## ORIGINAL RESEARCH

## The relationship between blood-brain barrier permeability and enlarged perivascular spaces: a cross-sectional study

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Yue Li<sup>1</sup> Man Li<sup>2</sup> Lei Yang<sup>1</sup> Wei Oin Shuna Yang<sup>1</sup> Junliang Yuan Tao Jiang<sup>2</sup> Wenli Hu<sup>1</sup>

<sup>1</sup>Department of Neurology, Beijing Chao-Yang Hospital, Capital Medical University, Beijing, People's Republic of China; <sup>2</sup>Department of Radiology, Beijing Chao-Yang Hospital, Capital Medical University, Beijing, People's Republic of China

Correspondence: Wenli Hu Department of Neurology, Beijing Chao-Yang Hospital, Capital Medical University, No. 8, South Gongti Road, Chaoyang District, Beijing 100020, People's Republic of China Tel +860 108 523 1376 Fax +861 186 106 592 6388

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Purpose: Enlarged perivascular spaces (EPVS) have been widely considered as a feature of cerebral small vessel disease (cSVD) but the pathogenesis of EPVS remains unclear. Compromised blood-brain barrier (BBB) integrity may play a role since previous studies have shown that BBB breakdown is a critical contributor to the pathogenesis of other cSVD markers. This study aimed to investigate the association of EPVS in the centrum semiovale (CSO) and basal ganglia (BG) with BBB permeability.

Patients and methods: Consecutive participants free of symptomatic stroke history presented for physical examination were enrolled in this cross-sectional study. CSO- and BG-EPVS on T2-weighted (T2-W) magnetic resonance imaging (MRI) were rated using a fivepoint validated scale. Dynamic contrast-enhanced (DCE)-MRI and Patlak pharmacokinetic model were applied to quantify BBB permeability in the CSO and BG.

Results: A total of 109 participants aged 49-90 years (mean age of 69.85 years) were enrolled. The proportions of participants presenting high-grade (>10) EPVS in the CSO and BG were 50.5% and 44.0%, respectively. After adjustments for potential confounders by logistic regression, leakage rate and fractional blood plasma volume were correlated with the severity of BG-EPVS (OR: 5.33; 95%CI: 1.95–14.60 and OR: 0.93; 95%CI: 0.87–0.99).

Conclusion: Our study demonstrates that BG-EPVS are associated with compromised BBB integrity, supporting the hypothesis that the BBB dysfunction may be involved in the pathogenesis of BG-EPVS. EPVS in the CSO and BG may have distinct pathophysiology.

Keywords: enlarged perivascular spaces, cerebral small vessel disease, blood-brain barrier, dynamic contrast-enhanced, DCE, magnetic resonance imaging, MRI

#### Introduction

Enlarged perivascular spaces (EPVS), or Virchow-Robin spaces, are visible in axial T2-weighted (T2-W) magnetic resonance imaging (MRI) as round or tubular hyperintensities in the centrum semiovale (CSO) and basal ganglia (BG).<sup>1</sup> These spaces are cerebrospinal fluid (CSF)-filled cavities surrounding small penetrating cerebral arterioles and important drainage conduits for metabolic waste and cerebral interstitial fluid.<sup>2</sup> EPVS have been widely considered as a feature of cerebral small vessel disease (cSVD)<sup>3</sup> and are associated with the pathogenesis of cognitive impairment in the elderly.<sup>4</sup>

The pathogenesis of EPVS is as yet unclear. Previous studies have reported compromised blood-brain barrier (BBB) integrity in patients with lacunar stroke, white matter hyperintensities (WMH), cerebral microbleeds (CMBs), and mild

Email wenlihu3366@126.com

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vascular cognitive impairment (mVCI).<sup>5–8</sup> Thus, BBB breakdown has been widely considered as a critical contributor to the pathogenesis of cSVD.<sup>9</sup> However, the association between BBB permeability and EPVS has not been clarified. Only two papers<sup>10,11</sup> revealed the association of compromised BBB integrity with EPVS through prolonged MRI signal enhancement of contrast agent in patients with lacunar stroke, but quantitative data is absent and the effect of the symptomatic lacunar stroke on BBB permeability cannot be ruled out.

In order to explore the pathogenesis of EPVS, we applied dynamic contrast-enhanced (DCE)-MRI and Patlak pharmacokinetics to quantitatively evaluate BBB permeability,<sup>12</sup> which is the best method so far to distinguish between cSVD-related and age-related BBB permeability changes.<sup>13</sup> Therefore, considering that stroke may change BBB permeability,<sup>14</sup> participants with symptomatic stroke history were excluded from our study.

The present study aimed to investigate the association of BBB permeability with the severity of EPVS. In addition, we tentatively examined whether EPVS in the CSO and BG share the same pathogenesis.

## Material and methods

#### Study design and settings

This cross-sectional study was conducted from April 2016 to March 2017. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines for reporting of observational studies were followed.

## Study population

We recruited consecutive participants presented for physical examination at the department of Neurology of Beijing Chao-Yang Hospital, Capital Medical University, from April 2016 to March 2017. Exclusion criteria included: (1) history of symptomatic stroke or carotid stenosis of  $\geq$ 50%, neurodegenerative disease, epilepsy, Alzheimer's disease or other neurological disorders; (2) brain trauma, tumor, or systemic inflammatory disease; (3) contraindication for MRI (eg pacemaker, metal implants, and claustrophobia) or the use of the contrast agent (eg allergy to gadolinium or renal failure); or (4) psychiatric disorders, alcohol or drug abuse.

## Ethics statement

All participants consented to participate in our study and signed an informed consent to the use of data for research.

The design of this study was approved by the Ethics Committee of Beijing Chao-Yang Hospital, Capital Medical University and was performed in accordance with the Declaration of Helsinki.

#### MRI protocol and assessment Structural MRI

All participants underwent structural brain MRI on a 3T MRI scanner (Prisma; Siemens AG, Erlangen, Germany). Sequences included T1-weighted (T1-W), T2-W, diffusion-weighted imaging (DWI), and fluid-attenuated inversion recovery (FLAIR), respectively.

#### DCE-MRI

MRI examinations were performed on a 3T MRI scanner (Prisma; Siemens AG). The T1 dynamic protocol comprised precontrast T1 measurements with 2 different flip angles ( $3^\circ$ ,  $15^\circ$ ) for T1 mapping, as well as continuous serial acquisitions of 60 volumes of T1-weighted images, the sequence was applied (repetition time, TR/echo time, TE: 5.08/1.8 ms, field of view, FOV:  $230 \times 230$  mm, voxel size  $1.2 \times 1.2 \times 3$  mm). The contrast agent (Gadolinium, 1.0 mmol/mL; 0.1 mmol/kg body weight, range 5–10 mmol per person) was injected after four volumes of T1-W images after start of acquisition in the antecubital vein at a rate of 2.5 mL/second using a power injector, followed by a 20 mL saline flush.

#### MR imaging analysis

DCE data were processed offline using Nordic ICE (Nordic Neuro Lab, Bergen, Norway). The concentration of contrast agent in tissue was calculated using relative signal change and T1 mapping. Individual vascular input functions were derived from the superior sagittal sinus<sup>15</sup> using a semi-automated method in Nordic ICE. The Patlak graphical approach was applied per voxel since it was considered as the most appropriate model for lowleakage regimen.<sup>13</sup> It could provide BBB leakage rate (K<sub>trans</sub>), area under the leakage curve (AUC) and fractional blood plasma volume (V<sub>p</sub>). The following tissue regions of interest (ROIs) were selected: EPVS in the CSO and BG (size=5 mm<sup>2</sup>), respectively. Each ROI was measured for four times and averaged to obtain the average BBB leakage parameters. An experienced radiologist performed this procedure manually.

#### Assessment of EPVS

EPVS were defined as sharply delineated ovoid, round or linear structures depending on the imaging plane, size

<3 mm, following the path of perforating arterioles, located in the CSO and BG, and with CSF intensity signal in T2-W images.<sup>1</sup> EPVS were counted in the slice and the side with the highest number. A 5-point visual rating ordinal scale (0, no EPVS; 1, 1–10 EPVS; 2, 11–20 EPVS; 3, 21–40 EPVS; 4, >40 EPVS) was used to evaluate the severity of EPVS in the CSO and BG.<sup>3</sup> We dichotomized the severity of EPVS into low-grade (EPVS scores 0–1) and high-grade (EPVS scores 2–4).<sup>16</sup>

All images were analyzed by two experienced radiologists blinded to the clinical data. An interobserver reliability test was performed in 30 subjects and the  $\kappa$ -coefficient for CSO-EPVS and BG-EPVS were 0.812 and 0.845, respectively. The disagreement was resolved by discussing with other coauthors.

#### Statistical analysis

Continuous variables with normal distribution were presented as mean with standard deviation and compared using independent t-tests, those with non-normal distribution were presented as median with interquartile ranges and compared using Mann-Whitney U tests. Categorical variables were compared using chi-squaredtests. Logistic regression was used to assess the relationship between BBB permeability and the severity of EPVS in the CSO and BG as a binary variable. All multivariable analyses were first adjusted for age and sex (model 1) and additionally adjusted for all variables (including age, sex, hypertension, diabetes mellitus, hyperlipidemia, current smoker, BMI, and Fazekas score; model 2). Statistical significance was established at P<0.05. Analysis was performed with Statistical Package for Social Sciences (SPSS version 24, IBM Corporation, Armonk, NY, USA).

## Results

#### Participants characteristics

A total of 142 participants were recruited and 33 participants were excluded (9 participants with incomplete injection of contrast or contraindication for MRI, 16 participants with history of symptomatic stroke or carotid stenosis, and 8 participants with history of tumor). Finally, 109 participants (mean age: 69.85±9.18 years; 49.5% male) were enrolled. Baseline characteristics of the study population are presented in Table 1.

Among these participants, 50.5% had high-grade EPVS in the CSO and 44.0% had high-grade EPVS in the BG. Clinical characteristics of participants stratified by the Table I Baseline characteristics of the study population

Variables	N=109
Age, years	69.85±9.18
Male, N (%)	52 (47.7)
Hypertension, N (%)	72 (66.1)
Diabetes mellitus, N (%)	20 (18.3)
Hyperlipidemia, N (%)	57 (52.3)
Current smoker, N (%)	29 (26.6)
BMI, kg/m <sup>2</sup>	25.96±3.40
Fazekas score	3.00 (2.00, 4.00)
CSO-EPVS score	2.00 (2.00, 4.00)
BG-EPVS score	3.00 (2.00, 2.00)

Note: Data are presented as mean  $\pm$  standard deviation, median (interquartile range) or counts (%).

Abbreviations: EPVS, enlarged perivascular spaces; CSO, centrum semiovale; BG, basal ganglia; BMI, body mass index.

severity of EPVS are presented in Table 2. High-grade EPVS in the CSO were related to sex as well as the presence of diabetes mellitus, while high-grade EPVS in the BG were related to increasing age, sex and Fazekas score. There was no significant difference in laboratory tests between different groups of EPVS in the CSO and BG (Table S1).

# Association between BBB permeability and the severity of EPVS

An example of leakage rate, AUC and fractional blood plasma volume map in the CSO is displayed in Figure 1; that in the BG is displayed in Figure 2. Higher leakage rate as well as the AUC and lower fractional blood plasma volume were significantly associated with high-grade BG-EPVS. There was no significant difference in these BBB permeability parameters between different groups of CSO-EPVS.

The results of the binary logistic regression of the EPVS are displayed in Table 3. After adjusting for all confounders, both increased leakage rate and reduced fractional blood plasma volume were related with the high-grade BG-EPVS (OR: 5.33; 95%CI: 1.95–14.60 and OR: 0.93; 95%CI: 0.87–0.99).

## Discussion

In this study, we demonstrated that high-grade BG-EPVS were associated with higher BBB leakage rate and lower fractional blood plasma volume, but there was no significant difference in BBB permeability parameters between high-grade and low-grade EPVS in the CSO.

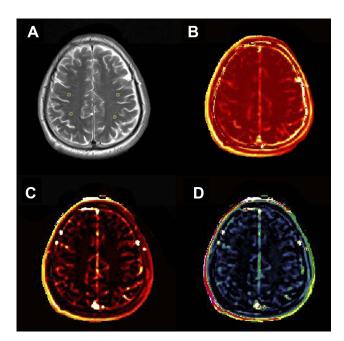
Using DCE-MRI and Patlak pharmacokinetics model in combination, previous studies revealed that increased BBB permeability is associated with the presence and

Variables	CSO-EPVS			BG-EPVS			
	Low-grade	High-grade	Р	Low-grade	High-grade	Р	
N, %	54 (49.5)	55 (50.5)	-	61 (56.0)	48 (44.0)	_	
Male, N (%)	20 (37.0)	32 (58.2)	0.027	24 (39.3)	28 (58.3)	0.049	
Age, years	68.74±8.18	70.95±10.02	0.212	67.82±8.93	72.44±8.93	0.009	
Hypertension, N (%)	36 (66.7)	36 (65.5)	0.894	37 (60.7)	35 (72.9)	0.180	
Diabetes mellitus, N (%)	15 (27.8)	5 (9.1)	0.012	10 (16.4)	10 (20.8)	0.552	
Hyperlipidemia, N (%)	28 (51.9)	29 (52.7)	0.927	31 (50.8)	26 (54.2)	0.728	
Current smoker, N (%)	10 (18.5)	19 (34.5)	0.058	13 (21.3)	16 (33.3)	0.159	
BMI, kg/m <sup>2</sup>	25.98±3.43	25.95±3.39	0.964	25.77±3.14	26.21±3.71	0.501	
Fazekas score	3.00 (1.00, 5.00)	3.00 (2.00, 5.00)	0.591	3.00 (1.00, 4.00)	4.00 (2.00, 5.00)	0.015	
K <sub>trans</sub> (10 <sup>-4</sup> min <sup>-1</sup> )	0.24 (0.10, 0.38)	0.26 (0.12, 0.37)	0.880	0.59 (0.37, 0.83)	0.90 (0.61, 1.14)	<0.001	
AUC	3.72±1.18	3.96±1.22	0.292	10.31±2.72	11.55±2.76	0.022	
V <sub>p</sub> (10 <sup>-2</sup> )	5.71±2.99	5.59±2.54	0.818	17.48±6.76	14.69±6.59	0.033	

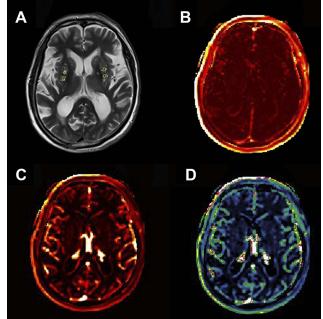
Table 2 Demographic and clinical characteristics of participants with different severity of EPVS

Note: Data are presented as mean ± standard deviation, median (interquartile range) or counts (%).

Abbreviations: EPVS, enlarged perivascular spaces; CSO, centrum semiovale; BG, basal ganglia; BMI, body mass index; K<sub>trans</sub>, BBB leakage rate; BBB, blood-brain barrer; AUC, area under the leakage curve; V<sub>p</sub>, fractional blood plasma volume.



**Figure I** An example map in the CSO (×1). (**A**) Axial FLAIR image of a 67-year-old man and ROIs; (**B**) K<sub>trans</sub> map; (**C**) AUC map; (**D**) V<sub>p</sub> map.**Abbreviations:** CSO, centrum semiovale; FLAIR, fluid-attenuated inversion recovery; ROIs, regions of interest; K<sub>trans</sub>, BBB leakage rate; BBB, blood-brain barrier; AUC, area under the leakage curve; V<sub>p</sub>, fractional blood plasma volume.



**Figure 2** An example map in the BG (×1). (**A**) Axial FLAIR image of a 71-year-old man and ROIs; (**B**) K<sub>trans</sub> map; (**C**) AUC map; (**D**) V<sub>p</sub> map.**Abbreviations:** BG, basal ganglia; FLAIR, fluid-attenuated inversion recovery; ROIs, regions of interest; K<sub>trans</sub>, BBB leakage rate; BBB, blood-brain barrier; AUC, area under the leakage curve; V<sub>p</sub>, fractional blood plasma volume.

extent of lacunar stroke, WMH as well as CMBs.<sup>5–7</sup> Besides, compromised BBB integrity is also associated with total MRI cSVD burden including lacunes, WMH, CMBs and EPVS, which could more comprehensively reflect the severity of cSVD.<sup>17</sup> BBB dysfunction is now widely considered as a critical contributor to the pathogenesis of cSVD. An experiment using spontaneously

hypertensive stroke-prone rats revealed that BBB breakdown may be the starting point of cSVD.<sup>18</sup> BBB breakdown might cause a series of pathophysiological changes which eventually lead to cSVD.

Although more and more evidence suggests that EPVS are related to lacunar stroke and WMH,<sup>3,19</sup> only two studies<sup>10,11</sup> revealed that signal enhancement after

Variables	CSO-EPVS OR (95%CI)				BG-EPVS OR (95%CI)			
	Model I	Р	Model 2	Р	Model I	Р	Model 2	Р
K <sub>trans</sub> (10 <sup>-4</sup> min <sup>-1</sup> ) AUC V <sub>P</sub> (10 <sup>-2</sup> )	1.84 (0.31–11.00) 1.19 (0.86–1.67) 1.02 (0.88–1.18)	0.505 0.298 0.786	2.07 (0.27–16.23) 1.18 (0.81–1.74) 1.00 (0.85–1.17)	0.487 0.222 0.962	4.84 (1.72–13.66) 1.16 (0.99–1.36) 0.93 (0.87–0.99)	0.004 0.059 0.030	5.33 (1.95–14.60) 1.12 (0.95–1.32) 0.93 (0.87–0.99)	0.003 0.222 0.030

Table 3 Leakage rate and fractional blood plasma volume in relation to severity of BG-EPVS

Notes: Model 1: bivariate logistic regression analyses with adjustment for age and sex. Model 2: bivariate logistic regression analyses with adjustment for all variables (including age, sex, hypertension, diabetes mellitus, hyperlipidemia, current smoker, BMI, and Fazekas score).

Abbreviations: EPVS, enlarged perivascular spaces; CSO, centrum semiovale; BG, basal ganglia; BMI, body mass index; K<sub>trans</sub>, BBB leakage rate; BBB, blood-brain barrier; AUC, area under the leakage curve; V<sub>p</sub>, fractional blood plasma volume.

gadolinium was associated with enlarged perivascular spaces in patients with lacunar stroke. However, no quantitative studies exploring the relationship between BBB permeability and EPVS, which is considered as a novel marker of cSVD, are available to date.<sup>20</sup> Nonquantitative methods could not distinguish WMH-related BBB permeability change from age-related BBB permeability change.<sup>7</sup>

Our study revealed the association of BBB breakdown with EPVS, yielding more evidence that compromised BBB integrity is part of the pathological processes of EPVS.<sup>21</sup> Furthermore, we also demonstrated that CSO-EPVS and BG-EPVS may have a different pathogenesis, which is new for the understanding the BBB permeability of EPVS.

EPVS are important conduits for drainage of cerebral interstitial fluid into the ventricles and hence to the venous system.<sup>22</sup> but the exact pathogenesis of EPVS is uncertain. Early-stage experimental studies showed that EPVS could be affected by compromised BBB integrity and endothelial inflammation.<sup>23</sup> BBB is a selective barrier structure composed of basement membrane, capillary endothelial cells, tight junctions (TJs), pericytes and astrocytes.<sup>24</sup> The damage of TJs will cause leakage of plasma content, alteration of cell polarity and change of transport mechanisms.<sup>25</sup> Leakage of interstitial fluid and changes of microvascular wall will lead to obstruction of drainage space, accumulation of toxic substances and consequent occurrence of EPVS.<sup>26</sup> Apart from affecting the integrity of TJs between vascular endothelial cells, increased BBB permeability also affects endothelial function,<sup>27</sup> which is closely related to cSVD.28 Vessels in the vicinity of EPVS in pathological conditions show arterial wall thickening and tortuosity, venular widening, endothelial inflammation and BBB breakdown.<sup>29</sup> Thus, EPVS are considered as a manifestation of cerebral small vessel pathology and a possible marker for BBB dysfunction.<sup>3</sup>

We found that participants with high-grade BG-EPVS had a lower fractional blood plasma volume. As blood plasma volume is associated with the cerebral blood flow (CBF), this observation was in line with the result of a previous study<sup>30</sup> suggesting that patients with high-grade EPVS were significantly associated with Moyamoya disease which features lower CBF and reduced cerebral perfusion pressure. This may suggest that hypoperfusion is involved in the pathogenesis of EPVS.

We showed that BG-EPVS and CSO-EPVS may have different pathogenesis, in line with the result of a previous study suggesting that BG-EPVS were linked to cognitive impairment,<sup>31</sup> but CSO-EPVS were not.<sup>32</sup> Most studies reckoned that EPVS in the BG, and not in the CSO, are specifically related to WMH and cSVD.<sup>3,33</sup> A possible mechanism is that CSO-EPVS and BG-EPVS have different morphological features and related perforating arteries, thus, they may have unsynchronized pathological processes and different susceptibility to BBB dysfunction.<sup>34</sup> In addition, there is a widely accepted hypothesis that cerebrovascular amyloid angiopathy and microbleed is a risk factor of BG-EPVS.<sup>37</sup>

There are some limitations in our study. First, the study population are those who came for physical examination in a single center. They may have higher EPVS grade than those of the same age from the community since they may have a higher incidence of underlying diseases, such as hypertension or diabetes mellitus. In addition, there may be some sampling errors. For instance, diabetes mellitus was significantly more common in patients with low-grade CSO-EPVS. Second, it is too difficult to completely place ROIs on EPVS areas since they are too small to be accurately identified in the postprocessed images. We have tried our best to place ROIs on EPVS, but there could still be some errors. Third, this is a cross-sectional study and is therefore unable to observe whether the BBB breakdown will cause EPVS progress. Longitudinal studies are needed to verify the causal relationship between the increased BBB permeability and EPVS progression. Fourth, there is a lack of reliable biological markers to verify the increased permeability of BBB.

#### Conclusion

In conclusion, we found that BBB permeability varied with the severity of BG-EPVS, which suggests that BBB breakdown may be part of the pathogenesis of BG-EPVS. CSO-EPVS and BG-EPVS may have distinct pathophysiology. More information on brain tissue alterations is crucial for understanding EPVS pathogenesis.

#### Data sharing statement

All coauthors have agreed to the submission and publication of this manuscript. The authors confirm that all data underlying the findings described in this manuscript are fully available to all interested researchers upon request.

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## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary material

Variables	CSO-EPVS		BG-EPVS			
	Low-grade	High-grade	Р	Low-grade	High-grade	Р
TC, mmol/L	4.29±0.97	4.23±0.82	0.750	4.24±0.96	4.27±0.80	0.804
TG, mmol/L	1.28 (0.95, 1.51)	1.30 (0.92, 1.65)	0.406	1.16 (0.95, 1.54)	1.39 (0.95, 1.65)	0.254
HDL, mmol/L	1.20 (0.98, 1.50)	1.10 (0.90, 1.30)	0.162	1.10 (0.90, 1.30)	1.20 (1.00, 1.48)	0.217
LDL, mmol/L	2.30 (1.88, 3.20)	2.50 (1.90, 3.20)	0.860	2.50 (1.90, 3.25)	2.30 (1.90, 3.08)	0.562
HbAIc, %	5.80 (5.40, 6.33)	5.60 (5.40, 6.00)	0.202	5.70 (5.40, 5.95)	5.80 (5.50, 6.18)	0.197
HCY, mmol/L	14.00 (12.00, 17.25)	14.28 (01.95, 11.51)	0.819	14.00 (12.00, 17.00)	14.00 (12.00, 17.75)	0.321
hs-CRP, mg/L	1.32 (0.70, 3.74)	1.35 (0.93, 2.16)	0.712	1.62 (0.96, 2.91)	1.13 (0.55, 2.25)	0.084
Uric, µmol/L	314.50±92.31	323.18±91.62	0.623	324.97±91.55	311.15±92.13	0.437
Cr, μmol/L	63.75 (54.48, 78.50)	65.00 (56.20, 75.20)	0.769	62.80 (52.10, 80.10)	65.15 (58.48, 73.35)	0.344

Table SI Laboratory tests in participants with different severity of EPVS

Note: Data are presented as mean ± standard deviation or median (interquartile range).

Abbreviations: EPVS, enlarged perivascular spaces; CSO, centrum semiovale; BG, basal ganglia; TC, total cholesterol; TG, triglyceride; HDL, high density lipoprotein; LDL, low density lipoprotein; HbA1c, glycosylated hemoglobin; HCY, homocysteine; hs-CRP, high-sensitivity C-reactive protein; Uric, uric acid; Cr, serum creatinine.

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