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#### REVIEW

## Acquired Hypothyroidism in Patients with Metastatic Renal Cell Carcinoma Treated with Tyrosine Kinase Inhibitors

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Sichuan University, Department of Endocrinology and Metabolism, West China Hospital, Chengdu 610064, Sichuan Province, People's Republic of China **Purpose:** We analyze a number of studies that describe the relationship between the onset of hypothyroidism and the prognosis of patients with metastatic renal cell carcinoma (mRCC) treated with TKIs.

**Patients and Methods:** Targeted therapies are currently considered as the first-line treatment for patients with mRCC. The occurrence of hypothyroidism in the treatment of mRCC with TKIs is a major side effect. A comprehensive search was performed in Pubmed, Cochrane Library, Institute for Scientific Information, and CKNI. The following keywords and descriptors were used during the search and were combined in a number of sequences in English and Chinese languages: protein kinase inhibitors (including sunitinib, pazopanib, tivozanib, cabozantinib, axitinib, sorafenib), hypothyroidism and renal cell carcinoma.

**Results:** Scholars have studied the clinical relationship between hypothyroidism and TKI treatment and its molecular biology mechanism. Most studies hold that hypothyroidism occurring during TKI therapy may serve as potential biomarkers of response and efficacy of treatment, but there is no consensus on this point.

**Conclusion:** The mechanism of hypothyroidism occurring is still unclear. Therefore, more studies are needed to clarify whether better outcomes are associated with TKI-induced hypothyroidism in mRCC patients, helping to maximize the treatment outcome of mRCC patients.

**Keywords:** metastatic renal cell carcinoma, mRCC, tyrosine kinase inhibitors, TKIs, hypothyroidism, progression-free survival, PFS, overall survival, OS

#### Introduction

Renal cell carcinoma (RCC) represents approximately 3% of all cancers.<sup>1</sup> Some patients with RCC have distant metastases at the time of initial diagnosis.<sup>2</sup> In the past 2 decades, there was an annual increase of 2% incidence worldwide.<sup>1</sup> Historically, the patients with metastatic renal cell carcinoma (mRCC) had a poor prognosis<sup>3</sup> for the insensitivity of mRCC to radiation and chemotherapy. And surgery was nearly the only standard treatment of localized renal tumor.<sup>4</sup>

Tyrosine kinase inhibitors (TKIs) have been recognized as the first-line treatment of mRCC since 2005, which significantly improve overall survival (OS) and progression-free survival (PFS). A potential side effect of TKIs is the development of hypothyroidism, several studies showed an incidence of hypothyroidism varies from 14 to 70% in mRCC patients treated with sunitinib-a kind of TKIs, but the mechanism was clear.<sup>5</sup> Some scholars indicated that hypothyroidism might serve as

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The aim of this literature review is to evaluate the relationships between acquired hypothyroidism by TKIs and the outcome in patients with mRCC. We focus on whether the emergence of hypothyroidism could be one of the indicators suggesting the prognosis of mRCC patients or not.

## **Materials and Methods**

The literature search was conducted using the following electronic databases: Pubmed, Cochrane Library, Institute for Scientific Information (ISI), and CKNI from January 2005 to November 2019. The databases were consulted to screen out the literatures that specifically focused on hypothyroidism and its potential influence on the outcome of mRCC patients treated with TKIs. The following keywords and descriptors were used during the search and were combined in a number of sequences in English and Chinese languages: protein kinase inhibitors (including sunitinib, pazopanib, tivozanib, cabozantinib, axitinib, sorafenib), hypothyroidism and renal cell carcinoma. The selected studies were chosen based on their title and abstract description. Papers were excluded, which focused on comparing the relationship between hypothyroidism and other carcinoma or did not study the relationship between hypothyroidism and prognosis.

## Literature Review

# Application of TKIs in the Treatment of mRCC

Renal cell carcinoma (RCC) includes different subtypes, endowed with a completely different prognosis, with the most frequent being clear cell (ccRCC 70-80%).<sup>6</sup> About 90% of sporadic ccRCC is detected the mutations or methylation of von Hippel-Lindau (VHL) genes, which regulate the secretion of vascular endothelial growth factor (VEGF).<sup>7</sup> Hypoxia-inducible factors containing VHL complexes degrade themselves automatically in normal cells. But in ccRCC, owing to the dysfunction of VHL complexes, hypoxia-inducible factors accumulate in cells, then activate their downstream hypoxia-driven genes, that including VEGF and other factors involved in angiogenesis, cell growth, and survival, ultimately leading to glucose metabolism confusion, programmed cell death, vascular proliferation, and endothelial stability.<sup>8</sup> Based on it, targeted vascular endothelial growth factor/receptorPrevious studies have highlighted that TKIs could inhibit cellular signaling by targeting multiple receptor tyrosine kinases (RTKs), including the receptors of platelet-derived growth factor (PDGF) and VEGF.<sup>5</sup> TKIs could directly or indirectly inhibit vascularisation of tumors and reducing the volume of tumors. While, the underlying mechanism of action of TKIs is complex and still not enough clear, and the mechanism of action of different kinds of TKIs is not the same.

According to the 2019 National Comprehensive Cancer Network (NCCN) meeting, the most commonly used firstline anti-VEGF targeting drugs were sunitinib, sorafenib, and pazopanib.<sup>9</sup> The European Association of Urology (EAU) renal cell cancer guidelines suggested that sunitinib and pazopanib, both as the first-line anti-VEGF targeting drugs for RCC, could improve PFS and/or OS in patients with mRCC.<sup>1</sup> TARGET study, a Phase III, randomized, double-blind, placebo-controlled trial, showed that the objective response rate of sorafenib in the treatment of mRCC was 11%, and the clinical benefit ratio was 84%. Compared with placebo, sorafenib significantly prolonged the PFS of mRCC patients about 2.7 months and significantly prolonged OS about 3.5 months.<sup>10</sup> An international multicenter, randomized, Phase III clinical trial conducted by Motzer et al, comparing the efficacy of sunitinib and IFN- $\alpha$  in 750 mRCC patients, PFS were 11months in the sunitinib group and 5 months in IFN- $\alpha$  group (P < 0.0001); the objective response ratio were 47% and 12%, respectively (P < 0.0001).<sup>11</sup> Sternberg et al performed a randomized, double-blind phase III clinical trial, showing that pazopanib monotherapy prolonged PFS compared with the patients who did not receive treatment ever (11.1 months vs 2.8 months, P < 0.001).<sup>12</sup>

With the advent of targeted drug therapy for mRCC and the confirmation of its effectiveness of the improving OS and/or PFS, patients could receive longer treatment. Therefore, the adverse events (AEs) caused by TKIs have attracted much more attention, raising challenges in their management.

### Hypothyroidism Induced by TKIs Incidence of Hypothyroidism

Thyroid abnormalities are reported by the use of TKIs such as sunitinib, sorafenib, pazopanib, or others in the class. The most common of abnormality is hypothyroidism, rarely requiring hormone replacement therapy or to suspend the use of TKIs drugs.<sup>13</sup> Thyroid hormones play an important role in the growth, metabolism, and maintenance excitability of the central nervous system. The clinical manifestations of hypothyroidism vary, including a subclinical or overt profile. The incidence rates of hypothyroidism reported by different studies were different, ranging from 14% to 70%. These may be partly be associated with their different clinical observation time or various treatment strategies. For example, with sunitinib, the incidence of hypothyroidism is initially reported at 14% in a phase III clinical trial; however, other studies suggest that the incidence may be more than 50%.<sup>13</sup> Longer use time means better observation of drug efficacy and adverse reactions, which means closer to the actual results.

#### Possible Mechanism of Hypothyroidism

The mechanism of the development of hypothyroidism in patients receiving TKIs are not yet clearly clarified, but some evidence and hypotheses have been put forward: VEGF/R-TKIs block thyroid hormone biosynthesis through thyroid peroxidase inhibition (may react as a noncompetitive inhibitor);<sup>14</sup> increasing type 3 deiodination;<sup>15</sup> TKIs influence iodothyronine transport through inhibiting transmembrane transport of thyroid derived hormones;<sup>16</sup> TKIs inhibit VEGF signaling to reduce fenestration number in thyroid capillary, induce thyroidal capillary regression and progressively deplete thyroid's functional reserve.<sup>17,18</sup> Such as sunitinib, a multitarget tyrosine kinase inhibitor, affects these pathways involved in angiogenesis, apoptosis, or cell proliferation, such as vascular endothelial growth factor receptor (VEGFR), plateletderived growth factor (PDGF) and so on.<sup>19</sup> Whether TKIrelated hypothyroidism is mediated by inhibition of the VEGF pathway or of other molecular pathways is unknown yet.

In fact, the molecular background of thyroid dysfunction in the treatment of RCC is elusive. Recent studies have suggested that a hypothyroid state may suppress cancer, while excessive thyroid hormone can stimulate its growth.<sup>20</sup> Thyroid hormone also causes hyperplasia of human skin microvascular endothelial cells and the formation of new blood vessels.<sup>20</sup> This suggests that endogenous thyroid hormones may be growth factors for several types of tumors.<sup>21</sup> As a highly biologically active thyroid hormone, triiodothyronine (T3) plays an important role in the regulation of renal cell growth, differentiation, and metabolism, just as a study, it had shown that T3 could induce the expression of its EGFR gene in renal tubular cells in RCC, thus leading to potentiate mitogenic stimulation of epidermal growth factor (EGF).<sup>22</sup> As reported earlier, upon stimulation of TR $\beta$ , cells secreted VEGF and basic fibroblast growth factor.<sup>23</sup> That is to say, the thyroid hormone had a promoting effect on tumor cell growth, and hypothyroid state might have an inhibitive effect on tumor cells to a certain extent.

In vitro, Kappers's study results showed that sunitinib caused marked regression of thyroid capillaries in rats, which might explain the occurrence of hypothyroidism by TKIs drugs.<sup>24</sup> In mice, the administration of an experimental VEGF receptor inhibitor or soluble VEGF receptor was associated with pronounced regression of capillaries in the thyroid gland and marked increase in serum TSH levels, which was called capillary regression hypothesis.<sup>17</sup> Llanyee's study showed that sunitinib did not block thyroid peroxidase in patients with mRCC.<sup>25</sup> Mannavola et al found that hypothyroidism was related to inhibition of iodine uptake<sup>26</sup> when evaluating the effects of sunitinib on thyroid function with gastrointestinal stromal tumors. But Andrew's in vitro studies with rat thyroid cells proposed that sunitinib was unlikely to cause hypothyroidism by inhibition of iodide uptake.<sup>27</sup> De Groot's study had pointed out that sunitinib, might act as the same mechanism as imatinib, inducing liver enzymes involved in the catabolism of FT3 and FT4, with most of it being metabolized by sequential deiodination.<sup>28</sup> But no changes in the levels of FT3 and FT4 were observed in Baldazzi et al's studies.<sup>29</sup>

Researchers have hypothesized that the occurrence of hypothyroidism might be due to the direct toxicity of TKIs to the thyroid gland. However, as Baldazzi's clinical studies have found, a patient receiving both sunitinib for mRCC and thyroid hormone replacement for earlier total thyroidectomy was observed to have a TSH level elevation.<sup>29</sup> This finding suggested that the change of thyroid function was completely unrelated to the thyroid gland itself. The interference of the hypothalamic-pituitary -thyroid axis was considered unrelated to hypothyroidism since no other relevant hormone abnormalities have been recorded in such cases.<sup>26,29</sup>

Therefore, the cause of hypothyroidism during the course of treatment with TKI is still not fully understood, and more researches are needed to confirm it.

## The Relationship Between Hypothyroidism and Prognosis

Hypothyroidism is suspected to be able to serve as a predictive factor for the outcome of TKI therapy for

Table I	Current Anal	ysis of TKIs	and Prognosis
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Researcher	Year	Study Type	TKIs	Patients Number	Incidence of Hypothyroidism	PFS (Months)	os	Comparison Object
Vasileiadis et al <sup>30</sup>	2019	Retrospective	Sunitinib	70	30(42.86%)	11.92 vs. 8.63 (p=0.0476)	3.10 vs. 1.08 years (p=0.0011)	Patients had a day-15/baseline TSH ratio<2 vs. patients had a day-15/baseline TSH ratio<2
Buda Nowak et al <sup>33</sup>	2017	Retrospective	Sunitinib	27	12(44%)	28.3 vs. 9.8 (p = 0.022)	NG	Hypothyroid vs. euthyroid patients
Bailey et al <sup>15</sup>	2015	Retrospective	VEGFR-TKIs (Sunitinib/ sorafenib/ pazopanib/ axitinib)	65	25(38.5%)	47.7 vs. 9.3 (P=0.009)	Not reached vs. 21.4 months (P=0.005).	Severe hypothyroidism (TSH > 10 mIU/L) vs. combined euthyroidism and mild hypothyroidism (TSH≤10 mIU/L)
Kust et al <sup>5</sup>	2014	Retrospective	Sunitinib	41	12(29.3%)	25.3 vs. 9.0 (p=0.042)	46.0 vs. 22.1 months (p=0.2052).	Patients with TSH >4 mIU/L and receiving levothyroxine vs. all other patients
Sella et al <sup>22</sup>	2012	Retrospective	Sunitinib	31	16 (52%)	12.2 vs. 9.4 (p = 0.234)	22.4 vs. 13.9 months (p = 0.234)	Hypothyroid vs. euthyroid patients
Sabatier et al <sup>20</sup>	2012	Prospective observational multicenter	Sunitinib	107	54(53%) (102 with normal baseline)	18.9 vs. 15.9 (P = 0.94)	NG	Patients with abnormal thyroid function vs. normal thyroid function
Clemons et al <sup>35</sup>	2012	Retrospective chart review	Sunitinib/ Sorafenib	61	15/34 (44%) sunitinib 6/22 (27%) sorafenib	18.2 vs. 10.1 (P=0 0.01)	NG	Hypothyroid vs. euthyroid patients
Baldazzi et al <sup>29</sup>	2012	Prospective single-center	Sunitinib	22	13(59.1%)	8.55 vs. 7.03 (P<0.05)	NG	Hypothyroid vs. euthyroid patients
Ismael Pinto et al <sup>34</sup>	2012	Retrospective	Sunitinib	50	20(40%)	21.7 and 14.2 (p<0.0001)	NG	Hypothyroid vs. euthyroid patients
Riesenbeck et al <sup>36</sup>	2011	Prospective	Sorafenib/ sunitinib	83	31 (37.3%) sorafenib 52 (62.7%) sunitinib	16.0 vs. 6.0 (P = 0.032).	NG	Hypothyroid vs. euthyroid patients
Schmidinger et al <sup>32</sup>	2011	Prospective	Sunitinib/ sorafenib	83 <sup>a</sup> 78 <sup>b</sup>	30 (36.1%) <sup>a</sup>	17.0 vs. 10.8 (P=0.53) <sup>b</sup>	NR vs. 13.9 months (P=0.016) <sup>a</sup>	Hypothyroid vs. euthyroid patients
P. Wolter et al <sup>31</sup>	2008	Prospectively	Sunitinib	40	28(70%)	10.3 vs. 3.6 (P=0.047)	18.2 versus 6.6 (P=0.13)	Hypothyroid vs. euthyroid patients

**Notes:** <sup>a</sup>Evaluable for incidence of hypothyroidism and OS analysis within 2 months of treatment. <sup>b</sup>Evaluable for PFS analysis within 2 months of treatment. **Abbreviations:** NG, not given in the study; NR, not reached in the study.

mRCC patients, although conclusions are not uniform in this aspect.<sup>5</sup> A lot of studies have been carried out on this point and the results show different stories.

The latest study is finished by Vasileiadis et al It is a retrospective analysis focused on sunitinib, indicating that an elevation of TSH may indicate both longer progression-free survival (PFS: 11.92 vs. 8.63 months, p=0.0476) and longer overall survival (OS: 3.10 vs. 1.08 years, p=0.0011).<sup>30</sup> According to another study carried out by Bailey et al, in patients developing severe hypothyroidism (TSH > 10 mIU/L) after taking VEGFR-TKIs, the mean PFS was 47.7months and OS were not reached during their follow-up, much longer than those who had euthyroidism or mild hypothyroidism (TSH $\leq$ 10 mIU/L),

with statistical significance.<sup>15</sup> In Kust's conclusion, mRCC patients acquiring symptomatic hypothyroidism during their follow-up under the treatment of TKIs experienced significantly longer PFS, but without a difference in OS. Because he found that the OS was not statistically significant in patients with TSH >4 mIU/L and receiving levothyroxine than all other patients (46.0 vs. 22.1 months, p=0.2052).<sup>5</sup> Another study from Wolter et al also found that OS was not statistically significant in patients with acquired hypothyroidism and euthyroid after receiving whereas PFS was TKI treatment, statistically significant.<sup>31</sup> However, Schmidinger et al suggested that the PFS was not statistically significant when comparing the patients developed hypothyroid and those who kept euthyroid, with a mean PFS of 17.0 vs. 10.8 months (P=0.53).<sup>32</sup> Besides, a retrospective study, implemented by Sella et al, concluded that hypothyroidism developed in 52% of their patients treated with sunitinib, maybe associate with a trend toward prolonged PFS and survival, but without statistically significance.<sup>21</sup>

In other studies, when comparing the PFS and OS between patients developed hypothyroidism or not, researchers are more likely to hold that the occurrence of hypothyroidism can suggest a better prognosis, showing longer PFS and OS.<sup>19,29,33–36</sup> As shown in Table 1.

So far, there is no accurate conclusion as to whether hypothyroidism in the treatment of TKIs of mRCC patients could serve as potential biomarkers of response and efficacy of treatment. But in terms of existing research, most studies found that for patients developing hypothyroidism after treating with VEGF/R-TKIs, PFS was reported to extend with statistical significance. And there is insufficient evidence on whether patients' OS can be extended.

More high-quality, multi-center researches should be implemented to help judge its values and assist in further treatment of hypothyroidism.

Normally, for patients with mRCC, the degree of hypothyroidism that occurs during TKI treatment is usually mild and could be easily treated with thyroid hormone replacement therapy.<sup>5</sup> Severe hypothyroidism is not common in patients on therapy with sunitinib. However, in some patients, despite the use of thyroid hormone replacement therapy, abnormally high levels of TSH and severe symptoms have emerged, suggesting that severe clinical toxicity is not necessarily accompanied by prolonged survival and may even lead to substantial symptom burden.<sup>37</sup> However, whether the replacement hormones with L-thyroxine affect

patient survival or not, and the relevance of L-thyroxine dose and prognosis deserves further investigation.

### Conclusion

Up to now, most studies hold that hypothyroidism occurring during TKI therapy may serve as potential biomarkers of response and efficacy of treatment, but there is no consensus on this point. The possible reason may lie in the differences in treatment strategies, test indicators, and follow-up time in different studies, may also be due to the poor consistency of studies conducted in different centers and the large variation between groups. There is a clear need for systematic investigation of management strategies for AEs related to targeted therapies for mRCC. Therefore, further studies are needed to determine whether and how hypothyroidism affects the prognosis of mRCC when treating with TKIs, which could undoubtedly help the doctors to arrange a more reasonable treatment strategy.

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## Disclosure

The authors report no conflicts of interest in this work.

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