

# NLCIPS: Non-Small Cell Lung Cancer Immunotherapy Prognosis Score

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**Introduction:** Currently in China, many immune checkpoint inhibitors (ICIs) have been approved for the treatment of non-small cell lung cancer (NSCLC). Some patients can not benefit from ICIs, and approximately 50% of patients have immunotherapy-related toxicity. Therefore, it is necessary to monitor carefully the selection of immunotherapy population using biomarkers to maximize the benefit of patients with NSCLC.

**Methods:** A prospective analysis was performed on patients with advanced NSCLC who were treated with ICIs at our hospital from March 2018 to June 2019, up to the follow-up deadline of December 31, 2019. The primary end points were overall survival (OS) and progression-free survival (PFS), and the secondary end points were objective response rate and disease control rate. A lasso regression was used for the univariate analysis, and Cox regression analysis was used for the multivariate analysis. An efficacy prediction line chart was developed.

**Results:** A total of 63 patients were included in the study. The median PFS was 7.0 months (95% CI, 5.0–11.0) and did not reach the median OS. According to the lasso regression, significant univariate factors were smoking index, PD-ligand 1 expression, and neutrophil to lymphocyte ratio (NLR). According to the multivariate analysis, the Cox proportional hazards model showed that smoking index and NLR are independent predictors of PFS in immunotherapy. A model comprised of independent predictors was developed based on a multivariate logical analysis of the main cohort—non-small cell lung cancer immunotherapy prognosis score. This model is shown as a nomogram with a C-index of 0.801 (95% CI, 0.744, 0.858), which has high prediction accuracy.

**Conclusion:** This predictive model, including NLR and smoking index, can achieve a 1-year PFS in immunotherapy of patients. PD-1 inhibitors have been demonstrated to be effective and safe in the clinical treatment of patients with NSCLC.

**Keywords:** immunotherapy, prognosis score, non-small cell lung cancer, smoking index

## Introduction

Non-small cell lung cancer (NSCLC) accounts for 85% of lung cancers.<sup>1</sup> Immunotherapy of tumors can be traced back to the application of Colli toxin in 1863, and so far has experienced active immunotherapy (ie Bacillus Calmette-Guérin (BCG)), adoptive cell transfer (ie transfer factor (TF), tumour-infiltrating lymphocytes (TIL), dendritic cell-cytokine induced killer (DC-CIK), and antigen-specific cancer vaccines (melanoma-associated antigen 3 (MAGE-A3) and L-BLP25), etc.<sup>2,3</sup> Until recently, Immune checkpoint inhibitors (ICIs) act on cell surface checkpoint proteins to detect and destroy cancer cells through the auto-immune system, and can effectively be used to treat many types of malignant tumors. However, such treatment could lead to immune-related adverse events

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(irAEs). ICIs include monoclonal antibodies (mAbs) against programmed cell death receptor-1 (PD-1), PD-1 ligand (PD-L1), and cytotoxic T lymphocyte-associated antigen-4 (CTLA-4), which have been approved for the treatment of advanced malignant tumors. PD-1 and CTLA-4 belong to the CD28 superfamily. PD-1 transmits a negative signal to T cells after binding to one of its two ligands (PD-L1 or PD-L2).<sup>4</sup> When PD-1 binds to its ligand, it inhibits the kinase involved in T cell activation, which allows tumor cells to escape immune detection and attack. ICIs have been approved by the US Food and Drug Administration (FDA) for the treatment of advanced malignancies such as melanoma, non-small cell lung cancer (NSCLC), renal cell carcinoma (RCC), urothelial carcinoma, head and neck squamous cell carcinoma, and Hodgkin's lymphoma.<sup>5</sup>

To date, PD-L1 expression on tumor cells is considered to be the most effective biomarker predicting the efficacy of ICI in NSCLC and other malignancies.<sup>6,7</sup> However, the sensitivity and specificity of PD-L1 expression in predicting the effect of immunotherapy are lower.<sup>8,9</sup> Recently, a high tumor mutation burden (TMB) was found to be a positive predictive biomarker for immunotherapy response to multiple tumor types, including lung cancer. However, evaluating TMB using next-generation sequencing is expensive and requires sufficient pathological material, and advanced NSCLC is often scarce. Besides, TMB can change dynamically during disease progression and treatment.<sup>10–12</sup> Some scholars have proposed that the inflammatory process is a possible mechanism for immunotherapy resistance in patients with cancer, promotes the growth and spread of cancer, and activates oncogenic signals.<sup>12</sup> Therefore, many conventional blood parameters have been studied as potential inflammatory biomarkers for the efficacy of immunotherapy in patients with cancer, such as elevated circulating albumin concentrations, absolute neutrophil counts, absolute platelet counts, and lactate dehydrogenase (LDH) levels.<sup>13–15</sup> To date, other biomarkers, such as nonsynonymous mutations and neoantigens, CD8<sup>+</sup> T cell counts in tumor regions, defective genes for mismatch repair or high microsatellite instability, and gut microbiota have also been considered as predictable ICIs that respond well to treatment; however, there is currently no “gold standard” for predicting the efficacy of immunotherapy.

In addition to a single indicator for predicting the efficacy of immunotherapy, there are currently studies on the combination of multiple indicators to construct a prediction model. Mezquita et al<sup>16</sup> have generated a lung immune prognosis index (LIPI) based on the data from 161 patients receiving

ICIs, and they found that LIPI was associated with survival using independent ICI treatment. Dickran et al<sup>17</sup> combined randomized trials of 3987 patients with NSCLC and reached similar conclusions. These studies are performed mainly in Western populations. Due to the differences between Eastern and Western populations, it is necessary to explore effective biomarkers for immunotherapy in Asian populations. In our study, we collected clinical and pathological data from 63 Chinese patients with NSCLC who were treated with anti-PD-1 monoclonal antibodies (including pembrolizumab, nivolumab, and sintilimab). We performed PD-L1 tests on pathological tissues to establish lung cancer immunotherapy efficacy prediction score in an accurate selection of immunotherapy benefit population.

## Patients and Methods

The Ethics Committee of Peking Union Medical College Hospital approved this study, which was performed in accordance with the ethical principles of the Declaration of Helsinki. All of the patients provided their written informed consent.

## Study Population, Treatment, and Response Evaluation

We prospectively analyzed the samples from 63 patients with advanced NSCLC who visited Peking Union Medical College Hospital from March 2018 to June 2019 and who were prescribed PD-1 or PD-L1 inhibitors. According to the response evaluation criteria in solid tumor 1.1 standard, the patients' responses were divided into complete response (CR), partial response (PR), stable disease (SD), and progressive disease (PD). The number of patients with an objective response rate (ORR) of CR + PR accounted for the total number of patients, and the disease control rate (DCR) indicated the number of patients with CR + PR + SD who accounted for the total number of patients. Efficacy is determined every 6 to 8 weeks after the start of the immunotherapy. In special cases, the time interval can be adjusted to suit the patients' needs. The enrollment deadline for patients was June 30, 2019, and the follow-up deadline was December 31, 2019.

## Statistical Analysis

We used a descriptive analysis to summarize the clinicopathological features. We measured continuous variables using median and quartile ranges, and we described the frequency distribution of categorical variables. We used the Kaplan–Meier method to draw survival curves and compared them using the Log rank test. A Cox regression analysis was used

for the multivariate analysis. Based on the results of the multivariate analysis, a line chart was developed (using the R version 2.14.1 of the rms26 software package). The performance of the nomogram was measured by the C-index. A  $P < 0.05$  was considered to be statistically significant. All of the dynamic variables in this study (such as body mass index, the absolute value of CD4 + T cells, the absolute value of CD8 + T cells, routine blood measurements, and lactate dehydrogenase) were based on the patients' medical records before immunotherapy.

## Results

### Patients' Characteristics, Response, and Survival Outcome

Table 1 summarizes the demographic, clinical, and pathological characteristics of 63 NSCLC patients. The median age of the population was 61 years (39–81 years). There were 53 males (84.13%) and 10 females (15.87%). 58 people (92.07%) had an ECOG score  $\leq 1$ . The proportion of adenocarcinoma (42.86%) is less than that of squamous cell carcinoma (57.14%). The percentage of heavy smokers (smoker index  $> 400$ ) was 46.03%. Most patients have stage IV disease (84.12%) during immunotherapy. PD-L1 expression was  $< 1\%$  in 19 cases (35.19%), 1%–49% in 28 cases (51.85%), and  $\geq 50\%$  in 7 cases (12.96%). A total of 30 people had autoantibodies (either ANA antibody or anti-neutrophil antibody were positive), 12 cases were positive and 18 cases were negative. There were 29 people (46.03%) with NLR  $> 4$ , and 34 people (53.97%) with  $\leq 4$ . There were 31 cases (49.21%) of PLR  $> 220$ , and 32 cases (50.79%) of  $\leq 220$ . Lactate dehydrogenase increased in 16 cases (25.4%) and normal in 47 cases (74.6%). Patients received 32 cases of first-line immunotherapy, 22 cases of second-line, and 9 cases of third-line and above. Drugs used included pembrolizumab in 42 cases, nivolumab in 4 cases, and sintilizumab in 17 cases. The interval from the diagnosis of the disease to the start of immunotherapy is 1 to 38 months, and the medication cycle is 1 to 35 cycles.

The follow-up deadline was December 31, 2019. Sixty-three patients were included in the statistical analysis. The best effect was a partial response (PR) in 27 (42.8%) cases, SD in 14 (22.2%) cases, and PD in 22 (34.9%) cases; no CRs were observed. The ORR was 42.8%, and the disease control rate (DCR) was 65.1%. There were 50 (79.4%) cases of disease progression. Those who did not show disease progression ( $n=13$ , 20.6%) were

regarded as censored data. Fourteen (22.2%) patients died during the study period. The median PFS was 7.0 months (95% CI, 5.0–11.0 months) (Figure 1), and the median OS was not reached.

### Non-Small Cell Lung Cancer Immunotherapy Prognosis Score

Because the study did not achieve the median OS, the prognostic factors were mainly analyzed by PFS. Because some variables have missing data values, to avoid the statistical test efficiency reduction and bias caused by missing values, we initially filled in the missing values of the original data. The multiple imputation by chained equations (MICE) package in R software is used to implement multiple interpolation. Table 2 provides a preliminary description of the data after filling.

#### Lasso Regression

Taking PFS and disease progression as dependent variables, we used the variables in the Table 1 as independent variables to perform lasso regression, and the R software package such as glmnet. It is used to remove unimportant variables from the original data and focus on selecting the most useful predictive features. Figure 2 shows the lasso coefficient curve for 18 independent variables. The adjustment parameter (lambda) in the LASSO model is chosen to pass the 10-fold cross-validation through the minimum standard. When the penalty parameter  $\lambda = \lambda_{1se} = 0.3096236$ , the model error is relatively small. Figure 3 shows a graph of the distribution of coefficients for a log (lambda) sequence. Vertical lines were drawn at the values selected using 10-fold cross-validation. The best lambda resulted in three non-zero coefficients, namely, the smoking index, PD-L1 expression, and neutrophil to lymphocyte ratio (NLR). Blank indicates that the coefficients are compressed to 0 (Table 3).

#### Cox Multivariate Risk Regression Analysis

Significant variables were incorporated into the Cox proportional hazards model for multivariate analysis to eliminate the effects of confounding factors on each variable. The factors included in the Cox model were three non-zero coefficients, namely, the smoking index, PD-L1 expression, and NLR. Multivariate analysis showed that smoking index (hazards ratio [HR], 0.37; 95% CI, 0.19–0.73) and NLR (HR, 3.14; 95% CI, 1.45–6.83) were independent factors affecting the prognosis of PFS in immunotherapy. Comparing heavy smokers with light

**Table 1** Demographic, Clinical and Pathological Characteristics of 63 Non-Small Cell Lung Cancer Patients

Characteristics	Cohort	
	No. of patients	%
<b>Age</b>		
≤60	27	42.86
>60	36	57.14
<b>Gender</b>		
Male	53	84.13
Female	10	15.87
<b>ECOG</b>		
0	20	31.75
1	38	60.32
2	5	7.93
<b>Smoking Index</b>		
≤400	34	53.97
>400	29	46.03
<b>Pathology</b>		
Squamous cell carcinoma	36	57.14
Adenocarcinoma	27	42.86
<b>TMB*</b>		
Low	21	46.67
Moderate	13	28.89
High	11	24.44
<b>PD-L1*</b>		
<1%	19	35.19
1%-49%	28	51.85
≥50%	7	12.96
<b>TNM stage</b>		
IIIB	4	6.35
IIIC	6	9.52
IVA	39	61.90
IVB	14	22.22
<b>Number of distant Metastasis</b>		
0	31	50.75
1	18	26.87
>1	14	22.39
<b>Time from diagnosis to start of ICIs (month)</b>		
≤2	21	33.33
3–12	30	47.62
>12	12	19.05
<b>Treatment lines</b>		
1	32	50.79
2	22	34.92
≥3	9	14.29
<b>CD4 + T cell absolute value *</b>		
<600	20	50.00
≥600	20	50.00

(Continued)

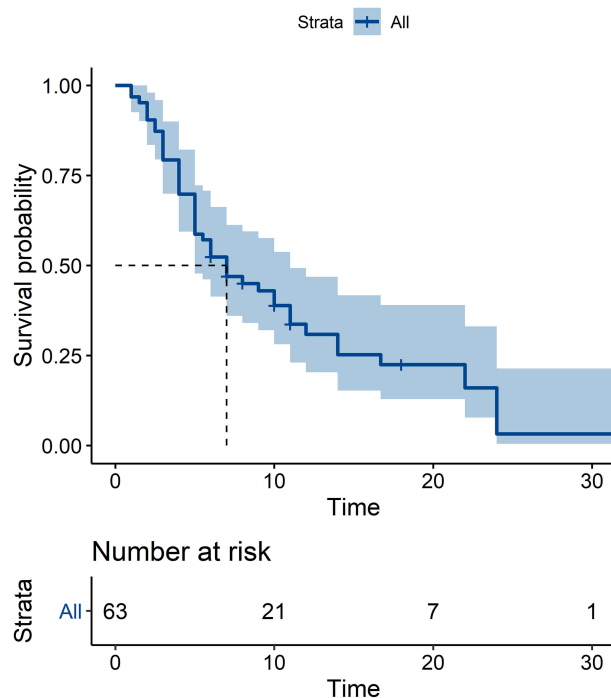
**Table 1** (Continued).

Characteristics	Cohort	
	No. of patients	%
<b>CD8 + T cell absolute value *</b>		
<450	23	57.50
≥450	17	42.50
<b>CD4/CD8*</b>		
1.0–2.0	20	50.00
>2.0 AND <1.0	20	50.00
<b>Autoantibodies*</b>		
Negative	18	60.00
Positive	12	40.00
<b>NLR</b>		
≤4	34	53.97
>4	29	46.03
<b>PLR</b>		
≤220	32	50.79
>220	31	49.21
<b>LDH</b>		
Normal	47	74.60
Rise	16	25.40

**Note:** \*The test results have missing values.**Abbreviations:** ECOG, Eastern Cooperative Oncology Group; TMB, tumor mutation burden; PD-L, programmed cell death-ligand 1; NLR, neutrophil to lymphocyte ratio; TNM, tumor node metastasis; PLR, platelet to lymphocyte ratio; LDH, lactate dehydrogenase.

smokers, it can be seen that  $P < 0.05$ , risk function HR is 0.37, suggesting that the risk of disease progression of heavy smoking NSCLC immunotherapy is 0.37 times that of the light smoking group (95% CI, 0.19–0.73). Heavy smoking is a protective factor for PFS. Comparing NLR >4 group and NLR <4 group, it can be seen that  $P < 0.05$ , risk function HR is 3.14, suggesting that NLR >4 group immunotherapy disease progression risk is 3.14 times that of NLR <4 group (95% CI, 1.45–6.83). NLR <4 is a protective factor for PFS. However, there was no statistical correlation between PD-L1 expression and the prognosis of immunotherapy of NSCLC ( $P > 0.05$ ) (Figure 4).

To provide a quantitative tool to predict the likelihood of lung cancer immunotherapy progression, we developed a model that includes independent predictors (NLR and smoking index) based on multivariate logical analysis of the main cohort —NLCIPS—and displayed as a nomogram (Figure 5). The PFS prediction consistency index (C-index) is 0.801 (95% CI, 0.744–0.858), which has a high prediction accuracy.



**Figure 1** Progression-free survival curves for 63 patients.

Calculating each person's risk score based on NLCIPS involves recording the risk scores greater than the median values as high, and the scores below the median values as low, and making Kaplan–Meier curves with different groups of high and low. The results are shown in Figure 6. Note the worse prognosis in the high-risk group, with significant statistical differences ( $P < 0.0001$ ).

After drawing the nomogram, the predictive ability of the model was evaluated using the graphic calibration method. The calibration curve describes the terminology of the consistency between the model's predicted risk and the actual risk of progress. In theory, the standard curve (black dotted line) is a straight line that passes through the origin of the coordinate axis and has a slope of 1. If the prediction calibration curve is closer to the standard curve, the better the predictive ability of the nomogram. The 1-year calibration plot (Figure 7) shows a good fit.

## Discussion

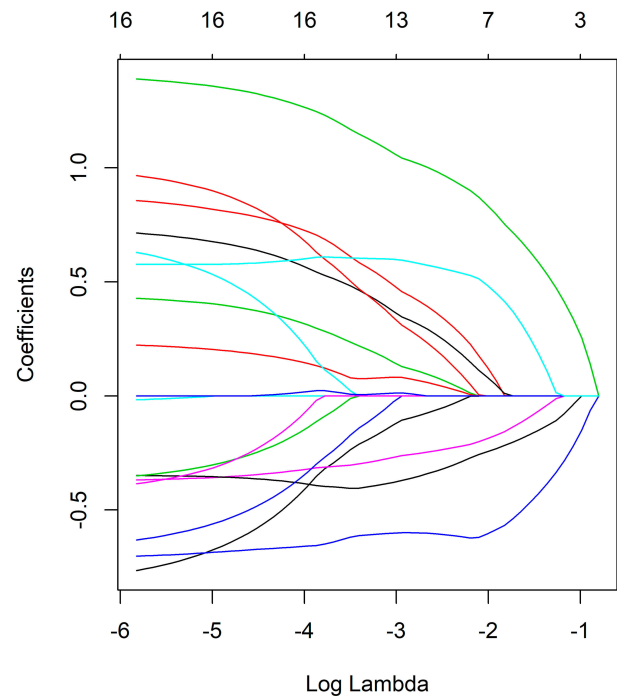
Although survival benefits can be obtained with ICIs, PD-1 blockade is only effective in 20% to 30% of patients with NSCLC, with a 1-year survival rate of 42% and a decline to 18% at 3 years,<sup>18</sup> well below the survival rate of other malignancies, such as melanoma and

**Table 2** Statistical Description Chart of Data After Multiple Interpolation

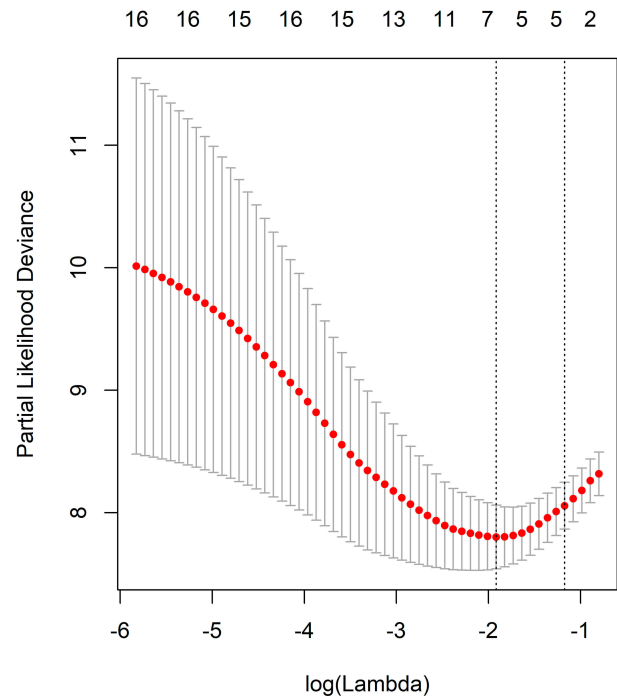
Variables	Overall(n=63)
Age = 1 (%)	36 (57.1)
Gender = 1 (%)	10 (15.9)
ECOG (%)	
0	20 (31.7)
1	38 (60.3)
2	5 (7.9)
Smoking = 1 (%)	29 (46.0)
Pathological = 1 (%)	27 (42.9)
TMB (%)	
0	26 (41.3)
1	18 (28.6)
2	19 (30.2)
PD_L1 (%)	
0	21 (33.3)
1	33 (52.4)
2	9 (14.3)
TNM Stage (%)	
0	4 (6.3)
1	6 (9.5)
2	39 (61.9)
3	14 (22.2)
Number (%)	
0	31 (49.2)
1	18 (28.6)
2	14 (22.2)
Time (%)	
0	21 (33.3)
1	30 (47.6)
2	12 (19.0)
Treatment (%)	
1	32 (50.8)
2	22 (34.9)
3	9 (14.3)
CD4_plus = 1 (%)	27 (42.9)
CD8_plus = 1 (%)	24 (38.1)
CD4_v_CD8 = 1 (%)	29 (46.0)
Autoantibody = 1 (%)	21 (33.3)
NLR = 1 (%)	29 (46.0)
PLR = 1 (%)	31 (49.2)
LDH = 1 (%)	16 (25.4)

**Abbreviations:** ECOG, Eastern Cooperative Oncology Group; TMB, tumor mutation burden; PD-L, programmed cell death-ligand 1; NLR, neutrophil to lymphocyte ratio; TNM, tumor node metastasis; PLR, platelet to lymphocyte ratio; LDH, lactate dehydrogenase.





**Figure 2** LASSO coefficient curve for 18 independent variables (green line: NLR; blue line: smoking index; black line: PD-L1).  
**Abbreviations:** PD-L1, programmed cell death-ligand 1; NLR, neutrophil to lymphocyte ratio.



**Figure 3** Logarithmic (lambda) sequence coefficient distribution.

Hodgkin's lymphoma. Predictive biomarkers for PD-1 treatment are urgently needed in NSCLC.<sup>19</sup> Identifying predictive biomarkers in patients most likely to respond

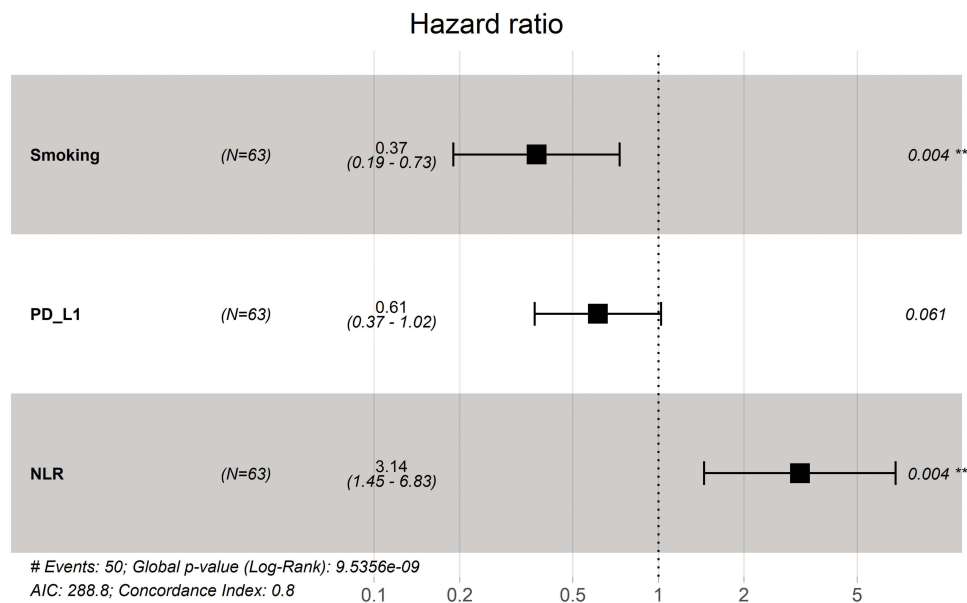
**Table 3** 18 Independent Variable Coefficient

Variables	Coefficient
Age	.
Gender	.
ECOG	.
Smoking	-0.28270097
Pathological	.
TMB	.
PD-L1	-0.07694803
Stage	.
Number	.
Time	.
Treatment	.
CD4+T	.
CD8+T	.
CD4/CD8	.
Autoantibody	.
NLR	0.41033883
PLR	.
LDH	.

**Abbreviations:** ECOG, Eastern Cooperative Oncology Group; TMB, tumor mutation burden; PD-L, programmed cell death-ligand 1; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; LDH, lactate dehydrogenase.

to immunotherapy is a key point in ongoing clinical trials. Tumor PD-L1 expression is the only biomarker approved and studied the most in patients with NSCLC, but it is limited by many biological and technical issues due to its heterogeneous and temporally and spatially varying expression in tumors.<sup>20</sup> Recently, other potential biomarkers have also been studied, such as TMB, immune scores, differentiation cluster 8 (CD8) positive tumor-infiltrating lymphocytes, immune gene markers, and intestinal flora, but so far, no biomarker has consistently demonstrated the ability to effectively screen cancer patients.

This study summarized the clinicopathological characteristics of 63 patients with NSCLC, and selected NLR and smoking index as independent predictors of PFS. In lung cancer, systemic inflammatory status is closely related to poor prognosis.<sup>21</sup> In advanced disease, adverse predictive reactions to NLR in patients treated with platinum-based chemotherapy and targeted therapy have been observed.<sup>22,23</sup> However, the effect of inflammatory states on immunotherapy is unclear. Many conventional blood parameters have been studied as potential inflammatory biomarkers, such as elevated neutrophils, platelets, LDH, hypoalbuminemia, and NLR. Among them, NLR has been used as a representative indicator of systemic inflammation, and its elevation is related to the poor prognosis of various cancers, which is theoretically feasible.

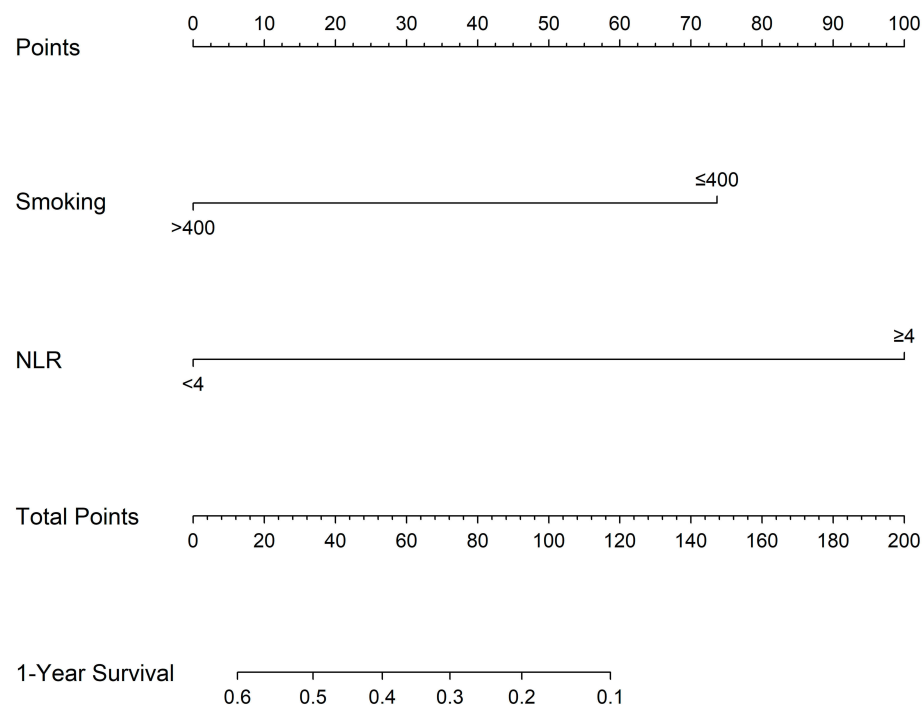


**Figure 4** Multivariate analysis results (\*\*P < 0.05).

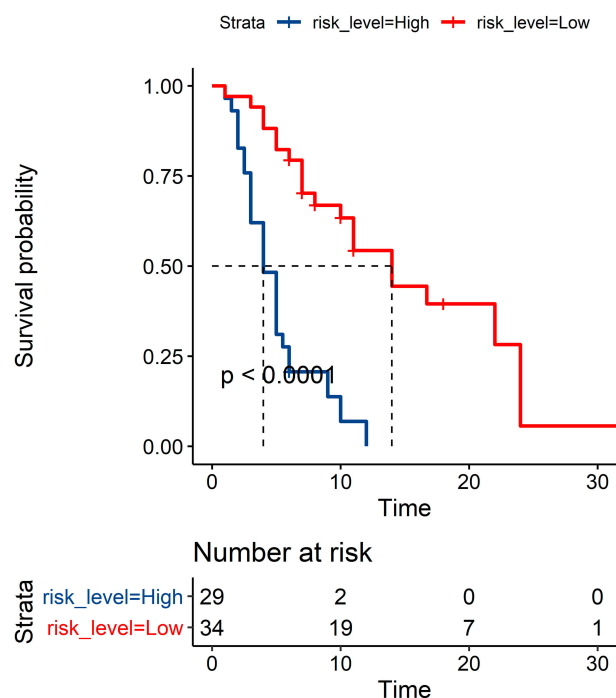
**Abbreviations:** PD-L1, programmed cell death-ligand 1; NLR, neutrophil to lymphocyte ratio; AIC, Akaike information criterion.

Lymphocytes are essential in tumor defense and are associated with a good prognosis.<sup>24</sup> In the tumor microenvironment, neutrophils can be manipulated, including early in the differentiation process, thereby developing different phenotypes and functional polarization and inducing

antitumor or tumor-promoting effects.<sup>25</sup> In the pro-inflammatory state, it will rapidly increase the production of neutrophils and release immature or poorly differentiated neutrophils. The recruitment of these immature neutrophils into the tumor stroma can inhibit apoptosis,



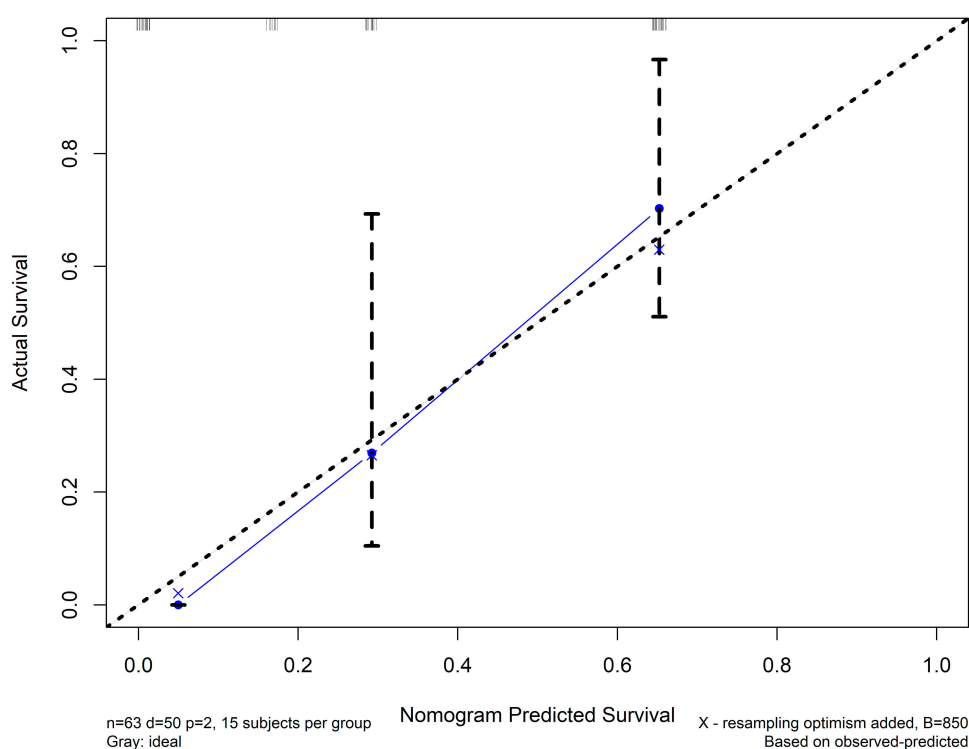
**Figure 5** Non-small cell lung cancer immunotherapy prognosis score (NLCCIPS) column chart: values for each patient are located on each variable axis, and a line is drawn up to determine the number of points obtained for each variable value. The sum of these numbers is on the "total points" axis, and a line is drawn below the survival axis to determine the probability of 1-year progression-free survival.



**Figure 6** K-M method progression-free survival curve for non-small cell lung cancer patients with different risk scores.

promote metastasis and angiogenesis, and cause tumorigenicity.<sup>26</sup>

Recently, NLR has been most extensively studied in solid tumors, and high NLR is associated with a shorter survival rate in patients with multiple tumor types.<sup>27,28</sup> In the advanced melanoma cohort, of which 720 patients were treated with ipilimumab<sup>28</sup> and 512 patients were treated with pembrolizumab<sup>30</sup>, the two largest retrospective analyses reported the independent prognostic value of NLR. Both studies showed that the pro-inflammatory status of ICI-treated melanoma patients is associated with poor prognosis. Jin et al<sup>29</sup> retrospectively analyzed the data of 54 patients with NSCLC treated with anti-PD-1 antibodies (NSCLC). Of 54 patients, 18 (33.3%) had an objective response to treatment. The multivariate analysis indicated that the higher NLR after treatment was an independent prognostic factor for shorter PFS and OS. Zer et al<sup>30</sup> found that baseline NLR  $\leq 4$  in 88 patients treated with PD-1 axis inhibitors was associated with better DCR, time to treatment, time to progression, and OS. Lower NLR and Neutrophil absolute value during



**Figure 7** One-year calibration chart for the progression-free survival model (y-axis represents the actual progress rate. The x-axis represents the predicted risk of progress. The diagonal dotted line represents the ideal prediction of the ideal model) Progression-free survival probabilities are arranged into a cohort from low to high. The cohort is divided into 4 groups according to the quartiles, and then each group of study subjects predicts the progress-free survival probability and the corresponding actual progress-free survival probability (by Kaplan–Meier method), and the two are combined to obtain 4 calibration points. Finally, the 4 calibration points are connected to obtain the predicted calibration curve.



treatment were associated with the response to treatment relative to the duration of treatment. Our results suggested that NLR can be used as a predictor of immunotherapy response and can help make clinical decisions in specific situations. Besides, the calculation of NLR is very simple, easy to obtain, and can be reproduced in nearly all institutions without any additional expenditure, making it very popular.

Our study found that smoking index  $\geq 400$  is a favorable prognostic factor for lung cancer immunotherapy. Garon et al<sup>31</sup> published the results of the longest follow-up study of keynote-001, showing the long-term efficacy of immunotherapy; however, at the same time, this study demonstrated that smokers seemed to be more likely to benefit from immunotherapy among 16 patients who have lived for 5 years, 14 (87.5%) had a history of smoking or were smoking. Borghaei et al<sup>32</sup> found that patients with a history of smoking had longer PFS. Rizvi et al<sup>33</sup> showed that higher nonsynonymous mutations and load in tumors from smokers improve the efficacy of immunotherapy. However, Wang et al<sup>34</sup> found that certain carcinogens in tobacco promote the immune escape of tumor cells, which can induce the increase of PD-L1 expression, and then allow tumor cells to escape T-cell surveillance. Coincidentally, the level of PD-L1 in tumor tissues determines the efficacy of immunotherapy to a certain extent. The higher the expression, the better the efficacy. Therefore, the public cannot simply think that smoking is helpful for the immunotherapy of lung cancer. Smoking is still recognized as the greatest high-risk factor for lung cancer. If you do not smoke, the incidence of lung cancer will drop significantly.

This study developed a new prognostic scoring system including NLR and smoking index—namely, NLCIPS, which can assess the 1-year PFS rate of immunotherapy patients with NSCLC. The results showed that the prognosis of NLCIPS high group and NLCIPS low group was significantly different. Evaluating different biomarkers in a single prognosis score rather than focusing on a single biomarker can better identify patients most likely to benefit from ICIs.<sup>35</sup> Numerous studies have used clinical characteristics and blood biomarkers to study many immune-based prognostic scores, such as lung immune prognosis index (LIPI),<sup>36</sup> advanced lung cancer inflammation index (ALI),<sup>37</sup> and immunotherapy gender-ECOG-NLR-Delta NLR (iSEND),<sup>38</sup> systemic inflammation index (SII),<sup>39</sup> and biochemical-clinical index score (EPSILoN).<sup>40</sup> Mezquita et al<sup>36</sup> proposed the lung immune prognosis index (LIPI) as a method to identify a subgroup of patients with NSCLC and different disease control rates

and survival outcomes after immunotherapy. In several populations treated with PD-1 or PD-L1 inhibitors for NSCLC, the predictive ability of LIPI was validated and a significant prognostic association with survival outcomes was observed. Shiroyama et al<sup>37</sup> proposed the concept of ALI, and the ALI score was calculated as  $BMI \times ALB / NLR$ . An ALI score of  $<18$  was more likely to be associated with poor PFS and early progress. Park et al<sup>38</sup> proposed a multivariate risk prediction model iSEND, which includes clinical and analytical variables (gender, ECOG performance status, NLR and NLR difference before and after treatment). iSEND was good and OS was better than the poor iSEND group. De Giorgi and others proposed the concept of SII in renal cell carcinoma immunotherapy.<sup>41</sup> The specific calculation was  $\text{platelet count} \times \text{neutrophil count} / \text{lymphocyte count}$ . Liu et al<sup>39</sup> found that  $SII \leq 603.5$  was independently associated with longer PFS and OS in 44 patients with advanced lung cancer. These results suggested that NLCIPS may be a new predictive score for immunotherapy, and that larger prospective data are needed to confirm these outcomes. It is worth noting that this study is done in the Chinese population. Therefore, the immunotherapy prognostic score of this study does not have applicability for people outside mainland China.

However, while PD-1/PD-L1 inhibitors and CTLA-4 inhibitors bring long-term and sustained clinical benefits to patients with advanced tumors, they may also cause life-threatening systemic adverse effects (immune-related adverse effects, irAEs). Tartarone et al found in a meta-analysis involving more than 4000 cancer patients that the incidence of adverse reactions of immune checkpoint inhibitors is close to 50%.<sup>42</sup> Sun et al found that the overall incidence of irAEs was 22% for all grades and 4% for high-grade irAEs.<sup>43</sup> Mild irAEs (grade 1–2) are effective for steroid therapy. Patients with grade 3–4 irAEs should be hospitalized or stay in intensive care unit (ICU) to receive systemic steroid treatment; patients whose symptoms have not been relieved after 3d–5d of steroid treatment can be further treated under the guidance of a specialist. Considering the serious side effects of immune checkpoint inhibitors, the immunotherapy prognostic score is very important for selecting NSCLC patients who may have clinical benefit from ICIs treatment.

## Conclusion

Smoking index and NLR are independent predictors of PFS in NSCLC immunotherapy. Non-small cell lung cancer

immunotherapy prognosis scores including NLR and smoking index can predict 1-year progression-free survival of patients.

## Ethical Approval

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

## Informed Consent

Informed consent was obtained from all individual participants included in the study.

## Disclosure

The authors declared that they have no conflicts of interest to this work, and do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted.

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