

# Physical PEGylation Enhances The Cytotoxicity Of 5-Fluorouracil-Loaded PLGA And PCL Nanoparticles

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**Purpose:** The main goal of this study is to evaluate the impact of physical incorporation of polyethylene glycol (PEG) into 5-fluorouracil (5-FU)-loaded polymeric nanoparticles (NPs).

**Methods:** The 5-FU-loaded NPs were prepared utilizing a simple double emulsion method using polycaprolactone (PCL) and polylactic-co-glycolic acid (PLGA) with or without PEG 6000. The surface charge, particle size, and shape of NPs were evaluated by standard procedures. Both Fourier Transform Infrared Spectroscopy and X-ray diffraction spectra of the 5-FU loaded NPs were compared against the pure 5-FU. The in vitro release profile of 5-FU from the NPs was monitored by the dialysis tubing method. Cell death and apoptosis induction in response to 5-FU NP exposure were measured by MTT and Annexin-V/7-amino-actinomycin D (7-AAD) assays, respectively, in Daoy, HepG2, and HT-29 cancer cell lines.

**Results:** The 5-FU loaded NPs were found to be spherical in shape with size ranging between  $176 \pm 6.7$  and  $253.9 \pm 8.6$  nm. The zeta potential varied between  $-7.13 \pm 0.13$  and  $-27.06 \pm 3.18$  mV, and the entrapment efficiency was between 31.96% and 74.09%. The in vitro release of the drug followed a two-phase mode characterized by rapid release in the first 8 hrs followed by a period of slow release up to 72 hrs with composition-based variable extents. Cells exposed to NPs demonstrated a significant cell death which correlated with the ratio of PEG in the formulations in Daoy and HepG2 cells but not in HT-29 cells. Formulations (F1-F3) significantly induced early apoptosis in HT-29 cell lines.

**Conclusion:** The physical PEGylation significantly enhanced the entrapment and loading efficiencies of 5-FU into NPs formulated with PLGA and PCL. It also fostered the in vitro cytotoxicity of 5-FU-loaded NPs in both Daoy and HepG2 cells. Induction of early apoptosis was confirmed for some of the formulations.

**Keywords:** hepatocellular carcinoma HepG2, emulsification-solvent evaporation technique, colorectal carcinoma HT-29, MTT assay, apoptosis

## Introduction

Cancer nanomedicine is considered a relatively recent interdisciplinary research area cutting across chemistry, engineering, pharmaceutical drug delivery, and medicine with the goal to drive major enhancement in both diagnosis and treatment of cancer.<sup>1,2</sup> During the last decade, many research articles were published presenting smart targeted nano-drug delivery particulate systems for specific ways of enhanced tumor therapy and imaging where many reviews have tried to define and classify those NPs systems.<sup>3,4</sup> They all agreed to describe such systems as nano-sized composites able to incorporate

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drug(s) and/or contrast agent(s) and they can be either nano-carriers or nanovectors.<sup>5</sup> They were particularly composed of a scaffold mainly made from a corona of polymers able to enhance many biopharmaceutical and pharmacokinetic properties of incorporated drugs or contrast agents. They can also have a ligand attached to their surfaces that provides targeting for a specific cancer biomarker overexpressed in certain cancer cells.<sup>6</sup>

Nanoparticulate drug delivery is an evolving research field aiming to apply the beneficial attributes of nanotechnology in developing new advantageous therapeutic systems including polymeric biodegradable nanoparticles,<sup>7</sup> ceramic nanoparticles,<sup>8</sup> polymeric micelles,<sup>9</sup> liposomes,<sup>10</sup> dendrimers,<sup>11</sup> and solid lipid nanoparticles.<sup>12</sup>

Nanoparticulate systems made of biodegradable polymers are considered effective controlled release drug delivery systems in which a drug is entrapped, encapsulated, or adsorbed. Lactic acid and glycolic acid-based polymers, and their hybrid co-polymer PLGA are considered the most commonly used biodegradable polymers due to their biocompatible, nontoxic properties and their ability to prolong drug residence time at the target sites.<sup>13</sup>

The 5-FU is a pyrimidine analogue antimetabolite, which is used in the treatment of many types of cancer including anal, colorectal, stomach, pancreatic, breast, and head and neck cancers.<sup>14</sup> It was listed by World Health Organization (WHO) among the most important drugs needed in a basic health system.<sup>15</sup> The 5-FU is considered a narrow therapeutic index drug with very close minimum effective dose and maximum tolerated dose, in addition to its high inter-subject pharmacokinetic variability.<sup>16,17</sup> Because of the high metabolism rate, the drug elimination half-life is usually less than 20 mins, and this requires a continuous administration of large doses and consequently resulting in multiple adverse effects such as severe nausea and vomiting, diarrhea, and severe anemia.<sup>18–20</sup> The anticancer effects of 5-FU are highly dependent on the duration of tumor exposure to the drug and not on the blood levels of the drug.<sup>21</sup> The incorporation of 5-FU in a nanoparticulate drug delivery would have a positive impact on the anticancer therapeutic efficacy through prolonging the drug retention time inside the body and thereby reducing the dose and all dose-related adverse effects. In addition to the high tendency of nanoparticles to accumulate in tumors as a result of the enhanced permeation and retention (EPR) effect, a tumor characteristic that has been exploited by Matsumura and Maeda<sup>22</sup> as a mean to target anticancer agents to solid tumors.

In the present study, we investigated the impact of physical PEGylation of biodegradable polymeric nanoparticles on the anticancer activity of 5-FU NPs in medulloblastoma (Daoy), hepatocellular carcinoma (HepG2), and colorectal carcinoma (HT-29) cell lines.

## Materials And Methods

### Materials

Poly(D,L-lactide-coglycolide) (50:50) (Mw: 12,000 g/mol (PLGA), Polycaprolactone (PCL; Mw: 42,000 Da), MTT (3-(4,5-dimethyl-thiazol-2-yl)-2,5-diphenyltetrazolium bromide), Poly (ethylene glycol) PEG Mn average 6000, and 5-FU were purchased from Sigma-Aldrich Chemical Co. (St Louis, MO). Polyvinyl alcohol (PVA) with a molecular weight of 16,000 and dichloromethane (DCM) were obtained from Acros Organics (Geel, Belgium).

DMEM and DMEM/F12, FBS, L-glutamine, and penicillin/streptomycin were obtained from Gibco Inc. (NY, USA). Annexin V-Phycoerythrin (PE) kit/7-aminocytinomycin D (7-AAD) was obtained from Invitrogen (CA, USA). All other reagents and chemicals were of analytical grade.

### Preparation Of 5-FU-Loaded Nanoparticles

The 5-FU NPs were prepared with 6 different formulation compositions described as F1, F2, F3, F4, F5, and F6 to optimize high drug entrapment efficiency and low particle size, and to evaluate the impact of physical incorporation of PEG. Table 1 shows the composition of each of the six formulations.

The double emulsion-solvent evaporation method was employed for loading 5-FU into polymeric NPs a reported previously.<sup>23</sup>

Briefly, an aqueous solution of 5-FU (4mg/mL) was prepared in purified water by vortex mixing. The organic

**Table 1** The Composition Of 5-Fluorouracil (5-FU)-Loaded Nanoparticles

| Codes | 5-FU (mg) | PLGA (mg) | PCL (mg) | PEG 6000 (mg) |
|-------|-----------|-----------|----------|---------------|
| F1    | 4         | 20        | –        | –             |
| F2    | 4         | –         | 20       | –             |
| F3    | 4         | –         | 20       | 4             |
| F4    | 4         | –         | 80       | 60            |
| F5    | 4         | 40        | –        | 10            |
| F6    | 4         | 80        | –        | 60            |

**Abbreviations:** 5-FU, 5-fluorouracil; PLGA, Poly lactide-co-glycolide; PCL, Polycaprolactone; PEG 6000, Poly ethylene glycol 6000.

phase was prepared by dissolving the required weights of polymer(s) in 10 mL of DCM. One mL of 5-FU solution was emulsified in 10 mL of DCM using a probe-sonicator for 1 min at 40% power under ice bath. The secondary emulsion was formed by emulsifying the primary emulsion into 40 mL of an aqueous solution of 1% PVA using another 3-min probe-sonication period. The mixture was stirred at room temperature (22°C) and at 500 rpm under hood for at least 3 hrs to remove the DCM. The NPs dispersion was subjected to three cycles of centrifugation at 10,000 rpm for 10 mins followed by decantation of the supernatant solution and re-dispersion in double-distilled water. The supernatant solutions were analyzed for drug contents by HPLC analysis and used to calculate % entrapment efficiency (EE%). The residue was dispersed in 1.2% sodium lauryl sulfate solution by vortexing for 5 mins and lyophilized using a freeze dryer (Alpha 1–4 LD Plus, Martin Christefriertrocknungsanlagen GmbH, Osterode am Harz, Germany) at –60°C for 3 days. All formulations were prepared in triplicate.

### Particle Size And Zeta Potential

A Zetasizer Nano ZS (Malvern Instruments, UK) was utilized for monitoring the mean particle size and polydispersity index (PDI) of each formulation at the 25°C after proper dilution. The same instrument was also used for measuring the zeta potential by applying the Laser Doppler Velocimetry (LDV) mode at 25°C. All experiments were performed in triplicate. Each value reported is the average of five measurements.

### Entrapment Efficiency And Drug Loading

The efficiency of 5-FU entrapment into NP formulations was indirectly obtained by determining the concentration of 5-FU in the supernatant obtained after subjecting them to ultracentrifugation for 30 mins at 40,000 rpm in Optima™ Max-E, Ultra Centrifuge (Beckman Coulter, Pasadena, CA) at 4°C. The amount of non-entrapped 5-FU in the supernatant was determined by an HPLC method.

The following Equations 1 and 2 were used to calculate both entrapment efficiency (EE%) and drug loading (DL%) respectively:

$$EE\% = \frac{5 - FU_{total} - 5 - FU_{free}}{5FU_{total}} \times 100 \quad (1)$$

$$DL\% = \frac{\text{Amount of entrapped 5 - FU}}{\text{Total weight}} \times 100 \quad (2)$$

### The Particle Morphology

The particle shape and surface topography of the 5-FU-loaded NP formulations were examined by scanning electron microscopy (JSM-6360 LV, JEOL, Tokyo, Japan). The dried samples were first fixed on carbon tape and then subjected to gold coating under argon atmosphere applying a gold sputter module in a high-vacuum evaporator (JFC-1100 fine coat ion sputter; JEOL). The gold-coated samples were then examined at different magnification levels. Photomicrographs were taken at an acceleration voltage of 10 kV.

### X-Ray Diffraction

Powder X-ray diffraction (PXRD) was utilized to monitor any changes in the crystalline nature of 5-FU-loaded NP in comparison to pure powder. Wide-angle X-ray diffractometer (Rigaku Ultima IV, Tokyo, Japan) was employed to monitor PXRD patterns using CuK $\alpha$  radiation. A 2 range of 5–60° was set for sample scanning.

### Fourier Transform Infrared Spectroscopy (FTIR)

FTIR (Thermo Scientific, Nicolet 380, USA) was employed for monitoring any changes in the FTIR spectra of NPs loaded 5-FU from the pure powder using the potassium bromide (KBr) disc technique. Simply, a small portion of each sample was mixed with KBr and discs were obtained by compression using a hydraulic press. Scanning of discs was done from 5000 to 400 cm<sup>–1</sup> using transmission model.

### In Vitro Release Study

Dialysis membrane bag method was employed to monitor in vitro release profile of 5-FU from NP formulations. Phosphate-buffered saline (PBS, pH 7.4) was used as the receptor compartment. Briefly, freeze-dried NPs equivalent to 5 mg of the 5-FU were dispersed in 1 mL distilled water and instilled into the dialysis bag (molecular weight cut off: 5 kDa, Livingstone, NSW, Australia) which were placed in a beaker containing 40 mL of preheated PBS, pH 7.4. The beakers were kept in a thermostatic shaker water bath at 37°C and 100 rpm. Five mg of pure 5-FU processed similarly was used as a control. Three beakers were used for each formulation. At certain time intervals, 3 mL samples were withdrawn from each beaker and replaced by the same volume of fresh media pre-heated at 37°C to maintain sink condition. The amount of 5-FU in each sample was determined using an HPLC method.

## HPLC Analysis

A reverse-HPLC method reported earlier by Alanzi et al<sup>24</sup> was used for the analysis of 5-FU in each sample. The HPLC system (Waters<sup>TM</sup> 600 controller Milford, MA, USA) containing a dual  $\lambda$  UV detector (Waters<sup>TM</sup> 2487 detector Milford, MA, USA). The system contains a binary pump (Waters<sup>TM</sup> 1252 pump Milford, MA, USA) and an automating sampling system (Waters<sup>TM</sup> 717 Plus Milford, MA Autosampler, USA). A mobile phase composed of 40 mM phosphate buffer adjusted to pH 7.0 by 10% w/v potassium hydroxide was used and samples were pumped through C18 column (Bondapak<sup>TM</sup>, 4.6  $\times$  150 mm, 10  $\mu$ m particle size) at a rate of 1 mL/min. The injection volume was 20  $\mu$ L and the UV detector was adjusted at  $\lambda$ =260 nm. All the operations were carried out at ambient temperature.

## Cell Lines, Cell Culture, And Chemicals

Three cancer cell lines were utilized in this study, namely the hepatocellular carcinoma HepG2, the human colorectal cancer HT-29, and medulloblastoma Daoy cells. These cell lines were obtained from American Type Culture Collection (ATCC, Manassas, VA, USA). HepG2 and HT-29 cells were aseptically cultured in DMEM/high glucose supplemented with 10% heat-inactivated fetal bovine serum (FBS), 2 mM L-glutamine, and 1% penicillin–streptomycin. Daoy cells were aseptically grown in DMEM/F12 medium, supplemented with 1% non-essential amino acids, 0.04% HEPES, in addition to the above-mentioned additives. All cells were maintained in a humidified atmosphere of 5% CO<sub>2</sub> at 37°C. Cells were kept in the logarithmic growth phase by routine passage every 3–4 days utilizing 0.025% trypsin-EDTA treatment. 5-FU was purchased from Sigma-Aldrich Chemical Co. (St. Louis, MO, USA). 5-FU and 5FU-loaded NPs were dispersed in phosphate-buffered saline (PBS, pH 7.4) and used in the in vitro cytotoxicity and apoptosis studies at 5-FU concentration of 2.5  $\mu$ g/mL which is equal to 19.22  $\mu$ M. NP dispersions were prepared by weighing the equivalent weights from the freeze-dried powders under aseptic condition and dispersed in the required volume of PBS previously syringe-filtered using 0.45  $\mu$ m membrane filters to ensure sterility. The dispersion was further sonicated for 30 mins using a bath sonicator.

## In Vitro Cytotoxicity Studies

The cytotoxicity of 5-FU-loaded NPs was assessed by MTT assay in Daoy, HepG2, and HT-29 cells.<sup>25</sup> Cells were grown in Dulbecco's Modified Eagle's Medium (DMEM) and DMEM/F12 at a density of 1–2  $\times$  10<sup>4</sup> cells per well in 96-well plates in a humidified atmosphere of 5% CO<sub>2</sub> at 37°C for 24 hrs. Following this, culture media were replaced with fresh DMEM media containing 5% FBS and cells were exposed to different 5-FU NP formulations containing 5-FU (2.5  $\mu$ g/mL which is equivalent to 19.22  $\mu$ M), pure 5-FU (2.5  $\mu$ g/mL) or unloaded NPs for 24, 48, or 72 hrs. The culture media were replaced with 100  $\mu$ L/well of MTT solution [0.5 mg/mL in phosphate-buffered saline (PBS)] and cells were further incubated for 3 hrs. Following this, MTT solution was removed and 100  $\mu$ L of isopropyl alcohol was added to each well and the plates were left on a shaker for 2 hr at room temperature. The absorbance was measured at 549 nm in an ELISA reader (ELX 800; Bio-Tek Instruments, Winooski, VT, USA). The resulting absorbance was compared with the mean absorbance of the control wells containing only buffer in order to determine the cell viability. The following equation was used to calculate the cell viability.<sup>26</sup>

$$\% \text{ cell viability} = \left[ \frac{\text{A549 nm of treated cells}}{\text{A549 nm of control cells}} \right] \times 100 \quad (3)$$

## Detection Of Apoptosis By Flow Cytometry

Annexin-V-PE/7-AAD assay was employed to monitor the early apoptosis induced by 5-FU-loaded NPs as described earlier.<sup>27,28</sup> Briefly, cells were grown as described above. Culture media were removed from the wells and cells were washed once with PBS, binding buffer (500  $\mu$ L/well) (Invitrogen, CA, USA) and Annexin-V-PE (7  $\mu$ L/well) (Invitrogen, CA, USA) were added to each well and the plate was incubated at room temperature for 10 mins. After this, 7  $\mu$ L of 7-AAD was added to each well, and plates were further incubated at room temperature for another 10 mins. Excess label was washed with binding buffer and cells were harvested by scraping in binding buffer (700  $\mu$ L/well). Cells were immediately analyzed by flow cytometry on Cytomics FC 500 (Beckman Coulter, Fullerton, CA, USA), and CXP acquisition and analysis software was used for data processing. The fluorescence of Annexin V-PE was analyzed on FL2 (575 nm) after exciting cells with a 488 nm argon laser line whereas



fluorescence of 7-AAD was analyzed on FL4 (608 nm), as reported by Merkel et al (2008).<sup>29</sup>

## Statistical Data Analysis

The software package Microsoft Excel, Version 2010, Origin software, version 6.1 and GraphPad InStat version 3 was used for data analysis. Results are expressed as mean  $\pm$  standard error ( $n = 3$ –8 independent samples).

## Results

### Particle Size And Zeta Potential Measurements

Six different formulations of 5-FU-loaded NPs were prepared to evaluate the effect of physical PEGylation using two different polymers; PLGA and PCL. The exact composition of each formulation is shown in (Table 1). Particle size, PDI, and zeta potential of NPs for each of the prepared formulations are shown in Table 2. It was observed that all prepared NP formulations exhibited very close low particle sizes between 176.3 $\pm$ 6.7 nm for F3 to 253.9 $\pm$ 8.6 nm for F4. They also exhibited a narrow range of particle size distribution indicated by low PDI ( $<0.25$ ) except for F4 (0.317). These results indicate that the method of preparation was well optimized to produce 5-FU loaded NPs of small uniform sizes.

The zeta potential values were  $-17.47 \pm 1.88$ ,  $-9.22 \pm 0.47$ ,  $-8.52 \pm 1.22$ ,  $-27.06 \pm 3.18$ ,  $-7.13 \pm 0.13$ , and  $-13 \pm 0.41$  for F1, F2, F3, F4, F5, and F6, respectively. Such negative surface charge of all NP formulations is attributed to the existence of numerous free carboxylic acid groups within the chemical structure of the PLGA and PCL polymers present on NP surfaces.

### Yield, Entrapment Efficiency, Drug Loading, And Particle Morphology

Table 3 shows the effect of the polymer and copolymer nature on the percent yield, entrapment efficiency (EE),

**Table 3** The Yield %, Entrapment Efficiency (EE %) And Drug Loading (DL%) Of 5-FU-Loaded Nanoparticles (Mean  $\pm$  S. D.,  $N = 3$ )

| Codes | Yield %          | EE%              | DL%              |
|-------|------------------|------------------|------------------|
| F1    | 72.36 $\pm$ 3.41 | 31.96 $\pm$ 1.04 | 7.15 $\pm$ 1.05  |
| F2    | 61.23 $\pm$ 2.55 | 37.89 $\pm$ 0.85 | 8.61 $\pm$ 0.24  |
| F3    | 82.87 $\pm$ 1.22 | 44.24 $\pm$ 1.32 | 12.72 $\pm$ 1.59 |
| F4    | 85.29 $\pm$ 3.44 | 68.29 $\pm$ 2.64 | 21.27 $\pm$ 2.98 |
| F5    | 87.11 $\pm$ 1.87 | 74.09 $\pm$ 2.13 | 30.65 $\pm$ 4.41 |
| F6    | 80.82 $\pm$ 4.23 | 73.54 $\pm$ 1.62 | 24.33 $\pm$ 3.88 |

and drug loading (DL) of 5-FU into NPs. Generally, all formulations showed relatively high percent yield values indicating the robustness of preparation method. It is clear that the incorporation of PEG 6000 in the composition of NPs significantly enhanced both percent EE and DL. They were found to be dependent on the nature of the polymer and the magnitude of PEG 6000. An increase in EE% from 32 to 74 and DL% from 7 to 32 were recorded for F1 and F5, respectively. These results revealed that both F5 and F6 exhibited a significant higher EE % and DL% than other NP formulations. They are both composed of different ratios of PLGA and PEG 6000. Increasing the polymers portion in the ratio of drug: PCL: PEG6000 was found to significantly enhance both the %EE and %DL as indicated from the higher %EE (68.29%) and drug loading (21.27%) achieved with F4 (1:20:15) compared with lower values (44.24% for %EE and 12.72% for %DL) obtained with F3 (1:5:1).

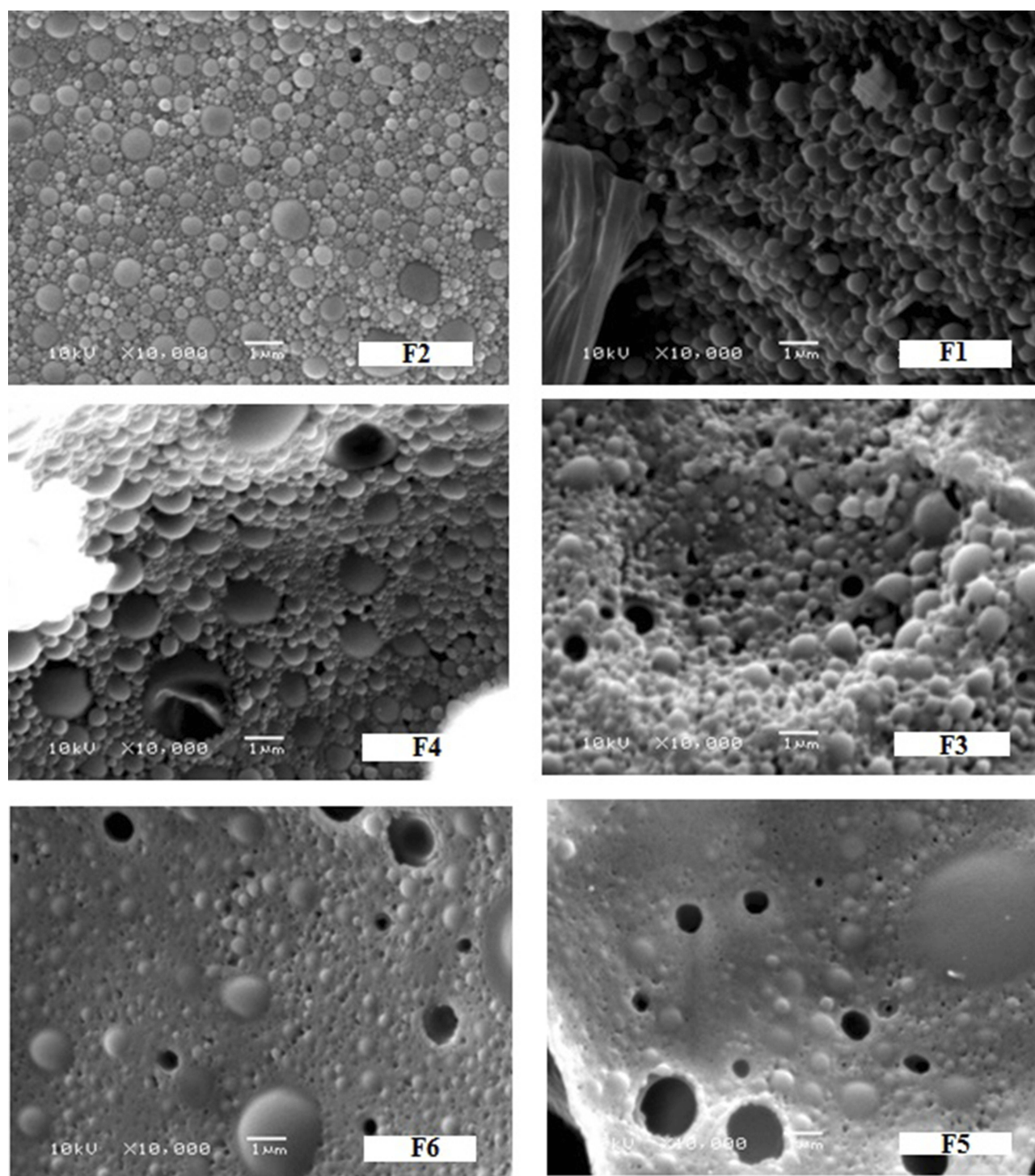
The SEM images depict almost spherical shape for all of 5-FU-loaded NPs with smooth surfaces (Figure 1). Some of the images showed aggregation of NPs which is probably attributed to the high surface activity and conditions of the drying process.

### X-Ray Diffraction (XRD) And Fourier Transform Infrared Spectroscopy (FTIR)

Figure 2 depicts the XRD spectra of the six 5-FU-loaded NP formulations in comparison to pure 5-FU powder. The spectrum of pure 5-FU shows a peak near 30° at the 2 $\theta$ , which can be ascribed to its crystalline nature. The appearance of the broad peaks in 2 $\theta = 10^\circ$ –25° is due to the amorphous nature of PLGA polymer. But in the presence of PCL polymer, crystalline peaks at 21.1° and 24.0° were observed (Figure 2). The XRD of 5-FU-loaded NP formulation indicates the disappearance of the characteristic peak for 5-FU.

**Table 2** The Physicochemical Characterization Of 5-FU-Loaded Nanoparticles

| Codes | Particle Size (nm) | PDI               | Zeta Potential (mV) |
|-------|--------------------|-------------------|---------------------|
| F1    | 241.1 $\pm$ 9.8    | 0.137 $\pm$ 0.032 | $-17.47 \pm 1.88$   |
| F2    | 193.5 $\pm$ 6.3    | 0.151 $\pm$ 0.081 | $-9.22 \pm 0.47$    |
| F3    | 176.3 $\pm$ 6.7    | 0.274 $\pm$ 0.037 | $-8.52 \pm 1.22$    |
| F4    | 253.9 $\pm$ 8.6    | 0.317 $\pm$ 0.081 | $-27.06 \pm 3.18$   |
| F5    | 221.3 $\pm$ 7.9    | 0.243 $\pm$ 0.068 | $-7.13 \pm 0.13$    |
| F6    | 204.3 $\pm$ 6.4    | 0.211 $\pm$ 0.065 | $-13.74 \pm 0.41$   |

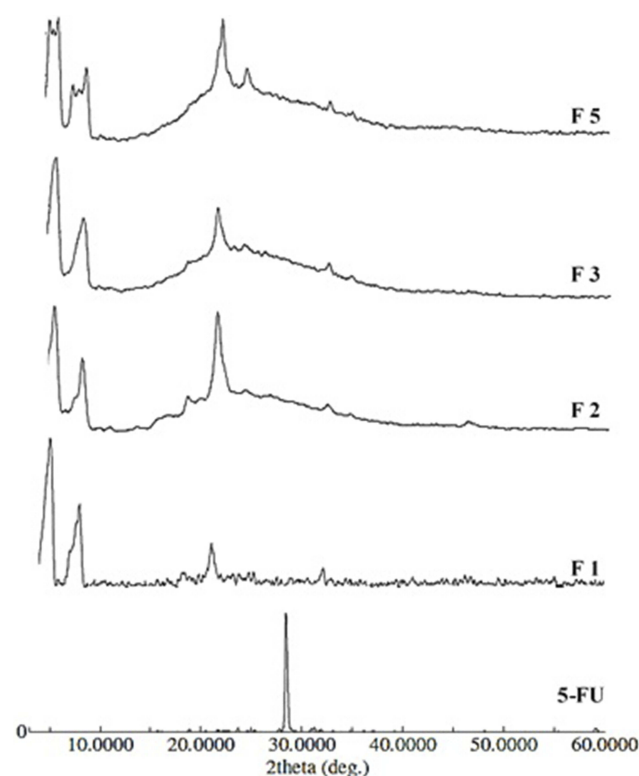


**Figure 1** SEM micrographs of 5-FU loaded nanoparticles.

**Notes:** F1 (composed of 1:5:0, drug:PLGA:PEG6000), F2 (composed of 1:5:0, drug:PCL:PEG6000), F3 (composed of 1:5:1, drug:PCL:PEG6000), F4 (composed of 1:20:15, drug:PCL:PEG6000), F5 (composed of 1:5:1, drug:PLGA:PEG6000), and F6 (composed of 1:20:15, drug:PLGA:PEG6000).

Figure 3 shows FTIR spectra of the six 5-FU-loaded NP formulations compared with pure 5-FU. The characteristic bands of pure 5-FU are detected at 1722, 1430, 1246, 811, and 551  $\text{cm}^{-1}$  resulting from the vibration of imide stretch (amide II and amide III) and aromatic ring, 1348  $\text{cm}^{-1}$

associated with the vibration of the pyrimidine ring, 1181  $\text{cm}^{-1}$  assigned to the C=O vibrations, and 1246  $\text{cm}^{-1}$  associated with C-N vibrations. While the spectra of NP formulations have shown the amide group bands in the range between 1470 and 1760  $\text{cm}^{-1}$  and the carbonyl band



**Figure 2** XRD diffractograms of pure 5-FU and 5-FU-loaded nanoparticles.  
**Notes:** F1 (composed of 1:5:0, drug:PLGA:PEG6000), F2 (composed of 1:5:0, drug: PCL:PEG6000), F3 (composed of 1:5:1, drug:PCL:PEG6000), and F5 (composed of 1:5:1, drug:PLGA:PEG6000).

observed at  $1733\text{ cm}^{-1}$ . It also depicts  $\text{-CH}_2$  stretching bands in the range of  $2851\text{--}2920\text{ cm}^{-1}$  and  $\text{C=O}$  stretching in the range of  $1081\text{--}1252\text{ cm}^{-1}$ . The  $\text{C-H}$  stretching band was demonstrated as a characteristic peak in the range of  $3136\text{--}2830\text{ cm}^{-1}$ . Generally, the spectrum of NP formulations kept the sharpest characteristic peaks of 5-FU with slight shifting.

## In Vitro Release Studies

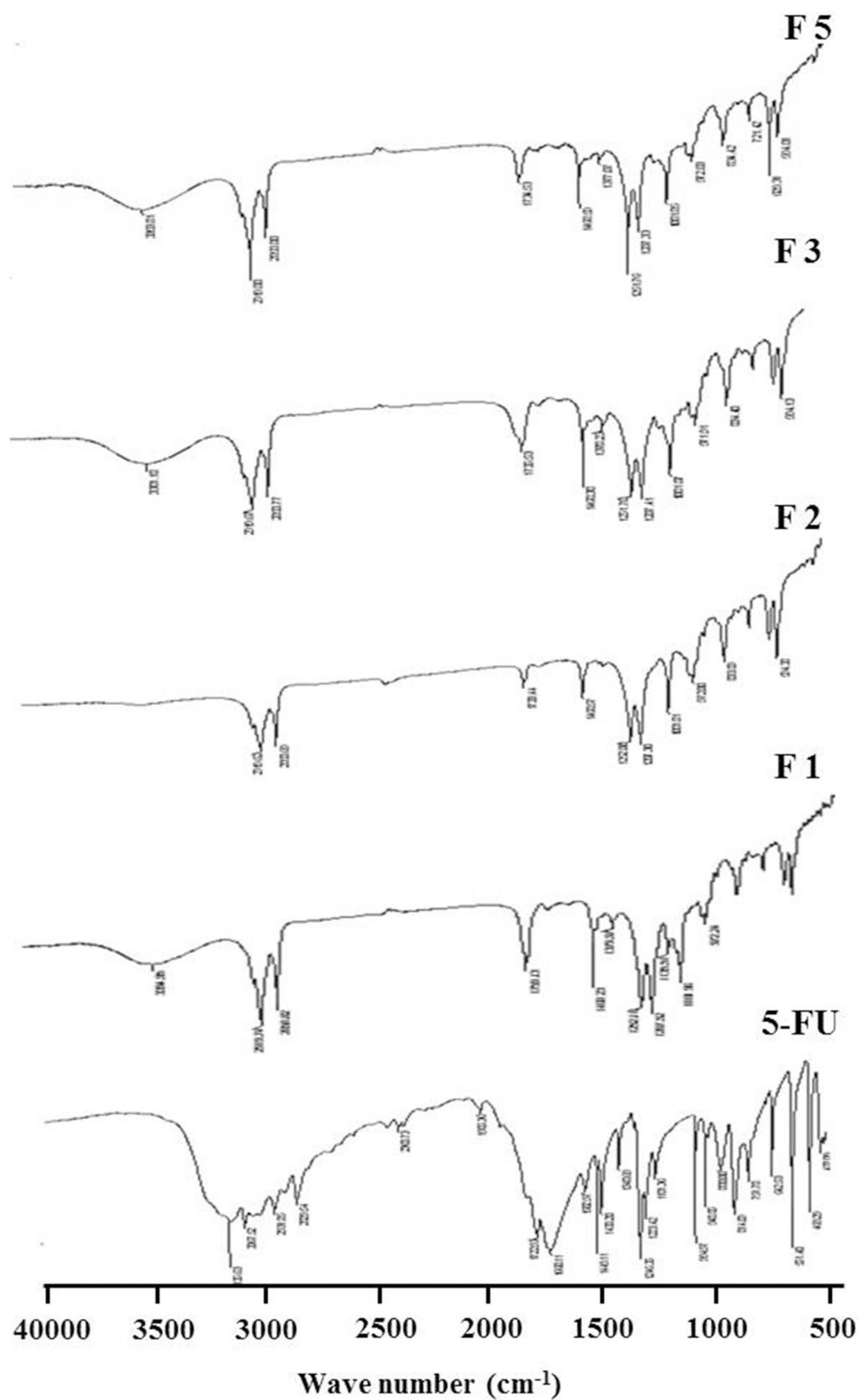
Figure 4 illustrates the 5-FU release profiles from the six NP formulations in comparison to 5-FU dispersion in distilled water as a reference. The release profile of the reference indicated instant release for most of the drug within the first hour. On the other hand, a bi-phasic pattern has been followed with all NP formulations characterized by an 8 h first rapid release phase followed by a second phase of slow-release extending until 72 hrs. The extent of this pattern was variable depending on the polymeric composition of each formulation. The cumulative % released values of 5-FU from the NPs in the first 8 hrs were about 39%, 26%, 53%, 59%, 60%, and 63% for F1, F2, F3, F4, F5, and F6, respectively, while they achieved a

maximum of about 47%, 36%, 66%, 70%, 75%, and 84% after 72 hrs. The slow release could be caused by the diffusion of the drug from the NPs matrix following the slow erosion of the polymer.

The kinetics of the release data was analyzed using the DDSolver software program. The fitting of the data was assessed in multiple kinetic models including zero, first, Higuchi and Korsmeyer-Peppas. Table 4 presents the square of the regression values ( $R^2$ ) and the Korsmeyer-Peppas exponent ( $n$ ) for all of the 6 formulations (F1–F6). The results indicated the high compliance of the 5-FU release from the six NP formulations with Korsmeyer-Peppas as shown from the significant higher  $R^2$  values. Moreover, the values of  $n$  are in the range from 0.157 to 0.282, thus far below 0.5 pointing out a Fickian diffusion kinetics.

## In Vitro Cytotoxicity

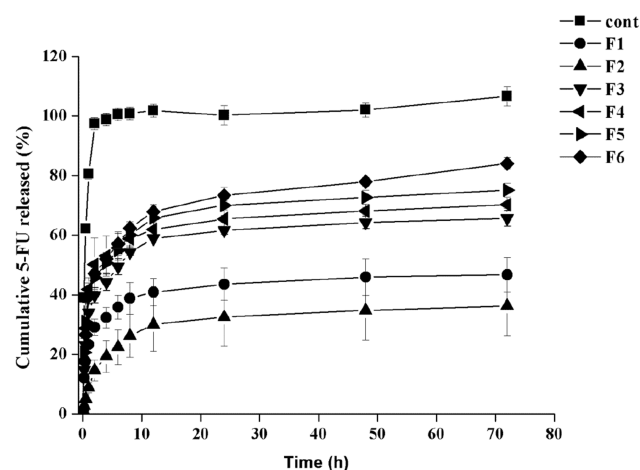
We assessed the cytotoxicity of all 5-FU-loaded NP formulations (F1–F6) and their corresponding controls including drug-free NP formulations and pure 5-FU in HT-29, HepG2, and Daoy cancer cells by MTT assay. We used 5-FU in a concentration of  $2.5\text{ }\mu\text{g/mL}$ , which is equal to  $19.22\text{ }\mu\text{M}$ . This concentration is equivalent to the  $\text{IC}_{50}$  of 5-FU on HT-29 cells reported by Nita et al<sup>30</sup> who concluded that the  $\text{IC}_{50}$  of 5-FU in HT-29 was  $19.3\text{ }\mu\text{M}$  after 72 hrs of incubation. It is generally noticed that the cytotoxic activity of both 5-FU-loaded NPs and pure 5-FU is highly time-dependent. It is obvious from Figures 5–7 that loading of 5-FU into such NP formulations significantly fostered the cytotoxicity of 5-FU, as compared to pure 5-FU in the three tested cell lines, but with different potencies at different time points. This is an interesting finding, considering the incomplete and slow in vitro release of 5-FU from NPs in the 72-hr period, in contrast with the instant solubility of pure 5-FU in the cell culture medium. The results also demonstrated that significant anticancer activity was achieved for all 5-FU-loaded NP formulations as well as pure 5-FU in all the three tested cancer cell lines, as compared to the buffer (control), but with different potencies at different time points. As shown in Figure 6, the F1, F4, F5, and F6 formulations significantly enhanced the cytotoxicity of 5-FU, relative to pure 5-FU in HepG2 cells after 24 hrs of incubation. The F5 formulation significantly increased the antiproliferative activity of 5-FU, relative to pure 5-FU in HepG2 cells after 48 hrs of incubation. All 5-FU-loaded NPs, except F4, significantly enhanced the cytotoxicity of 5-FU, relative to



**Figure 3** FTIR spectra of pure 5-FU and 5-FU-loaded nanoparticles.

**Notes:** F1 (composed of 1:5:0, drug:PLGA:PEG6000), F2 (composed of 1:5:0, drug:PCL:PEG6000), F3 (composed of 1:5:1, drug:PCL:PEG6000), and F5 (composed of 1:5:1, drug:PLGA:PEG6000).





**Figure 4** Release profiles of 5-FU from loaded nanoparticles in phosphate buffer pH 7.4 through dialysis bags with cut-off 12,000 Dalton using 5-FU aqueous solution as a control (mean  $\pm$  SD,  $n = 3$ ).

pure 5-FU in HepG2 cells after 72 hrs of incubation. F5 (PLGA-PEG) was the most potent formula among all 5-FU-loaded NPs against HepG2 cells at all durations. The F5 formulation induced 70%, 60%, and 72% cell death after 24, 48, and 72 hrs, respectively. With regard to Daoy cells, F1, F3, and F5 significantly improved the cytotoxicity of 5-FU, relative to pure 5-FU after 24 hrs of incubation (Figure 5). All 5-FU-loaded NPs, except F4, significantly augmented the cytotoxicity of 5-FU, relative to pure 5-FU in Daoy after 48 hrs of incubation. The formulations F4, F5, and F6 significantly ameliorated the cytotoxicity of 5-FU, relative to pure 5-FU in Daoy after 72 hrs of incubation. Interestingly, F5 was also the most potent formulation among all 5-FU-loaded NPs against Daoy cells at all durations. It induced 34%, 61%, and 74% cell death after 24, 48, and 72 hrs, respectively. The formulations F1, F2, and F3 significantly enhanced the cytotoxicity of 5-FU, relative to pure 5-FU against HT-29 after 72 hrs incubation as depicted in Figure 7. These formulations induced 60% cell death after 72 hrs, whereas pure 5-FU inhibited HT-29 cell proliferation by about 51%; similar to results

reported by Nita et al<sup>30</sup> who showed that 5-FU induced 50% cell death of after 72 hrs.

## Induction Of Apoptosis In HT-29 Cells Caused By 5-FU NPs

The most potent 5-FU NP formulations (F1–F3) which showed the highest antiproliferative effects as shown in Figure 7 were selected for measuring apoptosis. Unloaded nanoparticles (F1–F3) and pure 5-FU were used as negative and positive controls respectively. The results showed that the three formulations significantly increased the percent of Annexin V-PE positive/7-AAD negative (early apoptotic) cells, compared to control as well as to 5-FU which induced only modest pro-apoptotic effects as shown in Figure 8A and B. These data indicated that 5-FU NP formulations 1, 2, and 3 are capable of inhibiting HT-29 human colon cancer cell growth, at least in part, by stimulating early apoptosis.

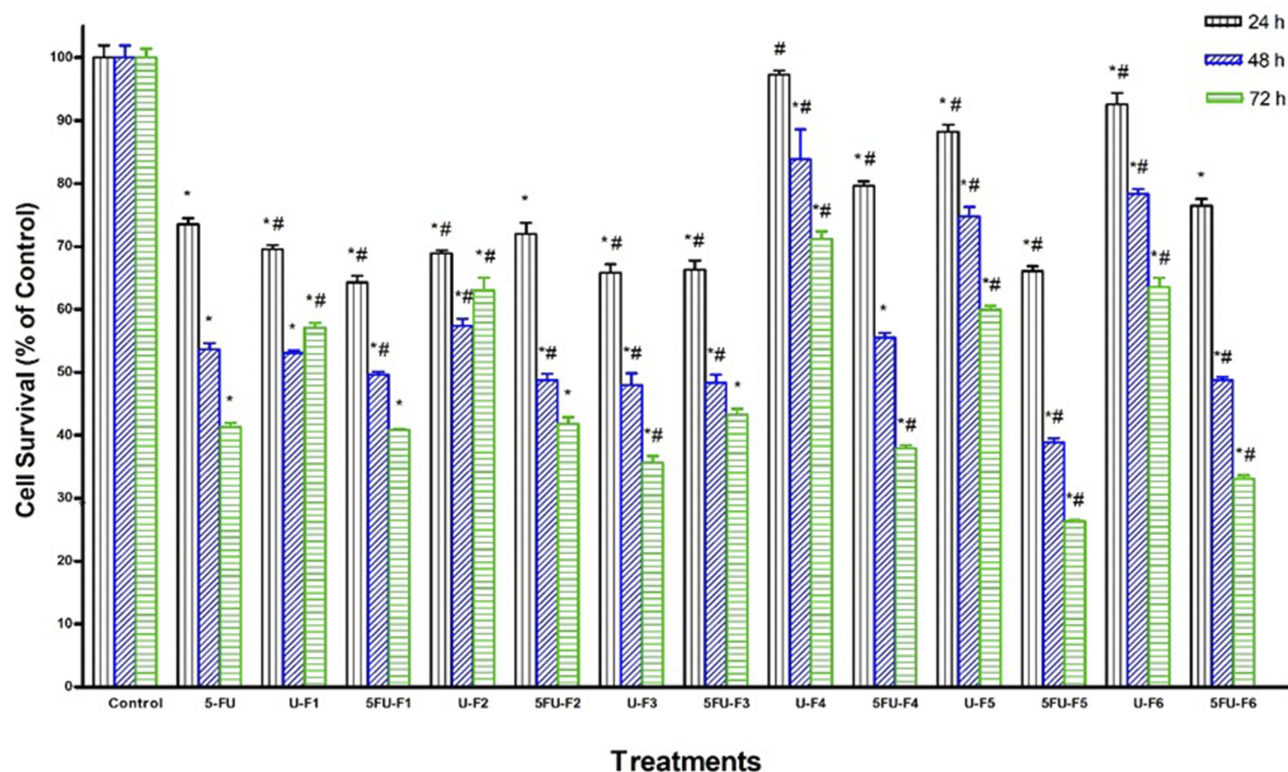
## Discussion

The entrapment of 5-FU into polymeric NPs was intended to prolong the residence time of the drug inside the body and thereby to improve the cellular uptake of drugs by cancer cells. The above strategy was aimed to significantly enhance the antitumor activity and the overall therapeutic efficacy of 5-FU. The use of PLGA and PCL-based NPs as targeted drug delivery systems has been reported to be successful in different cancer types.<sup>31,32</sup> Among the used polymeric NPs, PCL NPs have shown lower particle size than that of PLGA due to their higher flexibility.<sup>33</sup> Consistently, in the present study, the particle size of F2 (PCL-based formulation) was lower than that of F1 (PLGA-based formulation). The existence of many free carboxylic acid groups on the surface of both PLGA and PCL indicates negative surface charge on all of the prepared NP formulations. Moreover, it was observed that the incorporation of PEG in the NPS composition has resulted in a reduction in the magnitude of zeta potential value as a result of the PEG induced shielding effect on the NP surfaces.<sup>34</sup> The reproducible low particle sizes and PDI are indicative of high

**Table 4** Release Kinetics Of 5-FU Release From Different NP Formulations

| Codes | Zero Order | First Order | Higuchi (Diffusion) | Korsmeyer Peppas | "n" value |
|-------|------------|-------------|---------------------|------------------|-----------|
| F1    | 0.6609     | 0.7364      | 0.8921              | 0.9730           | 0.177     |
| F2    | 0.7491     | 0.7934      | 0.9001              | 0.9602           | 0.282     |
| F3    | 0.6607     | 0.9031      | 0.8326              | 0.9673           | 0.184     |
| F4    | 0.6135     | 0.8879      | 0.7876              | 0.9600           | 0.157     |
| F5    | 0.6710     | 0.9267      | 0.8372              | 0.9796           | 0.172     |
| F6    | 0.7170     | 0.9479      | 0.8710              | 0.9800           | 0.199     |

## MTT Assay of Free 5-FU and 5-FU-Loaded NPs on Daoy Cells



**Figure 5** Effect of several formulas of 5-FU-loaded nanoparticles on human medulloblastoma cells. Daoy cells were treated with indicated formulas of 5-FU-loaded nanoparticles (5-FU-Fx), unloaded nanoparticles (U-Fx), pure 5-FU or buffer (control) for 24, 48, or 72 hrs. Cell viability was determined by MTT assay as indicated in Methods. At the end of the assay, the absorbance at 549 nm was read on a microplate reader. Significant differences between treatments and control and 5-FU were analyzed by ANOVA followed by unpaired t-test. \* $p < 0.05$  compared with control (0  $\mu$ M). # $p < 0.05$  compared with 5-FU.

physical stability and reduced aggregation of NPs. The significantly higher %EE observed with both F5 and F6 formulations could be attributed to the presence of PEG 6000 together with PLGA, which have the ability to create an irregular crystalline network. This may promote more space for the drug molecules.<sup>35</sup> On the other hand, the lower %EE and %DL obtained for F1 might be ascribed to higher precipitation of polymers during NP preparation.<sup>35</sup> Moreover, it is clear from our results that PEG has a valuable effect on the EE of the drug. This action might be explained by the amphiphilic nature of the polymer blends PEG/PLGA and PEG/PCL.<sup>36,37</sup>

Commonly higher particle sizes obtained by DLS in contrast to those obtained by SEM might be related to the tendency of NPs to swell in aqueous media.<sup>38</sup> In addition, DLS measures the diameter of stagnant solvent layer adjacent to the NPs, while the SEM measures the exact diameters of NPs in the dry state.

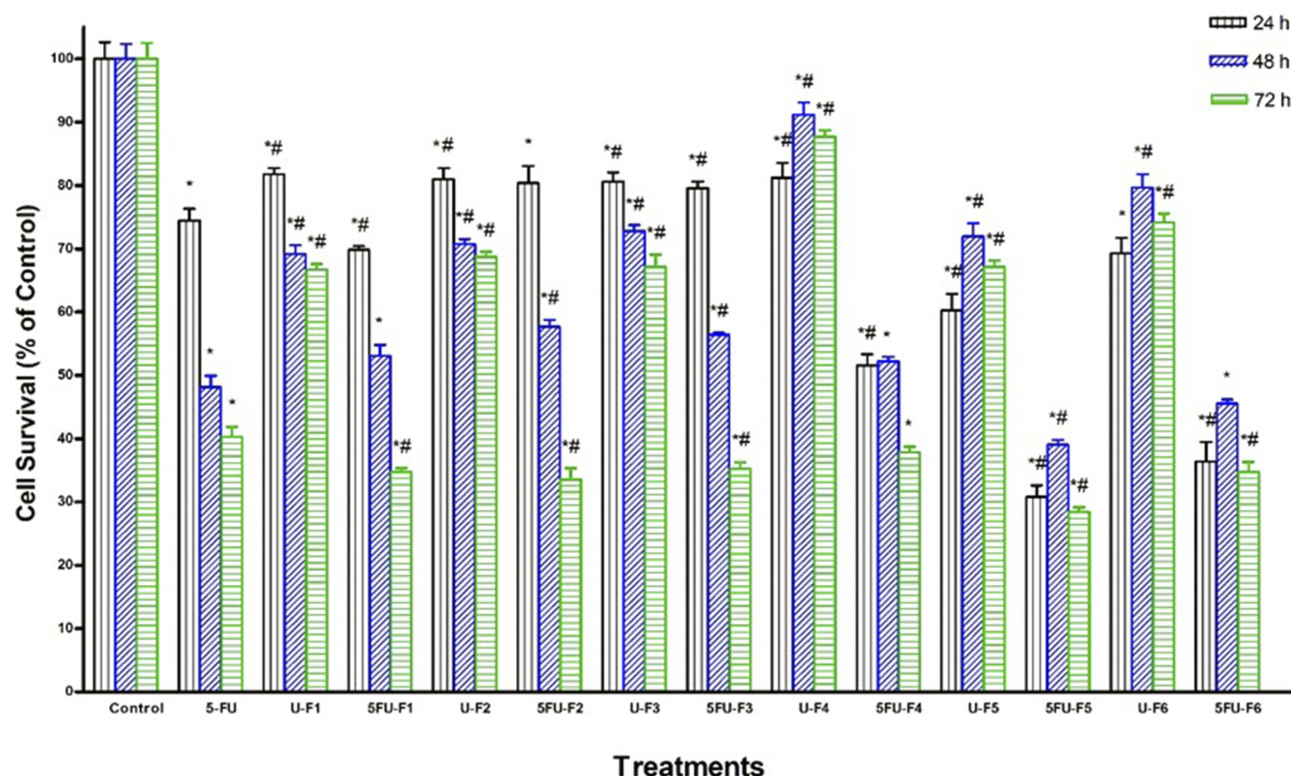
The absence of the characteristic peaks of 5-FU from the XRD spectra in NP formulations indicates the existence of the polymer matrix entrapped 5-FU in the amorphous state. The XRD analysis suggests that the majority

of 5-FU molecules were deeply incorporated within the NPs matrix rather than adsorbed on their surfaces. This was also confirmed by the FTIR by the slight shifting of the characteristic peaks of 5-FU observed in NP formulations and the appearance of C-H and C=O stretching peaks. These findings indicated that slight chemical interaction between the drug and the polymer, which revealed that the drug was encapsulated inside the polymer.

The slower release obtained with PCL-based NP formulations can be attributed to the higher crystalline nature of PCL than PLGA.<sup>39</sup> In addition, PCL has much less water permeability, which possibly led to very slow degradation rate.<sup>40</sup> Therefore, the improved 5-FU release from PCL polymer was dependent on the incorporated PEG 6000 in the case of formulations F3 and F4. The increased release of 5-FU from NPs in the presence of PEG 6000 might be attributed to a higher degree of water permeability imparted by the surface PEG.<sup>32,41</sup>

In addition, the higher release rate of 5-FU from PLGA containing NPs (F1, F5, and F6) likely attributed to the hydrophilic glycolide units resulting in improved water

## MTT Assay of Free 5-FU and 5-FU-Loaded NPs on HepG2 Cells



**Figure 6** Effect of several formulas of 5-FU-loaded nanoparticles on human hepatocellular carcinoma cells. HepG2 cells were treated with indicated formulas of 5-FU-loaded nanoparticles (5-FU-Fx), unloaded nanoparticles (U-Fx), pure 5-FU or buffer (control) for 24, 48, or 72 hrs. Cell viability was determined by MTT assay as indicated in Methods. At the end of the assay, the absorbance at 549 nm was read on a microplate reader. Significant differences between treatments and control as well as 5-FU were analyzed by ANOVA followed by unpaired t-test. \* $p < 0.05$  compared with control (0  $\mu$ M). \*\* $p < 0.05$  compared with 5-FU.

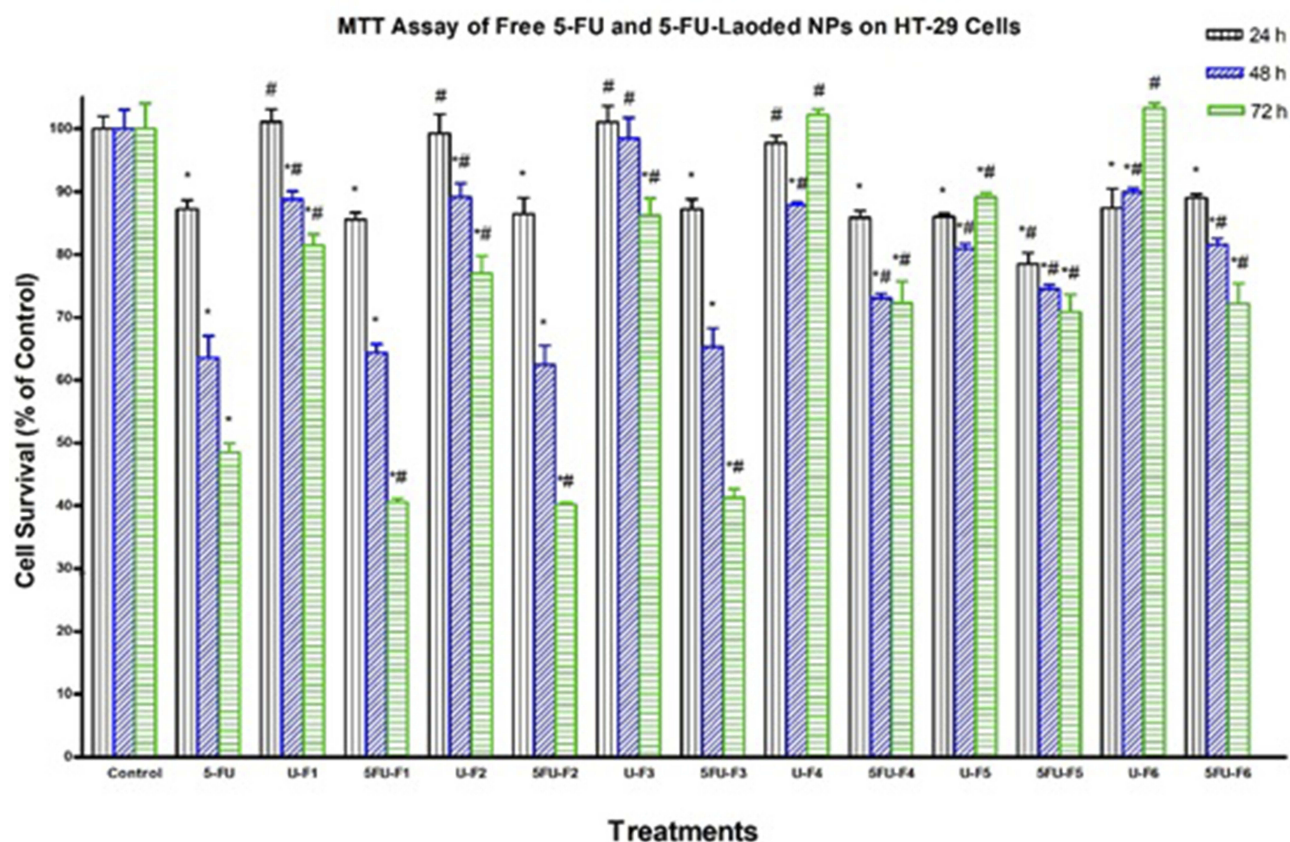
permeability, thereby increasing drug release rate.<sup>42,43</sup> The significant increase in the 5-FU release rate observed in the case of F5 and F6 compared to F1 can be explained by the much more hydrophilicity and water permeability induced by the incorporation of PEG in the NP formulations. This was also concluded by Sanna et al.<sup>35</sup> The kinetic analysis of the drug release profile from the NP formulations denoted a Fickian diffusion model for all of the six formulations; therefore, a combination of diffusion and erosion which better explains the bi-phasic release pattern with faster initial followed by a much slower release rate. This is in agreement with the interpretation introduced by a number of previous reports.<sup>44,45</sup>

It is generally noticed that the cytotoxic activity of 5-FU-loaded NPs and pure 5-FU was time-dependent. This corroborates previous findings where prolonged exposure of colon, glioma, and breast cancer cells has been reported to enhance 5-FU cytotoxicity.<sup>46,47</sup> In the present study, the level of cell death obtained with 5-FU NPs is much greater than 58% growth inhibition induced by 5-FU amphiphilic nano-

micelles in HepG2 cells<sup>48</sup> and by porous 5-FU-loaded hydrogel microparticles which induced only 6%, 31% and 33% HepG2 cell death after 24, 48, and 72 hrs, respectively.<sup>49</sup>

The significant enhancement of 5-FU cytotoxicity against Daoy cells due to its incorporation into NPs confirms our previous study showing increased cytotoxic effects of 5-FU NPs in Daoy cells.<sup>50</sup> To the best of our knowledge, this is the first study to investigate the cytotoxicity of pure 5-FU and 5-FU NPs using medulloblastoma cells. In the case of HT-29 cells, F5 was the only formulation that significantly increased the cytotoxicity of 5-FU, relative to pure 5-FU after 24-hr incubation. Even though the F1 and F3 formulations did not significantly enhance 5-FU cytotoxicity against HT-29 after 48-hr incubation, both pure 5-FU as well as F1 and F3 caused significant cytotoxicity with 37% cell death. This is much higher, compared to 25% and 30% HT-29 cell death induced by 5-FU-loaded liposome and 5-FU-loaded folate-liposomal NPs with a 25  $\mu$ M concentration of 5-FU as reported by Handali et al.<sup>51</sup> Importantly, the 25  $\mu$ M concentration of 5-FU used in their study was higher than 19.22  $\mu$ M 5-FU used





**Figure 7** Effect of several formulas of 5-FU-loaded nanoparticles on human colorectal cancer cells. HT-29 cells were treated with indicated formulas of 5-FU-loaded nanoparticles (5-FU-Fx), unloaded nanoparticles (U-Fx), pure 5-FU or buffer (control) for 24, 48, or 72 hrs. Cell viability was determined by MTT assay as indicated in Methods. At the end of the assay, the absorbance at 549 nm was read on a microplate reader. Significant differences between treatments and control and 5-FU were analyzed by ANOVA followed by unpaired t-test. \* $p < 0.05$  compared with control (0  $\mu\text{M}$ ). # $p < 0.05$  compared with 5-FU.

in the present study. Apparently our three formulations (F1–F3) are much more potent in killing HT-29 cells than 5-FU-PLGA NPs used in a previous study.<sup>46</sup> Although they achieved higher cell death (68%) as compared to 60% in our study, they used 250  $\mu\text{M}$  5-FU which is a much higher concentration than 19.22  $\mu\text{M}$  used in this study. Interestingly, our three formulations, F1, 2, and 3, showed a decent and similar time-dependent cytotoxicity amounting to about 14%, 36%, and 60% after 24, 48, and 72 hrs incubation, respectively, in HT-29 cells, further confirming the time-dependent cytotoxicity of 5-FU as reported by other researchers.<sup>44,45</sup> With regard to the overall sensitivity, our findings showed that Daoy cells were the most sensitive cell line to the cytotoxic actions of 5-FU-loaded NPs, followed by HepG2 and HT-29 cells.

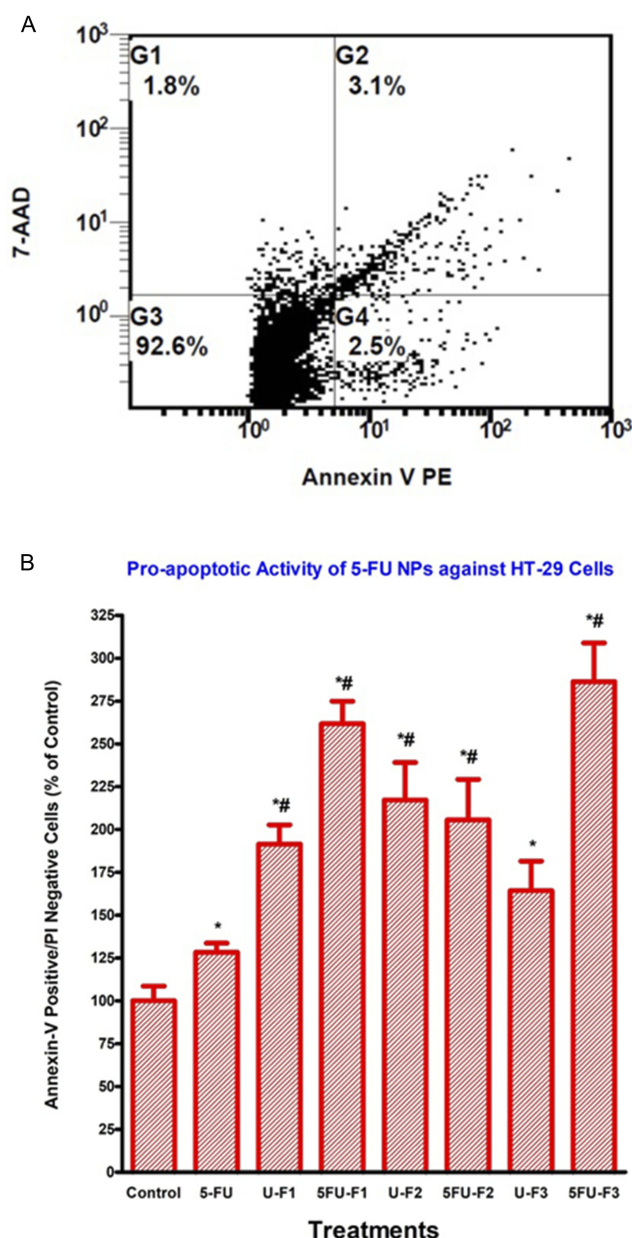
Many chemotherapeutic agents, including 5-FU, are shown to exert their anticancer effects through the induction of apoptosis. Numerous studies confirm that 5-FU induces apoptosis in colon cancer cells, including HT-29 cells, as well as in extra-colonic cells.<sup>52</sup> 5-FU has been the

mainstay of CRC therapy since the late 1950;<sup>53</sup> thus we sought to determine the extent to which our 5-FU NP formulas can induce apoptosis of the CRC HT-29 cell line. The ability of our formulations F1–F3 to stimulate early apoptosis in HT-29 human colon cancer is also consistent with the cell anti-proliferative effects found in MTT assay data, which illustrated that the three formulations inhibited HT-29 cell viabilities within 48 hrs, compared to control. (See MTT Figures). Our results corroborate previous reports showing that 5-FU NPs stimulate apoptosis of colon cancer cells and that 5-FU NPs were superior to free 5-FU.<sup>54,55</sup>

## Conclusion

In this study, the physical incorporation of PEG 6000 in the formulation 5-FU-loaded PLGA and PCL NPs has been successfully achieved using a simple yet robust method with high percent yield. The generated NPs exhibited relatively small nanoparticle sizes, uniform spherical-shape, reasonable EE%, and DL%. The in vitro release profiles of these NPs indicated a





**Figure 8** 5-FU-loaded nanoparticles induce apoptosis of human colorectal cancer cells. HT-29 cells were treated with indicated formulas of 5-FU-loaded nanoparticles (5-FU-Fx), unloaded nanoparticles (U-Fx), pure 5-FU or buffer (control) for 48 hrs. Cells were then labeled with Annexin V and 7-AAD, and analyzed by flow cytometry. **(A)** A representative dot plot from the assay results, illustrating the discrimination between viable, early apoptotic and late apoptotic/necrotic cells. **(B)** Pro-apoptotic activity of 5-FU NP formulations F 1, 2 and 3, as well as pure 5-FU against HT-29 cells. Annexin V +/7-AAD: early apoptotic cells. Significant differences between treatments and control as well as 5-FU were analyzed by ANOVA followed by unpaired t-test. \*p < 0.05 compared with control (0  $\mu$ M). #P<0.05 compared with 5-FU.

prolonged release which extended over 3 days. The in vitro cytotoxicity studies indicated a highly significant activity of 5-FU when loaded into polymeric NPs than the pure drug. Some of the formulations have demonstrated ability to stimulate apoptosis in HT-29 human colon cancer cells. Physical PEGylation appeared to improve multiple characteristics of NPs including the drug loading capacity and enhance both

drug release profile and in vitro cytotoxicity. Therefore, encapsulation of 5-FU into physically PEGylated PCL/PLGA NPs can be considered a beneficial approach for enhancing the efficacy of 5-FU and can be extended for many other drugs to enhance their anticancer effects. The in vivo studies using physically PEGylated PCL/PLGA NPs may yield additional information on use of these NPs in cancer therapy.

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## Disclosure

The authors report no conflicts of interest in this work.

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