ORIGINAL RESEARCH

## MRI-Based Radiomic Signature as a Prognostic Biomarker for HER2-Positive Invasive Breast Cancer Treated with NAC

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**Purpose:** To identify MRI-based radiomics signature (Rad-score) as a biomarker of risk stratification for disease-free survival (DFS) in patients with HER2-positive invasive breast cancer treated with trastuzumab-based neoadjuvant chemotherapy (NAC) and establish a radiomics-clinicoradiologic-based nomogram that combines Rad-score, MRI findings, and clinicopathological variables for DFS estimation.

Patients and Methods: A total of 127 patients were divided into a training set and testing set according to the ratio of 7:3. Radiomic features were extracted from multiphase CE-MRI (CEm). Rad-score was calculated using the LASSO (least absolute shrinkage and selection operator) regression analysis. The cutoff point of Rad-score to divide the patients into high- and low-risk groups was determined by receiver operating characteristic curve analysis. A Kaplan-Meier survival curves and the Log rank test were used to investigate the association of the Rad-score with DFS. Univariate and multivariate Cox proportional hazards model were used to determine the association of Rad-score, MRI features, and clinicopathological variables with DFS. A radiomics-clinicoradiologic-based nomogram combining the Rad-score, MRI features, and clinicopathological findings was plotted to validate the radiomic signatures for DFS estimation. Results: The Rad-score stratified patients into high- and low-risk groups for DFS in the training set (P<0.0001) and was validated in the testing set (P=0.002). The radiomicsclinicoradiologic-based nomogram estimated DFS (training set: C-index=0.974, 95% confidence interval (CI)=0.954-0.994; testing set: C-index=0.917, 95% CI=0.842-0.991) better than the clinicoradiologic-based nomogram (training set: C-index=0.855, 95% CI=0.739-0.971; testing set: C-index=0.831, 95% CI=0.643-0.999).

**Conclusion:** The Rad-score is an independent biomarker for the estimation of DFS in invasive HER2-positive breast cancer with NAC and the radiomics-clinicoradiologic-based nomogram improved individualized DFS estimation.

Keywords: radiomics, breast cancer, prognosis, magnetic resonance imaging

### Introduction

HER2-positive breast cancer (HER2-positive and hormone receptor negative) usually has higher histological grade, more recurrence, and poor prognosis.<sup>1,2</sup> However, the pathological complete response (pCR) to trastuzumab-based NAC of HER2-positive breast cancer has achieved an outstanding effect. Although the pCR rate was able to assess the sensitivity of the tumor to NAC in a short period of time, it is not a substitute for long-term survival. To achieve personalized prognostication, an efficient prognostic biomarker is urgently needed for risk stratification in patients with HER2-positive breast cancer.

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Some studies involving survival analysis of tumors had reported that radiomic features can be used as a biomarker for risk stratification.<sup>11–14</sup> However, studies evaluating Radscore for DFS prediction in HRE2 positive breast cancer treated with NAC are scarce. In addition, previous studies demonstrated the feasibility and potential benefits of radiomics in survival analysis only using the first phase of CE-MRI, which only reflects the spatial heterogeneity of the tumor. Nevertheless, radiomic features derived from multiphases of contrast enhanced MRI images can imply more information changing over time points.<sup>15</sup>

In the present study, we utilized all phases of CE-MRI images calculating new sequential texture features changing over time points. The aim of our study was to identify MRI-based Rad-score as a biomarker of risk stratification for DFS and compare the radiomics-clinicoradiologicbased model and the clinicoradiologic-based model for their abilities in predicting DFS in patients with locally advanced HER2-positive breast cancer treated with NAC.

### Patients and Methods Patient Population

This retrospective study was approved by the medical ethics committee of Fudan university cancer center, which waived informed consent. In total, 127 female patients who underwent conserving breast surgery or radical mastectomy between January 2012 and December 2018 were consecutively enrolled in the study.

Inclusion criteria were as follows: 1) patients had primary invasive HER2-positive breast cancers ( $\geq$ T2, and/or positive nodal status) confirmed by biopsy; 2) patients received complete standard treatment (4~6 cycles of PCH) with no prior treatment before NAC; 3) pretreatment MRI data obtained using the same scanner (1.5-T, Aurora Dedicated Breast MRI System; USA); 4) the course of NAC need to be monitored with MRI; 5) underwent modified radical mastectomy

or breast conservation within 1 month after completion of NAC; 6) available clinicopathologic data (age, menopausal status, tumor size, Ki-67 index, MRI-reported T stage, N stage, surgery type, FGT(fibroglandular tissue), BPE(background parenchymal enhancement), numbers of lesion, enhancement pattern). Exclusion criteria were as follows: 1) Metastasis elsewhere in the body; 2) not complete standard treatment; 3) cancelled surgery or did not undergo surgery at our hospital; 4) poor MR image quality resulting from poor contrast injection or motion artifacts; (5) Occult breast cancer. Finally, 127 patients were enrolled in the study (Figure 1). We have complied with the World Medical Association Declaration of Helsinki regarding the ethical conduct of research involving human subjects. Written informed consents were waived due to the study design. The medical ethics committee of Fudan university cancer hospital approved this retrospective study and confirmed that the data was anonymized and maintained with confidentiality.

Treatment regimen: All patients received 4~6 cycles of PCH regimen (paclitaxel+carboplatin+trastuzumab; paclitaxel: 80 mg/m<sup>2</sup>, carboplatin: AUC=2, herceptin was initially measured at 4 mg/kg and maintained at 2 mg/kg; Once a week, 3-times is a cycle of treatment) and then underwent radical mastectomy or breast conservation.

## Magnetic Resonance Imaging and Imaging Analysis

For all patients, axial breast CE-MR were performed before NAT on a 1.5-T MR scan (Aurora Dedicated Breast MRI System; USA) using a breast unique transmit/receive coil in the prone position. The CE-MRI protocol included: a) T1-weighted fat-saturated precontrast sequence, b) multiple (3 phases) T1-weighted fat-saturated postcontrast sequences that were acquired after intravenous administration of a 0.1 mmol/kg dose of Gd-DTPA (Magnevist; Bayer-Schering Pharma, Berlin, Germany). Scan parameters were TR/TE=29.0/4.8 ms; FOV=36 cm×36 cm; matrix=360×360; 108 slices; slice thickness=1.5 mm. The number of single-phase scanning layers was 108.

### MRI and Clinicopathological Evaluations

According to the American College of Radiology Breast Imaging Reporting and Data System (BI-RADS) MR lexicon, the MR images of all cases were retrospectively evaluated by two radiologists (QL and QX, with 8 and 15 years of experience in breast MRI, respectively) in consensus.

1	Potentially eligible patients (n=176)
inclusion criteria	<ul> <li>(1) primary invasive HER2-positive breast cancers (≥T2, and/or positive nodal status) confirmed by biopsy</li> <li>(2) received standard treatment(4~6 cycles of PCH) with no prior treatment before NAC</li> <li>(3) pretreatment MRI data obtained using the same scanner</li> <li>(4) the course of NAC need to be monitored with MRI</li> <li>(5) underwent modified radical mastectomy or breast conservation within one month after completion of NAC</li> <li>(6) available clinicopathologic data</li> </ul>
excluded cases	<ol> <li>Metastasis elsewhere in the body (n=12)</li> <li>not complete standard treatment (n=8)</li> <li>cancelled surgery or not undergo surgery at our hospital (n=10)</li> <li>poor MR image quality resulting from poor contrast injection or motion artifacts (n=15)</li> <li>Occult breast cancer (n=4)</li> </ol>
↓ 127 patients we	ere included

Figure I Flow chart of patient recruitment.

The histopathological results of core needle biopsies and surgical specimens were reviewed by immunochemistry (IHC), including quantitative assays for estrogen receptor (ER), progesterone receptor (PR), human epidermal growth factor receptor 2 (HER2), and Ki-67 expression status. HER2-positive were defined as ER negative, PR negative, and HER2 positive.<sup>16</sup> The ER and PR status were assessed as positive only when at least 1% of tumor cells showed nuclear staining, respectively<sup>10</sup>. Tumors with HER2 scores of 3+ based on IHC studies were considered positive. In the cases of 2+ scores, HER2 gene amplification was determined by fluorescent in situ hybridization (FISH).

Pathological response was determined by pathologist. pCR was defined as surgical specimens having no invasive tumor cells in the breast and axillary lymph nodes (ypT0/is+ypN0).

The survival endpoint of this study was disease-free survival (DFS). DFS was the time from surgery to events, including tumor recurrence in ipsilateral breast, the diagnosis of a second primary cancer, or death from any reason. In the analysis, patients having no recurrence at the last follow-up or lost to follow-up were recorded as censored.

### Tumor Masking and Inter-Observer Reproducibility Evaluation

When drawing the outline of the tumor, we obey the following principles. First, regardless of whether the lesion presented mass or non-mass enhancement, tumor regions of interest (ROI) were drawn manually on each slice along the contour of the tumor on the first postcontrast CE-MRI scan (the peak enhanced phase of the multiphase CE-MRI where the border of the lesion was the most obvious) to get the 3D segmentation of the whole tumor. Second, if the tumor is a unilateral multifocal lesion, the largest one will be selected as the object. And then the 3D ROI contour based on the first postcontrast phase were propagated to the pre-contrast and the other two postcontrast phases of CE images. The contour line of the whole tumor was performed on the 3D-Slicer software (version 4.10.2, https://www.slicer.org).

Inter-observer reproducibility of ROI detection and radiomic feature extraction were measured using 30 randomly chosen samples. Two experienced radiologists (QL and QX) performed the ROI delineation independently, and then radiomic features extracted from the above two ROIs were compared to get the inter-class correlation coefficient. An ICC score greater than 0.8 was interpreted to reach satisfactory agreement. ICC for radiomic features was defined as high (ICC $\geq$ 0.8), medium (0.8>ICC $\geq$ 0.5), or low (ICC<0.5).

### Feature Extraction and Selection

Radiomic features were calculated with PyRadiomics package in the Python software (v. 3.7, Python Software Foundation, <u>https://www.python.org/</u>). In total, three groups of imaging features (<u>Supplementary material 1</u>) for each patient were extracted from normalized pretreatment CE-MRI scans: Group 1 (CE<sub>shape</sub>) consisted of 14 shape-based features derived from the first postcontrast CE-MRI images; Group 2 (CE<sub>texture</sub>) comprised 93 texture features based on each phase CE-MRI independently, yielding 372 features; Group 3 (CE<sub>sequential</sub>) was comprised of 930 sequential features, which were calculated from 93 texture features to characterize the textural changes over time points. In group 3, 10 new sequential features from each texture feature were calculated (Algorithms for the 10 new sequential features are shown in Supplementary material 2). Six (mean, variance, kurtosis, skewness, energy, and entropy) out of the above 10 sequential features were extracted from each individual subject, and the other four (including Kendall-tau-b, conservation, stability, and dispersion) of the 10 ones were calculated from the interactive information between one subject and the remainder of the subjects. All these features have been applied in previous radiomic studies.<sup>15</sup>

Correlation analysis and LASSO Cox regression analysis were performed to select the features. In correlation analysis, cutoff 0.75 was adopted to exclude the redundancy features with high correlation with each others. LASSO Cox regression was conducted to choose the optimized predictive feature subcohort. The LASSO is shown in Figure 2.

## Radiomics Signature Building and Validation of Rad-Score

We split the patients into two cohorts according to a 7:3 ratio using stratified random sampling method, one was the training set (n=89), the other was the testing set (n=38). The training set were used to construct the predictive model, and the testing set to validate the model. Patients' characteristics were compared between the training set and the testing set. Continuous variables were compared using an analysis of variance (ANOVA), and categorical variables using chi-squared test or Fisher's exact test. In the training set, the most useful predictive radiomics features were selected by using the LASSO. Next, the Rad-score was calculated for each patient by summing the remaining features multiplying their corresponding coefficients.

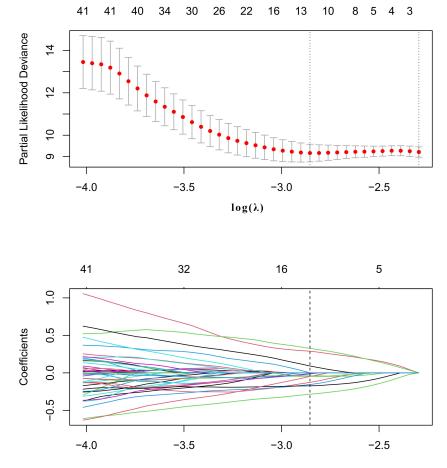


Figure 2 The least absolute shrinkage and selection operator (LASSO) method was used for feature selection.

The potential association of the Rad-score with DFS was assessed in the training set and validated in the testing set. The optimal thresholds of the Rad-score were identified using receiver-operating characteristic (ROC) curve analysis. Patients were classified into high-risk or low-risk groups according to the threshold of Rad-score. Youden's J Index with the maximum value (sensitivities +specificities) was used to calculate the optimal thresholds. Survival between the high- and low-risk groups were analyzed using the Kaplan–Meier curves and differences in the survival between the two sets were compared with Log rank tests.

# Development and Validation of the Radiomics Nomogram

In the training set, the effects of clinicopathological variables (age, menopausal status, T stage, N stage, and Ki-67 status), morphologic factors obtained via MRI (enhancement pattern, multifocal or multicenter, mass shape, mass margin, internal enhancement pattern, type of surgery and PCR), and Rad-score on DFS were analyzed using the univariate and multivariates Cox proportional hazards model. Significant variables in the univariate Cox proportional hazard model (P<0.1) were included in the multivariates analysis. To overcome the multicollinearity, stepwise selection based on the Akaike information criterion (AIC) were performed.

The clinicoradiologic-based model incorporated independent MRI features and clinicopathological variables based on univariate and multivariate Cox analysis with stepwise selection.

To demonstrate the value of the radiomics features, we combined the Radscore, MRI features, and clinicopathological variables into Cox regression analysis to choose the final feature subset. After that, the radiomics-clinicoradiologicbased nomogram was built. At last, the clinicoradiologicbased and the radiomics-clinicoradiologic-based nomogram were assessed in the training set, and then validated in the testing set. The predictive ability and discrimination of the model was evaluated using a C-index (index of probability of concordance). The value of the C-index ranges from 0.5-1.0, with 1.0 indicating a perfectly accurate discrimination between the predicted probability and actual outcome, and 0.5 indicating no discriminative ability. The nomograms were subjected to bootstrapping validation (1000 bootstrap resamples) to obtain a relatively corrected C-index. When dividing patients, the same procedures were performed

additional three times to improve statistical robustness. The mean C-index of the clinicoradiologic-based and the radiomics-clinicoradiologic-based nomogram in the additional divisions were calculated.

### Statistical Analysis

All descriptive statistics were summarized with mean  $\pm$ standard deviation (SD). Categorical variables between the training set and testing set were compared with the  $X^2$  test or Fisher's test, and quantitative variables with the *t* test or Mann–Whitney *U*-test. All statistical analysis and statistical drawing were performed by R (version 3.6.1 www.r-project.org). *p* less than 0.05 was considered statistically significant. The "glmnet" package was used to perform Cox regression model analysis. The "rms" and "hdnom" packages were used to analyze Kaplan–Meier curve, nomogram construction, and calibration plot. Some R functions were modified to apply to the data.

### Results

### **Clinical Characteristics**

A total of 127 lesions from 127 patients (age range=26–85 years; mean age=51.2 years) were ultimately analyzed. After a mean follow-up period of 39.31 months (range=3–78 months), there were 18 recurrences (three locoregional recurrence, five lung and lymph node metastases, four bone and lymph node metastases, three brain metastases, and three liver metastases). The mean time to recurrence was 16.78 months (range=3–35 months). There was no significant difference in clinical features and MRI morphology between the training set and testing set (shown in Table 1).

### Selected Features and Rad-Score Building

ICC for radiomic features was from 0.9522–0.9762 between the two radiologists QL and QX. Two radiologists generally agreed on the delineations.

The final features and their coefficients are shown in Figure 3. Rad-score was calculated by summing the remained features and multiplying their corresponding coefficients. The median Rad-score was 0.0000 (range= $-1.5546 \sim 1.9443$ ). The optimum threshold generated by the ROC curve was -0.2523 (95% CI= $0.474 \sim 0.864$ ), and the AUC was 0.880 (95% CI= $0.794 \sim 0.965$ ). According to the optimum threshold, patients were classified into high-risk groups (Rad-score $\geq$ -0.2523) and low-risk groups (Rad-score<-0.2523). Rad-score was shown to be associated with the DFS in the training set

Characteristics	Training Set (n=89)	Testing Set (n=38)	P- value
Age, mean (SD), years	51.57±10.88	51.47±9.40	0.959
Tumor size	4.01±1.80	3.95±1.70	0.856
Rad-score	-0.02±0.65	0.06±0.65	0.499
Menopausal status			0.473
Premenopausal	27 (27.78%)	14 (35.61%)	
Postmenopausal	62 (72.22%)	24 (64.39%)	
Enhancement pattern			0.411
Mass	65 (73.03%)	25 (65.79%)	
Non-mass	24 (26.97%)	13 (34.21%)	
Multifocal or multicenter			0.940
Present	24 (26.97%)	10 (26.32%)	
Absent	65 (73.03%)	28 (73.68%)	
Mass shape			0.841
Round/oval	8 (8.99%)	3 (7.89%)	
Irregular	81 (91.01%)	35 (92.11%)	
Tum margin			0.598
Circumscribed	42 (47.19%)	16 (72.73%)	
Not	47 (52.81%)	22 (27.27%)	
circumscribed			
Internal			0.227
enhancement			
Homogeneous	11 (12.36%)	2 (5.26%)	
Heterogeneous	78 (87.64%)	36 (94.74%)	
Pre-NAC T stage			0.740
T2	63 (70.79%)	28 (73.68%)	
Т3	26 (29.21%)	10 (26.32%)	
Pre-NAC N stage			0.400
N0	30 (33.71%)	9 (23.68%)	
NI	44 (49.44%)	23 (60.52%)	
N2	5 (5.62%)	2 (5.26%)	
N3	10 (11.23%)	4 (10.54%)	
Ki67			0.220
High	72 (80.89%)	27 (71.05%)	
Low	17 (19.11%)	11 (28.95%)	
Type of surgery			0.234
Conservation	17 (19.10%)	4 (10.53%)	
Radical surgery	72 (80.90%)	34 (89.47%)	
PCR			0.22
Yes	32 (35.95%)	22 (57.89%)	
No	57 (64.05%)	16 (42.11%)	

Table IClinicalCharacteristicsBetweenTrainingSetTestingSet

Abbreviations: NAC, neoadjuvant chemotherapy; PCR, pathological complete response; SD, standard deviation.

(P<0.001) by Kaplan–Meier curves and this finding was confirmed in the testing set (P=0.002) (Figure 4). Univariate analysis suggested that Rad-score had a strong predictive value for DFS (DFS: hazard ratio [HR]=43.97, 95% CI=12.16~159.00, P<0.0001) and was validated in the testing set (DFS: hazard ratio [HR]=16.97, 95% CI=3.14~91.54, P=0.002) successfully, and then it was confirmed by multivariates analysis in the training set (DFS: HR=10.276, 95% CI=2.511~42.048, P=0.001) and in the testing set (DFS: HR=10.953, 95% CI=1.168~51.64, P=0.036).

Clinicopathologic and MRI features were taken into univariate and multivariate analysis, respectively. The univariate analysis revealed that Rad-score, N stage, tumor margin, tumor size, and the enhancement type of tumor were significant biomarkers (Table 2). In the multivariate Cox proportional hazards model, Rad-score, N stage, and the enhancement type of tumor remained independent prognostic factors (Table 3).

# Performance and Validation of the Radiomics Nomogram

The C-index of the two kinds of model for the prediction of poorer recurrence outcome in training set is shown in Table 4. A radiomics-clinicoradiologic-based nomogram that incorporated the significant factors and Radscore was established (Figure 5). The calibration curve of the radiomics-clinicoradiologic-based nomogram for estimating DFS outcome showed accurate discrimination between prediction and observation both in the training set and testing set (Figure 6).

### Discussion

In this study, we demonstrated the prognostic value of radiomic features derived from multiphases CE-MRI on patients with HER2-positive breast cancer treated with NAC. Compared with the clinicoradiologic-based nomogram, the radiomics-clinicoradiologic-based nomogram had superior prognostic performance in DFS estimation. A visualized nomogram identified the significant contribution of Radscore to the prediction of DFS.

Previous studies<sup>11,12,17,18</sup> have confirmed the value of radiomic signatures for DFS prediction in patients with tumors of various organs. In our study, the LASSO Cox model identified 12 potential features obtained from  $CE_{sequential}$ , which were calculated by textural changes

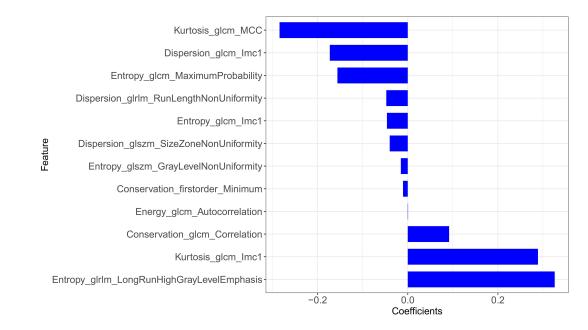


Figure 3 The potential features and their coefficients after LASSO.

based on the four phases of DCE images over time points. The 12 selected radiomic features comprised of four features calculated from Entropy, three from Dispersion, two from Kurtosis, two from Conservation, and one from

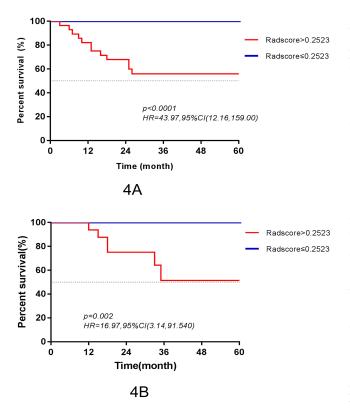


Figure 4 Kaplan–Meier survival curves according to the Rad-score for patients in the training set  $({\bf A})$  and testing set  $({\bf B}).$ 

Energy. Among them, the importance of Kurtosis and Entropy in reflecting textural heterogeneity within the tumor had been emphasized in other studies.<sup>5,19-21</sup> In addition, seven of 12 potential features were calculated from GLCM (cluster tendency of gray level co-occurrence matrix) which may take into more account the interaction between neighboring pixels and time points than a single pixel value. GLCM had also been confirmed in assessing the tumor heterogeneity.<sup>22</sup> Our result revealed that Radscore had a high value in predicting DFS which was confirmed by Kaplan-Meier survival curves and the Log rank test in the training set (P < 0.0001) and in the testing set (P=0.002) respectively. One possible interpretation of our result is that sequential texture features derived from multiphaseS CE-MRI may capture information of both spatial heterogeneity and tumor perfusion. Furthermore, the sequential texture features changing over time points had been identified to be useful in the subtype classification of breast cancer.15

Our study found that the radiomics-clinicoradiologicbased combined nomogram achieved higher prognostic performance than the clinicoradiologic-based nomogram with a higher C-index and better calibration. Therefore, the radiomics-clinicoradiologic-based nomogram could serve as an effective noninvasive biomarker for predicting DFS in patients with HER2-positive breast cancer treated with NAC. Of the clinicopathologic risk factors, N<sub>stage</sub> and the enhancement type of tumor contributed to predicting DFS.

Characteristics	Log Rank Test		
		X <sup>2</sup>	P-value
Age	>47 ≤47	37.821	0.386
Tumor size	>2.7 ≤2.7	5.706	0.017
Enhancement type	Mass Non-mass	2.848	0.091
Multifocal or multicenter	Present Absent	1.030	0.310
Mass shape	Round/oval Irregular	0.998	0.318
Tumor margin	Circumscribed Not circumscribed	2.768	0.096
Internal enhancement	Homogeneous Heterogeneous	1.742	0.187
Site of tumor	Right Left	1.118	0.29
Pre-NAC Tstage	2 3	1.024	0.312
Pre-NAC Nstage	0 1 2 3	57.583	<0.001
Menopausal status	Premenopausal Postmenopausal	0.028	0.868
Ki67	High Low	1.928	0.165
PCR	Yes No	0.700	0.403

Table 2UnivariateAnalysisofDisease-FreeSurvivalintheTraining Set

Abbreviations: NAC, neoadjuvant chemotherapy; PCR, pathological complete response.

In clinical practice, the  $N_{stage}$  that is prognostically significant for overall survival and DFS<sup>23</sup> is indicated by the regional lymph node burden, size, and location. There is no evidence that non-mass enhancement (NME) breast cancer has a worse prognosis than mass enhancement (ME) type. However, previous literature<sup>24</sup> confirmed that HER2positive breast cancer is more likely to be accompanied by malignant NME lesions. In our study, the rates of non-mass enhancement lesions achieved 28.35% (38/127), and the result indicated that the mortality rate of patients with

Table 3	Multivariate	Analysis	of	Disease-Free	Survival	in	the
Training	Set						

Characteristics		HR	95.0% CI	P-value
Radscore		10.276	2.511~42.048	0.001
Nstage	0 1 2 3	Ref 0.65 25.327 16.304	0.058~7.256 2.449~261.877 2.229~119.269	0.727 0.007 0.006
Enhancement type		0.126	0.021~0.739	0.022
Tumor margin		0.514	0.098~2.691	0.431
Size		0.000	0.000~3.090E+209	0.961

Abbreviations: HR, hazard ratio; CI, confidence interval.

**Table 4** Performance of the Two Nomogram for Prediction ofOutcomes

Nomogram	Training		Testing		
	C- Index	95% CI	C- Index	95% CI	
Radiomics- clinicoradiologic based	0.974	0.954~0.994	0.917	0.842~0.991	
Clinicoradiologic based	0.855	0.739~971	0.831	0.643~0.999	

Note: C-index, index of probability of concordance.

NME is 3.83-times higher than that of patients with ME type in the training set (P=0.09). Another study<sup>25</sup> suggested that HER2 positivity was significantly more common in NME type than that in the ME type. Our study identified that the type of enhancement may be beneficial for risk stratification in HER2-positive breast cancer treated with NAC. Although the mechanism is unclear, N stage and enhancement type on pretreatment MRI might provide meaningful information for predicting prognosis of HER2-positive invasive breast cancer in our model.

Besides, radiomics-clinicoradiologic-based model appears to be very robust. Most studies used mass lesions only, which might not reflect the true characteristics of HER2-positive invasive breast cancer. Several reports<sup>26,27</sup> suggested that non-mass enhancement and multifocal or multicentric tumors were more frequently seen in HER2-positive subtype. In our study, the rates of non-mass enhancement and multifocal lesions were 28.35% (38/127) and 25.20% (32/127), respectively. So it is scientific

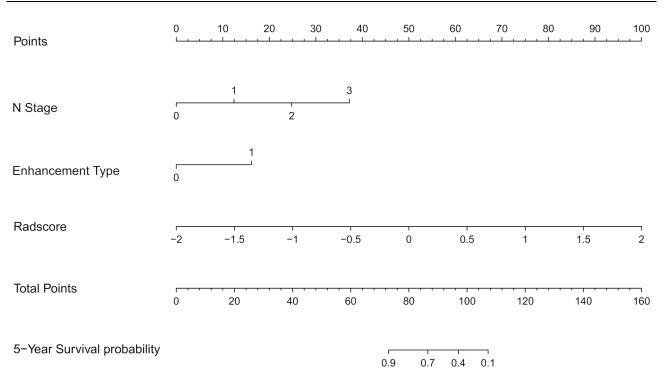


Figure 5 The radiomics-clinicoradiologic-based nomogram was developed in the training set. The Rad-score was determined by drawing a vertical line to the points' axis to determine how many points towards the probability of DFS for the patient. The process was repeated for  $N_{stage}$  and enhancement type. The points of the three risk factors were summed, and then the final points located on the Total Point axis.

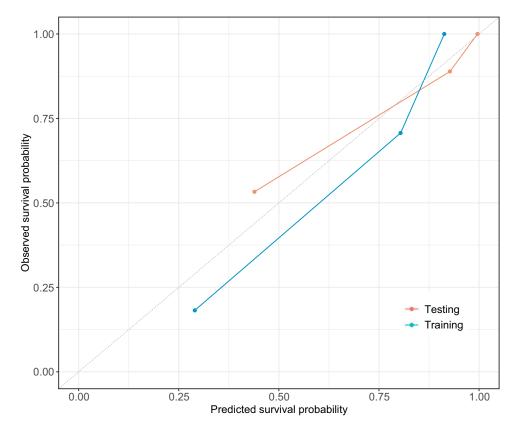


Figure 6 Calibration curves of radiomics-clinicoradiologic-based nomogram in the training set (Training) and testing set (Testing). The dashed line indicates a perfect match between the actual probability (y-axis) and the nomogram-predicted probability (x-axis).

to include non-mass enhancement and multifocal cases in the study. While, in some studies,<sup>6,28</sup> non-mass enhancement and unilateral multifocal cases were excluded, our model performed well without regard to tumor morphology and number.

Our study had several limitations to be acknowledged. First, previous studies<sup>29,30</sup> have shown that radiological characteristics may be affected by differences in MRI magnetic intensity. In our research, all patients underwent MRI examination with the same scanner and treated with consistent regimens. Second, all patients of this retrospective study were recruited from a single center. Third, although inter-reader reliability was almost perfect and the readers made decisions in consensus finally, selection bias in the classification of enhancement type was inevitable. Last, the study lacked an independent validation cohort. In the future work, adequate patient follow-up will be entailed to externally validate our results.

### Conclusion

In conclusion, our studies showed that MRI-based Radscore can be used as the potential biomarker for risk stratification for DFS in patients with HER2-positive invasive breast cancer treated by NAC. The radiomics-clinicoradiologic-based nomogram may potentially be useful for personalized treatment strategies.

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### Disclosure

Shaofeng Duan is an employee of GE Healthcare China. The authors of this manuscript declare no other potential conflicts of interest in this work.

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