

# Spinal anesthesia-induced hypotension is caused by a decrease in stroke volume in elderly patients

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**Background:** Hypotension is common during spinal anesthesia (SA) and is caused by a decrease in systemic vascular resistance (SVR) and/or cardiac output (CO). The effect of the dose of bupivacaine administered intrathecally on the changes in CO in elderly patients is largely unknown. This study investigated the hemodynamic effect of SA in elderly patients by studying the effect of two different dosages of intrathecal bupivacaine.

**Methods:** This prospective cohort study included 64 patients aged >65 years scheduled for procedures under SA; the patients received either 15 mg bupivacaine (the medium dose [MD] group) or 10 mg bupivacaine and 5 µg sufentanil (the low dose [LD] group). Blood pressure and CO were monitored throughout the procedure using Nexfin™, a noninvasive continuous monitoring device using a finger cuff.

**Results:** Thirty-three patients received MD and 31 received LD and there was no mean difference in baseline hemodynamics between the groups. On an average, the CO decreased 11.6% in the MD group and 10.0 % in the LD group. There was no significant change in SVR. Incidence of a clinically relevant decrease in stroke volume (SV) (>15% from baseline) was 67% in the MD and 45% in the LD groups ( $P<0.05$ ).

**Conclusion:** CO and blood pressure decreased significantly after the onset of SA in elderly patients. This is mainly caused by a decrease in SV and not by a decrease in SVR. There was no difference in CO and blood pressure change between dosages of 10 or 15 mg bupivacaine.

**Keywords:** cardiac output, intrathecal anesthesia, local anesthetics, dose, finger blood pressure measurement

## Introduction

Hypotension is a common side effect of spinal anesthesia (SA) and it occurs in 16–33% of cases.<sup>1</sup> This response is exaggerated in the elderly where a negative influence on a relatively higher resting sympathetic tone and decreased baroreceptor activity may explain the higher incidence of hypotension in response to SA.<sup>2,3</sup> Hypotension after the onset of SA is thought to be caused by either a decrease in systemic vascular resistance (SVR) or cardiac output (CO) or both.

Until recently, CO measurement required invasive monitoring, which is unsuitable in awake patients having SA for short procedures. Therefore, studies regarding factors that might influence the hemodynamic effect of SA on CO, eg, doses of intrathecal local anesthetics, are limited.<sup>4,5</sup> Moreover, most CO monitors produce only intermittent measurements thereby providing only limited understanding of fast hemodynamic changes.

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Nexfin™ (Edwards Lifesciences, Irvine, CA, USA) provides a continuous, noninvasive blood pressure and CO measurement using a finger cuff. This device does not bring inconvenience to patients making it clinically suitable for monitoring awake patients having regional anesthesia. Several recent studies have shown the device to be sufficiently accurate in the measurement of absolute CO and blood pressure values as well as in tracking CO and blood pressure changes.<sup>6-8</sup>

In this study we have investigated the hemodynamic effects of SA during uncomplicated surgical procedures. Since we hypothesize that the hemodynamic effects would be dose-dependent, we evaluated two different dosages of local anesthetics to provide a better understanding of the underlying cause of hypotension after the onset of SA.

## Patients and methods

### Patients

We performed a prospective double cohort study. The Regional Ethics Committee in Nijmegen, the Netherlands, responsible for medical research in humans (Ethical Committee No. 20091171) approved the study and the need for informed consent was waived because of the purely observational nature of the study. The research was performed in accordance with the Declaration of Helsinki. Participants recruited were individuals admitted for elective surgery to a 500-bed teaching hospital in Nijmegen, the Netherlands. The study period was from December 2009 to January 2011. Seventy-one consecutive patients, American Society of Anaesthesiologists class I–III, aged  $\geq 65$  years scheduled for elective orthopedic, general, or vascular surgery using SA were included. Patients with cardiac arrhythmia, digital ischemia, allergic reactions to opiates or local anesthetics, perioperative blood loss that exceeded 1 L, and New York Heart Association class III–IV congestive heart failure were excluded from the study.

### SA

Since the main focus of this study was to evaluate the hemodynamic effect of SA, we performed an observational study in patients receiving local anesthetics intrathecally. To provide a better understanding of their effect on hemodynamics, we observed two different intrathecal dosage groups. For the medium dose (MD), we included patients receiving 15 mg bupivacaine intrathecally. For the low dose (LD), we chose to study the lowest intrathecal dose of bupivacaine that would with great probability still provide effective anesthesia for certain long procedures such as hip surgery or vascular surgery. Five microgram Sufenta™ was regularly added to the

LD to ensure adequate intensity and duration of analgesia and to administer an identical volume intrathecally.<sup>9</sup> We compared patients receiving 10 mg bupivacaine with 5  $\mu$ g Sufenta™ to patients receiving ‘medium’ intrathecal dose of 15 mg bupivacaine. Extension of anesthesia to the higher thoracic dermatomes may lead to bradycardia due to blockage of the cardiac sympathetic accelerator fibers arising from the first four thoracic segments. To avoid this, as a high spinal block would surely have an effect on CO, SA was performed at L2-3 or L3-4 and never at a higher interspace.<sup>10</sup> For clarity, we named the 10 mg bupivacaine regime as LD and the 15 mg bupivacaine regime as MD. The dose of bupivacaine, LD or MD, was based upon the clinical decision of the attending anesthesiologist based on patient characteristics and personal preference, and there was no randomization. The research team was blinded for the dose administered intrathecally.

### Study protocol

One hour before surgery, patients received 1,000 mg acetaminophen and anxious patients received either 7.5 mg midazolam or 10 mg oxazepam orally. The patients were fasted overnight and oral fluid intake was allowed for up to 2 hours before the procedure. Neither IV fluid was infused before entering the study, nor were any prophylactic vasoactive drugs administered (like, ephedrine or atropine). After arrival in the operating room intravenous access was established. Perioperative hemodynamic monitoring included the noninvasive measurement of heart rate (HR) using electrocardiographic recording electrodes, noninvasive blood pressure using oscillometry, and a pulse oximeter. The noninvasive arterial blood pressure (ABP<sub>NI</sub>) and CO measurement (CO<sub>NI</sub>) were obtained using a finger cuff adjusted to the size of the index finger of the patient according to the guidelines of the manufacturer and connected to the Nexfin™ monitor. This noninvasive device is based on the principle of Peñáz and measures the diameter of the finger’s artery using an inflatable finger cuff and a built-in photoelectric plethysmograph.<sup>11</sup> From this signal, blood pressure in the brachial artery is reconstructed. Nexfin™ calculates beat-to-beat CO by dividing the area under the systolic portion of the arterial pressure curve by the aortic input impedance, which is determined from a three-element Windkessel model described by Westerhof et al<sup>12</sup> using the influence of the patient’s age, height, weight, and sex on aortic mechanical properties.

SA was performed with the patient in sitting position, a 27-gauge pencil-point needle was inserted in the subarachnoid space at the L2-3 or L3-4 interspace. After obtaining free flow of clear cerebrospinal fluid either 3 mL bupivacaine,

5 mg/mL (MD) or an admixture of 2 mL bupivacaine 0.5% and 1 mL sufentanil, 5 µg/mL (LD) was injected with the spinal needle bevel facing cephalad. Immediately after injection, patients were placed in the supine position.

Hypotension was defined as a decrease in mean arterial pressure (MAP) >25% from baseline value or systolic arterial pressure (SAP <100 mmHg, and was treated with 5 mg IV bolus ephedrine and repeated every 3 minutes until the hypotension resolved. Bradycardia was defined as a HR <40 beats per minute and was treated with atropine 0.5 mg IV. During surgery, isotonic saline 0.9% solution was infused at a rate of 1.5 mL/kg/hour. If blood loss exceeded 300 mL this was compensated using hydroxyethyl starch solution (130/0.46% hydroxyethyl starch solution; Fresenius Kabi, Bad Homburg vor der Höhe, Germany).

## Measurements

A baseline ABP<sub>NI</sub> and CO<sub>NI</sub> measurements were performed during 3 minutes in supine position before commencing the SA procedure. Hemodynamic data were recorded and stored electronically using the Nexfin™ and analyzed offline. After performance of SA, the patient was repositioned in supine position and ABP<sub>NI</sub> and CO<sub>NI</sub> were measured continuously until the end of surgery as measurements beyond this point, during transport to the recovery room, etc, would introduce movement artifacts and bias due to replacement of the finger cuff. The sensory block level was tested at 5-minute intervals using a cold discrimination. Time to discharge from the recovery room to the ward, according to the Post Anesthesia Care Unit protocol after regression of sensory level to Th12, Aldrete score ≥9 and NRS <4, was recorded.<sup>13</sup>

## Statistical analysis

A decrease in stroke volume (SV) is one of the most important possible sources of hypotension after SA. However, to our knowledge, there are no studies regarding the effect of the dosage of intrathecal local anesthetics on SV, and therefore, we used a composite outcome parameter, CO, as the primary effect parameter 30 minutes after the onset of anesthesia. Based on a previous study we expected the difference in CO between the two study groups to be 0.75 L/min.<sup>5</sup> We calculated that a total sample size of 60 patients would allow us to detect this CO difference based on a SD of 20% and a 5% type 1 error risk.<sup>14</sup> To allow for potential dropouts from treatment, we included 71 patients. A decrease of >25% in MAP or SAP or a decrease in SV >15% was considered clinically significant.

A MedCalc® software package (MedCalc Software, Ostend, Belgium) was used for statistical analysis. Patient

characteristics are expressed as mean and range, and hemodynamic data as mean and SD. Assumption of normality was checked using a Kolmogorov–Smirnov test. To compare demographic and hemodynamic data from baseline Student's t-test, chi-squared test, and one-way ANOVA were used.  $P < 0.05$  was considered statistically significant.

Hemodynamic variables were stored on the Nexfin™ monitor and analyzed offline. We used 30-second time intervals to analyze hemodynamic effects of SA that were sampled at various intervals during the surgical procedure until 40 minutes after the onset of SA.

## Results

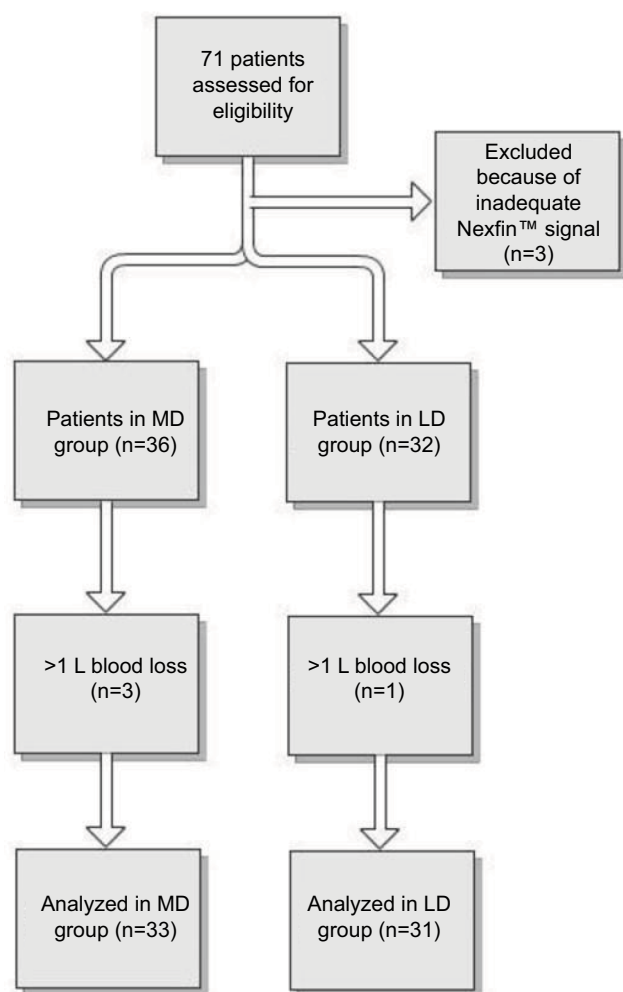
Seventy-one patients were included in the study. In three patients a good quality noninvasive finger signal could not be obtained. Four patients were excluded from further analysis because perioperative blood loss exceeded 1 L, this resulted in 64 patients with a complete data set (Figure 1). Patient characteristics are depicted in Table 1. The majority of patients underwent hip surgery, either elective replacement or repair after a hip fracture. Data collection ended at the end of surgery with a mean duration of 40 minutes. Two patients required supplemental intravenous analgesia, one in each study group. Baseline patient characteristics and hemodynamic values did not differ statistically between the two groups (Table 2).

The course of changes in SV after initiation of SA is depicted in Figure 2. Mean hemodynamic changes that occurred during the course of measurements are depicted in Table 3. SV decreased 11% ( $P < 0.001$ ) in the MD group and decreased 8% in the LD group ( $P = 0.01$ ). An SV decrease of >15% occurred in 67% and 45% of patients in the MD and the LD group, respectively (Table 4).

Mean dosage of ephedrine was 7.8 mg in the MD group and 4.7 mg in the LD group ( $P = 0.14$ ). Three patients in the MD group required rescue medication (phenylephrine) to maintain blood pressure, no patients in the LD group had hypotension unresponsive to ephedrine. Baseline hemodynamic values did not differ between patients who did and did not require ephedrine (Table 5). Changes in blood pressure, HR, and CO were not influenced by blood loss or the height of sensory block.

## Discussion

Blood pressure decreases significantly after onset of SA. In this study, this was caused by a decrease in CO and not by a decrease in SVR. There was no difference in mean decrease in CO and MAP between the two dosage groups. Nexfin™



**Figure 1** Flow diagram of trial procedure.

**Note:** The patients with >1 L blood loss were excluded.

**Abbreviations:** LD, low dose; MD, medium dose.

proved to be suitable to demonstrate different hemodynamic effects of two spinal anesthetic regimes.

Several mechanisms are proposed to be the cause of the hypotensive response after SA. First, sympathetic blockage from T1 to L2 with subsequent arteriolar vasodilation leads to a reduction in SVR, contributing to intraoperative hypotension. This decrease in SVR is often thought to be the main cause of hypotension after SA. Second, a decrease in venous vasomotor tone increases venous pooling and consequently reduces venous return, thereby decreasing CO. Finally, the physiological hemodynamic reserve capacity decreases with age, and limited cardiovascular compensation mechanisms contribute to a decline in CO and blood pressure in response to SA.<sup>15</sup>

After the onset of SA, CO decreased in both groups as a result of a decrease in SV. The incidence of a clinically relevant decrease in SV and SAP was higher in the MD group compared to the LD group. Since blood pressure (afterload) decreased and a change in cardiac muscle contractility was unlikely, the only explanation for the decrease in SV was a reduction in venous return.<sup>16,17</sup> Indeed, a study in dogs showed that SA causes a decline in mean systemic filling pressure (MSFP). This decrease in MSFP is caused by a redistribution of blood volume to the splanchnic vasculature and to the lower extremities as a result of sympathetic ‘denervation’ induced by local anesthetics used for SA.<sup>3,18</sup> This causes a reduction in venous return, and therefore, a decrease in SV.<sup>19,20</sup>

This decrease in SV was not compensated by an increase in HR to maintain CO. Possible explanations are a blunted  $\beta$ -adrenoreceptor response that is observed in elderly

**Table 1** Patient characteristics

	MD (n=33)	LD (n=31)	P-value
Age (years), mean (range)	74 (65–89)	74 (65–86)	NS
Sex (M/F), n/n	10/23	12/19	NS
BMI (kg/m <sup>2</sup> ), mean (range)	26.6 (19.4–35.6)	26.1 (20.0–33.5)	NS
ASA (I/II/III), n/n/n	4/24/8	4/22/5	NS
Type of surgery, n			
Orthopedic	27	26	
Vascular	3	1	
General	3	4	
Sensory level, mean (range)	Th9 (Th4–Th12)	Th9 (Th6–L1)	NS
Blood loss (mL), mean (range)	222 (30–600)	218 (20–500)	NS
Time in recovery room (min), mean (range)	94 (30–180)	81 (30–180)	NS
Ephedrine (mg), mean (range)	7.8 (0–40)	4.7 (0–15)	NS
Ephedrine, n (%)	19 (57.6%)	13 (41.9%)	NS
Rescue medication, n	3	0	NS

**Note:** Data are expressed as mean and range unless otherwise stated.

**Abbreviations:** ASA, American Society of Anaesthesiologists; BMI, body mass index; LD, low dose; MD, medium dose; NS, not significant.

**Table 2** Baseline hemodynamic variables

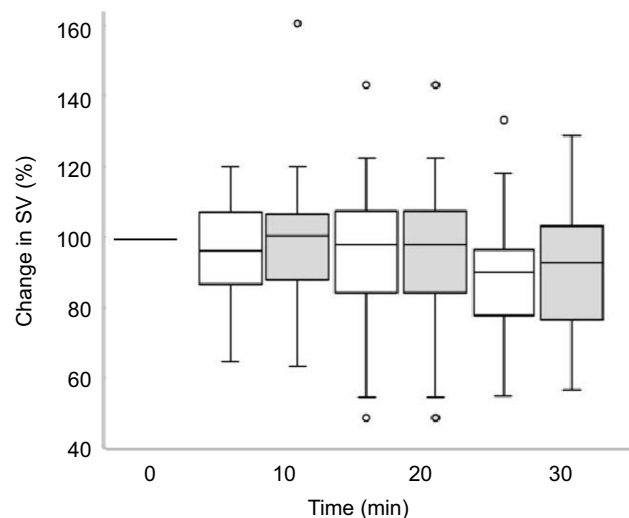
Baseline	MD (SD)	LD (SD)	P-value
CO (L/min)	4.32 (1.3)	4.49 (0.89)	NS
SV (mL)	64 (16)	67 (17)	NS
MAP (mmHg)	99 (17)	99 (16)	NS
SAP (mmHg)	141 (30)	140 (25)	NS
DAP (mmHg)	74.5 (11.5)	74.6 (11.8)	NS
HR (b/min)	68 (14)	69 (11)	NS
SVR (dyn s/cm <sup>5</sup> )	1,905 (625)	1,838 (503)	NS

**Notes:** Data are expressed as mean (SD).

**Abbreviations:** CO, cardiac output; DAP, diastolic arterial pressure; HR, heart rate; LD, low dose; MAP, mean arterial pressure; MD, medium dose; NS, not significant; SAP, systolic arterial pressure; SV, stroke volume; SVR, systemic vascular resistance.

patients,<sup>21</sup> use of beta-adrenergic blocking medication, or blockade of the sympathetic cardio-accelerator fibers caused by SA,<sup>3</sup> although very few patients had a peak sensory block height  $\geq$  Th5. However, a sympathetic block can extend above 2–6 dermal segments with sensory loss.<sup>22</sup>

Several previous studies have identified a decrease in SVR as the main determinant of hypotension. In these studies patients received fluid loading just before or after the onset of SA.<sup>16,18,23</sup> This fluid loading can significantly increase stressed volume and, therefore, venous return. In individuals to whom preload is administered as a ‘standard procedure’, SV and CO remain unchanged after the onset of SA. In our study, patients did not receive any fluid loading, possibly explaining why hypotension was mainly caused by a decrease in SV and not by a decrease in SVR. Also, fluid loading in itself

**Figure 2** Change of SV in the MD and LD groups at 10, 20, and 30 minutes after SA.

**Notes:** Baseline values are represented as 100%, white boxes represent MD, gray boxes represent LD, and the dots represent outliers.

**Abbreviations:** LD, low dose; MD, medium dose; SA, spinal anesthesia; SV, stroke volume.

**Table 3** Maximum increases and decreases in hemodynamic variables and mean decrease at 30 minutes after onset of SA

	MD (SD)	LD (SD)	P-value
<b>CO</b>			
Percent change at 10 minutes	5.9 (12.1)*	5.3 (16.1)	NS
Percent change at 20 minutes	10.1 (10.9)*	12.4 (16.6)*	NS
Percent change at 30 minutes	11.6 (13.6)*	12.9 (15.2)*	NS
<b>SV</b>			
Percent change at 10 minutes	3.3 (13.3)	1.5 (18.9)	NS
Percent change at 20 minutes	7.3 (13.8)*	4.9 (20.4)*	NS
Percent change at 30 minutes	10.7 (16.2)*	8.0 (18.9)*	NS
<b>MAP</b>			
Percent change at 10 minutes	4.6 (14.3)	2.3 (11.8)	NS
Percent change at 20 minutes	6.5 (15.4)	10.4 (15.3)*	NS
Percent change at 30 minutes	8.4 (21.2)*	9.9 (15.1)*	NS
<b>SAP</b>			
Percent change at 10 minutes	3.9 (15.1)	0.2 (15.6)	NS
Percent change at 20 minutes	7.6 (15.2)*	7.8 (17.2)*	NS
Percent change at 30 minutes	12.3 (20.4)*	9.9 (17.8)*	NS
<b>DAP</b>			
Percent change at 10 minutes	0.9 (14.9)	0.8 (11.8)	NS
Percent change at 20 minutes	1.3 (16.8)	5.0 (13.6)	NS
Percent change at 30 minutes	4.9 (18.4)*	5.8 (13.7)*	NS
<b>HR</b>			
Percent change at 10 minutes	2.2 (9.1)	3.9 (8.8)	NS
Percent change at 20 minutes	1.5 (12.2)	6.8 (11.8)*	NS
Percent change at 30 minutes	1.0 (16.0)	3.7 (14.9)	NS
<b>SVR</b>			
Percent change at 10 minutes	7.4 (27.3)	8.2 (23.15)	NS
Percent change at 20 minutes	7.5 (20.7)	8.6 (32.4)	NS
Percent change at 30 minutes	5.0 (23.0)	5.6 (22.9)	NS

**Notes:** Data are expressed as mean (SD). \* $P \leq 0.05$  compared to baseline.

**Abbreviations:** CO, cardiac output; DAP, diastolic arterial pressure; HR, heart rate; LD, low dose; MAP, mean arterial pressure; MD, medium dose; NS, not significant; SAP, systolic arterial pressure; SA, spinal anesthesia; SV, stroke volume; SVR, systemic vascular resistance.

may cause a decrease in SVR because of hemodilution with a decline in viscosity, which explains why an increase in CO may not necessarily lead to an increase in blood pressure.<sup>24,25</sup>

The mean decrease in CO was 11%, 40 minutes after the onset of SA. Other studies reported similar results with CO decreases ranging from 8%–14% in similar research populations.<sup>18,26,27</sup> We did not observe a significant differ-

**Table 4** Percentage of patients developing clinically significant hemodynamic changes in both study groups

	MD	LD	P-value
SV decrease >15%	23/33 (67%)	14/31 (45%)	0.047
MAP decrease >25%	12/33 (37%)	6/31 (19%)	NS
SAP decrease >25%	16/33 (48%)	6/31 (19%)	0.014

**Abbreviations:** LD, low dose; MAP, mean arterial pressure; MD, medium dose; NS, not significant; SAP, systolic arterial pressure; SV, stroke volume.



**Table 5** Baseline hemodynamic values of patients who required ephedrine and patients who did not require ephedrine

	With ephedrine (SD)	Without ephedrine (SD)	P-value
CO (L/min)	4.23 (1.0)	4.59 (1.15)	NS
SV (mL)	63.1 (14.7)	68.4 (17.6)	NS
MAP (mmHg)	99.3 (18.1)	100.1 (16.2)	NS
SAP (mmHg)	140.4 (29.2)	142.8 (28.4)	NS
DAP (mmHg)	75.2 (12.7)	74.5 (10.7)	NS
HR (b/min)	68.0 (13.2)	68.4 (11.9)	NS
SVR (dyn s/cm <sup>5</sup> )	1,964.9 (624.7)	1,819.3 (512)	NS

**Notes:** Data are expressed as mean (SD).

**Abbreviations:** CO, cardiac output; DAP, diastolic arterial pressure; HR, heart rate; MAP, mean arterial pressure; NS, not significant; SV, stroke volume; SAP, systolic arterial pressure; SVR, systemic vascular resistance.

ence in changes in CO between the two dosage groups. This was also found in a previous study in an obstetric population.<sup>4</sup> A correlation between change in CO and dosage of subarachnoid bupivacaine-sufentanil has been suggested.<sup>5</sup> However, in the latter study lower dosages of subarachnoid bupivacaine (7.5 and 12.5 mg) were used, study population was small and a different noninvasive CO monitor was used, impedance cardiography. The administration of vasopressor medication might blur statistical difference in CO between the two groups. Vasopressor medication exerts an important part of its action by increasing venous return by increasing MSFP and therefore CO.<sup>28,29</sup>

In this study, hypotension was caused by a decrease in SV. Low SV can be treated with volume therapy; the administration of vasopressor medication likewise increases SV due to an increase in preload. However, a clinical implication of this study can be that if a patient remains hypotensive despite vasopressor medication, administration of intravenous fluid is indicated to increase SV and consequently blood pressure. This hypothesis is supported by an earlier study in elderly patients undergoing hip surgery SA. Lithium dilution cardiac output (LiDCO, Lido Group, London, UK)-based fluid therapy was applied to optimize SV, patients required very little vasopressor medication as a consequence.<sup>30</sup> This is further supported by previous studies in obstetric patients, where co-loading, infusing fluid immediately after the onset of SA with either crystalloid or colloid fluids, led to an increased hemodynamic stability.<sup>31,32</sup> We chose to administer no fluids to be able to evaluate the hemodynamic response to SA with minimal effect on preload. Moreover, the administration of overzealous and unnecessary fluid can lead to complications such as bladder retention, pulmonary edema, and increased length of stay.<sup>33</sup>

Several limitations to our study should be noted. First, because our main focus was to study the hemodynamic effect of SA using only a finger cuff, the decision whether to allocate patients to the MD or LD group was left at the discretion of

the attending anesthesiologist based on the patient characteristics and type of surgery due to patient safety. This may introduce a selection bias. However, after evaluation, baseline patient characteristics, types of surgery, and hemodynamic variables did not differ between the two dosage groups. However, small differences between patients such as frailty are not represented in “crude” scores such as American Society of Anaesthesiologists class and age. Although the range of sensory block was quite large, this is comparable with previous literature.<sup>9</sup> A second limitation of this study is the lack of knowledge of the precision of Nexfin™. Although Nexfin™ has been shown to accurately provide CO measurement and show acceptable CO tracking capabilities,<sup>6,34</sup> its precision is uncertain due to difficulties in previous studies evaluating this device, such as unknown precision of the reference technique, small sample sizes, specific patient study groups, and the studies’ COs to determine accuracy range from very low to very high.<sup>35,36</sup> This makes Nexfin™ possibly unsuitable to demonstrate subtle CO differences. However, Nexfin™ is the only CO measuring device that is adequately validated in a clinical setting and measures continuously and noninvasively, making it applicable to monitor awake patients.

## Conclusion

Blood pressure decreased significantly after the onset of SA without fluid preloading in elderly patients. The hypotension that occurred was caused by a decrease in SV but not by a decrease in SVR. There was no difference in CO and blood pressure change between dosages of 10 or 15 mg bupivacaine.

## Abbreviations

ABP<sub>NI</sub>, noninvasive blood pressure measurement using Nexfin™; CO, cardiac output; CO<sub>NI</sub>, noninvasive cardiac output measurement using Nexfin™; LD, low dose; MD, medium dose; MAP, mean arterial pressure; SA, spinal anesthesia; SV, stroke volume; SAP, systolic arterial pressure; SVR, systemic vascular resistance.

## Disclosure

The authors report no conflicts of interest in this work.

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