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ORIGINAL RESEARCH

# Multiple gastrointestinal stromal tumors: analysis of clinicopathologic characteristics and prognosis of 20 patients

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Kai Li WEH Tjhoi Chunhui Shou Weili Yang Qing Zhang Xiaosun Liu Jiren Yu

Department of Gastrointestinal Surgery, The First Affiliated Hospital, Zhejiang University School of Medicine, Hangzhou, People's Republic of China **Purpose:** Multiple gastrointestinal stromal tumors (GISTs) are rare. The aim of this study was to investigate the clinicopathologic characteristics and prognosis of multiple GISTs.

**Patients and methods:** Between May 2003 and June 2018, patients who underwent surgery for multiple GISTs were retrospectively analyzed. Exons 9, 11, 13, and 17 of the KIT gene, and exons 12, and 18 of the PDGFRA gene were examined in 34 tumors from 20 patients.

**Results:** A total of 20 patients with multiple GISTs were enrolled. There were 11 females and nine males with a median age of 59 years (range: 37–80 years). Of these cases, 16 were sporadic cases and four were associated with GIST syndromes (two cases of Carney triad and two cases of neurofibromatosis type 1 [NF1]). The most common presentation was gastro-intestinal bleeding. Carney triad GISTs did not exhibit KIT/PDGFRA mutations. One of the NF1 patients was a KIT/PDGFRA wild-type, and the other patient had a PDGFRA mutation. Of the sporadic cases, one shared the same KIT gene mutation within each GIST and one had two lesions that were both wild-type for KIT and PDGFRA. Different KIT mutations among individual tumors were detected in seven patients. During the median follow-up period of 66 months (range: 3–183 months), four patients developed liver or abdominal metastases, three of whom expired due to the disease. The rates of recurrence-free survival and overall surviva at 5 years were 65.8% and 76.7%, respectively.

**Conclusion:** Multiple GISTs may occur as sporadic tumors or as an additional component of specific syndromes (eg, Carney triad and NF1) that display different clinicopathologic characteristics based on their particular underlying mechanisms. The overall prognosis of patients with multiple GISTs is comparable to that of patients with only a single GIST.

Keywords: gastrointestinal stromal tumor, multiple, sporadic, neurofibromatosis, Carney triad

## Introduction

Gastrointestinal stromal tumors (GISTs) are rare neoplasms that originate from the interstitial cells of Cajal in the gut, with an annual incidence of 10–15 per million.<sup>1,2</sup> While GISTs can occur in any part of the gut, they are most common in the stomach and small intestine, and less frequent in the colorectum and esophagus.<sup>2</sup> Some rare tumors may arise in the mesentery and omentum, which are referred to as extra-GISTs (EGISTs).<sup>3</sup> The majority of GISTs have an activating mutation in either KIT or platelet-derived growth factor A (PDGFRA).<sup>1,4</sup> GISTs are solitary tumors that commonly occur in individuals between 55 and 65 years of age.<sup>2</sup> The occurrence of

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Correspondence: Jiren Yu Department of Gastrointestinal Surgery, The First Affiliated Hospital, Zhejiang University School of Medicine, 79 Qingchun road, Hangzhou, 310003 Zhejiang Province, People's Republic of China Tel +86 5 718 723 6147 Fax +86 5 718 707 2577 Email yujr0909@zju.edu.cn



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## Patients and methods

### Patient identification

The clinicopathologic data of patients who underwent resection of multiple GISTs between May 2003 and June 2018 at The First Affiliated Hospital, Zhejiang University School of Medicine (Hangzhou, China), were retrospectively collected. The diagnosis of multiple GISTs was defined as two or more GISTs appearing in one or more different organs without evidence of metastasis or recurrence.<sup>8</sup> Genomic DNA was independently extracted from formalin-fixed, paraffin-embedded tumor tissue of individual lesions collected from 20 patients. Amplification using polymerase chain reaction and direct sequencing of KIT exons 9, 11, 13, and 17, as well as PDGFRA exons 12, and 18 were successfully performed in 34/54 GISTs.

## Ethical approval

The study was approved by the ethical committee of The First Affiliated Hospital, Zhejiang University School of Medicine, (Hangzhou, China), and the study was conducted in accordance with the tenets of the Declaration of Helsinki. The number of the approval document is 20181035. The data involved in this study was retrospectively collected from hospital electronic system and did not include any personal information or privacy. Therefore, the ethical committee of The First Affiliated Hospital, Zhejiang University School of Medicine determined that the patient consent to review their medical records was not required. Furthermore, we stated that all data from patients was anonymized or maintained with confidentiality.

## Statistical analysis

Overall survival (OS) was calculated from the date of operation to the date of death (regardless of the cause).

Recurrence-free survival (RFS) was calculated from the date of operation to the date of disease recurrence or death (regardless of the cause). The results are presented as percentages or medians (ranges), and survival curves were generated using the Kaplan-Meier method. All data were analyzed using the SPSS software package (ver.19.0; SPSS Inc., Chicago, IL, USA).

## **Results**

As shown in Table 1, 11 females and nine males with a median age of 59 years (range: 37-80 years) were included in this study. These 20 patients represented 1.1% of 1,800 consecutive GIST cases managed in our hospital. Bleeding (melena and hematemesis) and abdominal pain were the most frequent symptoms. One female (case 5) had an asymptomatic palpable abdominal tumor at presentation. Among the 20 patients with multiple GISTs, 16 were sporadic and four were associated with GIST syndromes. The Carney triad was observed in two young females who had multiple gastric GISTs and pulmonary chondromas (cases 1 and 2). In case 2, computed tomography revealed a 2-cm left adrenal adenoma, while a small intestinal GIST was incidentally found intraoperatively. Two females (cases 7 and 11) were associated with NF-1, which was confirmed by skin biopsy of cutaneous neurofibromas; one (case 7) had six tumors in the small intestine, and the other (case 11) had one tumor in the stomach and five in the small intestine.

Overall, 54 tumors were detected in the 20 patients included in this study: 25 (46.3%) in the stomach, 23 (42.6%) in the small intestine, three (5.6%) in the rectum, and three (5.6%) in the mesentery. The number of tumors was two in 12 patients, three in six patients, and six in two patients. Seventeen patients had lesions located in a single organ (10 patients in the stomach, five patients in the small intestine, one patient in the rectum, and one patient in the mesentery) and the other three patients had lesions involving two organs (stomach and small intestine; cases 2, 3, and 7).

The tumor size ranged from 0.3 to 17.0 cm(mean, 3.4 cm), excluding three tumors that were <1 mm (cases 2, 10, and 18). Of these tumors, 30 (55.6%) were  $\leq$ 2 cm, 14 (25.9%) were >2 cm and  $\leq$ 5 cm, six (11.1%) were >5 cm and  $\leq$ 10 cm, and four (7.4%) were >10 cm. The mitotic count per 50 high power fields (HPFs) was <5 in 31 (57.4%) GISTs, 5–10 in 19 (35.2%) GISTs, and >10 in four (7.4%) GISTs. According to the modified National Institutes of Health classification system,<sup>13</sup> the risk of

Case	Age/sex	Tumor	Site/size (cm)/MF	Histotype	Risk category	Other diseases	Mutation status	Follow-up	
								Outcome	Months
_	37/F	la Ib	Stomach/9/>5 Stomach/4/>5	s	HR IR	Pulmonary chondromas	WT WT	NED	113
2	39/F	2a 2b 2c	Stomach /11/>5 Stomach/3.5/>5 Small intestine/too small/>5	ΣΣΣ	HR IR IR	Adrenal adenoma Pulmonary chondromas	WT WT NA	NED	Ξ
e	46/F	3a 3b	Duodenum/2.0/<5 Stomach/0.5/<5	s s	VLR VLR	Absent	A N A	NED	122
4	51/M	4a 4b	Stomach/4.0/<5 Stomach/1.0/<5	s s	LR VLR	Absent	KIT exon I I: c. I 728_ I 739dup NA	NED	57
5	51/F	5a 5b	Small intestine/4.5/>10 Small intestine/0.5/>10	s	HR HR	Breast cancer	WT WT	NED	183
9	52/M	6a 6b 6c	Small intestine/13/>5 Small intestine/4/>5 Small intestine/2/>5	s s	HR HR IR	Absent	KIT exon I I:c. I 655_ I 666del WT NA	dwb	62
2	55/F	7a 7b 7c 7d 7e 7f	Stomach/6.0/<5 Small intestine/2/<5 Small intestine/1/<5 Small intestine/1/<5 Small intestine/0.5/<5 Small intestine/0.5/<5	<b>ა ა ა ა ა</b> ა	IR VLR VLR VLR VLR VLR	Neurofibromas	VA NA NA NA	NED	37
8	57/M	8a 8b 8c	Mesentery/17/>5 Mesentery/11/>5 Mesentery/2/>5	s s s	HR HR	Absent	KIT exon I I: p.M552_Q556del NA NA	DOD	40
6	58/F	9a 9b	Stomach/2/<5 Stomach/0.9/<5	s s	LR VLR	Breast cancer	KIT exon1 I: Q556-E561 Del KIT exon1 I:Ins QPS+V560E	NED	ю
0	59/F	10a 10b 10c	Rectum/3/>5 Rectum/1/>5 Rectum/too small/>5	s s s	HR IR IR	Absent	PDGFRA exon12: p.P567P WT WT	NED	158
								5)	(Continued)

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Case	Age/sex	Tumor	Site/size (cm)/MF	Histotype	Risk category	Other diseases	Mutation status	Follow-up	
								Outcome	Months
=	64/F	= = = = = = = = = = = = = = = = = = =	Small intestine/6/<5 Small intestine/4.5/<5 Small intestine/2/<5 Small intestine/2/<5 Small intestine/1/8/<5	, א א א א א	HR LR VLR VLR VLR	Neurofibromas	PDGFRA exon 18:p. V824V PDGFRA exon 18:p. V824V PDGFRA exon 18:p. V824V PDGFRA exon 18:p. V824V PDGFRA exon 18:p. V824V NA	G	125
12	66/M	12a 12b	Stomach/3/<5 Stomach/0.4/<5	s s	LR VLR	Absent	WT	NED	65
13	68/M	13a 13b 13c	Small intestine/9/<5 Small intestine/3.8/<5 Small intestine/1.9/<5	<b>ى ى ى</b>	HR LR VLR	Absent	NA NA NA	DOPC	1
4	W/69	4a  4b	Stomach/2.9/<5 Stomach/1.5/<5	s s	LR VLR	Absent	PDGFRA exon 18 : p.V824V KIT exon11: p.Y578_D579dup	DUNC	47
15	72/M	l 5a l 5b	Stomach/5/<5 Stomach/1/<5	ΣΣ	LR VLR	Absent	PDGFRA exon 12: p.P567P NA	DOD	24
16	73/F	16a 16b	Stomach/3.5/>10 Stomach/2.5/>10	ΣΣ	또 또	Absent	KIT exon11: p.T574_R588dup PDGFRA exon18: p.D842V	DUNC	52
17	74/F	7a  7b	Stomach/1.6/<5 Stomach/0.3/<5	s s	LR VLR	Absent	A N A N	NED	001
8	76/F	18a 18b 18c	Stomach/8/>5 Stomach/2/>5 Stomach/too small/>5	s s	HR R R	Absent	KIT exon11: p.V559_T574del WT WT	NED	142
61	M/62	19a 19b	Small intestine/6.5/>5 Small intestine/1.2/>5	s s	<u></u>	Absent	KIT exon I I: p.Y570_L576del KIT exon I I: p.Y570_L576del	DOD	811
20	W/08	20a 20b	Stomach/3.5/<5 Stomach/1.5/<5	E S	IR VLR	Absent	KIT exon11: p.W557R PDGFRA exon18: p.D842V	NED	66
Abbreviati very low ris	<b>ons:</b> AWD, alive k; LR, low risk; l	with disease; N R, intermediate	Abbreviations: AWD, alive with disease; NED, no evidence of disease; DOD, dead of disease; DC very low risk: LR, low risk; IR, intermediate risk; HR, high risk; VVT, wild-type; NA, not available.	I of disease; DOPC A, not available.	dead of postoperative	complications; DUNC, dead of un	dead of disease; DOPC, dead of postoperative complications; DUNC, dead of unknown causes; E, epithelioid; S, spindle; MF, mitotic figures, (50/HPF); VLR, e; NA, not available.	F, mitotic figures, (	50/HP

recurrence was very low in 19 (35.2%) GISTs, low in eight (14.8%) GISTs, intermediate in 12 (22.2%) GISTs, and high in 15 (27.8%) GISTs. Based on cell morphology, the tumors were classified as spindle (85.2%), mixed (13.0%), or epithelioid (1.9%). Immunohistochemically, 98.1%, 87.0%, and 31.5% of the GISTs were positive for CD117, CD34, and SMA, respectively.

Of the 34 genetically studied GISTs, 11 (32.4%) and 10 (29.4%) GISTs showed somatic mutations in the KIT and PDGFRA genes, respectively (Table 1). There were no germline mutations detected in the KIT and PDGFRA genes. Mutational analyses of tumor tissue from two patients (cases 1 and 2) with Carney triad revealed wildtype sequences. We examined KIT and PDGFRA mutations in six lesions from two NF1 patients (cases 7 and 11). One lesion derived from case 7 did not have detectable KIT or PDGFRA mutations, while five lesions derived from case 11 shared the same mutation at exon 18 of PDGFRA (p. V824V). One patient (case 5) had two lesions that were wild-type for both KIT and PDGFRA, without clinicopathological signs of NF1 or Carney triad. Three patients (cases 6, 10, and 18) had both mutation-positive and wild-type lesions. In case 19, the two lesions carried an identical KIT mutation. Different mutations were detected in seven patients, and the mutational status for matched lesions was as follows: KIT exon 11/PDGFRA exon 18 (n=3), KIT exon 11/wild-type (n=2), KIT exon 11/KIT exon 11 (n=1), and PDGFRA/wild-type (n=1). There were four patients (cases 4, 8, 12, and 15) with only one lesion successfully sequenced. The remaining three patients did not have available sequencing data of the KIT or PDGFRA genes.

After a median follow-up period of 66 months (range: 3–183 months), 13 patients were alive without tumor recurrence. Two patients (cases 15 and 19) expired due to the disease with metastasis to the liver at 22 and 118 months after the surgery, respectively. Two patients (cases 6 and 8) had metastases in the peritoneal cavity, one (case 6) was alive with disease after a follow-up of 62 months whereas the other (case 8) expired due to the disease after a follow-up of 40 months. One patient (case 13)underwent emergency surgery for intestinal obstruction caused by GISTs and expired due to severe abdominal infection after surgery. The remaining two patients (cases 14 and 16) expired due to unknown causes. The rates of recurrence-free survival and overall survival at 5 years were 65.8% and 76.7%, respectively (Figure 1A and B).

Four patients (cases 1, 2, 7, and 19) received adjuvant therapy with imatinib after the surgery for 2, 2, 1, and 1 years, respectively. Case 19 underwent resection of liver metastases 55 months after resection of the primary tumor. Subsequently, the patient continued to receive imatinib for 2 years and expired due to tumor progression. One patient (case 6) initiated treatment with imatinib after developing intraperitoneal metastases 21 months after surgery. The condition of the patient was reportedly stable at the last follow-up.

#### Discussion

The majority of GISTs occur as sporadic solitary neoplasms resulting from somatic mutations of KIT or PDGFRA.<sup>1,4</sup> In our search for patients with multiple GISTs in 1800 consecutive patients managed in our hospital, we found two



Figure I Progression-free survival (A) and overall survival (B) for patients with multiple GISTs.

patients with NF1, two patients with Carney triad, and 16 patients with sporadic multiple GISTs. Multiple GISTs comprised of 1.1% of GIST cases in our consecutive series. As suggested by previous studies, in cases of familial GIST syndrome,<sup>5</sup> NF1,<sup>6,8</sup> or the Carney triad,<sup>7</sup> patients often develop multiple GISTs with distinct characteristic features. Moreover, tumors in these cases generally develop at an early age and either carry germline KIT or PDGFRA mutations (familial GISTs) or lack such mutations.<sup>5–8</sup> Different types of KIT and PDGFRA mutations were observed in seven patients, suggesting that these patients may simply represent the simultaneous occurrence of independent sporadic GISTs.

NF1 is an autosomal dominant disease caused by germline NF1 mutations characterized by neurofibromas and café-au-lait spots.<sup>6</sup> The loss of heterozygosity (LOH) at 14q and 22q, together with activation of the Ras-MAPK pathway, may contribute to the development of GIST in NF1.<sup>22</sup> Most NF1-associated GISTs present as numerous small asymptomatic tumors with a benign clinical course, mainly in the small intestine. These GISTs rarely carry KIT or PDGFRA mutations.<sup>6,8</sup> In a previous study investigating 252 GISTs in 126 patients with NF1, only 8 GISTs (4.8%) showed KIT and PDGFRA mutations.<sup>25</sup> In case 11, a mutation at codon 824 coding for valine in exon 18 of PDGFRA was confirmed using sequencing as c. 2472 C>T, p. V824V. In our study, we described two older females with NF1, both of whom had six tumors with a mitotic count <5/50 HPFs and median tumor sizes of 2 and 1 cm, respectively. The characteristics described in the present study were compatible with those of cases presented in previous reports.6,8,25

Contrary to NF1, the Carney triad is a non-heritable syndrome that consists of multiple gastric GISTs, paragangliomas, and pulmonary chondromas. It is mainly observed in young females.7 The mechanism of tumor development includes epigenetic inactivation of succinate dehydrogenase C (SDHC) through hypermethylation.<sup>23</sup> Notably, 72% of patients only exhibit two of the three components of the triad, with gastric and pulmonary tumors being the most common combination.<sup>7</sup> The aforementioned two young females(cases 1 and 2) had pulmonary chondromas and multifocal gastric GISTs. A recent study described that adrenal neoplasm was a fourth component of the syndrome.<sup>16</sup> The present study corroborated this finding (case 2). Similar to a previous study,<sup>15</sup> our study also indicated that GISTs in the Carney triad are negative for KIT and PDGFRA mutations. Thus, imatinib may not be an effective treatment option for patients with the Carney triad.<sup>14,15</sup> However, Chen et al<sup>18</sup> previously reported that a Carney triad patient with metastasis achieved a stable condition during treatment with imatinib for 32 months. In the current study, after 2 years of treatment with imatinib, there was no recurrence during the post-operative follow-up period. Future studies are warranted to elucidate the effects of imatinib therapy for GISTs in the Carney triad.

Multiple sporadic GISTs outside of familial and syndromic settings are rarely described.<sup>8–12</sup> In our study, nine males and seven females with a median age of 66 years were diagnosed with multiple sporadic GISTs. They were slightly more prevalent in males than females (1.3:1), in accordance with the findings reported by Agaimy et al.<sup>12</sup> In most cases, the size of synchronous lesions from the same patient differed greatly, in contrast to the findings reported by Gasparotto et al.<sup>10</sup> Frequently, large masses produce symptoms such as abdominal pain and bleeding, while small masses are asymptomatic and incidentally detected during surgery. Notably, the largest lesion in our study was detected in the mesentery, mainly because small GISTs rarely result in clinical manifestations.<sup>3</sup> Small GISTs with a diameter <1 cm are defined as micro-GISTs.<sup>17</sup> The features of micro-lesions reported in our patients included location in the stomach, few mitoses, and benign histology. These findings were in accordance with the results of a study conducted by Kawanoma et al.<sup>19</sup> In our study, the lesions were detected in one or more organs in each patient, as previously reported.<sup>10-12</sup> Multiple sporadic GISTs had a predilection for the stomach (54.1%) over the small intestine (29.7%). Similar to solitary neoplasms, multiple sporadic GISTs have a varying potential for malignancy, ranging from virtually indolent tumors to fatal cancers. It was reported that the estimated 5-year RFS rate for GISTs was 70.5%.<sup>24</sup> In our study, the 5-year RFS rate for multiple GISTs was 66%, which is comparable to that of patients with only a single tumor.

Currently, there are no established criteria for confirming the diagnosis of primary versus metastatic tumors in patients initially presenting with multiple tumors. Kang et al<sup>8</sup> were the first to use KIT or PDGFRA genes as markers of tumor clonality, and described one patient with two jejunal GISTs harboring different types of KIT mutations. Similarly, Haller et al<sup>9</sup> analyzed four patients with one clinically apparent tumor and 1–3 additional small incidental tumors. Different patterns of KIT or PDGFRA mutation were found in various paired lesions, further indicating that the occurrence of

multiple sporadic GISTs may represent a synchronous occurrence of independent sporadic GISTs. Moreover, microsatellite analysis of tumors with different KIT mutation types revealed that distinct mutations in multiple GISTs indicate independent primary tumors.<sup>8-10</sup> In the current study, different patterns of KIT or PDGFRA mutation were observed in seven patients, indicating an independent origin of these tumors. Therefore, current studies tend to support the field carcinogenesis theory, which hypothesizes that multiple sporadic GISTs originate from distinct subsets of interstitial cells of Cajal that carry KIT/PDGFRA mutations.<sup>10,12</sup> However, for independent GISTs harboring the same KIT or PDGFRA mutations or lacking mutations, we were unable to assess the clonal relationship between the lesions through mutation analysis.<sup>10,12</sup> Agaimy et al<sup>11</sup> analyzed 14 GISTs from seven patients, and reported that the involvement of the muscularis propria was a potentially reliable marker for primary GISTs. Therefore, molecular analysis in combination with histological features may assist in establishing a correct diagnosis.

Radical surgery remains the mainstay of treatment for multiple GISTs, while the administration of imatinib is recommended for the treatment of metastatic GISTs.<sup>17</sup> In surgery, it is important to evaluate the number and sites of tumors for the removal of all lesions. When considering the use of imatinib, molecular analysis is critical because it assists in excluding insensitive mutation types (eg PDGFRA D842V mutation) and allows the use of higher doses for KIT exon 9 mutations.<sup>20,21</sup> Given that multiple GISTs may exhibit variable sensitivity to drugs due to the presence of different mutations, mutation analysis of different lesions is necessary.

## Conclusion

Multiple GISTs may occur as sporadic tumors or as an additional component of specific syndromes, such as the Carney triad and NF1. These GISTs exhibit different clinicopathologic characteristics based on their underlying mechanisms. The prognosis of patients with multiple GISTs is similar to that of patients with solitary tumors.

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## Disclosure

The authors declare that they have no conflicts of interest in this work.

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