

# Techniques, assessment, and effectiveness of bariatric surgery in combating obesity

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**Abstract:** Obesity is an epidemic disease, and its prevalence is predicted to rise in the future. Many health and social comorbidities, such as cardiovascular disease, type 2 diabetes mellitus, cancer, nonalcoholic fatty liver disease, arthritis, infertility, eating disorders, unemployment, and low quality of life, have been associated with obesity. Nowadays, bariatric surgery is the only effective treatment for severe obesity. An increasing body of literature demonstrates significant remission of obesity-related comorbidities and an increase in life expectancy after surgical treatment. Unfortunately, serious complications can appear after surgery, and the careful preoperative assessment of patients is necessary to estimate the indications and contraindications of bariatric surgery. Recent studies report the lower complication and mortality rates when bariatric procedures are performed in high-volume centers. The purpose of this review is to describe the techniques of the currently used surgical procedures and the clinical effectiveness of bariatric surgery. Additionally, the possible complications and mortality rates after bariatric surgery are discussed.

**Keywords:** obesity, surgery, assessment, clinical effectiveness, complications

## Introduction

The World Health Organization estimated that globally, in 2005, approximately 1.6-billion adults were overweight and at least 400 million were obese.<sup>1</sup> Moreover, the prevalence of obesity is predicted to rise in the future. Unfortunately, obesity and overweight can have a variety of adverse health consequences associated with a high rate of death, such as type 2 diabetes mellitus (T2DM), dyslipidemia, hypertension, obstructive sleep apnea (OSA), certain types of cancer, steatohepatitis, gastroesophageal reflux, arthritis, polycystic ovary syndrome (PCOS), and infertility.<sup>2</sup>

Currently, bariatric surgery has become the only long-term effective treatment for severe obesity.<sup>3</sup> Caloric restriction, diet, and drug therapy have disappointing results in the long-term weight loss for severe obesity.<sup>4,5</sup> Patients who complete a comprehensive program providing a low-calorie or very low-calorie diet can expect to lose approximately 5% of the initial weight at 4 years,<sup>4</sup> whereas patients receiving active pharmacologic treatments are more likely to achieve 5%–10% weight loss.<sup>5</sup>

In the Swedish Obese Subjects (SOS) study, a cohort study among patients with a body mass index (BMI) >34 kg/m<sup>2</sup> followed for 10 years, the surgical group had a 16% weight loss compared with a 1.6% weight gain for patients receiving conventional treatment.<sup>3</sup> Of note is the fact that the primary surgical procedure performed in a number of the operated patients was a vertical banded gastroplasty, a procedure no longer performed, and the fact the analysis was performed on an intention to treat basis.<sup>3</sup>

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The three randomized controlled trials (RCTs) that compared bariatric surgery with non surgical treatments reported statistically greater mean percentage weight loss following bariatric surgery.<sup>6–8</sup>

The life expectancy of a severely obese person is reduced by an estimated 5–20 years.<sup>9</sup> A large cohort prospective study and other retrospective cohort studies suggested that bariatric surgery reduces mortality considerably. In the SOS study, during a period of up to 15 years, the overall mortality was 30.7% lower among the bariatric group compared with control subjects, and the most common causes of death were myocardial infarction and cancer.<sup>10</sup>

In a large retrospective cohort study, during a mean follow-up of 7.1 years, adjusted long-term mortality from any cause in the surgery group decreased by 40% compared with the control group. The cause-specific mortality rate in the surgery group decreased by 56% for coronary artery disease, by 92% for diabetes, and by 60% for cancer.<sup>11</sup> Moreover, Flum and Dellinger<sup>12</sup> reported a 33% reduction in the rate of death due to any cause after gastric bypass surgery as compared with the rate among control subjects after a mean follow-up of 4.4 years. Finally, Christou et al,<sup>13</sup> at a mean follow-up of 2.6 years, reported that among patients who had undergone gastric bypass surgery, the rate of death due to any cause decreased by 89% compared with control subjects.

## Bariatric surgery today

The most commonly performed bariatric procedures today are standard Roux-en-Y gastric bypass (RYGB), adjustable gastric banding (AGB), and sleeve gastrectomy (SG).<sup>14</sup> A recent worldwide survey reported that over 90% of world bariatric surgery was performed laparoscopically. More specifically, laparoscopic AGB (LAGB) was performed in 42.3% worldwide, laparoscopic standard RYGB (LRYGB) in 39.7%, open standard RYGB (ORYGB) in 5.7%, and laparoscopic SG (LSG) in 5.1%.<sup>14</sup> Biliopancreatic diversion (BPD), as described by Scopinaro et al,<sup>15</sup> was performed in 0.9% worldwide, and the BPD with duodenal switch (BPD-DS) was performed in 0.8%.<sup>14</sup> One of the contributing factors to these surgical procedures being less commonly performed is that they are technically more difficult than gastric bypass and are more prone to micronutrient and macronutrient deficiencies. BPD and BPD-DS might have a role in the treatment of extremely obese patients or in the treatment of patients who have failed to lose weight with the other bariatric procedures as they are effective procedures in weight loss and weight loss maintenance.<sup>16</sup>

## Bariatric surgery techniques in treating obesity

The RYGB is the most common technique in United States.<sup>17</sup> In RYGB, the stomach is divided into the upper stomach pouch, which is 15–30 mL in volume, and the lower stomach pouch, gastric remnant. The stomach pouch is then anastomosed to the jejunum, 30–75 cm distally to the ligament of Treitz, through a gastrojejunal anastomosis in a so called Roux-en-Y fashion. The continuity of the bowel is restored via a jejunojejunal anastomosis between the excluded biliary limb and the alimentary limb, performed at 75–100 cm distally from the gastrojejunostomy.<sup>18</sup>

LAGB involves the placing of an adjustable plastic and silicone ring around the proximal aspect of the stomach, immediately below the gastroesophageal junction creating a small proximal pouch. Currently, the most common technique of LAGB is “pars flaccida”, which generally keeps the band above the apex of the lesser sac. Once in position, the band is tightened by closing the buckle and is secured with gastrogastic sutures to prevent band slippage or gastric herniation. A subcutaneous access port allows the degree of band constriction to be adjusted by the injection or withdrawal of a saline solution from the system.<sup>16,19</sup>

LSG is a new procedure gaining popularity, which originated as part of DS operation and later has been used as a first-stage procedure for super-obese and high-risk patients. In LSG, the stomach is transected vertically, creating a gastric tube and leaving a 150–200 mL pouch. The greater curve of the stomach is transected approximately 4–6 cm proximal to the pylorus, using a linear stapler. Once the bougie is inserted, all subsequent stapler firing is cephalad, parallel to the lesser curve and against the bougie until the angle of His. The remaining stomach is excised.<sup>20</sup>

BPD was developed by Scopinaro in late 1976.<sup>21</sup> The technique of BPD includes a partial gastrectomy, leaving a 400-mL gastric pouch. The small bowel is divided 250 cm proximally to the ileocecal valve, and the alimentary limb is connected to the gastric pouch to create a Roux-en-Y gastroenterostomy. An anastomosis is performed between the excluded biliopancreatic limb and the alimentary limb at 50 cm proximally to the ileocecal valve.<sup>16,22</sup>

DS is a variation of the BPD, first described by Hess and Hess.<sup>23</sup> In this procedure, a vertical SG was constructed rather than subtotal gastrectomy, and the division of the duodenum is performed immediately beyond the pylorus. The alimentary limb is connected to the duodenum, whereas the biliopancreatic limb is anastomosed to the ileum 75 cm proximally to the ileocecal valve.<sup>16,22</sup>

## Preoperative assessment

Although the overall mortality and morbidity rates of bariatric surgery are low, certain groups are at higher risk for complications because of the high prevalence of comorbidities. The King's College assessment criteria are now used in our unit for assessment of the presurgical bariatric patients (Table 1).<sup>24</sup>

The goals of the preoperative assessment for bariatric surgery are to assess indications and contraindications to bariatric surgery and to treat or improve medical comorbidities before the surgical treatment. Moreover, another aim is to educate the patients and their families about options and risks of the procedures and to set realistic expectations.<sup>25</sup>

## Clinical effectiveness

### Airway and apnea

Severe obesity can be associated with significant respiratory problems. The most important among them are OSA and asthma. Among severely obese patients presenting for bariatric surgery, >70% meet the criteria for OSA.<sup>26,27</sup> According to the American Academy of Sleep Medicine, OSA is said to be present when individuals experience in average at least 5 apneic or hypopneic events per hour (apnea-hypopnea index [AHI]).<sup>28</sup> In 2004, a systematic review and meta-analysis reported improvements of sleep apnea in 85.7% and resolution in 83.6% after bariatric surgery.<sup>29</sup> A recent meta-analysis in 2009 found that the mean AHI after surgical weight loss was consistent with moderately severe OSA and that patients undergoing bariatric surgery should not expect a cure for OSA after surgical weight loss but a significant improvement in OSA.<sup>28</sup>

Many studies demonstrate an association between obesity and asthma.<sup>30,31</sup> BMI has a strong, independent, and positive relation with asthma.<sup>32</sup> Severely obese patients with asthma experience resolution or improvement after bariatric surgery. A large single-center study found that 26.1% of severely obese patients suffer from asthma before surgery, and the resolution after RYGB surgery was 66%.<sup>33</sup> Several groups have reported major reduction in asthma severity after bariatric surgery-induced weight loss.<sup>33,34</sup>

### BMI and weight circumference

The average weight loss after bariatric surgery is 20–40 kg.<sup>29,35</sup> In the SOS study, the mean weight loss was 16.3% of the initial weight, and the average weight loss in 10 years was 19.9 kg.<sup>3</sup> After the mean weight loss reaches the maximum after 1–2 years, weight slowly increases for a decade before it stabilizes.<sup>3</sup>

After a period of 15 years in the SOS study, patients who had undergone LAGB and RYGB surgical procedures had lost 13% ± 14% and 27% ± 12% of their total body weight, respectively.<sup>10</sup> For SG, a systematic review demonstrated a 14 kg/m<sup>2</sup> reduction in BMI.<sup>36</sup>

Few RCTs have compared the weight loss between surgical procedures. In one of them, SG seems to achieve the same weight loss as RYGB at 1-year follow-up.<sup>37</sup> Furthermore, Himpens et al<sup>38</sup> comparing LSG and LAGB at 1-year and 3-year follow-up found that SG achieves significantly greater weight loss and reduction in BMI. On the other hand, Angrisani et al<sup>39</sup> showed in a RCT that the mean weight was significantly better in the LRYGB than in the LAGB after 5-year follow-up.

**Table 1** The summarization of Modified King's criteria<sup>24</sup>

	Stage 0	Stage 1	Stage 2	Stage 3
Airway	Normal	Snoring	Sleep apnea require CPAP	Cor pulmonale
BMI	<30	30–35	35–50	>50
Cardiovascular	<25% risk	>25% risk	Heart disease	Heart failure
Diabetes	Normal	Impaired fasting glycemia	Type 2 diabetes	Uncontrolled type 2 diabetes
Economic	Normal	Suffered discrimination	Unemployed due to obesity	Requires financial support
Functional	Can manage 3 flights of stairs	Manage 1 or 2 flights of stairs	Manage <1 flight of stairs or requires walking aids	House bound
Gonadal	Normal	Irregular periods	PCOS/impotence	Infertility
Health status	Normal	Low mood or QoL	Moderate depression or poor QoL	Severe depression
Image	Normal	Does not like looking in mirror	Avoids mirrors/body image dysphoria	Severe eating disorder
Junction gastroesophagus	Normal	Heart burn	Esophagitis	Barrett esophagus
Kidney	Normal	Proteinuria	GFR < 60 mL/min	GFR < 30 mL/min
Liver	Normal	Raised LFT/NAFLD	NASH	Liver failure

**Abbreviations:** CPAP, continuous positive airway pressure; BMI, body mass index; PCOS, polycystic ovary syndrome; QoL, quality of life; GFR, glomerular filtration rate; LFT, liver function test; NAFLD, nonalcoholic fatty liver disease; NASH, nonalcoholic steatohepatitis.

## Cardiovascular disease

Severe obesity increases the frequency and the severity of the metabolic syndrome, which is the major risk factor for cardiovascular disease.<sup>40</sup> Its prevalence in obese patients was nearly 10-fold higher compared with nonobese patients.<sup>41</sup> The definition of metabolic syndrome includes the coexistence of any three of the following five features: central obesity, high serum triglyceride levels, low serum high-density lipoprotein (HDL)-cholesterol levels, hypertension, and elevated fasting blood glucose levels.

Buchwald et al<sup>29</sup> reported a 61.7% improvement of hypertension, 70% improvement of hyperlipidemia, and 86% resolution of diabetes in obese patients undergoing surgery. On the other hand, in the SOS study, the 10-year resolution rates of blood triglycerides, diabetes, and diastolic blood pressure remained favorable at 10 years after surgery, whereas systolic blood pressure and HDL levels were only improved at 2 years after surgery.<sup>3</sup>

Bariatric surgery also delays the progression of atherosclerotic disease. In a 4-year controlled interventional study of carotid artery atherosclerosis, obese patients treated with surgery had a threefold lower rate of progression compared with controls.<sup>42</sup> It is noteworthy that two large retrospective studies show reduced long-term mortality of cardiovascular diseases. Adams et al<sup>11</sup> found that the cause-specific mortality in the surgery group decreased by 56% for coronary artery disease, and Christou et al<sup>13</sup> reported that the risk of cardiovascular disease in the surgery group decreased by 72%.

Moreover, the severity and the duration of obesity are associated with changes in cardiac structure and function.<sup>43</sup> The most frequent abnormalities are the increased cardiac mass, the left ventricular hypertrophy, and the abnormal measures of diastolic left ventricular function.<sup>44,45</sup> Bariatric surgery has been demonstrated as a successful modality to improve left ventricular hypertrophy and systolic and diastolic performance.<sup>45–47</sup>

## Diabetes

Obesity and T2DM are likely to be some of the greatest public health problems in the world. There is a strong relationship between the Obesity and T2DM, and the term “diabesity” has been coined to suggest an overlap of the both.<sup>48,49</sup> Approximately, half of the patients diagnosed with T2DM are obese and 20%–30% of those who underwent bariatric surgery are with diabetes.<sup>50,51</sup>

A recent meta-analysis demonstrates that bariatric surgery has impressive results in the treatment of diabetes, although the criteria used to define remission may now be outdated, and

stricter criteria will result in much lower rates of remission.<sup>52</sup> However, the meta-analysis showed that 78.1% of diabetic patients had complete remission and 86.6% had improvement or remission of diabetes after bariatric surgery.<sup>53</sup> Regarding the effectiveness of each procedure, diabetes remission is greatest for patients undergoing BPD-DS, followed by gastric bypass, and least for gastric banding. In the surgically treated group of SOS study, T2DM had resolved in 72% after 2 years, but unfortunately, only 36% of those who had diabetes at the baseline remained free of the disorder at 10 years.<sup>3</sup> In a RCT, Dixon et al<sup>6</sup> compared the effectiveness of LAGB and conventional therapy in remission of T2DM at 2 years of follow-up and found that it was significantly higher (73%) in the LAGB group compared with the conventional group (13%). Generally, bypass procedures produce the highest and most rapid rates of T2DM remission and are thought to occur by a weight loss-independent mechanism that may involve the role of gut hormones through the so called enteroinsular axis.<sup>47,54</sup>

Finally, it is noteworthy that Adams et al<sup>11</sup> in a large cohort study described a remarkable 92% decrease in diabetes-related deaths after RYGB surgery.

## Economic complications

The major economic complications for obese patients are unemployment, reduced productivity, and the comorbidity-related cost of care. The social stigma associated with being overweight may limit a person's ability to get a job.<sup>55</sup> The majority of studies investigating occupational status showed an increase in employment after surgery.<sup>56</sup> Productivity has also been shown to increase significantly after bariatric surgery, with fewer days on sick leave.<sup>56–58</sup> The SOS study showed that the number of days lost owing to sick leave before the surgery was twice as high as in the general Swedish population, and the disability pension was also twice as frequent as in the general Swedish population. During the first year after treatment, the surgically treated group had 35% more days of sick leave compared with the controls but significantly fewer days during the second and third year. During the years 4–5, the difference in sick leave between groups was not significant.<sup>58</sup>

In the SOS study, the medication cost increased in both the surgical and the conventional groups over the 6-year period, and there was no significant difference in total pharmaceutical cost between the groups.<sup>59</sup> Average drug-specific costs were different between groups though. The surgically treated group had lower medication costs for diabetes and cardiovascular disease but higher medication costs for anemia



and gastrointestinal disorder as compared with the conventionally treated group.<sup>59</sup>

Moreover, in a study on the economic impact of bariatric surgery, the costs of open surgery are fully recovered after 4 years and that of laparoscopic bariatric surgery are fully recovered after 2 years. The cost reductions observed in this analysis mirror the comorbidity reductions in diseases associated with obesity in terms of prescription drug use, hospital visits, and physician visits.<sup>60</sup>

## Functional (physical functioning)

Severely obese individuals experience significant impairment in activities of daily living such as walking, climbing stairs, and bathing.<sup>61</sup> These are reported to be the most distressing aspects of their obesity.<sup>61</sup> Furthermore, obese and overweight individuals face an increased risk of musculoskeletal pain and osteoarthritis.<sup>62</sup>

O'Brien et al<sup>8</sup> in a RCT found that physical functioning scores of obese patients who underwent LAGB surgery improved significantly better compared with medically treated patients. Moreover, the SOS study reports that patients who underwent bariatric surgery reported significant improvement (or resolution) in types of "work-restricting" pain in the neck, back, hips, knees, and ankles.<sup>62</sup> In a prospective study, McGoe et al<sup>63</sup> found that after a mean surgical weight loss of 44 kg, the prevalence of back pain decreased from 62% to 11%, hip pain from 11% to 2%, knee pain from 57% to 14%, ankle pain from 34% to 2%, and foot pain from 21% to 1%. On the other hand, Melissas et al<sup>64</sup> reported a significant improvement in the low back pain after bariatric surgery even though the patients were still obese with a BMI of 34 kg/m<sup>2</sup> at the end of the study.

## Gonadal

Obesity is associated with infertility.<sup>65</sup> In a large, prospective, multicenter study, 41.9% of women undergoing bariatric surgery experienced subfertility, but 61.4% had a live birth after surgery.<sup>66</sup> The mechanisms contributing to subfertility in this cohort may include androgen excess, insulin resistance, and hyperinsulinism. Many studies found evidence of improvement in fertility after bariatric surgery via the normalization of hormones and menstrual cycles and the reduction of PCOS.<sup>67–70</sup>

PCOS is a syndrome characterized by infertility, menstrual dysfunction, hyperandrogenemia, and hyperinsulinemia. In the longitudinal assessment of bariatric surgery (LABS) study, PCOS was diagnosed in 13.1% of women undergoing bariatric surgery, a rate significantly higher compared with that of the

general population.<sup>66</sup> In a prospective study of 12 women with PCOS after bariatric surgery, all had improvements in hirsutism, hyperandrogenemia, insulin resistance, and restoration of regular menstrual cycles and/or ovulation.<sup>70</sup> In another retrospective study of 24 women who had PCOS and gastric bypass, all had complete resolution of their menstrual irregularity, and those who wanted to be pregnant were able to do so without the need for clomiphene, whereas 75% had marked improvement in a hirsutism score.<sup>71</sup>

A number of studies have shown that maternal obesity introduces multiple risks for the mother and the fetus during pregnancy.<sup>72,73</sup> According to the American College of Obstetrics and Gynecology, all bariatric surgery patients are advised to delay pregnancy during the rapid weight loss phase within the first 12–18 months after surgery.<sup>74</sup> A systematic review of maternal and neonatal complications suggests that the risk for maternal complications, such as gestational diabetes and preeclampsia, may be lower following surgically induced weight loss than the risk in obese women and may approach nonobese population rates. Similarly, neonatal complications, such as premature delivery and low birth weight, may be lower in pregnancies following bariatric surgery.<sup>75</sup>

Obesity is also associated with high risk of sexual dysfunction.<sup>74</sup> Many patients present for bariatric surgery with the expectation that weight loss will improve their sexual relations with their partner, and most patients indicate a significant improvement and a more satisfying sexual life after surgery.<sup>56</sup>

## Health status perceived

Previous research has demonstrated that 25%–30% of patients had marked clinical symptoms of depression before surgery.<sup>61</sup> The SOS study provides the best available evaluation of changes in overall mood, depression, and anxiety after bariatric surgery. Poor general health perceptions have frequently been associated with limitations in functional ability, physical and mental symptoms, and a number of medical diagnoses.<sup>76</sup> In the SOS study, health perceptions improved by 11% after 10 years. The significant improvement from baseline to 10-year follow-up is in line with the positive long-term effects of weight reduction but was significantly lower than the population norm.<sup>76</sup>

In the overall mood, powerful improvements were observed in the surgical group during the first year after bariatric surgery. However, the effect on overall mood after 10 years was positive in patients with weight losses of 10% or more, whereas no improvement was observed in patients who lost less than 10% of their initial weight.<sup>76</sup>

The depression scores decreased to about 27% at 10-year follow-up. Depression was improved at 10-year follow-up, but the prevalence was still higher than the population norm. A substantial reduction of anxiety symptoms (23%) was seen in the surgical group 10 years after surgery.

Severe obesity is associated with multiple forms of negative health impact that affects quality of life. In the SOS study, the change in the health-related quality of life (HRQL) during the 10-year observation period largely followed phases of weight loss, weight regain, and weight stability. In the surgical group, the peak HRQL improvements were observed during the first year of weight loss. They were followed by a gradual decline between 1 and 6 years, and then observations were relatively stable between 6- and 10-year follow-up. At 10 years, net gain was noted in all HRQL domains compared with baseline. Long-term results of the study suggest that a maintained weight loss of 10% is sufficient for positive long-term effects on HRQL. This limit was reached in about two-third of the surgically treated patients who completed the 10 years of the study. The authors concluded that the long-lasting weight reduction after bariatric surgery has a general long-standing positive outcome on HRQL.<sup>76</sup>

In another recent comparison of gastric bypass surgery with severely obese patients who did not undergo surgery, gastric bypass led to improved HRQL.<sup>77</sup> A systematic review concluded that in the majority of bariatric patients at follow-up there are significant improvements of quality of life.<sup>56</sup> A RCT comparing LAGB and conventional therapy reports that at 2 years, the nonsurgical group had statistically significant improvements in some HRQL domains. However, the surgical group had statistically significant improvements in all HRQL domains.<sup>8</sup>

## Body image

Commonly, overweight and obese individuals suffer from body image dysphoria.<sup>61</sup> Several studies have reported the association between weight loss after bariatric surgery and improvement in body image. Adami et al<sup>78</sup> reported that 3 years after the surgery, the scores of patients on body image dissatisfaction subscale were dramatically improved and were similar to the scores observed in normal-weight individuals. The great majority of studies indicated a considerable improvement of self-esteem after surgery.<sup>56</sup>

In addition, in the SOS study, health-related limitations in social interaction (SI) within the family, among friends, and in the community were evaluated. A small treatment effect on SI was noted for surgical subjects who lost 10% or more

of their body weight, whereas no change was seen in surgical subjects who lost <10% of their initial weight.<sup>76</sup>

Eating disorders are common in patients undergoing bariatric surgery. Approximately 10%–25% of patients meet the criteria for binge eating disorder (BED), whereas the prevalence of night eating syndrome (NES) is between 5% and 20%.<sup>61</sup> BED involves repeated uncontrolled episodes during which objectively large amounts of food are consumed in association with marked emotional disturbance. Several studies have investigated the relationship between the presence of BED before surgery and the postoperative weight loss, but the results are contradictory.<sup>79,80</sup> There is evidence that RYGB may improve eating-disordered behavior. Hsu et al<sup>81</sup> reported that none of the patients who had BED before surgery reported BED after RYGB surgery. In another study of LAGB, preoperative BED, uncontrolled eating, and NES occurred in 14%, 31%, and 17.1% of subjects. These eating disorders were reduced after surgery to 3.1%, 22.5%, and 7.8%, respectively.<sup>82</sup> Having active BED after the surgery may be a poor prognostic feature, and hence, treatment should be considered before the surgery.<sup>83</sup>

## Junction of gastroesophagus

Gastroesophageal reflux disease (GERD) has been shown to have a strong association with BMI.<sup>84</sup> Central adiposity may be the most important risk factor for the development of reflux and related complications such as Barrett esophagus and esophageal adenocarcinoma.<sup>85,86</sup> RYGB seems to be a beneficial surgical treatment for GERD in obese patients, as the small stomach pouch reduces acid production and limits reflux. In a prospective study, GERD symptoms decreased in 94% of patients at 9 months after surgery.<sup>87</sup> Similarly, in another study, the resolution of GERD after RYGB surgery was 87.6%.<sup>33</sup> Frezza et al<sup>88</sup> prospectively examined the effect of RYGB surgery in 152 severely obese patients with chronic GERD. Approximately 80% of patients had GERD symptom resolution at the 6-month follow-up.

LAGB is another option for the treatment of obesity and GERD symptoms. Dixon and O'Brien<sup>89</sup> demonstrated resolution of all reflux symptoms occurred in 76% and improvement in another 14% of patients undergoing LAGB surgery. Himpens et al,<sup>38</sup> in a RCT comparing patients who received SG and LAGB surgery, noted the disappearance of GERD in 83.3% and 75% of patients after LAGB surgery and SG, respectively.

Despite the proposed benefit of banding in reflux, other studies have shown contradictory results and worsening of reflux symptoms after LAGB surgery.<sup>90</sup> A recent systematic

review revealed that although LAGB surgery often leads to improvement or even resolution of reflux symptoms in the short term, worsening or newly developed reflux symptoms and esophagitis were reported in a subset of patients when longer follow-up was available.<sup>91</sup> Further well-designed studies with long follow-up are needed to establish the effect of banding on this disease. SG may also cause reflux by decreasing the pressure in the lower esophageal sphincter.<sup>92,93</sup>

Csendes et al<sup>94</sup> reported that in obese patients, the incidence of Barrett esophagitis before surgery was 2.1%. After RYGB surgery, symptoms of reflux disease, signs of erosive esophagitis, and peptic ulcer disease were no longer present.<sup>94</sup> Additionally, Houghton et al<sup>95</sup> in their study of five patients with Barrett esophagitis before surgery reported complete or partial regression of Barrett esophagitis after RYGB surgery in four patients and improvement in reflux symptoms in all.

## Kidney

Obesity is associated with impaired renal parameters, obesity-related glomerulopathy, and chronic kidney disease (CKD).<sup>96</sup> In the Framingham study, obese patients who were initially free of CKD were likely to reduce their glomerular filtration rate (GFR) over time.<sup>97</sup> However, in a study of 61 patients, renal parameters and renal function were markedly improved 24 months after bariatric surgery.<sup>98</sup> The earliest marker of CKD risk is microalbuminuria and is associated with risks of progression to end-stage CKD. A recent meta-analysis found that weight loss is associated with decreased proteinuria and microalbuminuria.<sup>99</sup> The literature is incomplete, but a case series describe improvements or stability of CKD and improved outcomes in renal transplant patients after obesity surgery.<sup>100</sup> In a retrospective study including 25 patients with chronic renal disease, the mean GFR increased significantly at 12 months after undergoing bariatric surgery than before surgery.<sup>101</sup> However, the measurement of GFR with the modification of diet in renal disease equation may give inaccurate results as the routine equation does not take weight into consideration.

## Liver

Nonalcoholic fatty liver disease (NAFLD) includes a wide spectrum of liver disease from hepatic steatosis, nonalcoholic steatohepatitis (NASH), fibrosis, and cirrhosis. In a review of obese patients undergoing bariatric surgery, the prevalence of steatosis, NASH, and unexpected cirrhosis was 91%, 37%, and 1.7%, respectively.<sup>102</sup> Obesity and insulin resistance are considered to be the main causative factors of NAFLD.<sup>103</sup> Several studies on severely obese patients

with NAFLD have shown an improvement in liver disease after bariatric surgery. Dixon et al<sup>104</sup> studied 36 severely obese patients with NAFLD who underwent LAGB surgery, comparing liver biopsies at a mean 2-year follow-up, and showed significant improvements in steatosis, fibrosis, and necroinflammatory scores. After RYGB surgery, Mottin et al<sup>105</sup> demonstrated that liver steatosis before surgery was 87.6%, but 12 months after the surgery, only 17.8% of the patients had the same degree of steatosis, 27.8% improved their steatosis pattern, and 54.4% had normal hepatic tissue. In a meta-analysis on the effect of bariatric surgery on NAFLD, the patients with improvement in or resolution of steatosis, steatohepatitis, and fibrosis were 91.6%, 81.3%, and 65.5%, respectively, and the patients with complete resolution of NASH were 69.3%.<sup>106</sup>

## Medication

Obesity and its comorbidities are associated with increased prescription drug use.<sup>107</sup> In the SOS study, 52% of the obese individuals were taking medications compared with only 36% of the randomly selected reference population.<sup>59</sup> The obese patients in this study were more often taking medication for cardiovascular disease, pain, psychiatric disorders, diabetes mellitus, and asthma. In the SOS study, of the obese individuals in the surgical group taking any medications at baseline, only 76.7% were still taking medications 6 years after the surgery.<sup>59</sup>

In RYGB, a large study reported that the average use of medications reduced from 4.4 per patient before surgery to 1.3 per patient after surgery.<sup>33</sup> Similarly, in another study, the mean number of medications for the treatment of hypertension, hyperlipidemia, GERD, and T2DM in 77 patients decreased from 2.4 before surgery to 0.2 per patient 12 months after RYGB surgery.<sup>108</sup> Furthermore, Ahroni et al<sup>109</sup> demonstrated that after LAGB surgery the use of medication declined significantly.

## Nutrition

Bariatric surgery is related to changes in eating behavior. In a RCT, Olbers et al<sup>110</sup> showed that patients after RYGB surgery have a decreased preference for sugary and fatty foods, whereas they increase their preference for vegetables. The SOS study also reports the dramatic changes at eating behavior in the surgical group 6 months after the operation and also at 2-year follow-up despite a small reduction in the primary effectiveness. The restrained eating substantially increased, and the disinhibition and hunger significantly decreased.<sup>111</sup>

## Other complications

### Cancer

Cancer incidence is increased in obese individuals. A recent meta-analysis shows that high BMI is associated with an increased incidence of many types of cancer.<sup>112</sup> Certain recent studies support that bariatric surgery is associated with a reduction in the overall cancer incidence. In the prospective SOS cohort study, the number of first time cancers after inclusion was lower in the surgery group than in the control group. Moreover, the SOS study concluded that bariatric surgery had a significant effect on cancer incidence in women but not in men.<sup>113</sup>

In two retrospective cohort studies of obese patients treated with bariatric surgery, there was also a reduction in cancer incidence in patients who underwent bariatric surgery compared with those who did not. Adams et al<sup>11</sup> found that mortality in the surgery group decreased by 60% for cancer during a mean follow-up of 7.1 years, and Christou et al<sup>114</sup> after a 5-year follow-up, found that the postbariatric surgery group has significantly fewer visits to the physician or hospital for “all cancer” diagnosis compared with the nonoperated, severely obese control group. There were only 2% reported bariatric surgery patients with cancer compared with 8.5% control subjects.<sup>114</sup> A recent retrospective focusing on breast and endometrial cancer incidences showed that bariatric surgery may decrease cancer development.<sup>115</sup>

### Surgical complications

A meta-analysis of total surgical mortality in 85,048 patients undergoing a spectrum of bariatric procedures reports that the early total mortality (<30 days) was 0.28%, whereas the late total mortality (>30 days and <2 years) was 0.35%. More specifically, early mortality rate for LAGB, LRYGB, and ORYGB was 0.06%, 0.16% and 0.44%, respectively.<sup>116</sup> In another systematic review, the mortality rate at the first 30 days for SG was 0.19%.<sup>36</sup> A multicenter study of high volume centers in United States demonstrates that the rate of death was 0.3% at 30 days, similar to the mortality rates after laparoscopic cholecystectomy.<sup>117</sup> Additionally, Adams et al<sup>11</sup> in their large cohort study showed similar mortality rate (0.53%) between bypass surgery and medical treatment in patients at 12 months. Male gender, older age, high BMI, significant comorbidities, and surgeon's experience have been identified as predictors of adverse effects.<sup>118</sup> Recently, DeMaria et al<sup>119</sup> developed a simple, clinically relevant 5-point scoring system, the obesity surgery mortality risk score, assigning 1 point to each of five preoperative variables, including BMI > 50 kg/m<sup>2</sup>, male gender, hypertension,

age >45 years, and the known risk factor for pulmonary embolus. With the aid of this tool, the mortality risk of patients is defined as low (0–1p), intermediate (2–3p) and high risk (4–5p). Certainly, the training and the bariatric surgeon's experience are important factors that must be improved for the benefit of the patient. In a recent study, the overall complication and hospital mortality in patients who underwent gastric bypass surgery were analyzed to estimate the relationship between surgeons' and hospitals' volume per year and the incidence of complications and mortality. The results of this study demonstrated an inverse relationship between the surgeon and hospital procedure volume and the in-hospital complications and mortality.<sup>120</sup> The future trend for bariatric surgery is to be performed at Centers of Excellence (COE). These centers have an established record of good technique, experienced surgeons, a comprehensive team approach, and acceptable outcomes.<sup>121</sup> In the United States, bariatric surgery is currently performed safely in COE with an all-cause mortality rate of 0.09% and 0.11% at 30 and 90 days, respectively, whereas the complication rate in 57,918 procedures was approximately 17%.<sup>122</sup> This study supports a progressive decline in bariatric surgery mortality in recent years. A recent study from Michigan reported a complication rate of 3.6% for gastric bypass, 2.2%; for SG and 0.9% for gastric banding.<sup>123</sup> Interestingly, the complication rate was inversely related to centre and surgeon volume of operations, but there was no association with COE status.<sup>123</sup>

Finally, in the LABS study, a total of 4.3% of patients had at least one major adverse outcome during the first 30 days after the surgery, such as the composite end point of death, deep-vein thrombosis, or venous thromboembolism, reintervention, or failure to be discharged by 30 days after surgery.<sup>117</sup>

### Early surgical morbidity

Early perioperative morbidity is defined as the complication that occurs within the first 30 days after the surgery, whereas late complications are those that occur >30 days before surgery and nutritional deficiencies.

Patients with severe venous disease are at high risk for perioperative venous thromboembolism, and the incidence of venous thromboembolism is reported to be between 0.4% and 3.1%, and the rate of pulmonary embolism ranges from 0% to 1.1% for RYGB.<sup>124,125</sup> Podnos et al<sup>126</sup> found no difference in postoperative pulmonary embolism between laparoscopic and open gastric bypass. The risk for deep-vein thrombosis or pulmonary embolism after LAGB surgery is lower than for other bariatric procedures.<sup>125</sup> Furthermore, wound infection is a common complication



associated mainly with open bariatric surgery, whereas laparoscopic bariatric procedures have low wound infection rates.<sup>126</sup>

Early perioperative complications for RYGB include postoperative anastomotic leak, bowel obstruction, and hemorrhage. Anastomotic leak mainly at the gastrojejunostomy but also at the jejunojejunostomy are perhaps the most feared complications of gastric bypass surgery. The leak rate has been reported to be about 0.5%–4.4% after RYGB surgery.<sup>124,125</sup> The early signs of a leak are tachycardia and abdominal pain. A delay in the diagnosis may lead to peritonitis, overwhelming sepsis, and death. A high index of suspicion is crucial for the early recognition of an anastomotic leak. The radiological investigations are often not helpful. If the patients' clinical picture accord with leak, then immediate intervention with laparoscopy is needed. Another complication of RYGB is the postoperative bowel obstruction, which occurs early or late after the RYGB surgery, and its incidence ranged from 0.4% to 5.5%.<sup>127</sup> Finally, bleeding occurs in 0.4%–4% of patients.<sup>124</sup> Postoperative bleeding can be intraperitoneal from mesenteric or omental vessels and intraluminal from the anastomosis or the staple line.

The early complications of LAGB placement consist of gastric perforation, stoma obstruction, and infection at access port. Gastric or esophageal perforation is a serious complication that may occur several days after surgery with signs and symptoms of tachycardia, hypotension, fever, and abdominal pain.<sup>127</sup>

Stoma obstruction after LAGB surgery is presented in a rate of 0.2%–1.6%.<sup>127</sup> With the introduction of larger bands, the complication of postoperative obstruction has become less common. Infections of the adjustment port can be early or late, and the distinction between the late and early infections is important because the etiology is different. An immediate postoperative infection of the port should be treated with antibiotics, whereas an infection outside the perioperative timeframe is attributed to erosion until proven otherwise.<sup>121</sup>

The early complications of SG include leak, hemorrhage of the suture line, and stricture of the sleeve. When the SG is the primary procedure for weight loss, the leak rate is approximately 2.7%, the bleeding rate 1.0%, and the stricture rates 0.5%.<sup>36</sup> Leaks are potentially lethal. The current trend in the treatment of leaks after SG is the use of endoscopically placed stents, which are removed 6–8 weeks later.<sup>128</sup> These stents can provide rapid healing and earlier return to enteral nutrition, but unfortunately, the incidence of migration remains relatively high, and more clinical studies are required to outline the risks and benefits.<sup>129</sup>

## Early gastrointestinal and nutritional complications

Vomiting frequently occurs during the first few months after surgery but should always be considered as pathological. Vomiting typically happens 1–3 times a week and may be due to eating too quickly, overeating, or not chewing the food adequately. Special care should be taken to prevent dehydration, hypokalemia, hypomagnesemia, and thiamine deficiency. The supplementation of all these micronutrients and electrolytes is necessary if vomiting persists.<sup>130</sup>

## Late surgical complications

Late surgical complications for RYGB include anastomotic stricture, bowel obstruction, and incisional hernia. The late postoperative bowel obstruction can result from adhesions or internal hernias. The internal hernias are more common after LRYGB than ORYGB surgery, and their incidence in LRYGB is nearly 2.5%.<sup>131</sup> There are potential internal hernia spaces, the number of which depends on the technique used. Iannelli et al<sup>131</sup> in a review of approximately 11,000 patients undergoing LRYGB surgery recommended the closure of mesenteric defects with nonabsorbable running suture. Patients with bowel obstruction present with abdominal pain, nausea, and vomiting. Another common complication of RYGB, the anastomotic stricture, is found in 0.5%–4.9% of patients.<sup>127</sup> Vomiting, nausea, and epigastric discomfort occurring immediately after every meal are the first signs of stricture, which are first noted 4–6 weeks after surgery. In addition, the patients with anastomotic stricture present with dysphagia to solids greater than liquids.

The incisional hernias are more common after open surgery but can occur after LRYGB surgery at the large port sites.<sup>126</sup> The late surgical complications of LAGB include band slippage, band erosion, access-port infection, and port and tubing problems. Band slippage occurs in 2.3%–12.3% of patients after LAGB surgery.<sup>127</sup> Nausea, vomiting, and heartburn after meals along with failure to lose weight are the most frequent symptoms of this complication. An upper gastrointestinal contrast study is the most commonly used diagnostic test to detect band slippage. The technique of LAGB positioning plays an important role in the incidence of slippage.<sup>132</sup> In a RCT, O'Brien et al<sup>19</sup> demonstrated that the pars flaccida technique is significantly less likely to be associated with slippage compared with perigastric technique.

Erosion is another infrequent late complication, and its incidence is 0.1%–2.8%.<sup>127</sup> An eroded band usually occurs years after implantation and presents with abdominal pain, weight regain, loss of sense of restriction, and port-site infection. Band erosion rates are associated with surgeon's

experience. O'Brien and Dixon<sup>133</sup> in a large series reported that gastric prolapse occurred in 25% and erosion occurred in 3% of the first 500 patients, whereas in the last 600 patients, prolapse occurred in 4.7% and no erosion occurred.

Port-site infection is a rare late complication that appears in 0.4%–1.0% of patients after LAGB surgery.<sup>127</sup> Erythema, induration, and drainage at the port site are the main signs of port-site infection. Other problems with the adjustment port include the leakage and the inaccessibility.

Chapman et al<sup>134</sup> in a systematic review reported that tube or port malfunction requiring reoperation occurs in 1.7% of cases, whereas overall complications requiring reoperation occur in up to 18% of patients for LAGB.

### Late gastrointestinal complications

Rapid weight loss with or without surgery is commonly associated with cholelithiasis. The incidence of gallstones after bariatric surgery varies between 22% and 71%.<sup>124</sup> Some clinicians advocate the use of ursodiol for at least 6 months after surgery in an attempt to reduce the incidence of gallstone formation, although the literature is controversial.<sup>135,136</sup> Vomiting may occur during the first few months after surgery in all bariatric procedures but mainly in LAGB. Vomiting can be a signal of problems associated with strictures and stoma stenosis. Constipation is common after RYGB, AGB, and SG surgical procedures, whereas diarrhea can occur less frequently after RYGB surgery.

Dumping syndrome occurs in up to 14.6% of patients after RYGB surgery.<sup>137</sup> Patients will often complain about a very uncomfortable feeling after eating a high glucose meal. Symptoms include watery diarrhea, nausea, bloating, and abdominal cramping.

Another late gastrointestinal complication for RYGB is marginal ulceration. It occurs at the gastrojejunal anastomosis and presents with symptoms that include vague abdominal pain, nausea, vomiting, and hemorrhage. Marginal ulcers at the anastomosis between the stomach pouch and the small intestine are a common cause of blood loss.

Finally, GERD also appears after LAGB surgery and SG. Patients complain of heartburn after their meals. Himpens et al<sup>138</sup> showed that the incidence of de novo GERD after SG is 21.8% at 1-year follow-up with subjective improvement of the symptoms (3.1%) at 3-year follow-up. In contrast, they reported that after LAGB surgery, GERD appeared de novo in 8.8% of patients at 1 year and in 20.5% at 3 years. In another long-term study examining patients 5 years after LAGB surgery, despite significant weight loss, the incidence of gastroesophageal reflux and esophagitis was 44%.<sup>90</sup>

### Late nutritional and metabolic complications

Deficiencies in micronutrients after bariatric surgery are associated primarily with RYGB, but they are also reported in LAGB and SG. Deficiencies of iron (in menstruating women), vitamin B<sub>12</sub>, folate, calcium, and fat-soluble vitamins (A, D, E, and K) are common after gastric bypass surgery. Moreover, secondary hyperparathyroidism and problems with bone mineralization due to vitamin D deficiency and the already reduced ability to absorb calcium have been reported by several groups after gastric bypass surgery.<sup>130</sup> LAGB may lead to nutrient deficiencies as a result of frequent vomiting. Excessive vomiting can cause neuropathies after LAGB surgery mainly because of thiamine deficiency.<sup>138</sup> In a recent study, Gehrler et al<sup>139</sup> found that most frequent postoperative deficiencies after LSG were zinc, vitamin D, folic acid, iron, and vitamin B<sub>12</sub>. Patients with persistent low iron levels should be evaluated for blood loss through the gastrointestinal tract. The monitoring and the early supplementation of the vitamins are the best forms for preventing these complications.

Diversional procedures often have severe problems related to protein and fat malabsorption, especially if the Roux limb is very long. Protein deficiency and fat malabsorption can be identified earlier by observing the trend of albumin and fat-soluble vitamins.

A newly recognized complication after gastric bypass surgery is the hyperinsulinemic hypoglycemia with or without nesidioblastosis. The exact causative factor for the nesidioblastosis is unknown but might be related to a change in concentrations and activity of gut hormones due to the change in the upper gastrointestinal tract. Symptoms occur postprandially and include palpitations, tremor, sweating, anxiety, and hunger.<sup>140</sup>

Another frequent complication which can be terribly distressing to the patient is the hair loss. Although there is no known treatment, it usually reverses without intervention while the weight of the patient stabilizes.

### Failure of weight loss

One of the most problematic issues is not meeting the patients' expectation, as regards with weight loss, with the information given before surgery. Reoperations are technically more difficult than primary procedures and have high perioperative complication rates with inferior outcomes. In the SOS study among 1,338 subjects who were followed for at least 10 years, the frequency of reoperation or conversion surgery was 31% for gastric banding and 17% for gastric bypass.<sup>10</sup>

## Conclusion

Obesity is a major health care issue with currently no satisfactory pharmacologic or dietary solution. Bariatric surgery is the most effective long-term treatment for severe obesity. We have presented the available data on the profound effects of bariatric surgery on obesity-related comorbidities. When bariatric surgery is performed in COE, the rate of mortality is comparable with the one of the common general surgical procedures such as cholecystectomy. The resolution of or improvement in the obesity-related health problems has repercussion for longer life expectancy. Expectations of patients should be carefully managed as bariatric surgery is unlikely to make patients thin or happy but should rather be seen as a tool to make patients healthier and more functional.

## Disclosure

The authors report no conflicts of interest in this work.

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