

Effects of various interventions on the occurrence of macrovascular invasion of hepatocellular carcinoma after the baseline serum γ -glutamyltransferase stratification

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Background: Elevated serum γ -glutamyltransferase (γ -GT) levels are related to an increased cancer risk and worse prognosis in many cancers. We evaluated the effects of γ-GT stratification on the occurrence of macrovascular invasion (MVI) in patients with hepatocellular carcinoma (HCC) who underwent hepatic resection (HR), transcatheter arterial chemoembolization (TACE), or TACE combined with radiofrequency ablation (TACE-RFA).

Patients and methods: A total of 903 patients with HCC in Barcelona Clinic Liver Cancer Stage A or B were included. Of these patients, 118 underwent HR, 445 underwent TACE-RFA, 256 underwent TACE, and 84 patients received conservative treatment only (control group). γ -GT, albumin, α -fetoprotein, and intervention were selected as significant predictive factors for MVI in 1 year by forward selection. The optimal cutoff value of γ-GT was 39 IU/L according to receiver operating characteristic analysis, with a sensitivity and specificity of 87.0% and 45.6%, respectively.

Results: The 1-year MVI incidence of patients with HCC in the group with γ -GT \geq 39 IU/L was higher than that of the group with γ -GT <39 IU/L treated with HR, TACE-RFA, or TACE (P=0.0166, P=0.0041, and P<0.001, respectively). The MVI rates at 1 year were similar in the group with γ-GT ≥39 IU/L that underwent HR, TACE-RFA, or TACE and the control group (P=0.4402, P=0.2214, and P=0.4159, respectively). Different effects of various treatments with γ -GT < 39 IU/L group on the occurrence of MVI are not significant (P=0.5167). However, the incidence of MVI after TACE was significantly higher than that after HR or TACE-RFA in γ -GT ≥39 IU/L group (P=0.0253).

Conclusion: Baseline serum γ -GT stratification may help select the appropriate treatment to reduce the MVI incidence.

Keywords: gamma-glutamyltransferase, macroscopic vascular invasion, liver cancer

Introduction

One of the most common malignancies, hepatocellular carcinoma (HCC) is ranked the second most frequent cause of deaths for cancer and the fifth most common cancer based on global cancer statistics. Patients with HCC can achieve a 5-year survival rate of ~70% with liver transplantation, radiofrequency ablation (RFA), or curative hepatic resection (HR) in the early tumor stage. ^{2,3} Long-term survival remains far from satisfactory owing to a high rate of cancer recurrence.4

Macrovascular invasion (MVI), which includes the presence of a tumor thrombus in the portal, hepatic, and/or inferior vena cava vein, is the most important negative

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risk factor for survival after resection in patients with HCC.⁵ Furthermore, in patients with non-resectable HCC, MVI significantly reduced the median survival time (2–4 months) compared to the survival time in patients without MVI (10–24 months).⁶⁻⁸ Some clinical pathological factors, including tumor size and poor differentiation and some molecular biomarkers such as α-fetoprotein (AFP) and glypican-3 have been confirmed as prognostic predictors of HCC.⁹⁻¹² However, confirmation of other predictive factors to identify the patients with HCC who have a high risk of MVI is important to improve the prognosis of these patients using novel treatments and clinical decision-making surveillance plans.

Currently, MVI can only be diagnosed through medical imaging examination such as ultrasound, computed tomography (CT), magnetic resonance imaging (MRI), and angiography. Previous studies have shown that tumor size, Edmondson-Steiner histological grade, number of nodules, and AFP level are associated with portal vein tumor thrombosis (PVTT),¹³ whereas low albumin (ALB) levels, tumor size of >5 cm, metastases, ascites, AFP >1,000 ng/mL, and hypersplenism have been found to be independent predictive factors of microvascular invasion,¹⁴⁻¹⁶ however, these indicators cannot predict the risk of MVI after various interventions.

As a membrane-bound enzyme, γ-glutamyltransferase $(\gamma$ -GT) is involved in glutathione metabolism. ¹⁷ Serum γ -GT is a marker of hepatic injury, and its levels are elevated by alcohol consumption, acute and chronic liver disease, and oxidative stress. 18-20 Moreover, it has been reported that γ-GT has a crucial function in carcinogenesis via prooxidant effects at the membrane surface level and in the extracellular microenvironment and by increasing free iron release from transferrin.²¹ y-GT is one of the important risk factors for cancer, tumor progression, invasion, and anticancer drug resistance.^{22–24} Regarding HCC, elevated serum γ-GT has been reported as a biomarker of poor prognosis after hepatectomy, transcatheter arterial chemoembolization (TACE), and RFA.^{25–28} However, there has been no study on the predictive roles of γ-GT for MVI in patients with HCC without MVI undergoing different interventions. It can be assumed that γ-GT may represent a predictive factor for MVI. This hypothesis was tested by investigating the association of baseline serum γ-GT with the incidence of MVI in 903 patients with HCC who underwent HR, TACE combined with RFA (TACE-RFA), TACE, or conservative treatment only.

Patients and methods

Patients and treatments

The patients with HCC, whose clinical data were collected, were initially treated at the Beijing Ditan Hospital (Beijing,

China), Capital Medical University from October 2008 to May 2016. HCC was diagnosed based on recommendations of the American Association for Liver Diseases Study.²⁹ A routine workup was performed before interventions, including a comprehensive physical examination, hematologic and biochemistry profiles, chest radiography or CT, and an electrocardiograph. No patient had any treatment before the interventions.

A total of 903 patients underwent HR (n=118), TACE-RFA (n=445), TACE (n=256), or conservative treatment only (control, n=84). The control group received no systemic chemotherapy, locoregional therapies, or surgical treatment. Instead, they received symptomatic supportive care mainly to treat complications, such as ascites, hypersplenism, upper gastrointestinal bleeding, hepatorenal syndrome, and hepatic failure. The patient selection criteria for inclusion in our research were as follows: 1) Barcelona Clinic Liver Cancer (BCLC) A or B stage with no extrahepatic metastasis and 2) hepatitis B virus, hepatitis C virus, and/or alcohol related to the etiology of HCC. Patients were excluded if they had autoimmune liver disease, hepatitis A, D, or E, syphilis, AIDS, or if they had incomplete data or lacked follow-up. Our multidisciplinary team selected the treatments. The default treatment was HR or TACE-RFA; patients underwent TACE upon request or if they had diffused HCC based on gross pathological findings.

The Ethics Committee of the Beijing Ditan Hospital, Capital Medical University (Beijing, China) approved this study. Because this study has a retrospective design, we could not obtain informed consent from all patients. However, to protect patient privacy, we anonymized and deidentified all patient records and information before the analysis.

Follow-up

To evaluate the efficacy of the techniques, patients underwent contrast-enhanced CT (CECT) or enhanced MRI at 4 weeks after treatment. Thus, over the course of 1 year, patients were treated once every 3 months. At each follow-up visit, patients underwent CECT or enhanced MRI, chest CT, and blood tests including liver function tests and AFP test. The MVI was diagnosed using CECT or enhanced MRI when a filling defect was shown in the portal vein, hepatic vein, or inferior vena cava, and the emboli were enhanced in the similar way as the primary liver cancer.³⁰

Patients with local lesion recurrence or intrahepatic distant or extrahepatic recurrence during follow-up received corresponding treatments such as resection, RFA, TACE, sorafenib, and conservative treatments based on the recurrent

tumor characteristics, liver function status, and patient request. The duration of MVI was the interval between the time MVI occurred after the first treatment and the time of death or the last follow-up.

Statistical analysis

Continuous variables are presented as mean \pm SD, discontinuous variables as median and range, and categorical variables as numbers and percentages. γ-GT data have been converted into categorical variables based on the cutoff values, calculated based on the maximum Youden index (sensitivity + specificity -1) values. The baseline covariates were compared to the paired t-test or the Mann-Whitney U test for continuous variables and chi-squared test for categorical variables. Univariate and multivariate Cox proportional hazards regression analyses were used to identify significant factors predicting the risk of MVI. The receiver operating characteristics (ROC) curve was used to compare the predictive accuracy of the various factors. A cutoff value for the maximum sensitivity and specificity of γ -GT was calculated and used to divide the patients into two groups based on that value. MVI incidence curves were constructed using the Kaplan–Meier method and compared using the log-rank test. SPSS version 22.0 statistical package was used for statistical analyses (IBM Corporation, Armonk, NY, USA). All P-values < 0.05 were considered significant.

Results

Characteristics of patients according to intervention

The baseline characteristics of 903 patients with HCC are shown in Table 1. The age of patients who underwent HR ranged from 29 to 74 years, with a median age of 52 years, which was lower than that of the other groups. The majority of patients were male in all groups. Patients who received HR and TACE-RFA had lower γ -GT and total bilirubin (TBIL) levels than the conservative treatment group. The ALB level was lower in the control group than in the three intervention groups. Child-Pugh class A accounted for 58.3% of the control group, 72.0% of the HR group, 76.6% of the TACE-RFA group, and 66.8% of the TACE group. The Models for End-Stage Liver Disease Score (MELD) score was lowest in the HR group. The four different intervention groups had a similar tumor number and tumor diameter.

The recurrence rates for HR, TACE-RFA, and TACE cohorts at 12 months were 16.9% (20 of 118), 27.2% (121 of 445), and 42.2% (108 of 256), respectively. The MVI rates for HR, TACE-RFA, and TACE cohorts at 12 months were 4.2% (5 of 118), 5.8% (26 of 445), and 10.5% (27 of 256), respectively.

Predictive factors for MVI

We evaluated the prognostic value of 13 variables to identify predictors of MVI. Univariate Cox regression analyses

Table I Patients' baseline characteristics

Variables	Control	HR		TACE-RFA		TACE	
	n=84	n=118	P-value	n=445	P-value	n=256	P-value
Median age, years (range)	58 (36–78)	52 (29–74)	<0.001a	57 (28–81)	0.372ª	57 (33–84)	0.634ª
Sex (M/F)	69/15	97/21	0.991b	346/99	0.369⁵	195/61	0.254b
HBV related (yes/no)	73/11	106/12	0.367⁵	381/64	0.756⁵	214/42	0.468b
ALT (IU/L)	36.1 (24.4–52.8)	32.0 (23.4–49.8)	0.295°	31.0 (21.4–49.8)	0.128c	32.1 (21.1–48.9)	0.176°
AST (IU/L)	44.6 (28.6–68.8)	35.9 (26.6–56.1)	0.025°	34.5 (25.0–55.2)	0.001c	36.8 (25.9–55.6)	0.017 ^c
ALP (IU/L)	90.8 (77.0–117.4)	85.8 (68.4–118.3)	0.112 ^c	84.7 (65.2–112)	0.024°	85.5 (65.1–117.2)	0.090°
γ-GT (IU/L)	52.1 (26.4–92.4)	36.8 (22.3–80.1)	0.040°	46.6 (26.7–89.0)	0.002 ^c	45.2 (26.4–86.1)	0.329°
TBIL (µmol/L)	19.5 (14.0–35.0)	15.7 (11.3–23.5)	0.012c	16.2 (11.3–23.2)	0.002c	17.9 (12.5–27.7)	0.141°
ALB (g/L) (mean \pm SD)	34.6±7.2	37.3±7.2	0.008ª	37.4±6.8	<0.001a	37.0±6.5	0.004ª
Child-Pugh class (A/B)	49/35	85/33	0.042 ^b	341/104	<0.001b	171/85	0.159b
PT (mean \pm SD)	13.9±2.6	13.3±2.3	0.108ª	13.0±1.9	0.003ª	13.3±2.2	0.057ª
MELD score	6.6±5.7	4.4±3.5	0.002ª	5.4±4.1	0.077a	6.0±4.7	0.369°
AFP (ng/mL) (<400/≥400)	64/20	101/17	0.089b	383/62	0.022 ^b	210/46	0.240 ^b
Tumor number (<3/≥3)	63/21	92/26	0.623b	347/98	0.549 ^b	183/73	0.532 ^b
Tumor diameter (<5/≥5 cm)	69/15	99/19	0.742 ^b	368/77	0.902 ^b	194/62	0.227 ^b
TNM (I/II/III or IV)	38/42/4	36/69/13	0.054b	193/215/37	0.536 ^b	87/130/39	0.022 ^b
BCLC (A/B)	53/31	81/37	0.002 ^b	312/133	0.136 ^b	155/101	0.191 ^b

 $\textbf{Notes:} \ \ \text{Data} \ \text{are presented as mean} \ \pm \ \text{SD, or median (IQR)}. \ \ \text{t-test; t-chi-squared test or Fisher's exact test; t-Mann-Whitney U test. }$

Abbreviations: AFP, α-fetoprotein; ALB, albumin; ALP, alkaline phosphatase; ALT, alanine transaminase; AST, aspartate aminotransferase; BCLC, Barcelona Clinic for Liver Cancer; γ -GT, γ -glutamyltransferase; HBV, hepatitis B virus; HR, hepatic resection; MELD, Model for End-Stage Liver Disease Score; NLR, neutrophil-lymphocyte ratio; PT, prothrombin time; RFA, radiofrequency ablation; TACE, transcatheter arterial chemoembolization; TACE-RFA, TACE combined with RFA; TBIL, total bilirubin; TNM, tumor node metastasis staging.

Intervention

Variables Univariate Cox Multivariate Cox HR 95% CI HR 95% CI P-value P-value 0.980 (0.957 - 1.003)0.910 Age 0.604 (0.309 - 1.180)0.140 Sex 1.924 0.126 **HBV** related (0.833 - 4.444)γ-GT 1.002 (1.001 - 1.004)0.001 1.002 (1.000-1.003)0.025 **TBIL** 1.004 (1.001 - 1.006)0.008 ALB 0.945 (0.915 - 0.977)0.001 0.947 (0.914 - 0.981)0.002 PT 1.135 (1.039 - 1.240)0.005 AFP ≥400 ng/mL 2.215 (1.302 - 3.767)0.003 1.875 (1.079 - 3.257)0.026 Tumor number ≥ 3 1.709 (1.041 - 2.804)0.034 Tumor diameter ≥5 cm 1.825 (1.080 - 3.086)0.025 (0.485 - 0.845)0.002 0.741 (0.556 - 0.987)0.041

Table 2 Predictors of MVI in patients with HCC based on univariate and multivariate Cox regression analyses (n=903)

Abbreviations: AFP, α-fetoprotein; ALB, albumin; γ-GT, γ-glutamyltransferase; HBV, hepatitis B virus; HCC, hepatocellular carcinoma; MVI, macrovascular invasion; PT, prothrombin time; TBIL, total bilirubin.

revealed that γ-GT, ALB, TBIL, prothrombin time, AFP, tumor number, tumor diameter, and intervention were predictive factors for MVI. These factors were included in the multivariate Cox regression analyses. Furthermore, intervention, γ-GT, ALB, and AFP ≥400 ng/mL were selected as significant prognostic factors for MVI by forward selection (Table 2).

0.641

The predictive value of intervention, γ-GT, ALB, and AFP ≥400 ng/mL for MVI prognosis was evaluated by comparing the ROC curves from the related parameters. Figure 1

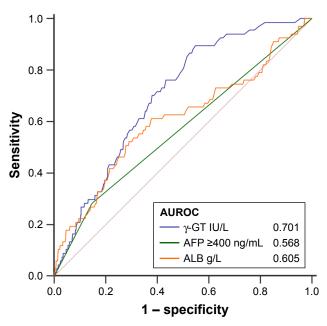


Figure I ROC curves for variables shown to be associated with MVI in multivariate analysis.

Note: The area under the curve of γ -GT developed in the study was greater than that of other predictive indicators.

Abbreviations: AFP, α -fetoprotein; ALB, albumin; AUROC, area under ROC; γ -GT, γ -glutamyltransferase; MVI, macrovascular invasion; ROC, receiver operating characteristics.

shows that the area under the ROC curve for γ -GT (0.701) was higher than that for AFP (0.568) and ALB (0.605). These results indicate that the baseline γ-GT level in patients with HCC is an important predictive factor for MVI.

Serum γ-GT level after different treatments

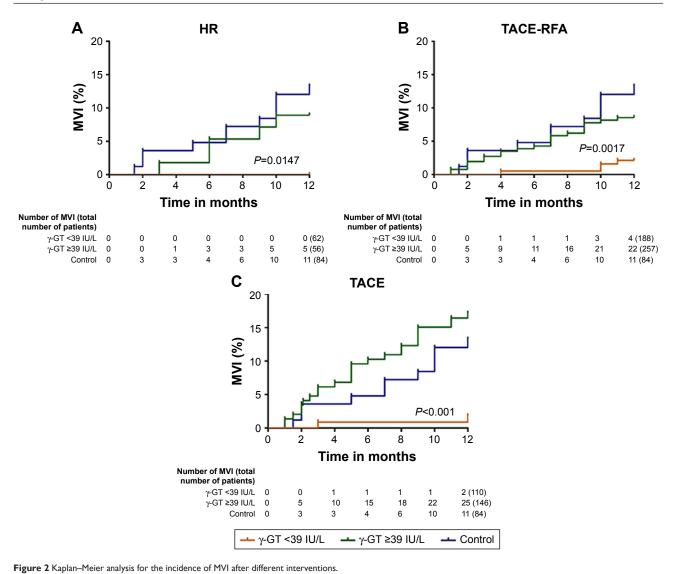
The median (IQR) serum γ-GT before HR, TACE-RFA, or TACE was 36.6 (22.3-79.2), 46.6 (26.7-89.0), or 45.2 (26.4-86.1), respectively; the γ -GT level was 50.0 (29.3– 88.2), 44.4 (28.1–75.4), or 43.1 (26.4–84.5) at 3 months after the corresponding interventions. The γ-GT level after HR was significantly higher than that before HR (P=0.037). No significant difference was found before and after TACE-RFA (P=0.516) or TACE (P=0.817).

Determining the cutoff value for elevated γ-GT using the ROC curve

Based on the ROC curve analysis, the optimal cutoff value of serum γ-GT was 39 IU/L for predicting the occurrence of MVI. The area under the ROC curve was 0.701 with a 95% CI of 0.669–0.731 (Figure 1). Sensitivity was 87.0% and specificity was 45.6% with some relation to the highest Youden index (sensitivity + specificity -1). Consequently, all intervention groups were divided according to γ-GT level: \geq 39 or <39 IU/L.

MVI occurrence based on γ-GT levels after different interventions

We analyzed the effect of baseline serum γ -GT levels on the occurrence of MVI. The rates of 1-year MVI after HR, TACE-RFA, or TACE were 8.9%, 8.6%, or 17.1%, respectively, in the γ -GT \geq 39 IU/L group, and 0.0%, 2.1%, or 1.8%,



Notes: Kaplan–Meier survival curves demonstrating that patients with γ -GT <39 IU/L exhibited lower 1-year MVI rates than those with γ -GT \ge 39 IU/L after (**A**) HR, (**B**) TACE-RFA, or (**C**) TACE (P=0.0147, P=0.0017, and P<0.001, respectively). **Abbreviations:** γ -GT, γ -glutamyltransferase; HR, hepatic resection; MVI, macrovascular invasion; TACE, transcatheter arterial chemoembolization; TACE-RFA, TACE combined with radiofrequency ablation.

respectively, in the γ -GT <39 IU/L group (P=0.0166, P=0.0041, or P<0.001, respectively; Figure 2A–C). Correspondingly, there were no significant differences between the 1-year MVI rates after HR, TACE-RFA, or TACE in the γ -GT \geq 39 IU/L group and the control group (13.1%) (P=0.4402, P=0.2214, or P=0.4159, respectively; Figure 2A–C). The results showed that a baseline serum γ -GT \geq 39 IU/L was related to obviously higher MVI rates than γ -GT <39 IU/L at 1 year in patients with HCC who underwent HR, TACE-RFA, or TACE. MVI rates were similar at 1 year in the γ -GT \geq 39 IU/L group that underwent HR, TACE-RFA, or TACE and in the control group.

Compared to the effects of different treatments in γ -GT <39 IU/L and γ -GT \geq 39 IU/L groups on the occurrence of MVI, the results showed that the difference in the effects

of various treatments in γ-GT <39 IU/L group on the occurrence of MVI was not significant (P=0.5167) (Figure 3A). However, the incidence of MVI after TACE was significantly higher than that after HR or TACE-RFA in γ-GT \geq 39 IU/L group (P=0.0253; Figure 3B).

Subgroup of MVI occurrence based on BCLC stage

We further clarified the prognostic value of baseline serum γ -GT for 1-year MVI occurrence in patients with BCLC A or B stage HCC. Our results demonstrated that in BCLC A stage, after HR, TACE-RFA, or TACE the 1-year MVI rates were 0.0%, 0.7%, or 2.6% for patients with γ -GT <39 IU/L and 14.7%, 6.7%, or 10.4% for patients with γ -GT \geq 39 IU/L (P=0.0067, P=0.0060, or P=0.0464,

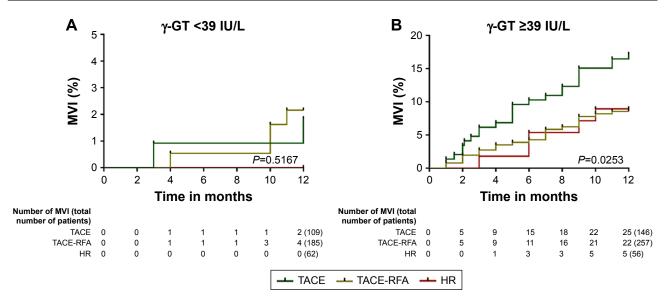


Figure 3 The MVI incidences after the γ-GT stratification. **Notes:** Kaplan–Meier survival curves demonstrating that the difference in the effects of various treatments in γ-GT <39 IU/L group (**A**) on the occurrence of MVI was not significant (P=0.5167). In γ-GT ≥39 IU/L group (**B**), the incidence of MVI after TACE was significantly higher than those after HR or TACE-RFA (P=0.0253). **Abbreviations:** γ-GT, γ-glutamyltransferase; HR, hepatic resection; MVI, macrovascular invasion; TACE, transcatheter arterial chemoembolization; TACE-RFA, TACE

respectively; Figure 4A, B, and D). In the BCLC B stage, after TACE-RFA, the MVI rates were 7.1% for patients with γ -GT \leq 39 IU/L and 11.7% for patients with γ -GT \geq 39 IU/L. The Kaplan–Meier analysis showed that the MVI rates were similar at 1 year (P=0.4033; Figure 4C). In the BCLC B stage, after TACE, the MVI rate was significantly lower in the γ -GT \leq 39 IU/L group (0.0%) than in the γ -GT \geq 39 IU/L group (25.4%) at 1 year (P=0.0017; Figure 4E).

Discussion

combined with radiofrequency ablation.

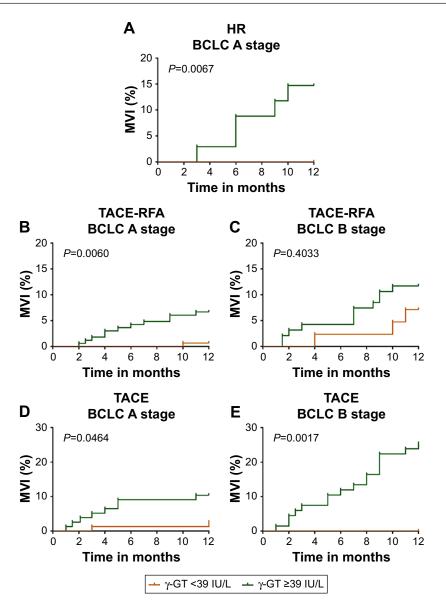
Resection and liver transplantation are potentially curative treatments for HCC, although most patients with HCC are diagnosed too late for curative treatments and receive only palliative treatment owing to an advanced tumor or severe underlying liver cirrhosis. In addition, there are also obvious differences in prognosis even for patients in the same phase owing to tumor and patient heterogeneity. Therefore, based on the BCLC phase, it is crucial to choose and monitor appropriate serum biochemical markers that can represent the tumor burden and underlying hepatic function.

Our multivariate analysis results showed that γ -GT was an independent predictive factor for MVI in patients with HCC without MVI. When we divided the patients into groups based on different treatments, we found that γ -GT offered good predictions for the MVI in the HR, TACE-RFA, and TACE groups. An abnormal baseline serum γ -GT level was related to significantly higher rates of MVI at 1 year, similar to the control group. The effects of different treatments in γ -GT <39 IU/L and γ -GT \geq 39 IU/L groups on the

occurrence of MVI were further compared. The incidence of MVI after TACE was significantly higher than those after HR or TACE-RFA in γ -GT \geq 39 IU/L group. Furthermore, in subgroups of BCLC stages, γ -GT could still differentiate patients with a lower MVI occurrence from patients with a higher MVI occurrence in patients with HCC in the BCLC A stage. Moreover, in patients with HCC in the BCLC B stage who received TACE, γ -GT <39 IU/L was associated with lower MVI occurrence.

The γ-GT level, in particular, has critical clinical significance as an indicator of prognosis. ^{33,34} This indicator helps to predict prognosis and helps with the selection of further treatment. Several studies revealed that the preoperative γ-GT level is a reliable prognostic biomarker with a bright future in patients with HCC who underwent HR. ^{25,35,36} The γ-GT level also was a crucial prognostic factor predicting prognosis of patients with HCC treated with TACE, RFA, or liver transplantation. ^{26–28,37} However, in most of the above studies, the outcome of interest was overall survival, recurrence, and/or disease-free survival, and there had been no research on the outcome of MVI occurrence. The reason our study established 1-year MVI occurrence as an outcome was because MVI has a significant adverse influence on prognosis, with an observably decreased median survival time. ^{6–8}

Our study has the following limitations. First, the presence or absence of microscopic vascular invasion diagnosed by pathological examination at baseline was not discriminated, which may influence the occurrence of MVI. However, this was a retrospective study and pathological data



 $\textbf{Figure 4} \ \, \textbf{The MVI incidences of BCLC A and B stage}.$

Notes: Kaplan–Meier survival curves demonstrating that patients with γ -GT <39 IU/L exhibited lower 1-year MVI rates than those with γ -GT \ge 39 IU/L in the BCLC A stage after (**A**) HR, (**B**) TACE-RFA, or (**D**) TACE, and (**E**) in the BCLC B stage after TACE (P=0.0060, P=0.0060, P=0.0017, respectively); the MVI rates were similar at 1 year for patients with γ -GT <39 IU/L and γ -GT \ge 39 IU/L in the BCLC B stage after (**C**) TACE-RFA (P=0.4033).

Abbreviations: BCLC, Barcelona Clinic Liver Cancer; γ-GT, γ-glutamyltransferase; HR, hepatic resection; MVI, macrovascular invasion; TACE, transcatheter arterial chemoembolization; TACE-RFA, TACE combined with radiofrequency ablation.

could not be collected. It was inevitable of other selections, withdrawals, and other clinical bias because our study was retrospective. Second, the source of all collected data was a single medical center with a limited sample size. Therefore, in the future, prospective clinical studies with larger sample sizes are needed to confirm our study results and promote the clinical application of γ -GT.

Conclusion

Baseline serum γ -GT stratification may help select the appropriate treatment to reduce the incidence of MVI, which

may be expected to lead to a novel strategy that decreases the incidence of MVI and indirectly prolongs survival after different interventions in patients with HCC.

Author contributions

All authors contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure

The author reports no conflicts of interest in this work.

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