

# Effects of Topical Hangeshashinto (TJ-14) on Chemotherapy-Induced Oral Mucositis

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**Purpose:** Hangeshashinto (TJ-14), a Kampo medicine comprising seven types of herbs, has been used in Japan to alleviate the side effects associated with anticancer drug treatments. However, the pharmacological effects of this medicine currently remain unclear. The present study aimed to demonstrate the efficacy of TJ-14 against anticancer drug-induced stomatitis, the pain associated with which may have a negative impact on mastication and swallowing.

**Methods:** Mucositis was induced in Sprague-Dawley rats by cancer chemotherapy. Changes in body weight, stomatitis grades, histopathological scores, and oral bacterial counts were examined among TJ-14-treated, saline-treated, and Control (no treatment) rats. In vitro studies, including cell proliferation and wound healing assays, using epidermal keratinocyte and fibroblast cell lines were conducted.

**Results:** The local application of TJ-14 exerted strong antibacterial effects and attenuated oral chemotherapy-induced stomatitis in rats. TJ-14 also increased the viability and invasion of epidermal keratinocytes and fibroblasts.

**Conclusion:** The present results demonstrated the potential of TJ-14 to attenuate chemotherapy-induced stomatitis.

**Keywords:** cancer chemotherapy, mucositis, Hangeshashinto, TJ-14, Kampo medicine, traditional Japanese medicine, oral cancer

## Introduction

Significant advances have been achieved in the development of new anticancer agents and combination radiotherapy protocols to treat cancer;<sup>1,2</sup> however, treatments for the side effects associated with these therapies remain limited.<sup>3</sup> Previous studies reported that the incidence rates of oral mucositis, a common side effect of anticancer therapy, were 25–55%, 70–90%, and almost 100% in patients treated with anticancer agents for solid cancer, high-dose anticancer agents for hematopoietic stem cell transplantation, and anticancer agents and radiotherapy for head and neck cancer, respectively.<sup>1,4,5</sup> Pain associated with stomatitis has a negative impact on mastication and swallowing, and, thus, nutrition, which reduces the quality of life of patients and increases their susceptibility to infections.<sup>6</sup> Previous studies reported that stomatitis is a dose-limiting factor of cancer treatment,<sup>7</sup> increases infection-related mortality,<sup>8</sup> and may necessitate the withdrawal of or changes to treatment.<sup>9</sup>

Chemotherapy-induced stomatitis has been attributed to both primary and secondary causes, with the former being caused by mucosal inflammation as a result of reactive oxygen species generated by anticancer agents destroying cells in the oral mucosa, and the latter to large numbers of oral bacteria adhering to the ulcerated

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surface of the mucosa and causing local infections. These local infections, when combined with the metabolic damage and increased susceptibility to infections caused by anticancer agents, may become intractable or serious.<sup>10</sup> Although various animal models have been employed to investigate stomatitis,<sup>11</sup> the etiology of chemotherapy-induced stomatitis has not yet been elucidated in detail and may be more complex than originally considered.<sup>12</sup>

Few evidence-based studies have been conducted on the relationship between stomatitis and cancer treatments, and effective, reliable methods for the treatment of this condition have not been established. In clinical practice, there is no standard treatment for chemotherapy-induced oral mucositis, so currently symptomatic treatments are actually employed. The prophylactic measures and treatments for this disease reported so far include oral care, nutritional therapy, topical steroid ointment, cryotherapy, low-level laser therapy and azulene sulfonate sodium hydrate, as well as the mouth rinse with allopurinol, camostat mesylate, and rebamipide for removing active oxygen species and protecting mucous membranes. However, their effects are limited, which often makes the treatment difficult.<sup>13</sup> Mouth rinse with lidocaine hydrochloride, as well as acetaminophen, NSAIDs, and opioids are also used for pain management, but they are not definitive cares. In recent years, the effectiveness of palifermin, a keratinocyte growth factor-1, for this disease has been reported and raising expectation. However, it has not been approved in Japan. In addition, its use means the administration of growth factors to the patients with malignant tumors, of which the safety has not been established yet.<sup>13</sup> Kampo medicine (traditional Japanese medicine) has been used to treat the side effects associated with anticancer drug treatments in Japan.<sup>14,15</sup> Hangeshashinto (TJ-14) has been empirically reported to attenuate the side effects associated with cancer chemotherapies.<sup>13,15,16</sup> It comprises seven herbal extracts (Coptis rhizome, ginseng, glycyrrhiza, jujube, Pinellia tuber, processed ginger, and Scutellaria root) and has been approved for the treatment of acute and chronic gastrointestinal catarrh, fermentative diarrhea, and acute gastroenteritis and oral mucositis by the Ministry of Health, Labour and Welfare of Japan.<sup>17</sup> TJ-14 reportedly promotes the healing of stomatitis induced by lung,<sup>18</sup> colon,<sup>19</sup> stomach,<sup>20</sup> and head and neck cancer<sup>15</sup> treatments, and suppresses its exacerbation. A double-blind, randomized, Phase II clinical trial for the chemotherapies for colorectal<sup>19</sup> and gastric<sup>20</sup> cancers has reported that TJ-14 reduced the severity of these diseases and shortened

the periods for healing compared with placebo controls. However, the mechanism for the improvement of stomatitis by TJ-14 and its pharmacological effects still remain unclear. Recent studies reported the antioxidant,<sup>21</sup> anti-inflammatory,<sup>22</sup> bactericidal,<sup>23</sup> analgesic<sup>17</sup> and healing-promoting<sup>24</sup> effects of TJ-14.

In the present study, we used an animal model of mucositis induced by cancer chemotherapy to investigate the effects of TJ-14 on stomatitis. In vitro studies were also conducted to clarify the effects of TJ-14 on epidermal keratinocytes and fibroblasts.

## Materials and Methods

### Hangeshashinto (TJ-14)

TJ-14 (serial number: J08372) was obtained from Tumura & Co. (Tokyo, Japan) and diluted to 100 mg/mL in distilled water.

### Animals

All procedures using live animals conformed to the ethical guidelines established by the Japanese Council on Animal Care and were approved by the Animal Care Committee of Tokyo Dental College (permit number 282403). Fourteen-week-old male Sprague-Dawley rats ( $n = 21$ ) were obtained from Sankyo Laboratory (Tokyo, Japan). Animals were housed in a room maintained under standardized light (12:12-h light/dark cycle), temperature ( $23 \pm 2^\circ\text{C}$ ), and humidity ( $55\% \pm 5\%$ ) conditions and food pellets and drinking water were available ad libitum.<sup>1</sup>

### Animal Model for Mucositis Induced by Cancer Chemotherapy

The protocol used to induce oral mucositis was modified from a previously reported method.<sup>1,25</sup> 5-FU (Wako Pure Chemical Industries, Osaka, Japan) was administered intraperitoneally to all rats at 60 mg/kg/day on Days 1–5. Anesthesia was induced on Day 6 by the inhalation of 4% sevoflurane (Maruishi Pharmaceutical, Osaka, Japan), followed by an intraperitoneal injection of sodium pentobarbital (30 mg/kg body weight, Somnopentyl; Kyoritsu Seiyaku, Tokyo, Japan). Fifty microliters of 100% acetic acid (Wako Pure Chemical Industries) was then applied to the lingual dorsum with a Plaut brush® (Oral Care, Tokyo, Japan) and rubbed in to induce stomatitis. Animals were then divided into three groups ( $n = 7$  each): control (no treatment); saline-treated; and TJ-14-treated. After the development of stomatitis, mouths were rinsed four times daily (every 6 h) with

physiological saline in the saline group and with TJ-14 in the TJ-14 group using a Doltz EW1211<sup>®</sup> water flosser (Panasonic Healthcare, Tokyo, Japan) at a water pressure of 4.0 kgf/cm<sup>2</sup>. Body weights were measured on Days 6, 9, 11, and 16. Stomatitis grades and bacterial counts were assessed before rinsing 3, 5, and 10 days after acetic acid irritation (Days 9, 11, and 16, respectively).

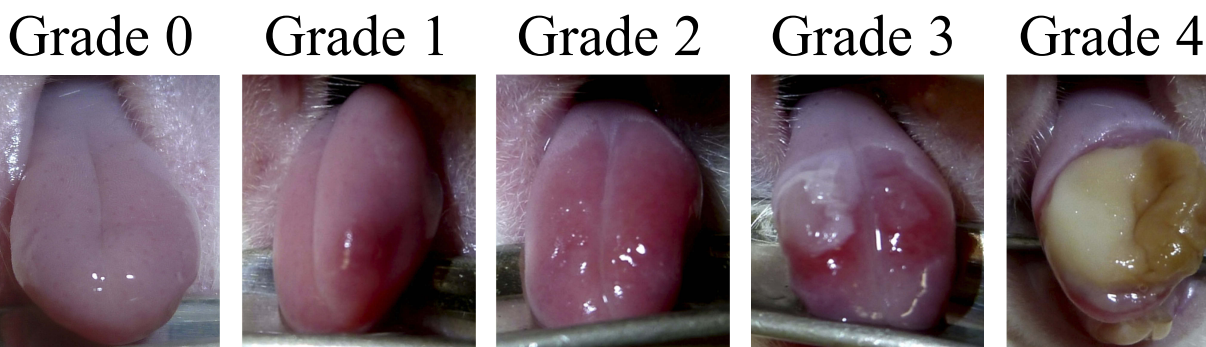
### Stomatitis Grading

A modified version of the National Cancer Institute–Common Terminology Criteria for Adverse Events<sup>26</sup> was used to grade chemotherapy-induced oral mucositis in rats on Days 9, 11, and 16 (before mouth rinsing) as follows:<sup>1</sup> Grade 0, normal mucosa; Grade 1, redness of the mucosa with punctate ulcers or a pseudomembrane; Grade 2, confluent ulceration or a pseudomembrane with no bleeding following a slight stimulation; Grade 3, confluent ulceration or a pseudomembrane with bleeding following a slight stimulation; and Grade 4, tissue necrosis or spontaneous bleeding (Figure 1A).

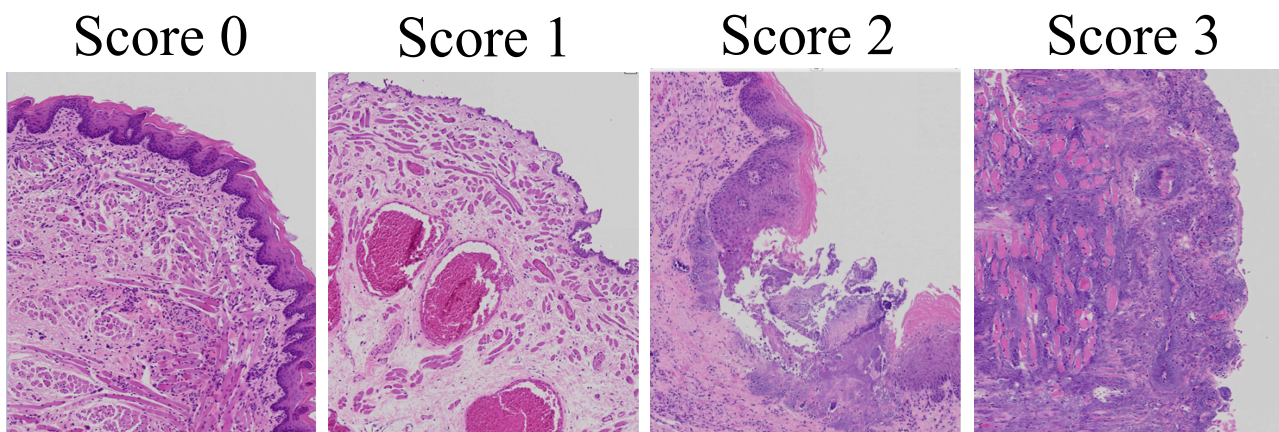
### Histopathological Analysis of Oral Mucositis

Tongue samples were collected from rats for a histopathological analysis 3, 5, and 10 days after acetic acid irritation (Days 9, 11, and 16, respectively). Specimens were fixed in 10% neutral-buffered formalin, dehydrated, and embedded in paraffin. Five-micrometer-thick sections were obtained for hematoxylin and eosin staining and examined under a light microscope (×40). Histological parameters were assessed in a single-blind manner and graded as follows:<sup>27</sup> Score 0, a normal epithelium and connective tissue with no vasodilatation, cellular infiltration, hemorrhagic areas, ulceration, or abscesses; Score 1, mild vasodilatation, re-epithelization areas and inflammatory infiltration with large numbers of mononuclear cells, and no hemorrhagic areas, edema, ulceration, or abscesses; Score 2, moderate vasodilatation, areas of hydropic epithelial degeneration, inflammatory infiltration with large numbers of neutrophils, the presence of hemorrhagic areas, edema, and eventual

**A**



**B**



**Figure 1** Rat chemotherapy-induced stomatitis grading and scoring criteria.

**Notes:** (A) Representative examples from Grades 0 to 4 are shown; (B) Representative examples from Scores 0 to 3 are shown.



ulceration, and no abscesses; and Score 3, severe vasodilatation, inflammatory infiltration with large numbers of neutrophils, the presence of hemorrhagic areas, edema, and extensive ulceration, and abscesses (Figure 1B).

## Oral Bacterial Counts

Oral bacterial counts were assessed before rinsing 3, 5, and 10 days after acetic acid irritation (Days 9, 11, and 16, respectively). Bacterial counts were measured in the oral cavity using a bacteria detection apparatus (DU-AA01; Panasonic Healthcare), as described previously.<sup>28</sup> Bacterial counts were assessed using the dielectrophoretic impedance measurement technique.<sup>1,29</sup>

## Statistical Analysis

The distribution of weight (g) on Day 6 and bacterial counts ( $\times 10^5$  cfu/mL) on Day 9 were tested for normality using the Shapiro–Wilk test. Weight and stomatitis grading were determined and the significance of differences among the three groups was examined using the Kruskal–Wallis test. Comparisons between two groups were performed using the Mann–Whitney *U*-test followed by the Steel–Dwass test. Oral bacteria were counted, and differences among all three groups were investigated using an analysis of variance. Comparisons between two groups were made using the *t*-test, and *p* values were adjusted using Tukey’s method. SAS version 9.4 statistical software (SAS Institute, Cary, NC) was used for statistical analyses, with  $p < 0.05$  (two-tailed) considered to be significant.<sup>1</sup>

## Anti-Inflammatory Effects of TJ-14 in the Experimental Inflammatory Rat Model

Phorbol 12-myristate 13-acetate (PMA; 5 mg; Sigma, St. Louis, MO) was dissolved in 250  $\mu$ L of dimethyl sulfoxide (DMSO) in accordance with a previous study.<sup>30</sup> A total of 1.59 mL of acetone was added to 10  $\mu$ L of this solution to obtain a PMA solution (125  $\mu$ g/mL), 10  $\mu$ L of which was applied to the right and left auricles of healthy rats. TJ-14 was then applied to both sides of the right auricle once every hour, and the swelling inhibition rate was calculated after 6 h. Ice water was applied to both sides of the right auricle in the controls. The swelling inhibition rate was calculated using the following formula: suppression rate of swelling (%) = [left auricle thickness (mm) - right auricle thickness (mm)]/left auricle thickness (mm)  $\times 100$  (%).

## Cells

The PSVK1 epidermal keratinocyte cell line and KD fibroblast cell line, obtained from the Japanese Collection of Research Bioresources Cell Bank, Osaka, Japan, were maintained in 150  $\times$  20-mm tissue culture dishes (Nunc, Roskilde, Denmark) at 37°C (humidified atmosphere, 5% CO<sub>2</sub>/95% air) and cultured in Dulbecco’s modified Eagle’s medium (Sigma) with 10% fetal bovine serum (Sigma) and 50 units/mL penicillin and streptomycin.

## Cell Proliferation Assay

PSVK1 and KD cells were plated onto 96-well plates (density,  $5 \times 10^3$  cells/well) in sextuplicate and incubated at 37°C in a humidified 5% CO<sub>2</sub> atmosphere. After an overnight attachment period, the cells were treated with TJ-14 (30, 100, or 300  $\mu$ g/mL) or 0.01% DMSO (as a control). The number of viable cells was counted at 24, 48, and 72 h using the RealTime-Glo MT Cell Viability Assay (Promega Corporation, Wisconsin, USA) and a GloMax 96 Microplate Luminometer (Promega Corporation). All assays were performed in five technical replicates and each assay was repeated three times.

## Wound Healing Assay

PSVK1 and KD cells were seeded at  $3.0 \times 10^5$  cells/insert on culture inserts (80206; ibidi GmbH, Munich, Germany) in triplicate and then exposed to TJ-14 (30, 100, 300  $\mu$ g/mL) or 0.01% DMSO. Wound healing was assessed as described in our previous study.<sup>31</sup> The closure of the gap that was created during the cell culture was viewed under a microscope (200 $\times$  magnification) and immediately photographed after the same culture medium had been added at the indicated time points. The area of the gap was measured at each time point using the MTrackJ plugin (<http://www.imagescience.org/meijering/software/mtrackj/manual/>) in ImageJ software (version 1.50; NIH, Bethesda, MA).

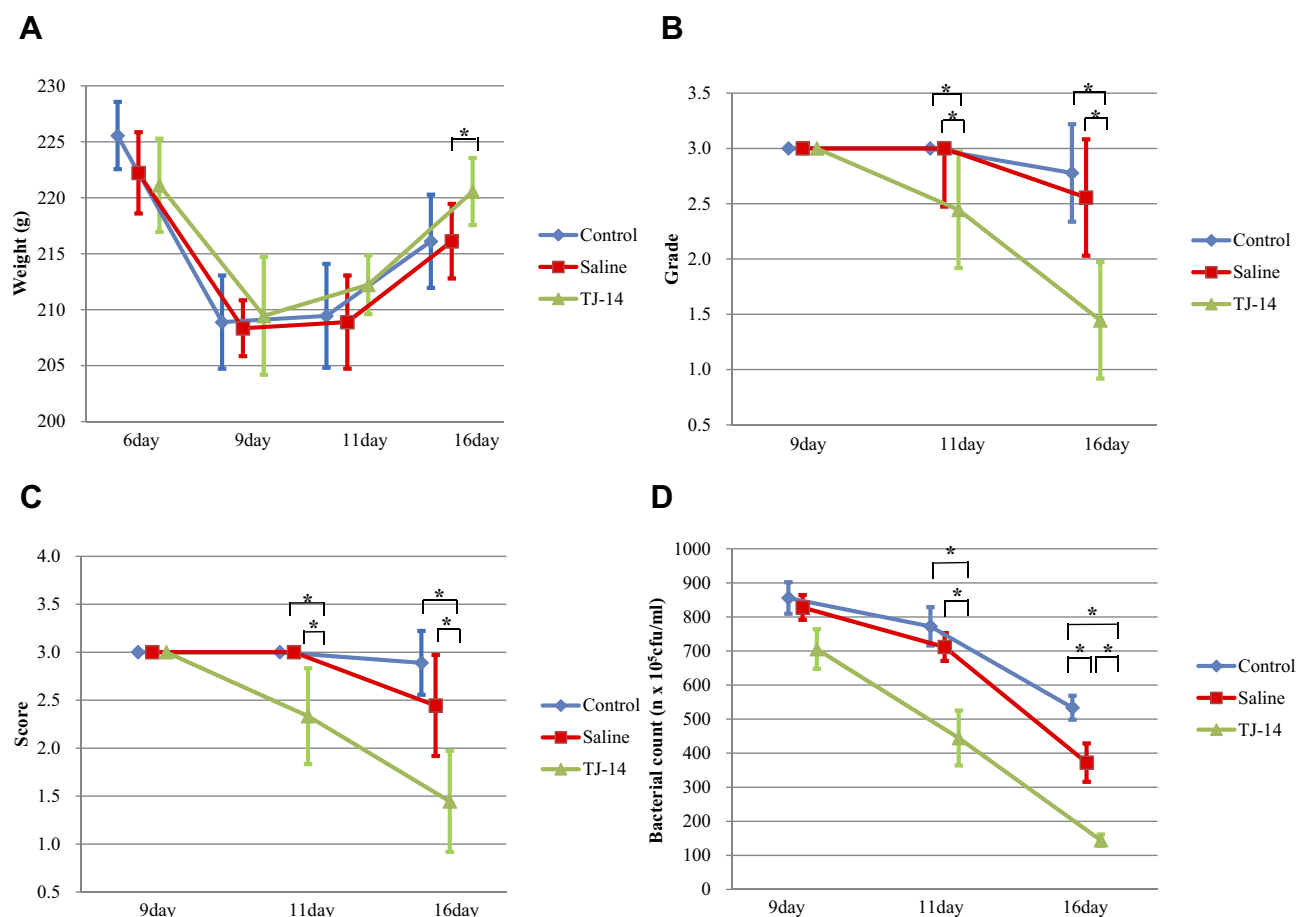
## Results

### Data Distribution

The Shapiro–Wilk test was significant ( $p=0.0004$ ) for body weight on Day 6, but not for bacterial counts on Day 9 ( $p=0.0068$ ).

### Body Weight Changes

Body weight decreased after the development of stomatitis in all rats in the three groups (Figure 2A). Significant differences were observed in body weight on Day 16



**Figure 2** Evaluation of a rat chemotherapy-induced stomatitis model.

**Notes:** (A) Changes in body weights of rats in the three groups; (B) Changes in stomatitis grades among the three groups of rats; (C) Changes in stomatitis scores among the three groups of rats; (D) Changes in oral bacterial counts among the three groups. Counts slightly decreased over time in all three groups. \* $p < 0.05$ .

between the Control and TJ-14 groups and the saline and TJ-14 groups ( $p < 0.05$ ). Within-group comparisons revealed significant differences in body weight between Day 6 and Days 9 and 11 in the three groups ( $p < 0.05$ ). Significant differences were also noted between Days 6 and 16 in the Control and saline groups.

## Stomatitis Grading

Stomatitis grades were the lowest on Days 11 and 16 in the TJ-14 group (Figure 2B). Grades did not improve during the experimental period in the Control group. In the saline group, no significant change in grade was observed on Day 11, whereas improvements were noted on Day 16. In the TJ-14 group, stomatitis grades improved on Days 11 and 16, exhibiting overall improvements with time. Significant differences were observed on Days 11 and 16 between the Control and TJ-14 groups and the saline and TJ-14 groups (Figure 2B). Intragroup comparisons revealed significant differences between Days 9 and 16 in the TJ-14 group.

## Histopathological Scoring

Histopathological scores were the lowest on Days 11 and 16 in the TJ-14 group (Figure 2C), but improved on Days 11 and 16, exhibiting overall improvements with time. Significant differences were noted on Days 11 and 16 between the Control and TJ-14 groups and the saline and TJ-14 groups (Figure 2C). Intragroup comparisons revealed significant differences between Days 9, 11 and 16 in the TJ-14 group.

## Oral Bacterial Count Measurements

Oral bacterial counts slightly decreased over time in all three groups (Figure 2D), with the largest decrease being observed in the TJ-14 group, followed by the saline group. The steepest reduction in bacterial counts occurred in the TJ-14 group. Significant differences were observed between all groups, except for the Control and saline groups on Days 9 and 11 (Figure 2D). Significant differences were also noted in all intragroup comparisons.

## Suppression of Experimental Inflammation by TJ-14 in vivo

The Control group (cold water application) showed a 10% suppression in swelling (Figure 3A and C), whereas a 30% suppression was noted in the TJ-14 group ( $p < 0.01$ ; Figure 3B and C).

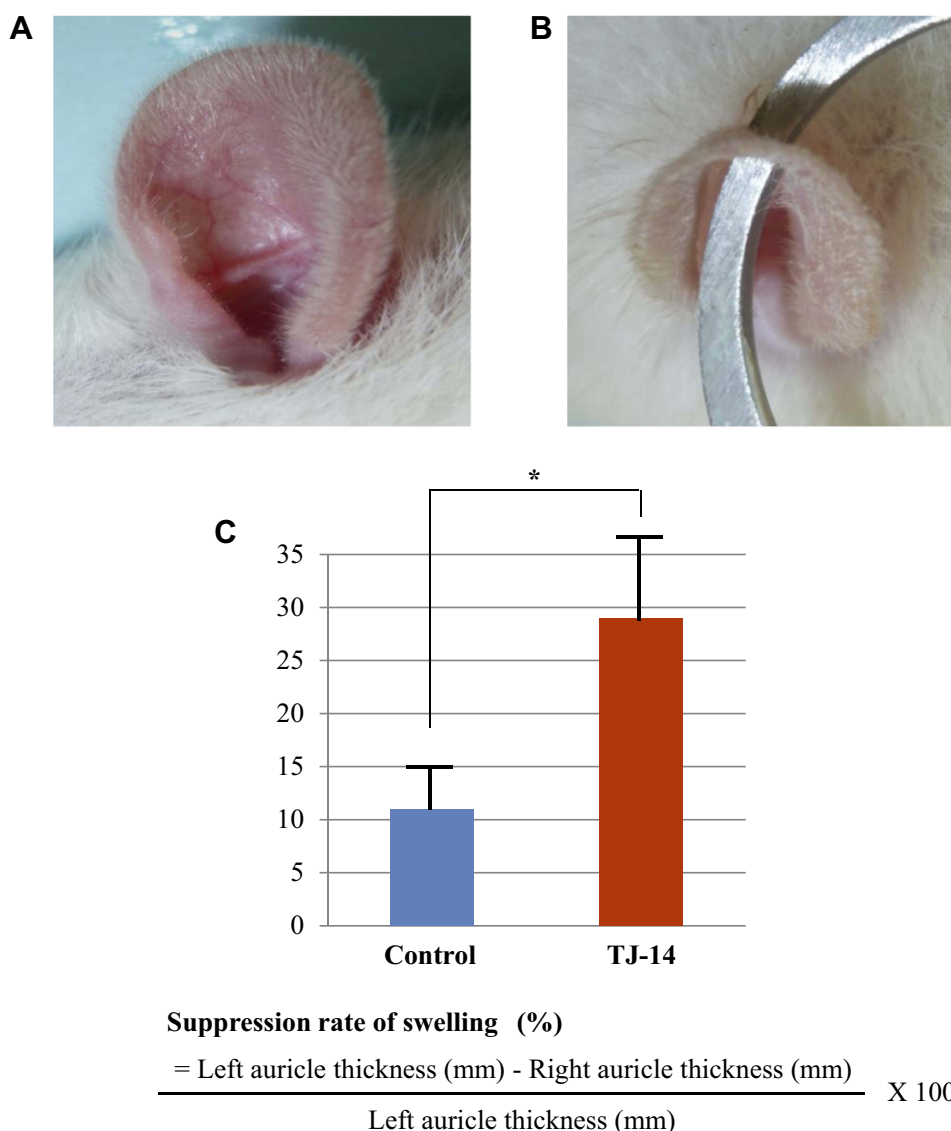
## TJ-14 Promotes the Viability and Invasion of Keratinocytes and Fibroblasts in vitro

As shown in Figure 4A, TJ-14 significantly increased the viability of PSVK1 and KD cells after 48 h of exposure ( $p < 0.05$ ). Wound closure was observed within 48 h in

TJ-14-treated PSVK1 and KD cells; in contrast, the process was significantly slower in Control cells (Figure 4B and C).

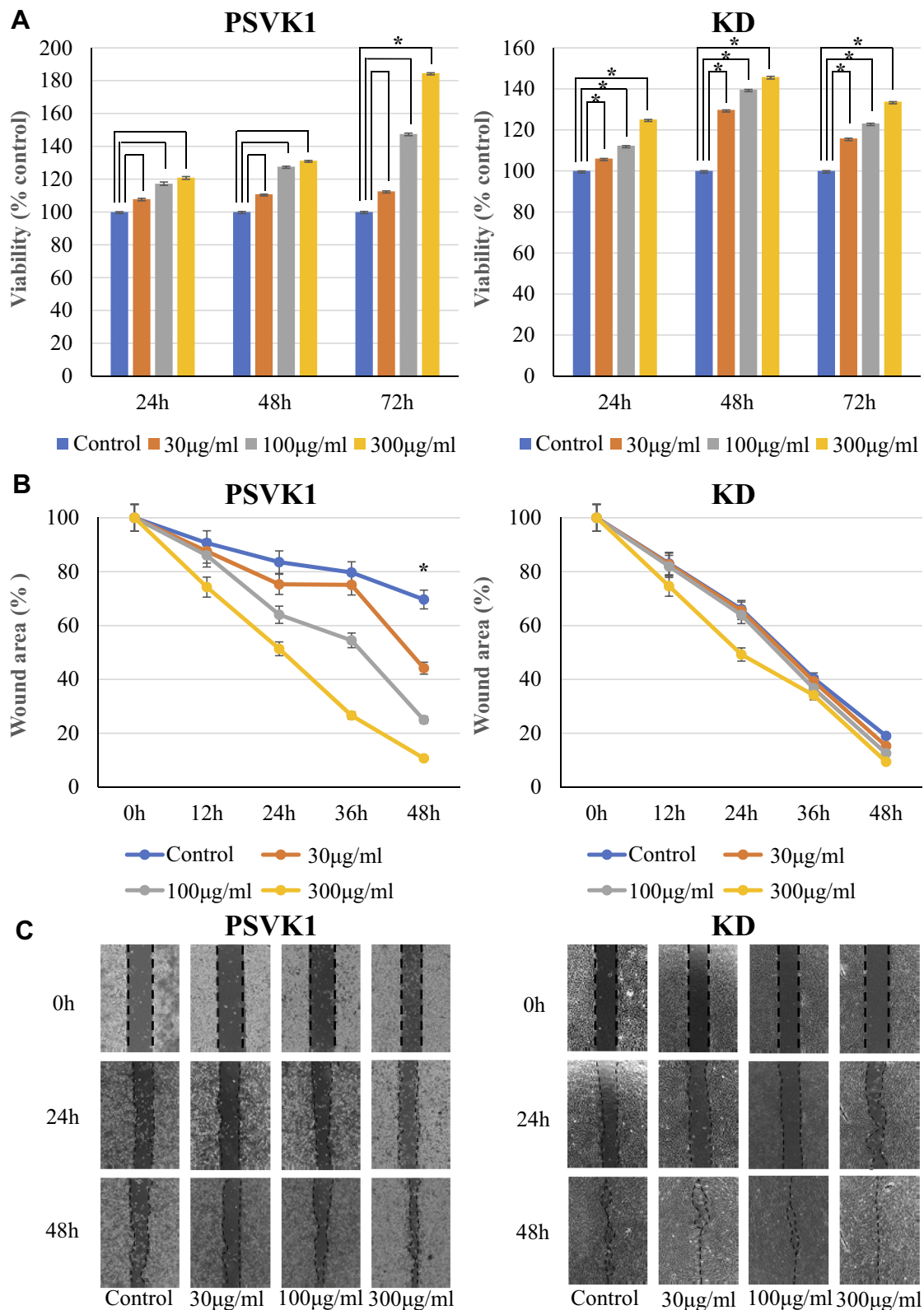
## Discussion

Vasoconstriction, blood clot formation, fibrin formation, inflammatory cell infiltration, cell proliferation, neovascularization, and epithelial regeneration all contribute to wound healing in the oral mucosa. Local factors that influence wound healing include an insufficient oxygen supply, local infection, and the presence of foreign bodies, while systemic factors include age, sex, circulatory impairments, an immunocompromised status, the nutritional status, systemic disease, and the use of concomitant



**Figure 3** Experimental inflammatory rat model. PMA solution was applied to both sides of the rat auricle to cause inflammation.

**Notes:** (A) Rat auricle 6 h after applying ice water once every hour; (B) Rat auricle 6 h after applying TJ-14 once every hour; (C) Stronger anti-inflammatory effects in the TJ-14 group than in the Control group.  $*p < 0.05$ .



**Figure 4** In vitro cell proliferation and wound healing assays.

**Notes:** (A) PSVK1 shows a significantly higher cell proliferation rate after 72 h in the TJ-14 (300 µg/mL) group than in the Control group. Significantly higher proliferation rate of KD cells in the TJ-14 groups than in PSVK1 cells in a concentration-dependent manner; (B) PSVK1 increases cell proliferation in the TJ-14 groups in a concentration-dependent manner. The time required to close the gap was slightly earlier in KD cells than in PSVK1 cells; (C) Representative photographs of PSVK1 and KD cells treated with TJ-14. Cells at wounding (0 h) and after 24 and 48 h. \* $p < 0.05$ .

medications, such as steroids and anticancer agents.<sup>1,32</sup> Chemotherapy-induced stomatitis is characterized by the adhesion of large numbers of oral bacteria to the ulcerated surface of the oral mucosa, which causes local infections. Oral mucosal cells are damaged by the free radicals generated by anticancer drugs, which induces a strong inflammatory reaction and the formation of erosions and ulcers. In addition, the oral mucosal epithelium is vulnerable to mechanical stimulation, which easily erodes the surface. Wound healing is delayed due to metabolic disorders caused by anticancer drugs, and protection against infection is compromised by immunosuppression.<sup>10</sup> Therefore, local infections prolong healing in patients with stomatitis and increase their susceptibility to further infection. Local infection and delayed healing in chemotherapy-induced stomatitis act synergistically to promote critical colonization.<sup>33</sup> Critical colonization may be prevented by decreasing bacterial counts, which may be achieved via chemical removal using pharmaceutical agents or physical removal by rinsing or similar approaches. Critical colonization is attributed to large numbers of resident bacteria in the mouth. Furthermore, ulcerated surfaces are covered by necrotic material during stomatitis, which promotes the proliferation of bacteria. Collectively, these factors reduce the efficacy of pharmaceutical agents.<sup>29,34</sup> In the present study, bacterial counts were significantly lower in the saline and TJ-14 groups than in the Control group, and may be attributed to the physical removal of mucous and necrotic substances by water flossing.

The antibacterial effects of TJ-14 have been categorized into two types: those caused by the constituents of TJ-14 itself and those by the antimicrobial peptides produced by the body. The former involves homomentisic acid, baicalein, baicalin, berberine, coptisine, ginsenoside Rb1, and 6-shogaol, which have been reported to exert antibacterial effects against Gram-negative bacteria. A previous study reported that TJ-14 was ineffective against Gram-positive resident bacteria, and is less effective against the resident bacterial environment in the oral cavity.<sup>23</sup> On the other hand, antibacterial peptide production involves 3,4-dihydroxybenzaldehyde, baicalin, and ginsenoside Rb1, which act on oral mucosal epithelial cells and are considered to protect them against bacterial infection by enhancing the production of the antimicrobial peptide calprotectin.<sup>35</sup> The present results revealed markedly lower numbers of bacteria in the TJ-14 group, suggesting antibacterial activity in the mucosa of anticancer drug-induced stomatitis.

No significant differences were observed in body weight changes or food intake on Days 9 and 11 between the three groups. A decreased food intake was noted after the onset of stomatitis along with a corresponding reduction in body weight, and these changes were attributed to the pain associated with stomatitis. On Day 16, body weights were higher in the TJ-14 group than in the Control and saline groups, and may have been due to an increased food intake because pain during eating was alleviated by the healing of stomatitis.

The growth of granulation tissues is an important step in the oral mucosal repair throughout the healing process of stomatitis. Fibroblasts play the most important role also in the formation of granulation tissues. During the repair process, fibroblasts migrate, proliferate and secrete lots of collagen fibers and matrix components to form granulation tissues along with new capillaries. They also compensate for tissue defects and create the conditions for epidermal keratinocytes to cover them.<sup>36</sup> Significant increases in the cell proliferation rate and cell migration in a concentration-dependent manner were observed in epidermal keratinocytes and fibroblasts in the TJ-14 group. Furthermore, macroscopic and histopathological findings indicated more rapid healing in the TJ-14 group than in the Control and saline groups. The repair of the oral mucosa was considered to be promoted by the cell migration-promoting effects of TJ-14.

The present results did not support the analgesic and free-radical-scavenging effects of TJ-14. However, the anti-inflammatory, wound healing, and antibacterial properties of TJ-14 play important roles in stomatitis, suggesting its potential to promote healing in stomatitis.

Among the seven components in TJ-14, those contributing to its antibacterial, healing-promoting, and anti-inflammatory effects have not yet been identified. Furthermore, the synergistic effects of each herbal medicine remain unclear. Therefore, future studies are needed to investigate these properties in more detail. Additionally, we think it necessary to compare the effectiveness of TJ-14 for this disease with those of other currently available treatment methods in the future.

The present results suggest that the local application of TJ-14 is a higher-quality cancer treatment. The role of TJ-14 is expected to increase in importance as newly developed cancer drugs exert their expected effects. Nevertheless, the present results suggest that TJ-14 is useful as an agent in cancer support therapy.



## Disclosure

The authors reports no conflicts of interest in this work.

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