxygen species, which in turn irreversibly damage tumor cell DNA resulting in apoptosis and cell death.¹⁶ In the 1950s, Gray et al performed experiments showing that a three-fold higher dose of radiation was required to kill hypoxic as opposed to normoxic cells.¹⁷ Due to the limited tolerance of normal tissues to radiation, it is generally not possible to increase the dose of radiation to compensate for tumor hypoxia. After radiation, hypoxic tumor cells may persist and then divide, resulting in tumor persistence and development of an even more aggressive tumor phenotype.

The generation of reactive oxygen species can also be achieved through photodynamic therapy (PDT). PDT requires the administration of a photosensitizer, which accumulates in tumor tissues, and is activated by local irradiation of a specific wavelength of light. Once activated, the photosensitizer transfers its energy to the oxygen available in the surrounding tissues, causing incomplete reduction of oxygen and thus, the generation of reactive oxygen species.^{18,19} The antitumor effects of PDT are hindered by hypoxic tumor microenvironments and would benefit from normalizing the tumor oxygen levels.

Hypoxia has been associated with treatment resistance to a number of anticancer agents.^{20,21} Since the tumor has limited vasculature due to its rapid growth, there exists a diffusion barrier between the drug-supplying blood vessels and the tumor cells.²¹ Table 2 lists chemotherapeutic drugs that have been demonstrated to be less effective in a hypoxic as opposed to a normoxic or hyperoxic environment.^{20–25} The drugs listed in Table 2, were tested against human cancer cell lines *in vitro* under varying oxygen concentrations. The results vary depending upon the cell line. The drugs that generally had poor performance in hypoxic rather than normoxic conditions are listed.

Immunotherapy has emerged as arguably the most exciting and potentially effective means of cancer treatment. Four therapeutic approaches in immuno-oncology have entered the clinic (approved by the US Food and Drug Administration [FDA]). The first of these is a prostate cancer vaccine, PROVENGE(R) (sipuleucel-T), designed to stimulate proliferation and infiltration of prostate cancer-specific T cells with tumoricidal properties.²⁶ The second approach to gain FDA approval is an immune checkpoint inhibitor using antibody CTLA-4 (ipilimumab) and the third approach

Table 2 Cancer drugs less effective in hypoxia

Drug	Type of drug	References
5-Fluorouracil	Antimetabolite	24
Actinomycin D	Antitumor antibiotic	20, 21, 24
Bleomycin	Antitumor antibiotic	20, 21, 24
Carboplatin	Alkylating agent	24
Cisplatin	Alkylating agent	25
Docetaxel	Plant alkaloid, mitosis inhibitor, taxane	21
Doxorubicin	Anthracycline antibiotic	24
Etoposide	Plant alkaloid, topoisomerase II inhibitor	24
Gemcitabine	Antimetabolite	24
Irinotecan	Plant alkaloid, topoisomerase I inhibitor	22
Melphalan	Alkylating mustard analog	22
Methotrexate	Antimetabolite	24
Oxaliplatin	Alkylating agent	25
Procarbazine	Alkylating agent	20, 21
Sorafenib	Multikinase inhibitor, antiangiogenic	22
Streptozotocin	Antitumor antibiotic	21
Temozolomide	Alkylating agent	21
Thiotepa	Alkylating agent	20
Vincristine	Plant alkaloid, vinca alkaloid	20, 21
VP-16	Plant alkaloid, topoisomerase II inhibitor	20

targets PD-1 (pembrolizumab, nivolumab and nivolumab). Both of these receptors are expressed on T cells after activation and their expression blocks T cell proliferation and differentiation.^{27,28} To date, the most effective immunotherapies have been against CTLA-4, PD-1 and the PD-1 ligand (atezolizumab, durvalumab and avelumab).^{28–32} Still, only a minority of cancer patients currently respond to immunotherapy.

The most recent therapy to be approved is chimeric antigen receptor (CAR)-T cell immunotherapy, which is the first form of gene transfer therapy to receive commercial approval from the FDA.³³ This therapy is broadly categorized as adoptive T cell transfer, referring to a transfusion medicine that infuses lymphocytes into a patient to mediate antitumor effects. CAR-T cell immunotherapy consists of autologous T cells that are engineered to express a CAR specific to CD19 B lymphocytes. This treatment is transforming the outcomes for hematologic malignancies, specifically refractory pre-B cell acute lymphoblastic leukemia and diffuse large B cell lymphoma. Although this is a strong advancement in the field of immunotherapy, there are still challenges to overcome as CAR-T cells received contingent approval due to the potential adverse effects of severe cytokine release syndrome and neurologic toxicities.³³ Further progress will have to be made before CAR-T cells can be applied more broadly to solid tumors.

Hypoxia is an important factor preventing effective immunotherapy of cancer. Anaerobic glycolysis by tumor

cells result in over-production of adenosine, which is excreted by the tumor cells into the extracellular tumor matrix.³⁴ Extracellular intratumoral adenosine is a potent suppressor of T-cells.^{35,36} Thus, tumor hypoxia results in infiltration and accumulation of suppressor T-cells in the tumor matrix.^{37,38} Furthermore, HIF-1 α is known to be inhibitory to cells of the adaptive immune system.^{34,35} Increasing tumor oxygenation to improve the efficacy of radiation, CT and immunotherapy would be of significant clinical benefit to cancer patients.

Strategies to overcome tumor hypoxia

Given the importance of hypoxia as a barrier to effective cancer treatment, several approaches have been undertaken to overcome tumor hypoxia. One of these approaches has been to develop hypoxia-activated prodrugs (HAPs), also known as bioreductive alkylating agents. HAPs are generally inactive prodrugs, which can be enzymatically reduced under hypoxic conditions, usually by one or two electron oxidoreductases to generate cytotoxic species.^{39,40} Early work on HAPs focused on developing derivatives of Mitomycin-C, as the drug was proven more effective in a hypoxic environment.⁴¹ However, despite more than 40 years of work, there are no HAPs approved by the FDA. Nevertheless, prior work on HAPs has advanced the field and more promising HAPs are currently under preclinical and clinical development.⁴⁰ HAPs currently making clinical progress include Evofosfamide (TH-302), PR-104 and Apaziquone (EO9).⁴² A detailed review of HAPs is beyond the scope of this work.

Under room-air breathing, arterial hemoglobin is nearly saturated and breathing supplemental oxygen results in minimal increase in arterial pO₂. Under normobaric conditions, the oxygen carrying capacity of the plasma is very limited. The oxygen carrying capacity of the plasma can be increased by breathing oxygen under hyperbaric conditions.^{43,44} In one experiment, the effect of normo- and hyperbaric oxygen (HBO) breathing on hypoxic regions and spatial pO₂ distribution was tested in a tumor model in rats. At ambient pressure (one atm), hyperoxia only slightly enhanced tumor oxygenation. In comparison, rats tested under elevated ambient pressure (two atm) while breathing supplemental oxygen had a median pO₂ five-fold higher than the normobaric group.⁴⁵ A study was performed on 320 patients with cervical cancer treated with radiotherapy and HBO. Both local control and patient survival were significantly improved in the HBO group.⁴⁶ Similar results were obtained in another study that enrolled 505 patients with various types of cancers in a clinical trial investigating the impact of HBO with radiotherapy.⁴⁷ Studies have

also been performed with HBO in humans with malignant brain tumors. HBO has been shown to restore normoxia in hypoxic regions in brain tumor tissue in patients.⁴⁸ Clinical studies of HBO in brain cancer patients with glioma, treated with radiotherapy suggest that HBO improves survival.⁴⁹ Other studies, however, suggest a high rate of complications from HBO + radiotherapy, including radiation necrosis and seizures.⁵⁰ Overall, the results from HBO + radiotherapy are encouraging, but HBO has not emerged as the mainstream therapy and further study is warranted and necessary to establish the efficacy of HBO in cancer treatment.⁵¹

Recently, the use of 60% supplemental oxygen has been explored preclinically to increase the effects of the immune system in the tumor microenvironment.^{38,52} In these studies, the reversal of hypoxia decreased the accumulation of extracellular adenosine in the tumor, thereby decreasing the intensity of the A_{2A} adenosine receptor (A_{2A}R) mediated immunosuppression. This led to an increase in the activity of T and NK cells, promoting tumor regression and survival.³⁸ The results from these studies demonstrate the potential value that supplemental oxygen could have in the clinic when administered concomitantly with existing cancer immunotherapies. A challenge associated with this immunological adjuvant is that preclinical studies suggest that patients would require 24 hour/day respiratory hyperoxia to show optimal results. Further studies are required to determine the best method to move this practice from bench to bedside.

Another approach, to potentially reverse tumor hypoxia, has been to use allosteric hemoglobin modifiers. RSR13 (Efaproxiral) is a small molecule that binds non-covalently in the central water cavity of the hemoglobin tetramer and reduces hemoglobin oxygen-binding affinity. By facilitating the release of oxygen from hemoglobin, RSR13 causes an increase in whole blood p50 (pO₂ for 50% hemoglobin saturation) and an increase in tissue pO₂.^{53,54} Research in Efaproxiral was discontinued when the results of the Phase III ENRICH trial in patients with breast cancer and hypoxic brain metastases treated with whole brain radiation therapy (RT) and Efaproxiral failed to demonstrate significant improvement in overall survival.⁵⁵

Molecules to improve the diffusion of oxygen are another strategy being tested to reverse tumor hypoxia. Trans sodium crocetinate (TSC) is a kosmotrope that causes physical changes in blood plasma allowing oxygen to diffuse more quickly from red blood cells to the vascular wall.⁵⁶ TSC is under clinical development as a radiosensitizer for glioblastoma multiforme (GBM), pancreatic cancer and brain metastases. A Phase Ib/II clinical trial in GBM patients treated with

chemoradiation and TSC has been completed.⁵⁶ Diffusion Pharmaceuticals, the company developing TSC, plans to perform a subsequent randomized, placebo-controlled Phase II/III clinical trial of TSC in association with chemoradiation treatment of GBM.

Oxygen transport agents have also been developed to reverse tumor hypoxia. The two basic types include those using hemoglobin and those using fluorocarbons (FCs).¹⁶ Hemoglobin-based agents can be prepared using several different methods such as cross-linked human and animal hemoglobins, polymerized hemoglobins, polyethylene glycol (PEG) conjugated hemoglobins and genetically-engineered hemoglobins. Preclinical studies of both PEG-hemoglobin and liposome-encapsulated hemoglobin demonstrated reversal of tumor hypoxia.^{57,58} However, questions remain about the nonlinear dose response of both hemoglobin-based agents. More recently, a company called Omnix has developed an oxygen carrier, OMX, based on the heme nitric oxide/oxygen-binding protein that has been shown, preclinically in FL261 tumor-bearing mice, to decrease hypoxia levels and thereby reverse the immunosuppressive tumor microenvironment.⁵⁹ In the future, OMX may work synergistically with radio and immunotherapy to improve GBM patient outcomes.

FC-based agents consist of fluorochemicals stabilized in emulsions. FCs can dissolve considerable amounts of oxygen based on a diffusion process from higher to lower concentration areas and thus, are able to deliver oxygen through passive diffusion in regions of hypoxia.⁶⁰ Unlike hemoglobin's localized chemical binding to oxygen molecules, a FCs' oxygen solubility is directly proportional to the gas' partial pressure.⁶¹ Therefore, oxygen can be largely extracted from FCs at a rapid pace when needed. This dramatically differs from the highly regulated chemical binding and release of oxygen from hemoglobin, resulting in significant differences in oxygen transport and delivery.¹⁶ Furthermore, contrary to supplemental oxygen, FCs are not limited by the carrying capacity of hemoglobin or plasma, leading to maximal oxygen delivery.

Recently, FCs, specifically perfluorohexane, have been tested preclinically to enhance PDT by loading a photosensitizer directly into the FC nanodroplets.⁶² While still under development, this novel technology could have a wide impact on the use of PDT in the clinic. A number of different FCs have also been studied as potential radiosensitizers, including Oxygent™ (perfluorooctylbromide (PFOBe), perflubron) and Fluosol(R)-DA 20% (perfluorodecalin (PFDe) with perfluorotripropylamine).⁶³⁻⁶⁶ However, they required high doses of the FC, which negatively affected the safety profile

of the drug. Up until recently, Fluosol was the only FC to be studied clinically as a radiosensitizer specifically for the treatment of GBM. Like other drug products from this class of therapeutics, the high doses of Fluosol required to demonstrate efficacy led to significant adverse events in patients.

A more recent FC to be tested as a radiosensitizer is (DDFPe, NVX-108). NVX-108 is an emulsion of 2% DDFP in stabilizers (sucrose, PEG-Telomer-B [PTB]) and phosphate buffered saline (pH 7.2). Stoichiometrically, DDFP has 200 times the oxygen carrying capacity compared to human hemoglobin. Compared to Oxygent and Fluosol, DDFPe carries far more oxygen per gram of FC, as shown below in Figure 4.⁶⁷ To generate the data summarized below in Figure 4, 2% w/vol emulsions of each FC, DDFPe, PFDe and PFOBe were prepared, and the oxygen carrying capacity for each emulsion was compared at 37°C. As shown below, DDFPe carries far more oxygen per gram of FC. Compared to PFOBe and PFDe (boiling points=142°C), the boiling point of DDFPe is much lower (29°C). As the DDFPe nanodroplets are exposed to oxygen, particle sizing studies show an increase in particle diameter from about 200 nm to about 400 nm. The low boiling of DDFPe and ability of the particles to increase in diameter as they imbibe oxygen may at least partly explain the enhanced oxygen carrying capacity compared to the higher boiling point FCs. Additionally, DDFP has a higher proportion of methyl groups than the higher molecular weight FCs, which may also account for some of the improved oxygen carrying capacity per gram of FC.⁶⁰

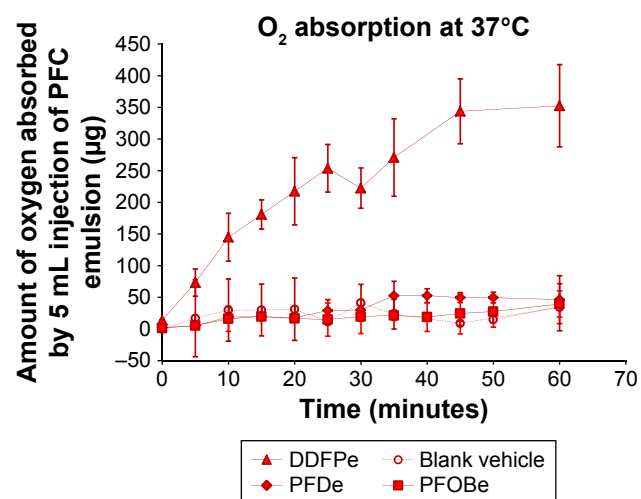


Figure 4 DDFPe carries more oxygen than PFOBe or PFDe.

Note: Reproduced with permission from Taylor & Francis Ltd, In vitro comparison of dodecafluoropentane (DDFP), perfluorodecalin (PFD), and perfluorooctylbromide (PFOB) in the facilitation of oxygen exchange. *Artif Cells Blood Substit Immobil Biotechnol.* Copyright © 2009 Taylor & Francis Ltd.

Abbreviations: DDFPe, dodecafluoropentane emulsion; PFOBe, perfluorooctylbromide; PFDe, perfluorodecalin emulsion.

NVX-108 as a radiosensitizer

Initial preclinical studies for NVX-108 demonstrated its promise as a radiosensitizer. In female SCID mice (n=29) implanted in the flank with human pancreatic tumor, xenografts (Hs-766T), survival of the NVX-108 treated group (NVX-108 + RT + carbogen) was twice that of mice treated with RT alone (RT + carbogen). Tumor pO₂ was measured in the pancreatic xenografts with an oxygen fiber-optic probe (OxyLab). Compared to carbogen alone, NVX-108 + carbogen resulted in a 400% increase in tumor oxygen levels.⁶⁸ Note that results from a preclinical study in a different tumor model analyzing the effects of TSC only saw an increased tumor pO₂ of 40%.⁶⁹ In another study of DDFPe (identical material to NVX-108 but without a physiological buffer), administration with carbogen completely reversed radiation resistance in rats with Morris hepatoma.⁷⁰

NVX-108 is being tested clinically in patients with primary GBM. GBM is a primary malignant tumor of the brain with average survival of only about 14–15 months after standard treatment⁷¹ that consists of surgery (if operable) followed by RT with concomitant radiosensitizing CT (temozolomide [TMZ]). RT is administered in fractions; usually 30 fractions of 2 Gray each over 6 weeks.⁷¹ GBM is known to be hypoxic with pO₂ measurements about 1/10th the level of pO₂ in normal brain tissue.^{4,72–74} The cause of the hypoxia is uncertain, but it might arise from thrombosis of small blood vessels due to production of pro-coagulant factors by tumor cells.^{75,76} Hypoxia leads to necrosis of parts of the GBM and also the switching on of expression of angiogenic factors, most notably vascular endothelial growth factor.^{77–80} As mentioned previously, an important consequence of tumor hypoxia is relative resistance to therapeutic radiation. This occurs because radiation-induced killing of malignant cells depends on the production of oxygen free radicals by the ionizing radiation.¹⁷ Similarly, hypoxic cells can become chemoresistant due to decrease in drug action in the absence of O₂, limited drug diffusion and inability to deliver to cells distant from functional vasculature.⁸¹ NVX-108 is being developed to deliver oxygen to hypoxic GBM tissue, which will boost the effectiveness of frontline treatment with both RT and CT.

Specific to the CT used in GBM, a recent *in vitro* study demonstrated the effects of hyperoxia on human glioblastoma cells resistant to TMZ.²³ TMZ-sensitive GBM cells were repetitively exposed to TMZ to develop subclones of TMZ-resistant GBM cells. These TMZ-resistant cells were then exposed to varying oxygen levels, with or without TMZ treatment. The results showed that the TMZ sensitivity of both chemo-sensitive and resistant cells was significantly

increased under hyperoxia. The specific mechanism of hyperoxia resulting in enhanced TMZ toxicity was hypothesized to be due to the induction of apoptosis, specifically via MAPK-related pathways.²³ This study suggests that increased oxygen delivery may potentially result in an improved clinical outcome in both TMZ responders and non-responders.

NVX-108 was tested in an Australian Phase Ib/II dose finding, pharmacodynamic study of NVX-108 combined with radiation and TMZ in patients with newly-diagnosed GBM (NCT02189109).⁸² All patients received standard chemoradiation consisting of 30 fractions of focal brain radiation (total 60 Gray, given as 2 Gray fractions, 5 days per week for 6 weeks) with concurrent oral TMZ at a dose of 75 mg/m² day, 7 days per week for 6 weeks. NVX-108 was administered by *i.v.* infusion over 30 minutes in combination with each fraction of radiation. Patients continuously breathed either 100% oxygen or carbogen (a mixture of oxygen 98% and carbon dioxide 2%) from the start of each NVX-108 infusion. Preliminary studies at the University of Arizona have demonstrated that there is no difference between carbogen and 100% oxygen with respect to oxygenation of tumors in mice.¹⁰ Reversal of tumor hypoxia was monitored using TOLD MRI on some of the patients, pre and post-administration of NVX-108. TOLD MRI showed significant decrease in T1 in tumor tissue ($P=0.015$) with no significant change in normal brain tissue.⁸²

The Phase Ib/II study consisted of an initial dose exploration phase with a starting dose of 0.05 mL/kg and a dose expansion phase at the MTD of 0.1 mL/kg. An accelerated dose-escalation scheme was employed, using NVX-108 dose levels of 0.05, 0.1, 0.17, 0.25 and 0.35 mL/kg. The MTD was determined to be 0.1 mL/kg, with dose limiting toxicity in the 0.17 mL/kg group being delayed radiation necrosis. Presently, nine patients have been treated at the MTD.

Human exposure to NVX-108 prior to the Phase Ib/II clinical study had been performed when the material was tested as an ultrasound contrast agent with the hypobarically-activated compound (activated by pulling back on the filled syringe to apply negative pressure). In clinical pharmacokinetic studies, human volunteers received two doses of DDFPe (24 hours apart). The maximum administered dose (0.35 mL/kg via rapid *i.v.* bolus) resulted in no significant adverse effects. Review of the earlier PK data indicates a terminal half-life for DDFPe of about 90 minutes in humans with clearance via exhalation.

As mentioned previously, Fluosol is the only FC emulsion besides NVX-108 to be tested in clinical studies as a

Table 3 Comparison of safety profile of NVX-108 vs Fluosol in clinical studies as a radiosensitizer

Agent	w/vol % FC	Dose/kg (gFC/kg)	Indication	Frequency dosing (total doses)	Acute complications
NVX-108 ⁸²	2	0.1 mL (0.002)	GBM	5 × week, 30 doses	The only definite TEAE was a brief episode of dizziness that occurred in one patient. Two patients had radiation necrosis that was deemed probably related to NVX-108.
Fluosol ⁶⁴	20	8.0 mL (1.6)	Head and neck	1 × week, five doses	Four of 15 patients experienced flushing, warmth or chest pressure with the first dose. One patient had fever up to 38.5°C. Increased liver enzymes, alk phos, SGOT and SGPT in 8/15 patients. Alk phos remained elevated for about 3 months.
Fluosol ⁶⁵	20	8.0 mL (1.6)	GBM	1 × week seven doses	2/3rds of patients had allergic reaction, 11 of 18 patients had elevations in alk phos, SGOT and SGPT. Liver function abnormalities persisted through treatment. Most resolved by 3 months.
Fluosol ⁶⁶	20	10.33–15.50 mL (2.1–3.1) ^a	Recurrent GBM	1 × week six doses	98 patients were enrolled. Alterations of vital signs occurred in sixty-eight subjects (69.4%) within 5 to 10 minutes of Fluosol test dose or infusion. At least one nonlethal Fluosol-related adverse event occurred in 32% of the 98 subjects evaluable for safety (76 occurrences). Most frequently reported were Fluosol-related nausea/vomiting (16 occurrences), flushing, dyspnea, and chest tightness/chest pain (seven occurrences each) and back pain (four episodes). Six events were grade 3.

Note: ^aThe dose reported in this study was converted from the cumulative dose 400–600 mL per M² assuming 60 kg body weight corresponds to 1.55 M².

Abbreviations: GBM, glioblastoma multiforme; FCs, fluorocarbons; SGOT, serum glutamic oxaloacetic transaminase; SGPT, serum glutamic pyruvic transaminase; TEAE, treatment-emergent adverse event.

radiosensitizer. However, the doses of Fluosol required in the clinical trials were approximately 800–1600-fold higher than for NVX-108, on a gram basis of FC. Table 3 summarizes the results of clinical studies of NVX-108 and Fluosol as radiosensitizers.

Not only were the doses of Fluosol in these trials much higher than those of NVX-108, but Fluosol could only be administered a maximum of one time per week (unlike NVX-108 which can be administered during each fraction of radiation – five times per week). While there have been minimal and no serious acute adverse events with administration of NVX-108, Fluosol administration was associated with significant adverse events. In the largest study of 98 patients with recurrent GBM, 68% of patients had alterations of vital signs. Overall, allergic reactions including altered liver enzymes, nausea and vomiting as well as other adverse reactions were common. NVX-108 is the first oxygen-therapeutic capable of administration during each fraction of RT without causing significant adverse reactions. Current results from the Phase Ib study of NVX-108 in GBM patients indicate safety and a trend of improvement in the overall survival of patients.

Conclusion

Tumor hypoxia is a prevalent and major obstacle to effective cancer treatment with radiotherapy, CT and immunotherapy. A variety of different methods have been tried to reverse tumor hypoxia and to date there are no FDA approved treatments to reverse tumor hypoxia. At least two different

products designed to reverse tumor hypoxia are currently under clinical trials. One of these is designed to improve diffusion of oxygen. The other is a low boiling point FC that carries more oxygen per gram than other FCs that had been previously tested. Randomized, prospective, placebo-controlled clinical trials will be necessary to show efficacy of the new drugs in treating tumor hypoxia.

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Disclosure

Ms Kaitlin Graham is an employee of NuvOx Pharma and owns stock in the company. Dr Evan Unger is President and CEO of NuvOx Pharma, serves on the Board of Directors, and owns stock in the company. Dr Unger is also a patent holder of the NuvOx Pharma technology. The authors report no other conflicts of interest in this work.

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