

# Alginate nanoparticles as non-toxic delivery system for miltefosine in the treatment of candidiasis and cryptococcosis

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**Introduction and objective:** Previous studies indicate that miltefosine (MFS) may be an alternative as an antifungal agent; however, it presents several adverse effects. Thus, the aim of this study was to produce miltefosine-loaded alginate nanoparticles (MFS.Alg) for toxicity reduction to be used as an alternative for the treatment of cryptococcosis and candidiasis.

**Methods:** Alginate nanoparticles were produced using the external emulsification/gelation method, and their physicochemical and morphological characteristics were analyzed. MFS encapsulation efficiency, release assay and toxicity on red blood cells and on *Galleria mellonella* larvae were assessed. The antifungal activity was evaluated using in vitro and in vivo larval models of *G. mellonella* infected with *Candida albicans* (SC5314 and IAL-40), *Cryptococcus neoformans* H99 and *Cryptococcus gattii* ATCC 56990. The treatment efficacy was evaluated by survival curve, colony forming unit (CFU) counting and histopathological analysis.

**Results:** MFS.Alg nanoparticles presented a mean size of  $279.1 \pm 56.7$  nm, a polydispersity index of  $0.42 \pm 0.15$  and a zeta potential of  $-39.7 \pm 5.2$  mV. The encapsulation efficiency of MFS was  $81.70 \pm 6.64\%$ , and its release from the nanoparticles occurred in a sustained manner. MFS in alginate nanoparticles presented no hemolytic effect and no toxicity in *G. mellonella* larvae. Treatment with MFS.Alg extended the survival time of larvae infected with *C. albicans* and *C. gattii*. In addition, the fungal burden reduction was confirmed by CFU and histopathological data for all groups treated with 200 mg/Kg of MFS.Alg.

**Conclusion:** These results support the use of alginate-based drug delivery systems as carriers for MFS for drug toxicity reduction and control of the fungal infection in the in vivo model of *G. mellonella*.

**Keywords:** nanocarriers, antifungal, drug delivery, *Galleria mellonella*, invasive fungal infection

## Introduction

Infections caused by fungi have become a major public health problem and have been growing in number and severity in recent decades.<sup>1,2</sup> Over 90% of all fungal-related deaths result from invasive fungal infections (IFIs) by *Candida*, *Cryptococcus*, *Aspergillus* and *Pneumocystis* species.<sup>3,4</sup> Currently, five major antifungal drug classes are available to treat IFIs: azoles, echinocandins, polyenes, allylamines and pyrimidine analogues;<sup>5,6</sup> however, their use is limited by poor selectivity, high toxicity and resistance.<sup>7,8</sup> Thus, the need for new treatment options for IFIs is evident.

Miltefosine (MFS) is an alkylphosphocholine analogue synthesized and evaluated in the 1980's for antineoplastic activity.<sup>9</sup> Currently, oral administration of MFS is

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recommended for visceral and cutaneous leishmaniasis treatments, whereas its topical administration is approved for management of skin metastases of breast cancer.<sup>9–12</sup> In addition, MFS has considerable antifungal potential in vitro against a wide range of pathogenic fungi, including *Candida* spp., *Cryptococcus* spp., *Aspergillus* spp., *Fusarium* spp., *Scedosporium* spp., *Sporothrix* spp., *Paracoccidioides* spp., *Histoplasma capsulatum*, *Coccidioides posadasii*, and dermatophytes,<sup>13–18</sup> and thus, could represent a potential alternative to the available drugs for treatment of IFIs.

However, in spite of its demonstrated antifungal activity, MFS presents pharmacokinetic disadvantages and systemic toxic effects that restrict its clinical use for treatment of IFIs. Serious gastrointestinal effects (such as nausea, vomiting, and diarrhea) related to oral administration of MFS have been reported, and its detergent properties may contribute to damage of the gastrointestinal mucosa.<sup>19,20</sup> Other frequently observed MFS-related toxic effects are mainly associated with the kidneys and liver, in addition to teratogenicity and high hemolytic activity.<sup>19</sup> In attempt to reduce its toxicological effects and to expand its clinical use (to include treatment of IFIs), encapsulation of MFS in nanocarriers is proposed here.

Among the various drug carriers employed to improve drug delivery, there are polymeric nanoparticles, such as those produced with alginate. Alginate is a natural, non-toxic, biocompatible and non-immunogenic polymer that presents biodegradable and mucoadhesive properties, a low cost of production, and is readily available.<sup>21,22</sup> Other antifungal agents, such as azoles and polyenes, have been incorporated into alginate-based carriers, and have showed promising in vitro and in vivo results in terms of antifungal activity, improvement of bioavailability, and dose reduction and systemic toxicity.<sup>23</sup> No previous study has attempted to encapsulate MFS in alginate-based nanocarriers to assess its efficacy for treatment of IFIs.

In view of the above, the present study aims at developing alginate nanocarriers for MFS encapsulation to overcome the unfavorable toxicity profile, and to serve as an alternative treatment for cryptococcosis and candidiasis.

## Materials and methods

### Materials

Sodium alginate (MP Biomedicals, Solon, OH, USA), sunflower oil, sorbitan monooleate (SPAN 80, Sigma Aldrich, St. Louis, MO, USA), poloxamer 407 (Sigma Aldrich, St. Louis, MO, USA), isopropanol (Merck,

Darmstadt, Germany), and calcium chloride (Synth, Diadema, SP, Brazil) were used for nanoparticle production. Miltefosine (MFS, Cayman Chemical, Ann Arbor, MI, USA), amphotericin B (AMB, Sigma Aldrich, St. Louis, MO, USA) and fluconazole (FLC, Sigma Aldrich, Laramie, WY, USA) were used as standard drugs.

### Fungal collection

Clinical (“IAL”) and standard strains of *Candida* spp. [*C. albicans* (SC5314 and IAL-40), *C. parapsilosis* (ATCC 22019 and IAL-17), *C. tropicalis* (ATCC 200956 and IAL-01), *C. glabrata* (ATCC 2001 and IAL-23) and *C. krusei* (ATCC 6258 and IAL-30)] and standard strains of *Cryptococcus* spp. [*C. neoformans* stricto sensu ATCC 208821 (or H99), *C. neoformans* CAP59 (H99 acapsular mutant), and *C. gattii* lato sensu ATCC 56990] were used in this work.

The strains were stored in Brain and Heart Infusion (BHI) medium and 20% glycerol at –80 °C, recovered in Sabouraud dextrose medium, and kept in Sabouraud agar at 4 °C during the tests. Before each assay, the yeasts were twice subcultured in Sabouraud dextrose medium for 48–72 h at 35 °C.

### Nanoparticle production

The alginate nanoparticles (Nano.Alg) were obtained by emulsification using the external gelation method. First, 1.35 g of 1% alginate aqueous solution was mixed with 2.04 g of sunflower oil containing 3% SPAN 80 and homogenized for 1 min using a hand-held homogenizer for emulsification. The emulsion was sonicated for 10 min in pulses (50 s on, 10 s off) in an ice bath using 40% maximum amplitude (VCX500, Sonics, Newtown, CT, USA). While stirring, 1 mL of 0.22 M calcium chloride solution with 0.5% poloxamer 407 was added dropwise. The mixture was sonicated for 5 min in pulses (50 s on, 10 s off), followed by 30 min of magnetic stirring. After these steps, the system was centrifuged for 5 min at 3000 g, the supernatant was removed and isopropanol (P.A.) was added to remove oil residues. Subsequently, 500 µL of 10% trehalose was added prior to freeze-drying for 24 h (FreeZone 2.5 freeze-dryer, Labconco, Kansas, MO, USA) to obtain a homogeneous fine powder of alginate nanoparticles.

MFS-loaded alginate nanoparticles (MFS.Alg) were obtained using the same protocol, except that MFS (3 mg) was added to the alginate aqueous dispersion.<sup>24</sup>

## Physicochemical and morphological characterization of the nanoparticles

### Measurement of particle size, size distribution, and zeta potential

The unloaded and MFS-loaded alginate nanoparticles (Nano.Alg and MFS.Alg, respectively) were diluted (1:100, v/v) in distilled water before and after freeze-drying for determination of the hydrodynamic diameter (Dz) and size distribution (Pdi) by Dynamic Light Scattering (DLS, Zetasizer NanoZS90, Malvern Instruments, Worcestershire, UK). Zeta potential was measured by electrophoresis after sample dilution in distilled water (1:100, v/v, Zetasizer NanoZS90, Malvern Instruments, Worcestershire, UK).

### Electron microscopy

Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) were performed to assess the morphological characteristics of Nano.Alg and MFS.Alg. For TEM, one drop of the sample was placed on a 300 mesh copper grid covered with FormVar film, contrasted with 2% phosphotungstic acid for 1 min and observed on the transmission electron microscope (Tecnai G20, FEI, Thermo Scientific, Hillsboro, Oregon, USA). For SEM, the glass coverslips were covered with poly-L-lysine for 30 min and rinsed before placing the samples on their surface. The samples were left at room temperature for 24 h for drying and metallized with platinum (10 nm) before analysis on the scanning electron microscope (Quanta 650 FEG, FEI, Thermo Scientific, Hillsboro, Oregon, USA).

### Fourier transform infrared spectroscopy (FTIR)

Spectroscopic analyzes in the infrared region were conducted to verify interactions between MFS and formulation components, and compared with free MFS and Nano.Alg. FTIR spectra were obtained using KBr pellets in a range from 4500 to 500  $\text{cm}^{-1}$ , with a resolution of 2  $\text{cm}^{-1}$  (Shimadzu IR Prestige-21, Kyoto, Japan).

## Miltefosine encapsulation percentage and in vitro release assay

The efficiency of MFS encapsulation in alginate nanoparticles was evaluated using the formula:  $100 - (\text{MFS in supernatant} \times 100 / \text{amount of initial MFS})$ .<sup>25</sup> MFS was quantified in the supernatant by a colorimetric method based on the complexation of MFS with ammonium ferrotiocyanate, and the resulting complex was detected at 460 nm (Epoch 2, BioTek, Winooski, VT, USA).<sup>26</sup> Calibration curves for MFS in distilled water (15.62–2000  $\mu\text{g/mL}$ ) were prepared to

determine drug concentration in the samples. The experiment was performed three times.

To verify whether MFS was released from the nanoparticles, the nanoparticle powder was suspended in 1 mL of sterile water and incubated at 37 °C while stirring at 200 rpm. At 6, 12 and 24 h, the samples were centrifuged for 5 min at 3000 g and the supernatant was collected for MFS quantification by the colorimetric method described above. Nano.Alg was used as a negative control and three independent experiments were performed. The supernatant was also analyzed by dynamic light scattering, and the absence of populations with the same characteristics of the nanoparticles indicated their sedimentation.

## Toxicity assays

### Cytotoxicity on red blood cells (RBC)

A suspension of 4% erythrocytes from sheep's blood (Newprovi, Pinhais, Paraná, Brazil) (v/v in 5% sterile glucose solution) was exposed to MFS in freeform or in alginate nanoparticles at 1–128  $\mu\text{g/mL}$  for 2 h in a 37 °C bath. Negative (untreated) and positive (0.1% triton X-100) controls were also used in this test. After incubation, the samples were centrifuged at 1500 g for 10 min and the supernatant was analyzed at 540 nm (Epoch 2, BioTek, Winooski, VT, USA) to determine the hemolytic activity percentage (HA%).<sup>27</sup> Three independent experiments were performed.

### Toxicity on *Galleria mellonella* larvae

*Galleria mellonella* caterpillars (2.0–2.5 cm in length and 150–200 mg of body weight) were treated with free MFS (25–200 mg/kg) or MFS.Alg (100–200 mg/kg of MFS) by injecting 10  $\mu\text{L}$  of each treatment into the last pro-leg of the larvae ( $n=18$  larvae/group).<sup>28</sup> Alginate nanoparticles (Nano.Alg) and PBS were used as controls. The caterpillars were incubated at 37 °C and observed daily for 5 days to assess survival. Three independent experiments were performed.

### In vitro antifungal activity

The minimum inhibitory concentrations (MIC) of antifungals were determined by the broth microdilution method for *Candida* and *Cryptococcus*.<sup>29</sup> The antifungal drugs were serially diluted (1:2) in RPMI 1640 medium buffered with 0.16 M 3-morpholinopropane-1-sulfonic acid (MOPS, Sigma-Aldrich, St. Louis, MO, USA), pH 7.0, in flat-bottom 96-well microplates. Then, 100  $\mu\text{L}$  of the fungal suspension was added to each well for a final fungal concentration of  $0.5\text{--}2.5 \times 10^3$  CFU/mL, and final antifungal

concentrations of 0.03–16 µg/mL for MFS and AMB, 0.12–64 µg/mL for FLC, and 0.78–600 µg/mL for MFS in alginate nanoparticles (MFS.Alg). In parallel, Nano.Alg was diluted under the same conditions as MFS.Alg to evaluate the interference of the formulation components in the antifungal activity. Microplates were incubated in a humid chamber in the dark at 35 °C for 48 h (*Candida* spp.) or 72 h (*Cryptococcus* spp.), and the fungal growth inhibition was visually observed. The lowest drug concentration that inhibited 90% of fungal growth was defined as the MIC values for AMB, MFS and MFS.Alg, and the lowest drug concentration that inhibited 50% of the fungal growth was defined as the MIC values for FLC. *Candida* spp. strains were classified as resistant or susceptible or dose-dependent, according to criteria established by the M27-S4 document.<sup>30</sup>

The minimum fungicidal concentration (MFC) was determined by culturing a 10-µL aliquot of the yeast suspension treated with the inhibitory concentrations of antifungals on drug-free Sabouraud dextrose agar at 35 °C for 48–72 h. The MFC was determined as the lowest concentration that reduced 99.9% of fungal viability; the fungicidal effect was considered when the MFC value was up to four times the MIC value.<sup>31</sup>

### In vivo antifungal activity on the *Galleria mellonella* larval model

Before initiating the treatment with antifungals, we evaluated the virulence of *Candida* and *Cryptococcus* strains on the larval model of *G. mellonella*. The caterpillars in the last larval stage (2.0–2.5 cm in length and a body weight of 150–200 mg) were infected with 10 µL of the fungal suspension in PBS ( $5 \times 10^7$  CFU/mL for *Candida* spp. and  $5 \times 10^8$  CFU/mL for *Cryptococcus* spp.) in the last pro-leg and incubated at 37 °C.<sup>28</sup> All groups, including the PBS group, were monitored every day after inoculation for 5 or 7 days (*Candida* and *Cryptococcus*, respectively) to construct the survival curves (n=18 larvae/group). Following analysis, two *Candida* and two *Cryptococcus* strains were selected for the antifungal treatments.

For the evaluation of antifungal activity, the *G. mellonella* caterpillars were infected, as described above, with *C. albicans* (SC5314 and IAL-40), *C. neoformans* H99 and *C. gattii* ATCC 56990. Thirty minutes post-infection, antifungal treatments were initiated with the administration of 10 µL of free MFS (10, 20 and 40 mg/Kg) and MFS.Alg (100 and 200 mg/Kg of MFS) and the larvae incubated at 37 °C. Caterpillars untreated (PBS group) and treated with Nano.Alg were included in the assay. The survival of the larvae was monitored every day for

up to 5 or 7 days (*Candida* and *Cryptococcus*, respectively) for construction of the survival curves (n=22 larvae/group). Two independent experiments were performed.

In addition, fungal burden determination and histopathological analysis were performed in *G. mellonella* larvae. For this, on the second day post-infection, 6 larvae from each group were weighed and the tissue was macerated and homogenized in 1 mL of sterile PBS followed by serial dilutions, plated on Sabouraud dextrose agar containing chloramphenicol (50 µg/mL), and incubated at 37°C for 48 h to obtain the number of colony forming units (CFU) per gram of larvae (CFU/g). Concomitantly, 2 larvae from each group were fixed with 10% formaldehyde in PBS for preparation of the histological sections and stained with hematoxylin-eosin (HE). Histological sections were subjected to semi-quantification analysis under optical microscopy (DM750, Leica, São Paulo, Brazil), according to the criteria described by Quintella et al.<sup>32</sup>

### Statistical analyses

The results are reported as mean and standard deviation, and the data were statistically analyzed using the Student's *t*-test and ANOVA, followed by Dunnett's post-hoc test. *G. mellonella* larvae survival data were analyzed using log-Rank test. The 95% confidence level was considered significant for all analyses using GraphPad Prism (version 5.00 for Windows, GraphPad Software, San Diego, California, USA).

## Results

### Characterization of the alginate nanoparticles

Unloaded nanoparticles (Nano.Alg), obtained by the emulsification technique with external gelation, were negatively charged ( $-36.2 \pm 6.8$  mV), had a mean size of  $346.5 \pm 30.6$  nm and a Pdi of  $0.40 \pm 0.07$ ; similar characteristics were observed for miltefosine-loaded alginate nanoparticles (MFS.Alg) ( $P > 0.05$ ), suggesting that drug encapsulation did not modify the particles' characteristics (Table 1; Figure S1). Additionally, these characteristics were not pronouncedly affected by utilization of 10% trehalose as a cryoprotector for freeze-drying and PBS for powder reconstitution (Table 1).

As demonstrated by TEM and SEM analyses, alginate nanoparticles seemed well dispersed and homogeneously distributed with a relatively spherical form and a regular surface, presenting a similar size to the particle mean obtained by DLS (~300 nm). Moreover, MFS encapsulation did not affect the nanoparticle morphology (Figure 1).



**Table I** Physicochemical characteristics of unloaded (Nano.Alg) and miltefosine-loaded (MFS.Alg) alginate nanoparticles before and after the freeze-drying process

	Size (nm)	Pdi	Zeta potential (mV)
Nano.Alg before freeze-drying	335.7±10.9	0.35±0.06	-21.1±1.3
Nano.Alg after freeze-drying	346.5±30.6	0.40±0.07	-36.2±6.8*
MFS.Alg before freeze-drying	320.2±37.9	0.43±0.14	-19.0±3.1
MFS.Alg after freeze-drying	279.1±56.7	0.42±0.15	-39.7±5.2*

**Notes:** Data represent mean ± standard deviation. \* $P < 0.05$  compared with nanoparticles before freeze-drying (Student's t-test).

To assess drug-polymer interactions that might impact drug release, FTIR analysis was performed. Free MFS IR spectra contained peaks at  $2950\text{--}2850\text{ cm}^{-1}$  that correspond to  $\text{CH}_2$  stretching in the long hexadecyl-chain, at  $1520\text{--}1490\text{ cm}^{-1}$  that correspond to  $\text{CH}_2$  bending, and at  $1260\text{--}960\text{ cm}^{-1}$  that correspond to the phosphocholine group (Figure S2A), as previously described.<sup>26</sup> Main MFS-related peaks were observed in the IR spectrum obtained from MFS.Alg, suggesting no peak shifts due to reactions and/or strong interactions of MFS with formulation components. In contrast, none of these peaks appeared in the Nano.Alg spectrum (Figure S2B-C).

### Miltefosine was encapsulated in alginate nanoparticles and presented sustained release in vitro

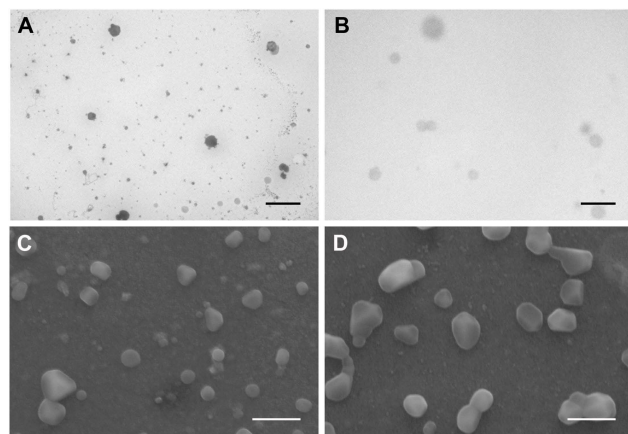
The encapsulation efficiency of MFS in the alginate nanoparticles was  $81.70\% \pm 6.64$ , and the drug was released in a sustained manner from the alginate-based nanoparticles: approximately  $112.5\text{ }\mu\text{g/mL}$  (4.68%) of the drug was released in the first 6 h (Figure 2), while  $\sim 181.25\text{ }\mu\text{g/mL}$  (7.55%) of the drug was released at the longest time point assessed (24 h).

### Miltefosine encapsulation in alginate nanoparticles reduced drug toxicity

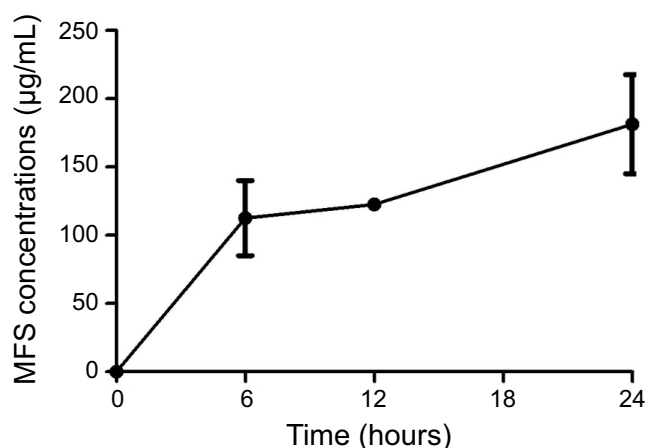
To assess the effect of MFS encapsulation on drug toxicity, hemolysis and survival of *G. mellonella* larvae were assessed as a function of drug concentration.

As a drug solution, MFS promoted hemolysis (50%) at concentrations close to  $35\text{ }\mu\text{g/mL}$ , while no hemolytic effect was observed when the drug was incorporated into the alginate nanoparticles (MFS.Alg), even when its concentration was 3.65-fold higher ( $128\text{ }\mu\text{g/mL}$ ). Incubation with Nano.Alg (used as a control) did not result in hemolysis, suggesting that the nanocarrier itself is safe.

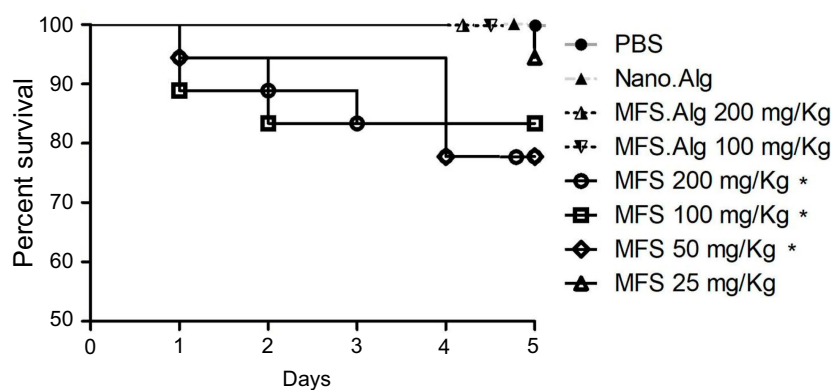
The toxicity of free MFS in *G. mellonella* larvae was observed at doses  $\geq 50\text{ mg/kg}$ , which resulted in larvae survival of 77.8% ( $P < 0.05$  compared to PBS). In contrast, MFS.Alg at 100 and 200 mg/kg failed to increase mortality compared to PBS ( $P > 0.05$ ; Figure 3), demonstrating that MFS encapsulation in alginate-based nanocarriers protected the larvae from its toxic effects. In addition, unloaded alginate nanoparticles (Nano.Alg) did not lead to mortality of *G. mellonella* larvae (Figure 3).



**Figure 1** Transmission electron microscopy (A, B) and scanning electron microscopy (C, D) of alginate nanoparticles. Unloaded (Nano.Alg) (A, C) and miltefosine-loaded (MFS.Alg) (B, D) nanoparticles. Bars in (A) and (B):  $2\text{ }\mu\text{m}$ ; bars in (C) and (D):  $0.5\text{ }\mu\text{m}$ .



**Figure 2** Release of miltefosine (MFS) from alginate nanoparticles. In vitro release at  $37\text{ }^{\circ}\text{C}$ , with constant agitation (200 rpm).



**Figure 3** Toxicity of free miltefosine (MFS) and miltefosine-loaded alginate nanoparticles (MFS.Alg) in *Galleria mellonella* larvae. \* $P < 0.05$  when compared with untreated larvae (PBS group). Unloaded alginate nanoparticles (Nano.Alg) were also tested and no toxicity was observed.

### In vitro antifungal activity

For antifungal susceptibility testing, we selected *Cryptococcus* spp. and FLC-resistant and FLC-susceptible *Candida* spp. strains (Table 2). Notably, MFS showed antifungal efficacy against FLC-resistant *Candida* spp. strains (Table 2). Free MFS inhibited fungal growth at concentrations from 0.5 to 2  $\mu\text{g/mL}$  against *Candida* and *Cryptococcus*, a similar effect observed for AMB (from 0.03 to 2  $\mu\text{g/mL}$ ). Both antifungals exhibited fungicidal effects on the pathogenic yeasts (Table 2).

Altogether, MFS in the alginate nanoparticles (MFS.Alg) showed a lower inhibitory effect than free MFS, except against the acapsular *C. neoformans* strain (CAP59) (Table 2). MIC values for MFS.Alg were 25-times higher when compared to free MFS on *C. neoformans* H99 and *C. gattii* ATCC 56990 (Table 2); however, for *Candida* spp., MFS.Alg showed the lowest inhibitory activity (300–600  $\mu\text{g/mL}$ ; up to 600 times) (Table 2). Nano.Alg was evaluated as a control, and no inhibitory effect on yeast was observed (data not shown).

### In vivo antifungal efficacy

The virulence assay of *Candida* and *Cryptococcus* strains on *G. mellonella* larvae was carried out to select the fungal strains for antifungal treatments (Figure S3). Among *Candida* species, *C. albicans* SC5314 and IAL-40 were the most virulent strains, followed by *C. tropicalis* IAL-01, *C. krusei* ATCC 6258 and *C. parapsilosis* IAL-17 (Figure S3A). Both *C. neoformans* H99 and *C. gattii* ATCC 56990 were virulent when compared with the PBS group ( $P < 0.0001$ ; Figure S3B). Thus, *C. albicans* SC5314 (FLC-susceptible), *C. albicans* IAL-40 (FLC-resistant), *C. neoformans* H99 and *C. gattii* ATCC 56990 were selected for infection of *G. mellonella* larvae and assessment of antifungal efficacy of the nanoparticles.

Treatment of *G. mellonella* larvae infected with *C. albicans* SC5314 or IAL-40, or *C. gattii* ATCC 56990 using either free MFS or MFS.Alg significantly extended larvae survival time, except in the larvae infected with *C. neoformans* H99 (Figure 4). In all doses tested, free MFS and MFS.Alg increased the survival of larvae infected with *C. albicans* SC5314 by 75% when compared to the untreated group ( $P < 0.0001$ ). The survival of larvae infected with *C. albicans* IAL-40 (FLC-resistant) was improved only by treatments with 20 mg/Kg of free MFS ( $P = 0.0007$ ) and 200 mg/Kg of MFS. Alg ( $P = 0.0022$ ). Treatments with 100 and 200 mg/Kg of MFS. Alg led to 80% survival in larvae infected with *C. gattii* ATCC 56990 ( $P < 0.0001$ ), while free MFS at doses of  $\geq 20$  mg/Kg also increased larvae survival ( $P < 0.001$ ) (Figure 4).

In addition to the survival curve, the fungal burden was evaluated on the 2<sup>nd</sup> day after antifungal treatment (Figure 5). MFS.Alg at 200 mg/Kg led to a significant decrease in fungal burden for all *Candida* spp. and *Cryptococcus* spp. strains, while at 100 mg/Kg, MFS.Alg decreased fungal burden only in larvae infected with *C. albicans* SC5314 ( $P < 0.001$ ). Free MFS at 10 and 20 mg/Kg was effective at decreasing fungal burden of *C. albicans* SC5314 ( $P < 0.05$ ), whereas *C. albicans* IAL-40 was reduced only in larvae treated with 10 mg/Kg of free MFS ( $P < 0.05$ ). Although free MFS increased larvae survival after the 5<sup>th</sup> day of infection with *C. gattii* ATCC 56990, the fungal burden on the 2<sup>nd</sup> day post-infection was similar to the untreated group; in contrast, free MFS at 20 and 40 mg/Kg led to a significant reduction of fungal burden of *C. neoformans* H99 on the 2<sup>nd</sup> day post-infection ( $P < 0.05$ ) (Figure 5).

The fungal burden reduction was also observed by histopathological analysis and corroborated with CFU/g data after treatments with MFS.Alg and free MFS (Figure 5, Table S1). Beyond fungal burden reduction, both MFS.Alg doses reduced *Cryptococcus* dissemination in the larval tissue and *C. albicans*

**Table 2** Susceptibility of *Candida* spp. and *Cryptococcus* spp. strains to fluconazole (FLC), amphotericin B (AMB), free miltefosine (MFS) and miltefosine-loaded alginate nanoparticles (MFS.Alg)

Strains	Concentrations (µg/mL)							
	FLC <sup>a</sup>		AMB <sup>a</sup>		MFS		MFS.Alg	
	MIC	MFC	MIC	MFC	MIC	MFC	MIC	MFC
<i>C. albicans</i> SC5314	2	4	0.12	0.12	1	1	300	600
<i>C. albicans</i> IAL-40	64 <sup>R</sup>	>64	0.12	0.12	1	1	600	>600
<i>C. glabrata</i> ATCC 2001	16 <sup>R</sup>	>64	0.12	0.25	0.5	0.5	150	>600
<i>C. glabrata</i> IAL-23	8 <sup>SDD</sup>	>64	0.12	0.25	0.5	1	37.5	>600
<i>C. krusei</i> ATCC 6258	64 <sup>R</sup>	>64	0.5	0.5	2	4	600	>600
<i>C. krusei</i> IAL-30	64 <sup>R</sup>	>64	0.25	0.5	1	1	600	>600
<i>C. parapsilosis</i> ATCC 22019	2	4	0.12	0.25	1	1	600	>600
<i>C. parapsilosis</i> IAL-17	>64 <sup>R</sup>	>64	0.25	0.25	2	2	600	>600
<i>C. tropicalis</i> ATCC 200956	>64 <sup>R</sup>	>64	2 <sup>R</sup>	2	1	2	600	>600
<i>C. tropicalis</i> IAL-01	2	16	0.25	0.25	2	2	300	>600
<i>C. neoformans</i> CAP59	1	32	0.03	0.03	1	1	1.56	1.56
<i>C. neoformans</i> H99	4	4	0.25	0.25	2	2	25	400
<i>C. gattii</i> ATCC 56990	2	32	0.25	0.25	0.5	0.5	12.5	25

**Note:** <sup>a</sup>The assay was repeated and the results obtained here corroborated with previously published data.<sup>66</sup>

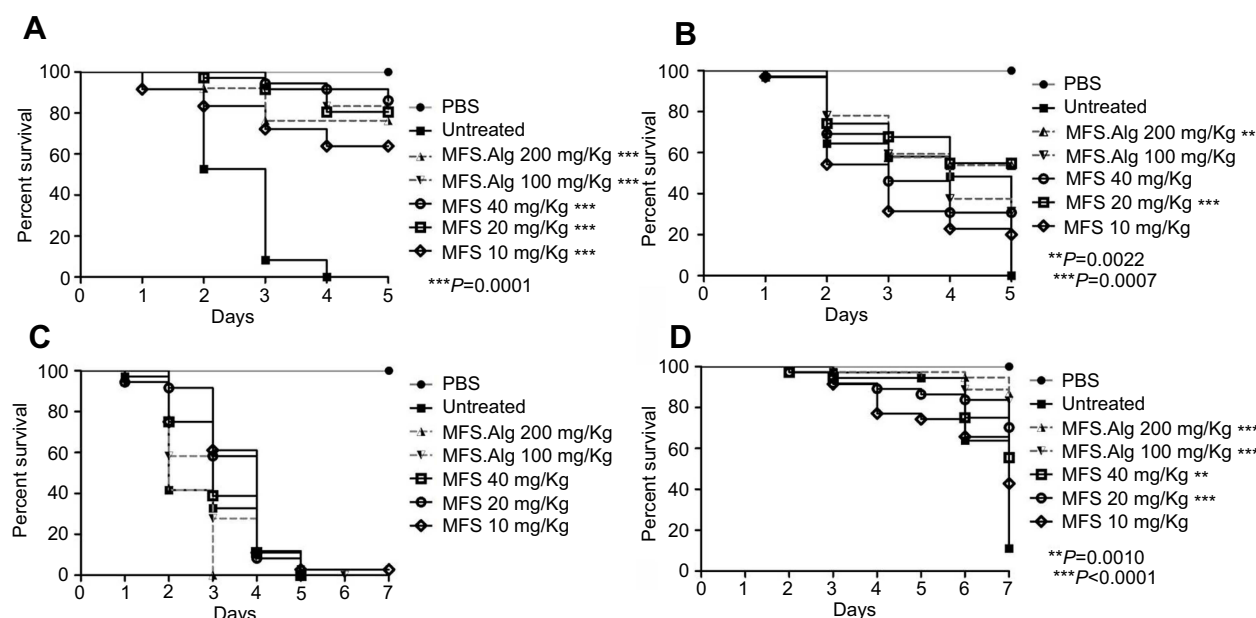
**Abbreviations:** MIC, minimum inhibitory concentration; MFC, minimum fungicidal concentration; R, resistant; SDD, susceptibility dose-dependent.

filamentation when compared to the untreated groups (Figure 5, Table S1); however, only the 200 mg/Kg dose showed these effects in the larvae infected with *C. neoformans* H99. Moreover, free MFS was less effective in decreasing these characteristics than MFS.Alg (Figure 5, Table S1).

## Discussion

Sustained release systems have been widely used in the healthcare field as drug carriers.<sup>33</sup> Here, we produced alginate nanoparticles as carriers for MFS by the external

gelation/emulsification method. This is one of the most frequently used methods for the production of alginate-based delivery systems, and consists of two main steps: first, the alginate solution containing the drug is emulsified in an oil phase, forming an emulsion; and second, a crosslinking agent, most often calcium ions, is added to the emulsion, resulting in the gelation of the alginate droplets, followed by separation of the components from the emulsion. The advantage of this process is that the production of emulsions is relatively simple and can be scaled up for industrial use.<sup>22</sup>



**Figure 4** Survival curves of *Galleria mellonella* larvae infected with yeasts and treated 30 mins post-infection with free miltefosine (MFS) and miltefosine-loaded alginate nanoparticles (MFS.Alg). (A) *Candida albicans* SC5314, (B) *Candida albicans* IAL-40, (C) *Cryptococcus neoformans* H99, (D) *Cryptococcus gattii* ATCC 56990. P-values when compared with the untreated group.

Mean size, polydispersity index and zeta potential are extremely important parameters for drug delivery systems. The alginate nanoparticles loaded with MFS displayed a mean size of  $279.1 \pm 56.7$  nm, which is well within the 200–1000 nm range reported for other alginate particles.<sup>34</sup> To exemplify, alginate nanocarriers with mean sizes of  $259 \pm 27$  nm and  $419.60 \pm 0.28$  nm were reported in previous studies,<sup>35,36</sup> corroborating our data. Smaller particles can also be obtained, depending on the production process; for example, complexes of alginate hydrogel co-loaded with cisplatin and gold nanoparticles displayed a hydrodynamic diameter in the range of 20–250 nm.<sup>37</sup> The size displayed by MFS.Alg nanoparticles obtained here is favorable for mucosal and oral administration, since particles smaller than 500 nm have been described to be absorbed by transcellular/paracellular pathways across the intestinal mucosa.<sup>25,38</sup> Conversely, it has also been demonstrated that nanoparticles are able to coat the mucosa, increasing the surface area of the contact with the drug and, consequently, the drug gradient concentration toward the blood.<sup>38,39</sup> In our study, we did not attempt to discriminate the mechanisms.

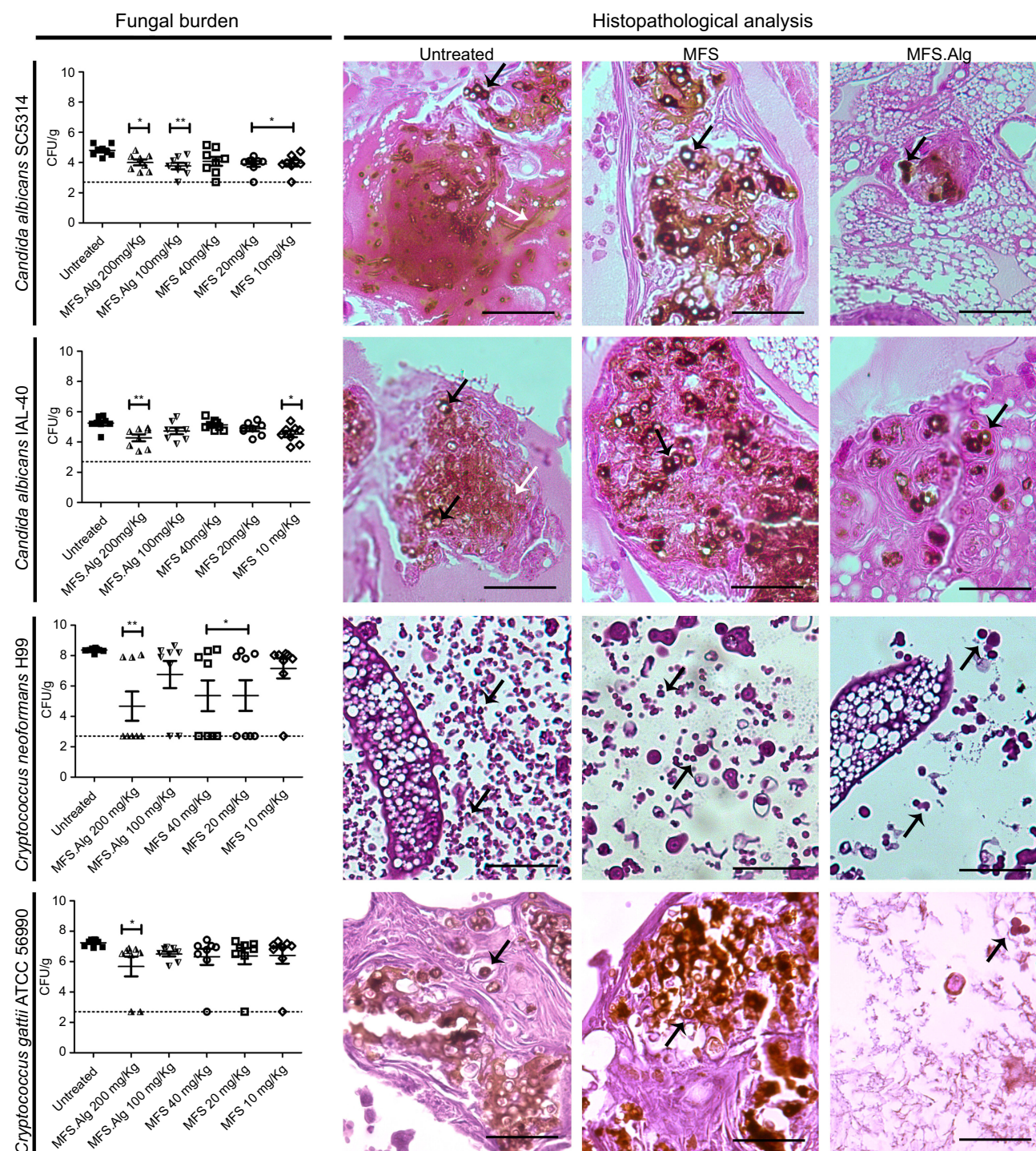
In addition to size, nanoparticle charge also interferes with stability, behavior within the organism, and drug release.<sup>40</sup> MFS.Alg presented a negative zeta potential ( $-39.7 \pm 5.2$  mV), which is consistent with the anionic nature of the polymer.<sup>41</sup> Micro and nanoparticles with a negative

zeta potential have been effectively used as carriers for antifungal drugs.<sup>35,42–44</sup> Unlike positively charged nanoparticles that bind to cell membranes due to electrostatic interactions with the anionic membrane, the cellular uptake of negatively charged nanoparticles by the mucosa has been attributed, first, to the nonspecific adsorption process of the nanoparticles in the cell membrane and, second, to the formation of nanoparticle agglomerates.<sup>40,45</sup>

MFS encapsulation efficiency was approximately 80%. Other studies using alginate carriers showed similar encapsulation efficiency values: microparticles with ~80% nystatin,<sup>42</sup> nanoparticles with 80–90% antituberculosis agents and 92–97% econazole.<sup>46</sup> Small molecules have also been encapsulated with greater than 60% efficiency in nanocarriers produced with other polymers, such as PLGA, even when multiple production steps are used.<sup>47</sup> The high percentage of drug encapsulation in the alginate-based nanoparticles makes these systems promising.

The sustained release of drugs promoted by the alginate-based nanocarriers is one of the attractive aspects of their use, since they might allow the drug to be constantly and slowly released into the body. The alginate polymer has a hydrophilic nature and drug release has been described to follow different mechanisms: water-soluble drugs seem to be released primarily by diffusion, whereas poorly water-soluble drugs, by matrix erosion.<sup>48</sup> MFS has amphiphilic characteristics<sup>10</sup> and its release from alginate





**Figure 5** Fungal burden and histopathological analysis of *Galleria mellonella* larval tissue infected with yeasts and treated or not with free miltefosine (MFS) and miltefosine-loaded alginate nanoparticles (MFS.Alg). Fungal burden data is represented by the mean  $\pm$  standard deviation. \* $P<0.05$  and \*\* $P<0.01$  when compared with the untreated group (one-way ANOVA with Dunnett's post-test). Fungal burden, filamentation and dissemination of yeasts in *Galleria mellonella* larvae were analyzed by visualization of larval tissue histological sections of larvae untreated, treated with MFS (40 mg/kg), or treated with MFS.Alg (200 mg/kg). Black arrows indicate yeasts and white arrows indicate *C. albicans* filamentation. Bars: 50  $\mu$ m.

nanoparticles might occur by diffusion and/or matrix erosion. Martín-Villena et al (2013)<sup>42</sup> observed an initial stage with a burst of nystatin release from alginate microparticles, followed by a slower, sustained release phase.

A similar behavior was observed for MFS from the alginate nanoparticles, as a greater release of the MFS was observed at 6 h, followed by a slower and steadier state for up to 24 h. Because no chemical interactions were

observed between the MFS and the formulation's constituents when analyzed by FTIR, no major formulation-related hindrance for drug release is expected. The slow release observed may lead to a reduction in the dosing frequency, reducing fluctuations in plasma drug levels and the occurrence of adverse effects.<sup>49</sup>

It is known that MFS has a high toxicity associated with the kidneys and liver, teratogenic effect, pronounced hemolytic activity and gastrointestinal effects, such as nausea, vomiting, diarrhea, when used orally.<sup>19</sup> We observed that MFS-loaded alginate nanoparticles (MFS.Alg) did not cause any hemolytic effect up to the highest concentration tested. In addition, MFS.Alg in *G. mellonella* larvae was significantly less toxic than free MFS. Moreover, it was observed that Nano.Alg do not present any toxic effects, corroborating literature reports.<sup>22,50</sup> Our findings corroborate previous studies demonstrating that alginate-based carriers decrease drug toxic effects, including those of antifungal agents.<sup>23,35,51</sup>

The in vitro antifungal activity assay confirmed that MFS has an antifungal effect against *Candida* spp. and *Cryptococcus* spp. yeasts, with MIC values ranging from 0.5 to 2 µg/mL, and fungicidal activity, especially effective on FLC-resistant strains; these data are in agreement with previous reports.<sup>13,52–55</sup> An interesting aspect observed in the in vitro assay was the higher MIC values of MFS.Alg on *Candida* spp. when compared to *Cryptococcus* spp.; this can be explained by the slow and sustained release of MFS from alginate nanoparticles coupled with the faster replication time of *Candida* yeast, which makes the system less effective at inhibiting the fungal growth in vitro. Our data corroborate previous studies showing the possibility that antifungal nanocarriers may exhibit a lesser in vitro antifungal effect when compared to the free drug.<sup>56–58</sup>

Similar behavior was observed using the *G. mellonella* invertebrate model for fungal infection and treatment with free MFS and MFS.Alg, which exhibited analogous antifungal effects in doses of 20–40 mg/kg and 200 mg/kg, respectively. Our alginate nanoparticles significantly reduced MFS toxicity in this model and were also effective at controlling the fungal infection in the in vivo larval model of *G. mellonella*, evidenced by the increase in larval survival rate and the decrease in fungal burden, filamentation and dissemination of yeasts in the larval tissue. Using murine models of fungal infection, previous studies have shown that, in addition to decreasing toxicological effects, the encapsulation may improve the drug's antifungal activity and contribute toward increasing the intervals between doses.<sup>56–58</sup>

Other studies have also demonstrated the use of nanocarriers based in PLGA-PEG and lipids for the reduction MFS toxicity.<sup>59–62</sup> Polymeric carriers offer several advantages compared to the other systems, such as those formed by lipids, including the ease of production and scaling up, stability, and the fact that their physicochemical properties are amenable to reproduction after optimization of the production process.<sup>63</sup> Furthermore, the use of natural polymers, such as alginate, is advantageous compared to synthetic polymers due to their abundance in nature, low processing cost, biocompatibility, biodegradability, water solubility, bioactivity and environmental safety.<sup>64,65</sup>

## Conclusion

In conclusion, the results obtained here support the use of alginate polymer-based drug delivery systems as a carrier for MFS, decreasing its toxic effects when compared to the free drug, and controlling the fungal infection in the in vivo larval model of *G. mellonella* (Figure S4). Our results warrant further investigation of doses and regimens using MFS.Alg nanoparticles in mammalian models in order to support the use of MFS.Alg as an alternative to treat fungal diseases caused by *Candida* spp. and *Cryptococcus* spp. yeasts.

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## Author contributions

All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.



## Disclosure

Dr Cristina de Castro Spadari and Dr Fernanda Walt Mendes da Silva de Bastiani were participants of the fellowship program from Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES). Dr Cristina de Castro Spadari reports a patent BR 10 2017 018011 5 pending; Dr Fernanda Walt Mendes da Silva de Bastiani reports a patent BR 10 2017 018011 5 pending; Dr Luciana Biagini Lopes reports a patent BR 10 2017 018011 5 pending and Dr Kelly Ishida reports a patent BR 10 2017 018011 5 pending. Dr Luciana Biagini Lopes reports grants from São Paulo Research Foundation, during the conduct of the study and non-financial support from Abitech, outside the submitted work. The authors report no other conflicts of interest in this work.

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