

# Assessment of Nine Driver Gene Mutations in Surgically Resected Samples from Patients with Non-Small-Cell Lung Cancer

This article was published in the following Dove Press journal:  
*Cancer Management and Research*

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**Background:** The mutational profile of oncogenic driver genes play an important role in non-small-cell lung cancer (NSCLC). The need of a testing panel capable of comprehensively determining patient genotypes in limited amounts of material has increased since the recent association of nine core oncogenic driver genes as tumor predictive biomarkers.

**Methods:** Surgically resected samples from 214 NSCLC patients (168 patients with adenocarcinomas and 46 with squamous cell cancers) were included. A multiplexed PCR-based assay was developed to simultaneously test 118 hotspot mutations and fusions in nine driver genes.

**Results:** The sensitivity of the kit was 1% for gene mutation and 450 copies for gene fusion. Genetic alterations were detected in 143 (66.8%) patients by the assay. The three most common alterations identified were EGFR mutations (50.9%), KRAS mutations (8.4%) and ALK fusions (4.7%). Eight (3.7%) patients harbored concurrent mutations, and the most common partners were EGFR mutations which were observed in the eight patients. No associations between survival and EGFR, KRAS, and ALK status were observed. Patients with two or more alterations exhibited shorter DFS compared to those with single mutations ( $P=0.032$ ), whilst had no significant difference in OS ( $P=0.245$ ). However, only TNM stage was an independent predictor of OS (HR=2.905,  $P<0.001$ ) as well as DFS (HR=2.114,  $P<0.001$ ) in our cohort in multivariate analysis. Furthermore, patients with the L858R mutation had longer DFS ( $P=0.014$ ) compared to other sensitizing mutations and tended to have better OS but the differences were not significant ( $P=0.06$ ).

**Conclusion:** These findings suggest this multiplex gene panel testing technique can be efficiently used to detect nine driver genes in a limited number of specimens. This methodology would have the potential to save both specimens and time compared to the combination of all assays by other methods.

**Keywords:** drive gene, lung cancer, multi-mutational profiling, prognosis

## Introduction

Lung cancer is one of the most common malignancies worldwide, and seen as a major cause of cancer death.<sup>1</sup> Approximately 85% of all lung cancers are non-small-cell lung cancer (NSCLCs),<sup>1</sup> with the most common pathological types being adenocarcinoma (ADC) and squamous cell carcinoma (SCC).<sup>2</sup> In the past decade, one of the most important advances in the treatment of NSCLC is the concept of individualized therapy which requires accurate molecular diagnosis to stratify patients and inform treatment. The discovery of mutations in epidermal growth

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factor receptor (EGFR) tyrosine kinase has also opened the door of molecular-targeted therapy. The selective use of tyrosine kinase inhibitors (TKIs) for patients with EGFR-sensitizing mutations has dramatically improved the survival of these patients.<sup>3-5</sup> Anaplastic lymphoma kinase (ALK) inhibitors also have demonstrated clinical activity in ALK gene rearrangements group.<sup>6-8</sup> Histologic subtype alone is not sufficient to inform individualized treatments and so molecular genetic testing has become central to the clinical management of NSCLC. This paradigm is further evidenced by the NCCN Guidelines for NSCLC stating that gene mutation testing is required before the prescription of targeted therapy.

There is growing recognition of novel gene alterations that may impact therapy selection and correlate with prognosis of patients with KRAS, BRAF, HER2, and ROS1, etc.<sup>9-12</sup> To date, most studies have focused on a single genetic mutation, however, there is a necessary to develop an integrated multi-mutational profile of patients to further identify potentially effective treatments and to avoid the use of treatments that are unlikely to provide clinical benefit. In addition, a comprehensive genetic testing can simultaneously obtain the mutational status of genes of interest with fewer samples and less time. These requirements provide a strong rationale for the development of a multi-target test to determine the optimal precision oncology treatments. In addition, the prevalence of EGFR mutations has been intensively investigated and the frequencies of these mutations are shown to differ between Asian and white populations.<sup>13</sup> In contrast, the frequency of ALK gene fusions is 1% to 7% of all NSCLC patients with no obvious racial differences.<sup>14</sup> However, epidemiological studies of other mutations remain unclear.

In this context, we have developed a multiplex genotyping panel to determine alterations in nine oncogenic genes. In our current study, we demonstrated the frequencies of these driver genes in 214 Chinese patients with NSCLC and found the correlations between the genotype and clinico-pathologic features. Finally, the prognostic values of driver gene mutations were also determined.

## Materials and Methods

### Ethical Approval

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional research committee and with the 1964 Helsinki

Declaration and its later amendments or comparable ethical standards. The study was approved by the Ethics Committee of China Medical University (No. 2017-236), and all patients have signed the informed consent.

### Study Population

Clinical data of 214 primary NSCLC patients who received a complete resection between 2010 and 2014 were collected. The pathological TNM stage was defined on the American Joint Committee on Cancer (AJCC) 7th edition. No patient received neoadjuvant or adjuvant therapies, including chemotherapy, radiotherapy and target therapy when the specimens were collected. Follow-up information was obtained from medical records or phone

**Table 1** Clinical Characteristics of the Patient Cohort

Characteristics	N=214 (%)
Age Median (range)	59 (30-75)
<60	117 (54.7)
≥60	97 (45.3)
Gender	
Female	99 (46.3)
Male	115 (53.7)
Smoking status	
Never-smoker	124 (57.9)
Ever-smoker or smoker	90 (42.1)
Tumor differentiation	
Well	76 (35.5)
Moderate-poor	109 (50.9)
Unknown	29 (13.6)
Tumor histology	
ADC	168 (78.5)
SCC	46 (21.5)
pT stage	
T1	86 (40.2)
T2	91 (42.5)
T3	29 (13.6)
T4	8 (3.7)
pN stage	
N0	94 (43.9)
N1	57 (26.6)
N2	63 (29.5)
pTNM stage	
I stage	81 (37.9)
II stage	59 (27.6)
III stage	74 (34.5)

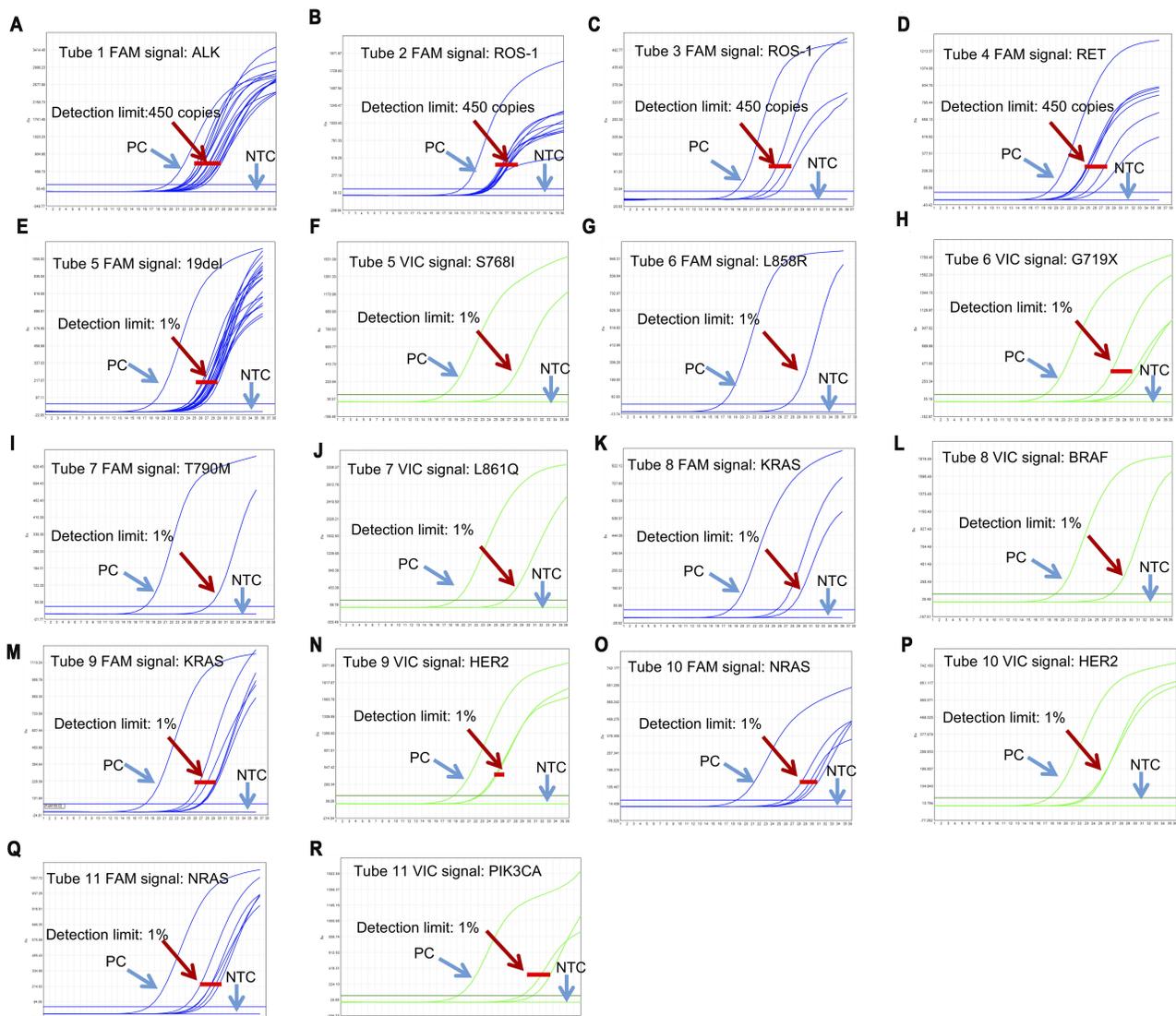
**Abbreviations:** ADC, adenocarcinoma; SCC, squamous cell cancer.

interviews after surgery. Overall survival (OS) is the date from surgery to death or the last follow-up date. Disease free survival (DFS) is the date from surgery to recurrence or metastasis or the last follow-up date.

## Tissue Specimens and Molecular Analyses

Three formalin-fixed paraffin-embedded (FFPE) specimens were needed for the test which were sectioned into thickness of 5- $\mu$ m and contained at least 30% tumor cells in specimens. The molecular analysis was carried out by AmoyDx<sup>®</sup> Multi-Gene Mutations Detection Kit (Amoy

Diagnostics, Xiamen, China), which is a real-time PCR assay for qualitative detection of 118 hotspot mutations/fusions in EGFR, KRAS, BRAF, NRAS, HER2, PIK3CA, ALK, ROS1 and RET genes (Details are described in [Table S1](#)). This kit contains RNA gene fusion and DNA gene mutation detection systems. The RNA gene fusion detection system uses specific primers and fluorescent probes which are designed for both side genes of the fusion, combining a one-step RT-PCR procedure to detect ALK, ROS1 and RET gene fusions based on tumor message RNA. The DNA gene mutation detection system uses



**Figure 1** Analyze the mutation/fusion assay for each gene.

**Notes:** The performance characteristics of this kit were validated by real-time PCR assay and the results were interpreted according to the Ct values of the samples. (A–D) ALK, ROS1 and RET gene fusions status were analyzed in tubes 1–4. (A) Tube1 FAM signal: ALK; (B) Tube2 FAM signal: ROS-1; (C) Tube3 FAM signal: ROS-1; (D) Tube4 FAM signal: RET; (E–R) DNA gene mutation status were analyzed in tubes 5–11. (E) Tube5 FAM signal: 19 Del; (F) Tube5 VIC signal: S768I; (G) Tube6 FAM signal: L858R; (H) Tube6 VIC signal: G719X; (I) Tube7 FAM signal: T790M; (J) Tube7 VIC signal: L861Q; (K) Tube8 FAM signal: KRAS; (L) Tube8 VIC signal: BRAF; (M) Tube9 FAM signal: KRAS; (N) Tube9 VIC signal: HER2; (O) Tube10 FAM signal: NRAS; (P) Tube10 VIC signal: HER2; (Q) Tube11 FAM signal: NRAS; (R) Tube11 VIC signal: PIK3CA.

**Abbreviations:** PC, positive control; NTC, negative control.

ADx-ARMS technology, which comprises specific primers and fluorescent probes to detect gene mutations. All experiments were performed according to the manufacturer's instructions.

## Statistics Analysis

Analysis were performed using SPSS (version 16.0).  $\chi^2$  or Fisher's exact tests as appropriate were used to assess the Association of clinico-pathological parameters with genotype. Kaplan-Meier analysis and Log rank test were used for survival analysis.  $P < 0.05$  was considered statistically significant (two-sided).

## Results

### Patient Characteristics

Of the total 214 patients, 168 patients (78.5%) had adenocarcinomas and 46 (21.5%) had squamous cell cancers. The patients had a median age of 59 years (age ranging between 30 and 75 years). 46.3% of the patients were female and 57.9% of the patients were never-smokers. According to 7th AJCC TNM staging, 37.9%, 27.6% and 34.5% of patients were classified as stage I, stage II, and stage III, respectively. Table 1 shows the primary details of the patients, including

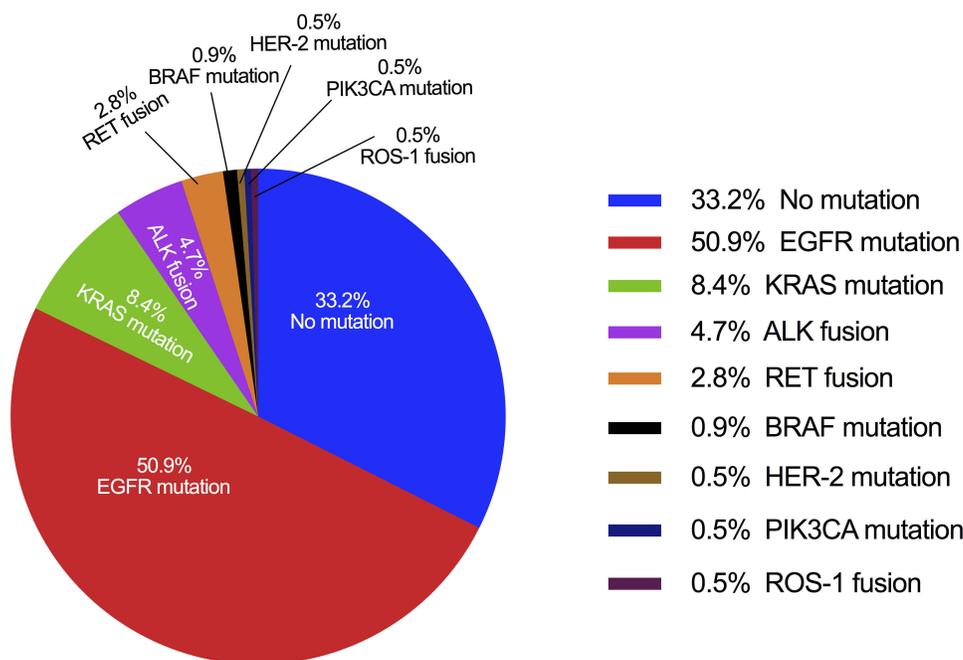
gender, smoking status, histological type, TNM stage, and tumor differentiation.

### Validation of This Assay Kit

The performance characteristics of this kit were validated by real-time PCR assay and the results were interpreted according to the Ct values of the samples. ALK, ROS1 and RET gene fusions status were analyzed in tubes 1–4. If FAM Ct value of tube 1–4 is  $< 35$ , the sample was determined as positive, otherwise it was negative. The kit allows detection of 450 copies of gene fusions armored RNA in 0.09–4.5  $\mu\text{g}$  FFPE sample RNA (Figure 1A–D). For tubes 5–11, DNA gene mutation status were analyzed. If FAM or VIC Ct value of tube 5–12 is  $< 31$ , the sample was determined as positive. The sensitivity was 1% for each gene mutation status (Figure 1E–R).

### Frequencies of Genetic Alterations

Overall, 143 (66.8%) patients were detected with at least one genetic alteration, whilst 71 (33.2%) patients showed no alterations. The three most commonly mutated genes were EGFR (50.9%, including sensitizing and resistance mutations), KRAS (8.4%) and ALK fusions (4.7%). The frequencies of other genetic alterations were 2.8% for RET fusions, 0.9% for BRAF mutations, 0.5% for HER-2



**Figure 2** Mutations/fusions identified in the cohort.

**Notes:** A pie chart is shown in which the size of each slice is proportional to the mutation frequency in the full genotyping set of 214 patients. Percentages may not sum to 100% as 8 patients presented with concurrent alternations and represented twice.

mutations, 0.5% for PIK3CA mutations, and 0.5% for ROS-1 fusions, respectively. No NRAS mutation was detected (Figure 2).

Eight (3.7%) patients harbored a combination of two or three mutations. EGFR mutations were the most common form for concurrent alterations, which appeared in all 8 patients. EGFR mutations concurrently occurred with other alterations in KRAS (two patients), HER-2 (two patients), PIK3CA (one patient), BRAF (one patient), and ALK (two patients).

## The Correlation Between Clinico-Pathologic Correlations and Genotype

As expected, the frequency of EGFR mutation was much higher in female patients ( $P<0.001$ ), never-smokers ( $P<0.001$ ), the ADC subtype ( $P<0.001$ ) and early-stage disease ( $P<0.001$ ) (Table 2). KRAS mutations were associated with smoking history ( $P=0.011$ ). ALK fusion patients were significantly younger than wild-type patients, and ALK fusion was well correlated with differentiated tumors.

**Table 2** Frequencies of Genetic Alterations with Clinical Characteristics

Characteristics	EGFR Wild (No/%)	EGFR Mutation (No/%)	KRAS Wild (No/%)	KRAS Mutation (No/%)	ALK Wild (No/%)	ALK Fusion (No/%)
Age						
<60	50 (42.7)	67 (57.3)	106 (90.6)	11 (9.4)	108 (92.3)	9 (7.7)
≥60	55 (56.7)	42 (43.3)	90 (92.8)	7 (7.2)	96 (99.0)	1 (1.0)
P value	0.054		0.628		0.024*	
Gender						
Female	30 (30.3)	69 (69.7)	93 (93.9)	6 (6.1)	93 (93.9)	6 (6.1)
Male	75 (65.2)	40 (34.8)	103 (89.6)	12 (10.4)	111 (96.5)	4 (3.5)
P value	<0.001***		0.326		0.519	
Smoking status						
Never-smoker	45 (36.3)	79 (63.7)	119 (96.0)	5 (4.0)	117 (94.4)	7 (5.6)
Ever-smoker	60 (66.7)	30 (33.3)	77 (85.6)	13 (14.4)	87 (96.7)	3 (3.3)
P value	<0.001***		0.011*		0.525	
Tumor differentiation <sup>a</sup>						
Well	31 (40.8)	45 (59.2)	66 (86.8)	10 (13.2)	70 (92.1)	6 (7.9)
Moderate-poor	58 (53.2)	51 (46.8)	104 (95.4)	5 (4.6)	108 (99.1)	1 (0.9)
P value	0.103		0.053		0.020*	
Tumor histology						
ADC	67 (39.9)	101 (60.1)	152 (90.5)	16 (9.5)	159 (94.6)	9 (5.4)
SCC	38 (82.6)	8 (17.4)	44 (95.7)	2 (4.3)	45 (97.8)	1 (2.2)
P value	<0.001***		0.374		0.693	
pT stage						
I+2	35 (34.7)	66 (65.3)	94 (93.1)	7 (6.9)	95 (94.1)	6 (5.9)
3+4	70 (61.9)	43 (38.1)	102 (90.3)	11 (9.7)	109 (96.5)	4 (3.5)
P value	<0.001***		0.623		0.522	
Lymphatic invasion						
Negative	46 (48.9)	48 (51.1)	87 (92.6)	7 (7.4)	87 (92.6)	7 (7.4)
Positive	59 (49.2)	61 (50.8)	109 (90.8)	11 (9.2)	117 (97.5)	3 (2.5)
P value	1.000		0.805		0.109	
pTNM stage						
I stage	37 (45.7)	44 (54.3)	76 (93.8)	5 (6.2)	76 (93.8)	5 (6.2)
II stage	33 (55.9)	26 (44.1)	52 (88.1)	7 (11.9)	57 (96.6)	2 (3.4)
III stage	35 (47.3)	39 (52.7)	68 (91.9)	6 (8.1)	71 (95.9)	3 (4.1)
P value	0.454		0.485		0.708	

**Notes:** \* $P<0.05$ , \*\*\* $P<0.001$ . <sup>a</sup> Tumor differentiation of 29 patients was unclear.

**Abbreviations:** ADC, adenocarcinoma; SCC, squamous cell cancer.

## EGFR Mutations

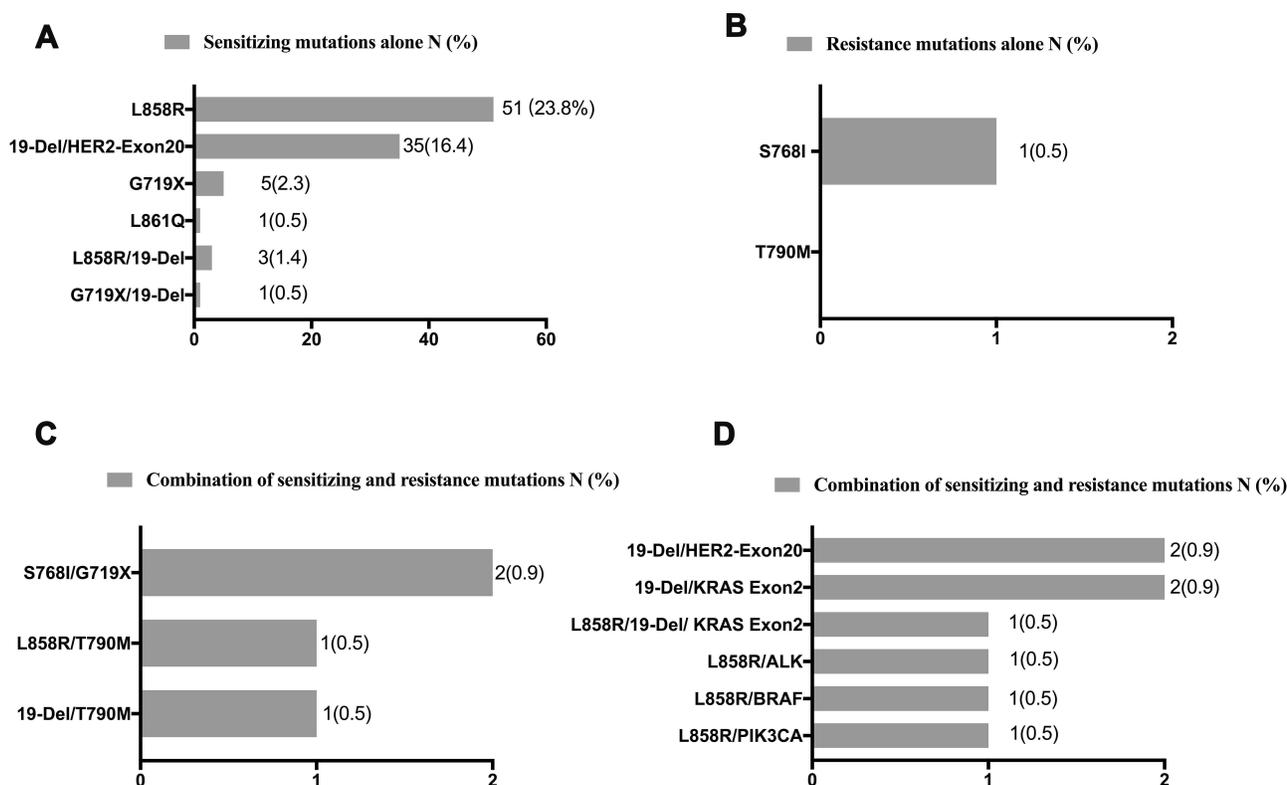
The frequencies and types of EGFR mutations are summarized in Figure 3. Of the 214 patients, 96 (44.9%) had sensitizing mutations alone, one (0.5%) had resistance mutations alone (S768I), four (1.9%) had dual mutations of both sensitizing and resistance, and eight (3.7%) had both of sensitizing mutations and other types of mutations. The most common mutation types detected were exon 21 L858R point mutation and deletion in exon 19. The frequency of L858R (27.6%) was higher compared to deletion in exon 19 (20.6%). Dual L858R/19del mutations were detected in three patients. No patient had the T790M mutation alone, whilst two patients demonstrated the T790M mutation combined with sensitizing mutations.

## Prognostic Value of Gene Alternation Status

One hundred and one (47.2%) patients relapsed and 70 (32.7%) patients died due to the disease at final follow-up (June 2017). The median follow-up period was 45 months (ranging between 3 and 89 months). OS and

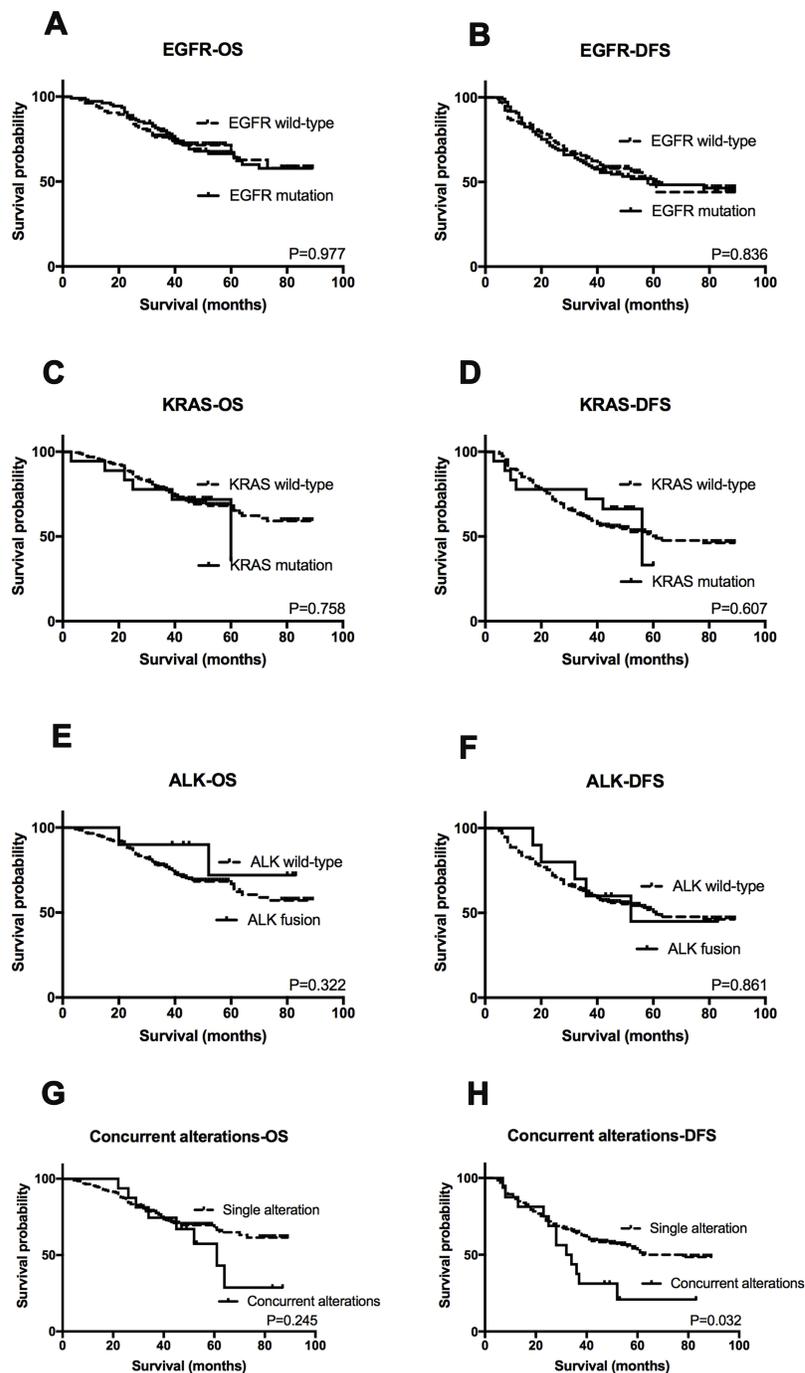
DFS were evaluated according to gene mutation status. In Kaplan-Meier (KM) analysis, no associations between survival and EGFR ( $P=0.977$  for OS and  $P=0.836$  for DFS), KRAS ( $P=0.758$  for OS and  $P=0.607$  for DFS), and ALK status ( $P=0.322$  for OS and  $P=0.861$  for DFS) were observed (Figure 4A–F). However, patients with two or more alternations exhibited shorter DFS compared to those with single mutations ( $P=0.032$ ), whilst had no significant difference in OS ( $P=0.245$ ) (Figure 4G–H). In further univariate analysis, tumor differentiation and TNM stage showed association with OS and TNM stage, concurrent mutation were correlated with DFS. Finally, multivariate analysis showed that only TNM stage was an independent predictor of OS (HR=2.905,  $P<0.001$ ) as well as DFS (HR=2.114,  $P<0.001$ ) in our cohort (Table 3).

In the population of patients with EGFR sensitizing mutation, it was found that patients harboring the L858R mutation had longer DFS ( $P=0.014$ ) compared to other sensitizing mutation types (Figure 5A). Besides, patients with L858R mutation tended to have better OS although the differences were not significant ( $P=0.06$ ) (Figure 5B).



**Figure 3** Frequencies and types of EGFR mutations.

**Notes:** Numbers and frequencies are shown in the form of bar graphs for different EGFR mutation types. (A) Sensitizing EGFR mutations alone; (B) resistance EGFR mutations alone; (C) combination of sensitizing and resistance mutations; and (D) combination of sensitizing and other mutations.



**Figure 4** Kaplan–Meier survival analysis for each genotype status.

**Notes:** OS and DFS analysis stratified by EGFR status (**A** and **B**), KRAS status (**C** and **D**), ALK status (**E** and **F**), and dual mutation status (**G** and **H**).

**Abbreviations:** OS, overall survival; DFS, disease-free survival.

## Discussion

Tumor mutation analysis of driver genes is essential for realizing personalized medicine in NSCLC involving the optimum use of molecular targeting therapy. To satisfy these requirements, great efforts have been made to identify alterations in critical genes. Previous studies have focused either on the genotype of lung adenocarcinoma,<sup>15–17</sup> or in the white

population.<sup>18–20</sup> In contrast, in the current study, we analyzed data from 214 Chinese lung cancer patients which included 168 patients with adenocarcinomas and 46 with squamous cell cancers.

A multiplexed PCR-based assay was developed to simultaneously test 118 hotspot mutations and fusions in nine driver genes. 66.8% of patients were positive for the

**Table 3** Univariate and Multivariate Survival Analysis

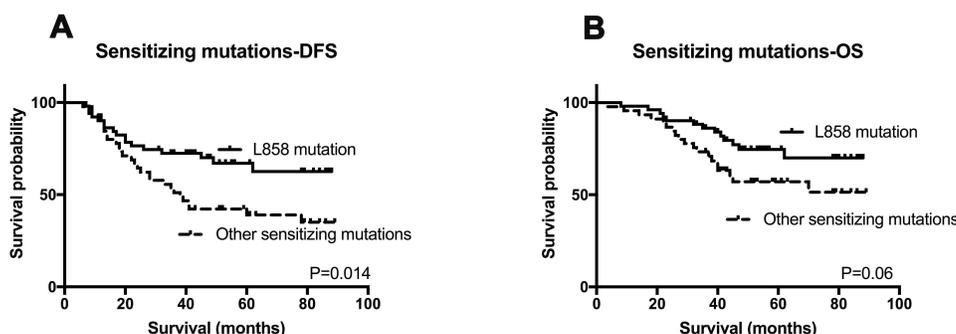
	Univariate Analysis			Multivariate Analysis		
	HR	95% CI	P	HR	95% CI	P
<b>OS</b>						
Age	1.045	0.654–1.672	0.853			
Gender	1.172	0.731–1.878	0.511			
Smoking status	1.236	0.771–1.982	0.379			
Differentiation	0.593	0.364–0.965	0.035*			
TNM stage	3.010	2.164–4.185	0.000***	2.905	2.007–4.064	0.000***
EGFR status	1.007	0.628–1.615	0.977			
KRAS status	1.141	0.492–2.645	0.759			
ALK status	0.499	0.122–2.038	0.333			
Concurrent mutation	1.540	0.737–3.218	0.250			
<b>DFS</b>						
Age	0.995	0.672–1.472	0.979			
Gender	0.866	0.699–1.531	1.034			
Smoking status	0.986	0.662–1.467	0.944			
Differentiation	0.705	0.466–1.066	0.098			
TNM stage	2.114	1.654–2.703	0.000***	2.114	1.654–2.703	0.000***
EGFR status	1.042	0.703–1.543	0.838			
KRAS status	0.610	0.379–1.767	0.818			
ALK status	0.861	0.376–2.269	0.923			
Concurrent mutation	1.911	1.044–3.498	0.036*			

Note: \*P<0.05, \*\*\*P<0.001

Abbreviations: OS, overall survival; DFS, disease-free survival.

detected genes. The most three common mutations identified were detected in EGFR (50.9%), KRAS (8.4%) and ALK fusions (4.7%). Sequist et al<sup>20</sup> studied the profile of gene mutations in patients from the USA using a similar detection method. The overall frequency of genetic alterations in our study was 66.8%, which was higher than 51.0% that reported by Sequist et al. One of the most likely reasons is that we demonstrate more EGFR mutations (44.9% in our study versus 13% in Sequist et al) which reflects the ethnic differences of EGFR

mutations. A further study<sup>16</sup> analyzed data on the mutation status in Japanese patients with lung adenocarcinoma, demonstrating that 48% of patients had mutations or fusions, and the frequency of EGFR mutations was 35%. There were significant differences of smokers between the two studies (42.1% in our study versus 68%). Meanwhile, Li et al<sup>15</sup> reported information in lung adenocarcinoma who never smoked, showing 89% of the cohort were identified with at least one genetic alteration. This was higher than our data which showed



**Figure 5** Kaplan–Meier survival analysis for EGFR mutation status.

Notes: OS and DFS analysis between patients with L858 mutation and other sensitizing mutations (A and B).

Abbreviations: OS, overall survival; DFS, disease-free survival.

that the mutation frequency of never-smokers with adenocarcinoma was 80.9%, with no obvious differences between these studies.

Whilst it is widely accepted that testing for EGFR mutations and ALK fusions before therapy is required for patient stratification, it is also important to identify uncommon mutations in driver genes such as BRAF, PIK3CA and HER2, which may also impact therapy selection or be correlated with the prognosis of patients. In our study, amongst the 214 patients, 14 patients were tested with mutations and fusions in PIK3CA, HER2, BRAF, and RET genes. With the rapid development of clinical trial focusing on genotype-specific therapy,<sup>10,11</sup> more patients could potentially benefit from these treatments. Furthermore, most oncogenic alternations were found to be mutually exclusive as reported in a previous study.<sup>21</sup> However, 7.5% of patients were identified with two or more driver alterations in this study. EGFR mutations were the most common mutation type in combination with other alterations. It has previously been reported that alterations of PIK3CA and MET,<sup>22–24</sup> may confer resistance to EGFR-TKIs, it is also crucial to extensively conducting multi-mutational testing in routine clinical practice.

In the present study, we reported that EGFR mutation status had no impact on OS and DFS in resected lung cancer patients. Some previous studies have demonstrated that patients with EGFR sensitizing mutations treated with TKIs had longer survival time than patients without EGFR mutations.<sup>25,26</sup> At first sight, our results differ from previous reports. However, our results are based on the patients who received complete resection and did not receive TKIs when the samples were obtained. Similarly, Yotsukura et al<sup>27</sup> also did not find any correlations between survival and EGFR mutation status in early-stage lung adenocarcinoma patients who were not treated with TKIs. A meta-analysis based on data from 16 studies indicated that EGFR mutation was not a prognostic factor for NSCLC patients who received a complete resection.<sup>28</sup> The above results show that the survival benefit of EGFR mutation may be attributed to the use of TKIs, not the intrinsic survival differences between different EGFR mutations. In addition, patients with two or more alterations had significantly shorter DFS compared to those with a single mutation. Although the size of concurrent alternations in the subset was small, which may have reduced our statistical power, future studies with more samples should be carried out to investigate their impact on patient outcomes.

In summary, our results identified the profile of nine genes alterations in NSCLC patients after complete

resection and prognostic values of genotype. Multiplex gene panel testing can efficiently detect nine driver genes with a limited number of specimens. The outcome is expected to be valuable in influencing treatment decisions in patients with lung cancer.

## Conclusions

The mutational profile of oncogenic driver genes plays an important role in non-small-cell lung cancer (NSCLC) as several core oncogenic driver genes have been considered to be tumor predictive biomarkers. Our study suggested a multiplex gene panel testing technique can be efficiently used to detect nine driver genes in a limited number of specimens. This methodology would have the potential to save both specimens and time compared to the combination of all assays by other methods.

## Acknowledgments

This study was supported by National Science and Technology Major Project of the Ministry of Science and Technology of China (No. 2017ZX09304025); National Natural Science Foundation of China (No. 81972197; No. 81972751; No. 81972331); The Key Research and Development Program of Liaoning Province (No. 2018225060); Science and Technology Plan Project of Shenyang city (No. 1900940).

## Author Contributions

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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