

# Nanotechnology-enabled materials for hemostatic and anti-infection treatments in orthopedic surgery

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**Abstract:** The hemostatic and anti-infection treatments in the field of orthopedics are always the pivotal yet challenging topics. In the first part of this review, synthesized or naturally derived nanoscale agents and materials for hemostatic treatment in orthopedic surgery are introduced. The hemostatic mechanisms and the safety concerns of these nanotechnology-enabled materials are discussed. Beside the materials to meet hemostatic needs in orthopedic surgery, the need for antimicrobial or anti-infection strategy in orthopedic surgery also becomes urgent. Nanosilver and its derivatives have the most consistent anti-infective effect and thus high translational potential for clinical applications. In the second part, the factors affecting the antimicrobial effect of nanosilver and its application status are summarized. Finally, the status and translational potential of various nanotechnology-enabled materials and agents for hemostatic and anti-infective treatments in orthopedic surgery are discussed.

**Keywords:** nanotechnology, hemostatic, antimicrobial, orthopedic surgery

## Introduction

The emergence of nanotechnology, which explores and utilizes the properties of materials altered tremendously on the nanoscale (1–100 nm or  $10^{-9}$ – $10^{-7}$  m) or atomic scale, brings in extraordinary opportunities and also challenges in medical science and biomedical engineering fields.<sup>1</sup> National Institutes of Health reviewed the applications of nanotechnology for monitoring, diagnosis, and treatment of human diseases and has therefore introduced the term “nanomedicine” to describe these applications.<sup>2</sup> Nanotechnology has offered a variety of new therapeutic and diagnostic options for orthopedic clinical practices and new approaches for improving the performance of current orthopedic implants. Recently, there is growing interest in the utilization of nanotechnology for hemostatic and anti-infective treatments in orthopedic surgery, which has exhibited large potential and promise for translational research and clinical applications.

Blood is responsible for transport of gases and nutrients to organs and tissues as well as providing immune surveillance and hemostatic responses as needed. Hence, loss of blood can result in a variety of pathologic scenarios that cause tissue morbidities and mortalities.<sup>3</sup> For hemostatic purpose, nanomaterials have been reported to possess a number of physicochemical properties (such as chemistry, particle size, geometry, charges, and surface properties) that can vigorously influence the components of the coagulation system.<sup>4</sup> For example, nanoparticles with sizes <100 nm have superior penetration ability, resulting in fast cellular uptake and contact activation of coagulation

factors. Nanomaterial surface charge can also induce cell membrane damage or alter protein interactions that relate to contact activation or depletion of coagulation factors. The shape of nanoparticles can also affect cellular uptake and penetration in the intracellular structures of blood cells. These factors together with the nanomaterial composition have been reported to cause toxicity, induction of inflammation, and generation of oxidative stress.<sup>4</sup>

In addition to hemostatic problem, postoperative infection associated with medical implants emerges as a formidable but critical problem in orthopedic surgery and therefore stimulates many researches in the nanotechnology field. In terms of antimicrobial effect, nanosilver has the well-established, consistent efficacy and therefore the most translational potential among nanomaterials. Nanosilver has been used for the treatment of burns and also in some dental and urological practices.<sup>5–7</sup> Prototypes of nanosilver anti-infective products like nanosilver dressing have also been developed.<sup>8</sup> Nanotechnology-enabled dressing has shown numerous benefits including strong adhesion to tissue, easy to mold, and preventing infection while promoting wound repair.

In the past decade, there are significant progresses in the hemostatic nanotechnology and anti-infective nanosilver for orthopedic surgery. This article reviews the progresses and advances in these fields and summarizes the understanding of possible mechanisms underlying the improved performance of such nanotechnology-enabled materials. Translational potential and important safety concerns related to these nanomaterials are also discussed.

## Nanotechnology-enabled hemostatic materials

### Naturally derived nanomaterials

#### Oxidized cellulose

Traditional oxidized cellulose hemostatic materials suffer from the disadvantages of low specific surface area, water insolubility, and slow hemostasis performance due to single hemostatic mechanism.<sup>9</sup> A new nanotechnology-enabled oxidized cellulose hemostatic material alters the surface layer structure of the oxidized cellulose that contains nano-sized oxidized regenerated cellulose sodium and collagen particles. The porosity of this nano-sized material reaches as high as 90%, and this sponge-like material has a better hemostatic effect than normal and even surgical gauzes. Due to the porous structure, the amount of blood absorbed by the oxidized cellulose hemostatic nanomaterial is superior to ordinary ones, which can reduce the incidence of postoperative hematoma.<sup>9</sup> This nanomaterial is shown to

significantly shorten bleeding time and clotting time in a rabbit model and is expected to reduce the bleeding time in surgical operation as well as the overall operation time. In addition, further studies on nanocellulose have reduced the defects in the structure and increased its water solubility by surface modification methods such as two-step oxidation and alkalization and physical method of mechanical processing. These processes prevent the formation of strong hydrogen bonds within the cellulose, causing the adhesion between the cellulose polymer chains to decrease.<sup>9</sup>

Oxidized cellulose has also been fabricated into bioabsorbable hemostatic nanomaterials.<sup>10</sup> Soluble oxidized cellulose or oxidized regenerated cellulose are used as substrates to dissolve base material and underwent high pressure filtration to obtain nanoparticles with sizes between 10 and 500 nm. The nanoparticles are then concentrated in vacuum, wind-dried, and then sifted to obtain nano- to microcrystalline particles. The resultant nanomaterials are bioabsorbable and suitable to meet the hemostatic needs of various types of surgical wounds, especially the wound with long and narrow shapes that need minimally invasive surgery. In these complex scenarios, the nanomaterials can be easily delivered to the wound site through a pipeline spraying device and are effective and convenient to stop bleeding.<sup>10</sup>

**Halloysite nanotube (HNT)/chitosan nanocomposite**  
HNTs, nanotube-like natural mineral, have also demonstrated great potential in wound management and surgical applications due to their high mechanical strength, acceptable biocompatibility, hemostatic property, and wound-healing ability.<sup>11</sup> Whole-blood clotting experiment suggests that HNTs can increase the blood clotting rates of naturally derived chitosan, so HNT/chitosan nanocomposite has been developed. The nanocomposite sponges with 67% HNTs shows an 89.0% increase in the clotting ability compared with pure chitosan. Flexible 3D porous HNT/chitosan nanocomposite has also been developed. Biological evaluations of the nanocomposite revealed good cytocompatibility by fibroblasts and endothelial cells and enhanced blood clotting and platelet activation ability compared with chitosan and controls.<sup>11</sup>

#### Nanofibrous membrane of carbonized hair

Chinese herbal medicine *crinis carbonisatus* is essentially the carbide of human hair, and pharmacological studies reveal its benefits in blood clotting, vascular embolization, and antibacterial effect.<sup>12</sup> Cost-efficient *crinis carbonisatus* is loaded to nanofibrous membrane by electrospinning technique. The

porous structure of the nanofibrous membrane facilitates the exchange of nutrients and oxygen near wound site, promotes the repair of tissue damages in the wound area, and restores normal functions of tissue. The high specific surface area and porous structure of nanofibrous membrane are also favorable for cell adhesion, extension, proliferation, and differentiation. Criniscarbonisatus nanofibrous membrane, therefore, has several advantages including strong hemostatic effect, nontoxicity, and anti-infective capacities,<sup>13</sup> revealing high potential for hemostasis management and broad applications in orthopedic surgery like trauma treatments. For example, compared with medical gauzes or absorbable gelatin sponges, nanofibrous membrane of carbonized hair was shown to significantly reduce the bleeding time in hemorrhage models of rabbit ear vein or liver and decrease the volume of bleeding in the liver hemorrhage model. Hemostasis study also revealed that this nanomaterial induced no significant pathological change around the liver and spleen.<sup>14</sup>

## Synthetic hemostatic nanomaterials

### Mesoporous silica-based xerogels

Novel Ca/P-containing mesoporous silica-based xerogels (also known as CaMXS) with good biodegradability and low heat generation have been developed for hemorrhage control.<sup>15</sup> This type of hemostatic nanomaterial possesses uniform tunable nanopores (sizes less than 50 nm) and high specific surface areas (up to 1,400 m<sup>2</sup>/g) and high biodegradability.<sup>16</sup> CaMXS exhibited good coagulation function evaluated by whole blood and accelerated both the intrinsic and extrinsic coagulation processes. The large specific surface area and Ca/P components of CaMXS are mainly responsible for the hemostatic capacity. Similar mesoporous silica xerogels can be used for hemostasis management in various bleeding scenarios, including slow and rapid bleeding, and especially suitable for bleeding in the bone defect.

On the one hand, the great surface area and water adsorption capability of the silica-based xerogel can rapidly counterbalance the hydration heat energy to overcome the disadvantages of exothermic zeolite materials when contacting with blood.<sup>15,17,18</sup> On the other hand, tailored mesoporous structure and pore sizes are attributed to the superfast hemostatic effects of nanomesoporous molecular sieves. Silica-based xerogel is also a biologically active and biodegradable material; it can release Si ions during degradation and the proper concentration of released Si ions is known to stimulate bone cell functions and accelerate bone healing. In addition, the mesoporous structure can be used to load drugs, thrombin,

and other bioactive factors to further improve the hemostatic and therapeutic effects.<sup>16</sup>

### Self-assembled peptides

Nanostructured self-assembled peptides are another category of promising nanomaterials that have been designed and developed for hemostasis application.<sup>19–21</sup> For example, peptide sequence RADA16 (AcN-RADARADARADARADA-CONH<sub>2</sub>) is a widely studied formula.<sup>22,23</sup> When anion strength is increased or the external pH value is raised to neutral mimicking the physiological condition, the peptides spontaneously self-assemble into a 3D hydrogel scaffold<sup>24,25</sup> and they may interweave into a 3D nanofiber matrix and form a jelly-like hydrogel.<sup>26,27</sup> Another synthetic self-assembling peptide AC5 might also become a critical tool to rapidly and safely stop bleeding even in the presence of anticoagulation agent, which is reported to effectively shorten the time of surgery.<sup>28</sup> AC5 could achieve hemostasis in <30 seconds in the brain, spinal cord, liver, femoral artery, and skin of small animals.<sup>28,29</sup>

AC5 acts as a simple barrier without interacting with or being influenced by the clotting cascade and it appears to provide effective hemostasis without harming surrounding tissues or cells, including red blood cells (RBCs). AC5 does not rely on heat, pressure, platelet activation, adhesion, vasoconstriction, or desiccation to stop bleeding. The material, which initially is a water-like liquid, turns to a gel when contacting with bodily fluid. It is biocompatible, and its biodegradation products are natural and can be used by the body to rebuild tissue or excreted in the urine. This nanomaterial can be injected or sprayed at the required location, allowing for minimally invasive surgery and prophylactic applications. Furthermore, AC5 easily fills in and conforms to the irregular shapes of the wounds before assembling and does not cause adverse immune response or direct tissue damage.<sup>28–30</sup>

### Ethylene oxide and propylene oxide gels with nanohydroxyapatite/alginate

Bioactive hydroxyapatite (HAp), a main component in human skeletal bone,<sup>31</sup> and alginate (AG), a natural polysaccharide extracted from brown sea algae, are biocompatible, hydrophilic, and biodegradable under physiological conditions. Sponge-like AG that is formed by cross-linking in the presence of calcium ions possesses hemostatic effect.<sup>32,33</sup> In a study, porous spherical nano-HAp/AG granules was combined with ethylene oxide (C<sub>2</sub>H<sub>4</sub>O) and propylene oxide (CH<sub>3</sub>CHCH<sub>2</sub>O) (EPO) gel, a biodegradable material used for

protein purification,<sup>34</sup> to form a new hemostatic nanomaterial. The stanching effect on blood was evaluated on pure EPO and the nanomaterials and results indicated that the stanching times were ~5 and 11 hours for EPO gels containing nano-HAp/AG granules and pure EPO gel, respectively. Also, the time of the nanomaterials for stanching blood is satisfactory to meet the need for surgery.<sup>35</sup>

### Polyphosphate-bound gold colloids

A number of blood coagulation studies indicate that polyphosphate-bound gold nanoparticles can effectively shorten blood clotting time and have many advantages for localized internal hemorrhaging treatments.<sup>36</sup> Studies have shown that gold nanoparticles are the optimal core to bind polyphosphate chains. Gold nanoparticles exhibit promising stability at neutral pH in water and are more effective or stable than dendrimers or polystyrene to bind polyphosphate. Studies show that nanoparticles >50 nm have the potential to further enhance blood clotting kinetics through contact pathways, and concentrated gold nanoparticles appear to yield higher concentrations of polyphosphate and can also shorten the time to clot. Also the combination of intrinsic and extrinsic pathways by using functionalized polyphosphate gold nanoparticles opens a very promising avenue to develop an intelligent treatment for internal hemorrhaging.<sup>36</sup>

### Nanofibrous gelatin membrane

Remonda et al<sup>37</sup> and Coln et al<sup>38</sup> have long reported the excellent hemostatic effect of collagen. Gelatin is a partially hydrolyzed collagen with good hemostatic property, biocompatibility, and biodegradability and has obvious cost advantages compared with collagen. Hemostatic mechanism of gelatin includes the adhesion and activation of platelets which promote blood clot formation to stop bleeding. A new hemostatic agent<sup>39</sup> showed the same biochemical crosslinking chemistry as the final stages of the blood coagulation cascade while using gelatin as a “structural” protein (rather than fibrin). In rat liver hemostasis models, the hemostatic agent showed a similar hemostatic effect as that of SURGIFLO® (as positive control) but higher adhesion strength and elasticity than SURGIFLO®.

### Single-walled carbon nanotubes (SWNTs)

In recent years, many studies have been devoted to the investigation of the interaction between carbon nanotubes and blood proteins and cells.<sup>40</sup> In particular, it has been shown that SWNTs added either to isolated platelets or platelet-rich plasma can stimulate platelets to aggregate.<sup>37,41–43</sup> Studies have also shown that SWNTs interact simultaneously with many factors of hemostasis, and their effect on blood coagulation

is not only limited to inducing platelet aggregation. Further study on modified SWNTs showed that carboxylated SWNT shortens the clot formation time five times compared with the platelet-poor plasma.<sup>44</sup> Interestingly, when administrated into bloodstream of animals, functionalized SWNTs exhibit procoagulant and pro-aggregating properties and diminish their negative influence on blood cells. These promising effects for hemostasis applications could be attributed to the significant changes in nanotube surface properties and interaction between nanotubes and cells after surface modification or functionalization of SWNTs.<sup>44</sup>

### TiO<sub>2</sub> nanotubes

Typically, TiO<sub>2</sub> nanotubes can be readily prepared by anodization of titanium in an electrolyte comprising dimethyl sulfoxide and HF and then dispersed by sonication if needed. Studies on the interaction between blood and TiO<sub>2</sub> nanotubes demonstrate significantly stronger clot formation at reduced clotting times when compared with pure blood.<sup>45</sup> TiO<sub>2</sub> nanotubes are found to act as a bioactive scaffold to facilitate fibrin formation. These understandings suggest that TiO<sub>2</sub> nanotube-functionalized bandage could be used to stimulate fast hemostasis or stop hemorrhage. In details, studies indicate that the activated clotting time of blood was reduced approximately by 10% (from 285 seconds for pure blood to 260 seconds) when blood was in contact with nanotube-decorated gauze bandages or with nanotubes directly. Also the blood directly in contact with nanotubes was found to have increased blood clot strength by ~75% (from 2.21 kPa for pure blood to 3.87 kPa for blood containing 1 mg/mL of nanotubes), suggesting that the gauze bandages decorated with TiO<sub>2</sub> nanotubes could improve both the rate of blood clot formation and the strength of blood clot.<sup>45</sup>

### Synthetic platelets based on Arg-Gly-Asp-functionalized nanoparticles

Natural platelets have a short shelf life and the administration of allogeneic platelets can cause graft-versus-host diseases, alloimmunization, and transfusion-associated lung injuries.<sup>46</sup> An approach of synthetic platelets based on functionalized nanoparticles that bind to activated platelets to augment clotting in a safe localized manner has been developed. This nanosystem leverages existing biological processes by providing a nanostructure that binds to activated platelets and enhances their rate of aggregation and subsequently stops bleeding. The synthetic platelets halved bleeding time after intravenous administration in the femoral artery injury model, and it performed significantly better than activated recombinant factor VIIa, which is currently used in the

clinics for managing uncontrolled bleeding. It was reported that nanospheres functionalized with polyethylene glycol (PEG) branches with a molecular weight of 4,600 Da and the Gly-Arg-Gly-Asp-Ser moiety led to the greatest platelet adhesion and aggregation *in vivo*.<sup>47</sup>

### nHA-graft-poly(D,L-lactide) nanocomposite

nHA-g-poly(D,L-lactide) (PDLLA) nanocomposites were synthesized by *in situ* grafting polymerization of DLLA from the surface of HAp nanoparticles (also known as nHA) under argon atmosphere according to previous works.<sup>48,49</sup> The surface morphology and porous structures of nHA-g-PDLLA nanocomposite microspheres can be well controlled by both alkaline treatment and nanocomposite composition, allowing nHA-g-PDLLA microspheres to possess huge specific surface area to activate blood clotting.<sup>50</sup> It was found that the number of platelets adhered onto the nanocomposite microspheres increased with increasing alkaline treatment time. The inorganic HA nanoparticles and their exposures on the pore surface also played an important role in platelet adhesion as well. They not only strongly absorb protein constituents in blood but also release high  $\text{Ca}^{2+}$  concentration for greatly accelerating the coagulation cascade. Specifically,  $\text{Ca}^{2+}$  ions promote the secretion of hemostatic mediators of platelets<sup>51,52</sup> and the transformation of fibrinogen to fibrin.<sup>50,51</sup>

## Hemostatic mechanisms of nanomaterials

Table 1 provides an “at-a-glance” summary of various representative hemostatic materials along with characteristic evaluations.<sup>53</sup> Figure 1 shows the morphology of several

hemostatic materials,<sup>15,35,54</sup> many of which have been reviewed in the previous section. In this section, understandings of important hemostatic mechanisms of nanomaterials are discussed, beginning with a brief review of the physiology of hemostatic procedure.

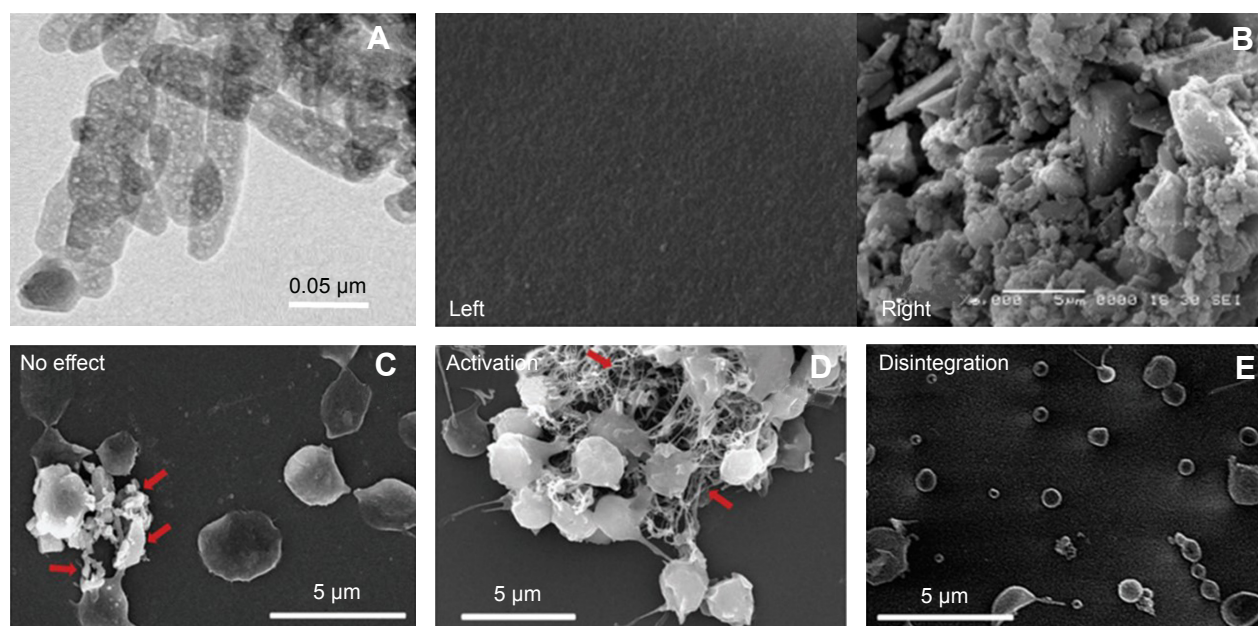
The blood coagulation balance is achieved by the interaction of the blood platelets with the plasma coagulation system and the vascular endothelial cells. In healthy organism, these systems prevent thrombosis and, in the events of vascular damage, enable blood clotting to stop bleeding.<sup>54</sup> In the hemostatic procedure, the effect of coagulation factor is essential and can be categorized into three pathways.<sup>55,56</sup> In the extrinsic coagulation pathway (ECP), tissue factor (TF) is released when tissue and blood vessels are damaged. TF forms a complex with the transformation of the accelerator precursors FVII or activated FVIIa (TF-FVIIa), which activates FX and FIX. It is believed that the ECP was first started in pathological coagulation. Once TF comes into the blood, the process of coagulation can be significantly promoted.<sup>56</sup> In the intrinsic coagulation pathway, which is usually associated with vascular wall injury, subendothelial tissue components (collagen, etc. expose and FXII is activated by collagen into FXIIa; a small amount of FXIIa combined with high molecular weight kininogen (HMWK) then converts prekallikrein to kallikrein, which can quickly feedback-activate FXII with HMWK. The activated factor XII (FXIIa) reactivates FXI and FXIa, and ionized calcium ( $\text{Ca}^{2+}$ ) reactivates FXI. FXIa forms a complex with  $\text{Ca}^{2+}$ , FVIIIa (activated by thrombin), and PF3 (phosphatidylserine), which activates

**Table 1** An “at-a-glance” summary of various representative hemostatic materials

Materials	Fibrin glue and collagen sponge (biological products) <sup>37,38,50</sup>	Acrylates (synthetic products) <sup>79,112</sup>	Microporous polysaccharide hemospheres <sup>18,33</sup>	Oxidized cellulose <sup>9,10</sup>
Origin	Animal origin	Chemical origin	Botanical origin	
Mechanism	Simulates endogenous coagulation	Mechanical sealing	Mechanical sealing and simulates endogenous coagulation	Simulates endogenous coagulation
Working time	5–10 minutes		A few seconds	5–10 minutes
Adhesive strength	High		Very high	High
Degradation/absorption	2–6 months	None	3–5 days	2–6 months
Hemostatic effect	Acceptable		Very good	Acceptable
Risk of virus infection	Medium	Low		
Histocompatibility	High	Low	Very high	High
Toxicity of degradation products	Very low	Medium	Very low	
Side effects	Immune reactions, allergies, and other animal-borne diseases	Allergic reactions and local formation of induration	Not reported	Decomposition of acidic products may affect tissues like nerve

**Note:** Data summarized from references 9, 10, 18, 33, 37, 38, 50, 79, 112.





**Figure 1** (A) TEM micrographs of HAp nanoparticles. The sizes of the rod-shaped primary particles were  $\sim 30 \times 50$  nm. (B) Left: SEM image shows that almost no platelet was observed on the control group (i.e., silica-based xerogels without the xerogels); Right: on the silica-based xerogels, the amount of platelets was dramatically increased on the CaMX. (C) Field-emission scanning electron microscopy (FESEM) image shows no effect or slight activation of platelets with C60 fullerene; and (D) FESEM image shows strong activation of platelets with multiwalled carbon nanotubes; (E) FESEM image shows disintegration of platelets with aminoterminated PAMAM dendrimer. Red arrows indicate nanomaterials. **Notes:** (A) Adapted from Hama C, Umeda T, Musha Y, Koda S, Itatani K. Preparation of novel hemostatic material containing spherical porous hydroxyapatite/alginate granules. *J Ceram Soc Japan*. 2010;118(1378):446–450. Creative Commons license and disclaimer available from: <http://creativecommons.org/licenses/by/4.0/legalcode>.<sup>35</sup> (B) Republished with permission of Trans Tech Publications Ltd, from [Development of a novel CaP-containing mesoporous silica-based xerogels used as hemostatic material with good biodegradability and low heat generation, Li XS, Lin W, Xin FL, Yuan Y, Liu CS, Long Da C, vol 340, copyright 1994]; permission conveyed through Copyright Clearance Centre, Inc.<sup>15</sup> (C) and (D) Copyright ©2017. Dove Medical Press. Adapted from Simak J, De Paoli S. The effects of nanomaterials on blood coagulation in hemostasis and thrombosis. *Wiley Interdiscip Rev Nanomed Nanobiotechnol*. 2017;9(5):e1448.<sup>54</sup>

**Abbreviations:** HAp, hydroxyapatite; TEM, transmission electron microscopy; SEM, scanning electron microscopy.

FX as FXa. It is thought that the initial thrombin directly activates FXI and converts FXI into FXIa. Beside, in the common coagulation pathway, activated FXa forms a complex prothrombin with PF3,  $\text{Ca}^{2+}$ , and FVa (activated by thrombin). Prothrombinase converts prothrombin (FII) to thrombin (FIIa), and thrombin converts fibrinogen (Fg) to soluble fibrin monomer; thrombin activates FXIII into FXIIIa which causes sFMs molecule cross-linked to the insoluble and stable fibrin, resulting in blood coagulation.<sup>56</sup>

Surface charge of nanoparticles has been proved to be strongly correlated with hemostasis. It is well known that chitosan and its derivatives are positively charged and readily form a cell thrombus or thrombus in combination with negatively charged red blood cells, white blood cells, and platelets, which promotes the secretion of glycosaminoglycans such as hyaluronic acid to speed up wound healing.<sup>57,58</sup> Other materials like surgical hemostatic gauze made of oxidized cellulose or oxidized regenerated cellulose are based on the hemostatic mechanism in which the acidic carboxyl groups in the molecule and hemoglobin in the combination of  $\text{Fe}^{3+}$  ions form a sticky plastic block closing capillaries and bleeding,

providing a matrix for platelets adhesion, aggregation, and activation of the body coagulation mechanism.<sup>59</sup>

## Safety concerns

Although nanomaterials have demonstrated great potential in bleeding controls, there are increasing concerns about nanomaterial-induced coagulopathy. Several studies have reported that nanoparticles significantly increase the risk and worsen the prognosis of cardiovascular diseases due to the induction of thrombotic complications,<sup>60–63</sup> which are serious in orthopedic surgery. In healthy individuals, the clot formation and fibrinolytic systems are highly regulated to ensure hemostatic balance, and any dysregulation can lead to impaired or weak clot formation (poor hemostasis and rebleeding) or overly strong occlusive clot growth (thrombosis).<sup>3</sup> Unfortunately, there are an increasing number of studies reporting that engineered nanomaterials may shift the hemostatic balance by perturbation of the coagulation system, causing severe toxic effect. For example, disseminated intravascular coagulation, which is a common complication in sepsis and cancer and may lead to multiple organ failure and even to death when left untreated, has

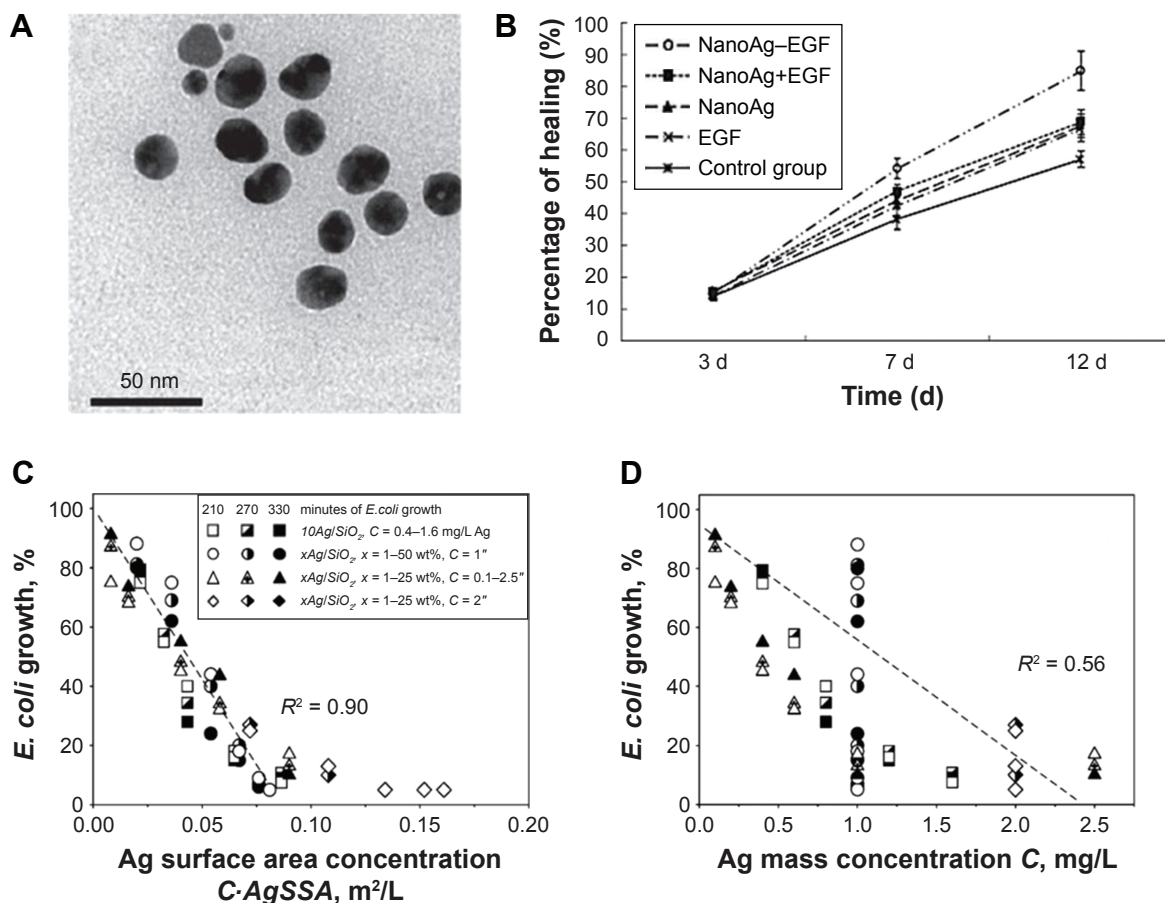
been reported with intravenous administration of certain nanomaterials such as cationic poly (amidoamine) dendrimers and amine-terminated dendrimers.<sup>64,65</sup> Another common life-threatening coagulation disorder, deep vein thrombosis characterized by clot formation in deep veins, has also been reported to be associated with some nanomaterials like multi-walled carbon nanotubes.<sup>41</sup>

More caution should be given to the fact that nanoparticles may affect structure and functions of coagulation factors, endothelial cells, and platelets.<sup>66,67</sup> In addition, each nanoparticle is unique, and adverse effects and mechanisms may vary, even for nanoparticles of the same category.<sup>67</sup> A rule of thumb is that charged and hydrophobic materials are more reactive and often activate coagulation through noncanonical pathways.<sup>68</sup>

## Antimicrobial nanosilver for orthopedic surgery

### Antimicrobial effect of nanosilver

Figure 2 shows the morphology of silver nanoparticles and bactericidal effect of nanosilver.<sup>103,116</sup> Certain concentrations of silver nanoparticles reduce cell viability and increase reactive oxygen species production in Saos-2, but do not interfere with the response of the cells to the osteogenic factors.<sup>70</sup> The antimicrobial properties of nanosilver are closely related to its structural characteristics.<sup>71</sup> Nanosilver particles, which in general possess larger specific surface area than conventional silver particles, increase the likelihood to adhere to bacteria. Studies have revealed that the anisotropy of nanosilver, sizes, chemical composition, stability, and catalytic activity will affect the ability of nanosilver to release silver ions and adsorb into bacterial cell wall.<sup>71,72</sup>



**Figure 2** (A) TEM image of the silver nanoparticles (magnification  $\times 500,000$ ). (B) Comparison of the wound-healing rate in each group at 3, 7 and 12 days post-trauma. EGF, epidermal growth factor. (C) The extent of *E. coli* growth of all data at 210, 270 and 330 min as a function of the silver surface area concentration C. AgSSA; (D) The extent of *E. coli* growth of all data at 210, 270 and 330 min as a function of the silver mass concentration C in suspension.

**Notes:** (A) and (B) Copyright ©2013. Dove Medical Press. Adapted from Zhou JD, Wang SH, Liu R, et al. Study of the biological effectiveness of a nanosilver-epidermal growth factor sustained-release carrier. *Exp Ther Med*. 2013;5(4):1231–1235.<sup>103</sup> (C) and (D) Reprinted from *Chemical Engineering Journal*, vol 2–3, Sotiriou GA, Teleki A, Camenzind A, Krumeich K, Meyer A, Panke S, Pratsinis SE, Nanosilver on nanostructured silica: antibacterial activity and Ag surface area, pages 547–554, Copyright (2011), with permission from Elsevier.<sup>116</sup>

**Abbreviation:** TEM, transmission electron microscopy.

For example, it has been reported that the 111 crystal face of nanosilver is more easily combined with bacteria and has a stronger antibacterial activity,<sup>73</sup> probably due to the higher atomic density and the stronger activity of silver atom on this crystal surface compared with others. Beside, research has shown that silver nanoparticles' surface exposed to oxygen will be oxidized into insoluble silver oxide, decreasing the release of silver ion thus affecting the antibacterial effect of silver nanoparticles.<sup>71</sup>

The antibacterial properties of silver nanoparticles vary with their particle sizes too. In principle, silver nanoparticles of  $5\pm 2$  nm can enter the bacterial interior and nanoparticles less than 10 nm can adsorb on the surface of bacterial cell walls.<sup>74</sup> The specific surface area and surface energy also dramatically increase when particle size decreases, which is also beneficial for the release of silver ions and thereby enhances the antibacterial activity of the silver.<sup>75</sup> It is worth noting that, however, the surface potential of small-size silver nanoparticles is low and the electrostatic repulsion effect is limited, so the particles are easier to agglomerate and thus their antibacterial effect varies.<sup>76</sup>

A common solution to the problem that silver nanoparticles are prone to agglomeration is adding stabilizing agents such as sodium dodecyl sulfate, polyvinylpyrrolidone (PVP), chitosan, and cellulose to the preparation process of nanosilver.<sup>74</sup> But the stabilizers have been shown to influence the antibacterial effect of silver nanoparticles. For example, the stabilizers containing large amount of hydroxyl groups and amino groups are beneficial to the dispersion of silver nanoparticles, but they also inhibit the diffusion of silver ions

and weaken antibacterial activity.<sup>77</sup> However, given the large variations in the size and morphology of silver nanoparticles prepared by different stabilizers, it is difficult to elucidate the effect of stabilizers on the antibacterial properties of the nanoparticles.<sup>74</sup>

At last, many studies suggest that silver nanoparticles themselves can be toxic to the cells and tissues, revealing a dose-dependent toxicity.<sup>78</sup> So the reduction of the nanosilver concentration is always an important biosafety requirement before any human applications.<sup>79</sup> Table 2 shows the evaluation of the antibacterial effect of nanosilver in vivo.<sup>80,81</sup>

## Current trends on the study of nanosilver

### Green synthesis of nanosilver

The present synthesis methods of nanosilver use a large amount of organic solvents, toxic reductants, or stabilizers, which brings great risks for environment, ecosystem, and future clinical applications. Green synthesis of silver nanoparticles becomes an important prerequisite for development and application of these nanomaterials. The strategy of green synthesis includes the usage of solvents with high biological safety like supercritical CO<sub>2</sub>,<sup>82</sup> mild reductants like starch or maltose,<sup>83</sup> and nontoxic intermediates and stabilizers including gelatin.<sup>82,83</sup> Many scholars have adopted reductants, such as glucose, starch, and maltose to prepare nanosilver. For example, Takeshima et al<sup>84</sup> used DNA extracted from trout sperm as a reducing agent for the production of nanosilver with sizes <10 nm. Oluwafemi et al<sup>83</sup> used silver nitrate, gelatin, and maltose as precursors, stabilizers, and reductants,

**Table 2** In vivo evaluation of the antibacterial effect of nanosilver

Evaluation	Samples	Experimental details	Bacterial species studied	Outcome	Reference
Animal study	SD rats	Skin defect created and treatment was applied. Wound healing was monitored after 4, 7, 14, 21, and 28 days	<i>Acetobacter xylinum</i> M12	The wound healing in the treatment group was better, forming thick granulation tissue; fibroblasts and vascular endothelial cells proliferated; formed more collagen fiber bundles; angiogenesis in the dermis was detected	80
Clinical experiment	Shallow II and deep II burn patients	Attached nanosilver dressing to the wound directly after debridement and then bind with sterile gauze. Wound healing was observed and the bacteria taken from wound were cultured	Common bacteria such as <i>Escherichia coli</i> and <i>Staphylococcus aureus</i>	The bacterial detection rate of the treatment group decreased. Wound healing period was significantly shortened	81



respectively, to synthesize a nanosilver with sizes  $<5$  nm. The ingredients used in these synthetic methods are harmless to the human body.

### Extending the function of nanosilver

Nanosilver has been further functionalized with other materials like chitosan and polyvinylpyrrolidone (PVP) to resist infection and repair wounds.<sup>79</sup> Chitosan is reported to have good histocompatibility and biodegradability for the application of wound management, whereas PVP is a synthetic polymer with good histocompatibility. Both chitosan and PVP have been widely used in the preparation of plasma bulking agent, vitreous body fluid substitutes, and so on. Recent studies reported the antibacterial properties in the composites that combined nanosilver with chitosan and PVP. Archana et al<sup>85</sup> showed that the slow release of silver ions, improved antibacterial effect and subsequently enhanced wound repair were achieved by the nanosilver wrapped with PVP and chitosan.

Propolis is a natural substance with antiinflammatory, antioxidant, and antimicrobial properties. The nanosilver synthesized with addition of propolis *in situ* can reduce minimal inhibitory concentration of nanosilver and accelerate the healing of burn wounds in rats.<sup>86</sup> Healing of burn wounds needs to exclude ultraviolet exposure in order to mitigate the adverse reactions such as scar hyperplasia. Arora et al<sup>87</sup> showed that nanosilver with a size of 10–40 nm can protect the DNA of glial cells from UVB radiation damage, indicating that nanosilver may protect the burn wound from the impact of ultraviolet radiation. Compared with commercially available nanosilver products, nanosilver loaded with propolis, chitosan, and collagen has the advantages of promoting tissue repair, broadening antibacterial spectrum, and controllable release of silver ions.<sup>79</sup>

## Status and translational potential of nanotechnology-enabled agents and materials

### Current hemostatic and anti-infective strategies in orthopedic surgery

Current hemostatic strategies in orthopedic surgery Perioperative bleeding and postsurgical hemorrhage are common in invasive surgical procedures, including orthopedic surgery. For example, spine surgery has typically been associated with significant blood loss and transfusion requirements. It is particularly common for multilevel spinal fusion, deformity correction, and anterior–posterior spinal fusion. Data further suggest that both bleeding and resultant transfusions are associated with an increased risk of adverse outcomes.<sup>88</sup> As surgical volume increases, the ability to

minimize the rates of intraoperative bleeding, postoperative anemia, and transfusion is becoming increasingly important to patients and the healthcare system.<sup>89</sup>

There are several currently used strategies for bleeding control and management. In traditional (Bovie) electrocautery, a unipolar device delivers an electrical current to tissues through a pencil-like instrument, and the intraoperative tissue temperatures can exceed 400°C.<sup>90</sup> Bipolar sealer delivers radiofrequency energy through a saline medium, which increases the contact area, acts as an electrode, and maintains a cooler environment during electrocautery. Proposed advantages of this strategy includes reduced tissue destruction and absence of smoke.<sup>90</sup>

### Current anti-infective strategies in orthopedic surgery

Osteomyelitis has been reported in 9% (14% of intensive care unit patients), and deep-wound infection in 27% of type III open-tibia fractures during operations in Iraq and Afghanistan.<sup>91</sup> Infection prevention during and after an orthopedic surgery relies largely on the control measures of surgical process and the use of antibiotics. The effective control measures of surgical process includes environmental management, equipment instrument disinfection and sterilization, the medical personnel hand hygiene, patient preoperative bath, temperature control, preoperative blood glucose control, operation duration, timing of surgery, and so on. These measures are common and proved to be highly effective to reduce the infection rate.

Management of acutely infected wounds is primarily surgical, relying on removal of remaining foreign bodies and debris, debridement of necrotic and devascularized tissue, and drainage of fluid collections. The antibiotics is also widely used.<sup>91</sup> Beside, prophylactic systemic antibiotics are also administered routinely to patients who receive an orthopedic implant to prevent perioperative infection. However, systemic administration of antibiotics has many disadvantages including the increased risk of antibiotic resistance, the need for a correct timing of administration, the relatively low drug concentration at the target site, and the limited ability to kill bacteria eventually present on the implant surface or embedded in biofilms.<sup>92</sup>

Recent efforts include the development of novel anti-biofilm implants equipped with nanoparticles.<sup>93</sup> Besheli et al<sup>94</sup> showed that silk fibroin nanoparticles are effective in treating severe osteomyelitis in a controlled animal study. Recently, researchers developed a titanium pedicle screw coated with silver nanoparticles which could inhibit biofilm formation on the implanted screws in rabbits.<sup>95</sup> Nanotechnology-enabled infection control has demonstrated substantial promise to

prevent acute postoperative infections in trauma and spinal implants in addition to joint replacements.<sup>93</sup>

## Translational potential of nanotechnology-enabled hemostatic and anti-infection agents and materials

In the past few years, there have been surgical biomaterials containing nanoparticles or having a distinct nanotopography on the translational paths toward commercial products of a new generation of surgical materials with enhanced functionality.<sup>96</sup> For example, recent advancements in nanotechnologies have touched the field of tissue adhesives by either introducing new functionalities including hemostatic or antibacterial properties or improving their mechanical properties as well as their adhesion force to surrounding tissues. Specifically, silica and iron oxide nanoparticles were used to develop hemostatic and wound closure materials.<sup>97</sup> Nanoparticles with antibacterial and hemostatic properties have been incorporated into polymeric adhesives to reduce the risk of infections or hemorrhage after surgeries.<sup>96</sup>

In the orthopedic surgeries like fracture incision internal fixation, joint replacement, and spinal operation, nanomaterials have exhibited great potential for the uses in the hemostatic procedure during these surgeries. For example, a number of naturally derived hemostatic agents or materials (e.g., nanosized oxide regenerated cellulose, halloysite chitosan nanotubes, nanofiber tunic carbonized hair) and synthesized hemostatic materials (e.g., mesoporous silica-based xerogels, self-assembling peptides, gelatin nanofibrous membrane) have been developed and completed preclinical evaluations. However, neither there are commercially available products of nano hemostatic agents nor anyone entered the phase of clinical trials.

Orthopedic implants have revolutionized treatment of bone fractures and noninfectious joint arthritis. Today, the risk for orthopedic device-related infection (ODRI) is <1%–2%. However, the absolute number of patients with infection continuously increases as the number of patients requiring such implants grows. Treatment of ODRI most frequently includes long-term antimicrobial treatment and removal of the implant.<sup>98</sup> Nowadays, the use of intra-wound antibiotics (eg, vancomycin) is becoming a standard procedure to prevent infections like spinal surgical site infection.

On the aspect of anti-infection applications, attempts to bestow the implant surface with antibacterial functionality have been widely considered for commercial applications. For less regulatory risks from medical device administrations, many translational studies have focused on controlling the biological response to orthopedic implants by altering surface

structure and topology of the biomaterials.<sup>99,100</sup> In this regard, changing the implant surface at a nanometric scale, at which bacterial adhesion does not simply follow the roughness of the surface but is also dependent on other variables like the quantity of adsorbed proteins, can in fact suppress bacteria adhesion.<sup>92,101</sup> The products based on this strategy are currently in the process of commercialization.

A number of companies are now actively developing nanosilver as an alternative antibacterial agent of antibiotics for various orthopedic applications. However, high concentration of nanosilver has been demonstrated to be cytotoxic and genotoxic to mammalian cells;<sup>102</sup> so, achieving controllable release of nanosilver has become a major hurdle for the translation and commercialization of nanosilver products. Current research efforts focus on the selection and optimization of nanosilver carriers. For example, a nanosilver-EGF sustained-release carrier was developed.<sup>103</sup> A nanosilver release system exhibited potential to be used in anti-infective bone cement to fill bone voids and to treat vertebral compression fractures.<sup>104,105</sup> In another example, nanosilver modified diatomite (AgDT) has been developed by depositing silver nanoparticles uniformly on the surface of natural diatomite disk, achieving a prolonged and uniform release of Ag<sup>+</sup>.<sup>106</sup> Then, AgDT with a proper nanosilver concentration revealed excellent antibacterial effects against both *Escherichia coli* and *Staphylococcus aureus* without significant cytotoxicity to fibroblasts and osteoblasts.<sup>106</sup>

Nanoparticles also have the potential for drug delivery applications in orthopedics.<sup>107,108</sup> Antimicrobial nanoparticles can be engineered into scaffolds for tissue regeneration purpose. Poly (L-lactic acid) nanofiber scaffolds were synthesized with poly (lactic-co-glycolic acid) nanospheres to provide extended release of the antibiotic doxycycline.<sup>109</sup> These scaffolds provided sustained antimicrobial activity against *S. aureus* and *E. coli* over 42 days in bacterial culture and this would also reduce the systemic side effects associated with the antibiotic therapy.<sup>110</sup> Recent research in oncology has shown selenium to be a powerful potential or of chemotherapeutic agents.<sup>111</sup> When manufactured on the nanometric scale and applied to titanium orthopedic implants, nanophase selenium also appears to inhibit the growth of malignant osteoblasts at the implant–tissue interface.<sup>112</sup> Nanophase selenium has also been reported to inhibit cancer cells and cause the apoptosis of osteosarcoma cells.<sup>113,114</sup>

## Summary

The emergence of nanotechnology has demonstrated that the tremendous changes in the properties of materials at nanoscale create extraordinary opportunities for biomedical

applications. Nanomaterials have exhibited many advantages over traditional orthopedic materials in the aspects of biocompatibility, bioactivity, and treatment efficacy, and not surprisingly, these advantages also lay the foundation for the application in the hemostatic and antimicrobial treatments during or after orthopedic surgery.

The aim of nanotechnology-enabled hemostatic or anti-infective agents and materials is providing safe, effective, and economical blood and infection management products. The advances summarized in this article demonstrate that nanotechnology offers a variety of new therapeutic strategies and opportunities for bleeding and infection controls in orthopedic surgery. Further and continuous thought should be given to the improvement of current nanobiomaterials and to the search of even better nanoformulations.<sup>115</sup> In the near future, nanomaterials need to be further optimized to improve their efficacy and compatibility with the coagulation system, bone, and other host tissues by understanding the role of physicochemical properties of nanomaterials in the biological systems. Also, thorough characterization and standardized evaluation methods are highly desirable to contribute the knowledge base about nanomaterials' effects and fates in human body.

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