#### REVIEW

# Educational and behavioral interventions for asthma: who achieves which outcomes? A systematic review

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<sup>1</sup>Center for Managing Chronic Disease, University of Michigan, Ann Arbor, MI, USA; <sup>2</sup>Primary Care, Queen Mary's School of Medicine and Dentistry, Barts and the London Centre for General Practice and Primary Care, London, UK; <sup>3</sup>Department of Respiratory Medicine, Imperial College London, London, UK

Correspondence: Noreen M Clark Myron E. Wegman Distinguished University Professor Director, Center for Managing Chronic Disease, University of Michigan, 1415 Washington Heights, Ann Arbor, MI 48109-2029, USA Tel +1 734 763 1457 Fax +1 734 763 9115 Email nmclark@umich.edu **Objectives:** Randomized clinical trial (RCT) data reviewed for outcomes and processes associated with asthma educational and behavioral interventions provided by different types of health professionals.

**Methods:** Cochrane Collaboration, MEDLINE, PUBMED, Google Scholar search from 1998 to 2009 identified 1650 articles regarding asthma educational and behavioral interventions resulting in 249 potential studies and following assessment produced a final sample of 50 RCTs.

**Results:** Approaches, intended outcomes, and program providers vary greatly. No rationale provided in study reports for the selection of specific outcomes, program providers, or program components. Health care utilization and symptom control have been the most common outcomes assessed. Specific providers favor particular teaching approaches. Multidisciplinary teams have been the most frequent providers of asthma interventions. Physician-led interventions were most successful for outcomes related to the use of health care. Multidisciplinary teams were best in achieving symptom reduction and quality of life. Lay persons were best in achieving self-management/self-efficacy outcomes. Components most frequently employed in successful programs are skills to improve patient–clinician communication and education to enhance patient self-management. Fifty percent of interventions achieved reduction in the use of health care and one-third in symptom control. A combination approach including self-management and patient–clinician communication involving multidisciplinary team members may have the greatest effect on most outcomes.

**Conclusions:** The extent to which and how different providers achieve asthma outcomes through educational and behavioral interventions is emerging from recent studies. Health care use and symptom control are evolving as the gold standard for intervention outcomes. Development of self-management and clinician-patient communication skills are program components associated with success across outcomes and providers.

Keywords: interventions, asthma, health professionals, systematic review

#### Introduction

Nonpharmacological interventions to support children and adults with asthma include self-management education and support, information giving, behavioral change techniques, and efforts to enhance communication between the person with asthma and health care professionals. These diverse interventions have been provided by an equally diverse range of individuals from physicians to nurses, multidisciplinary teams, pharmacists, and lay educators. Evaluation in these trials has utilized a variety of outcome measures. This review set out to determine the type of interventions offered by various professionals and what type of outcomes they achieve.

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In the past decade, the quality and quantity of asthma educational and behavioral interventions have increased, probably as a result of high asthma prevalence, advances in the understanding of clinical management and management by patients, and increasing interest in theories of education and behavior change.<sup>1–3</sup> Educational and behavioral programs evaluated in clinical trials have been delivered by a variety of health professionals and lay people. Rigorously evaluated interventions have aimed at achieving a variety of outcomes and have used a variety of program components.

The financial and material costs of delivering interventions for asthma, although not always discussed in reports of findings, can be expected to differ, at least, according to whose time must be covered to implement the program. Theoretically, different types of program providers may differ in their ability to produce desired outcomes. For example, it may be that clinicians could be expected to be more able to address correct use of medical regimens and lay persons more able to advise regarding day-to-day barriers to managing asthma effectively. However, such assumptions have not been tested empirically.

This review of interventions aimed at (a) describing the outcomes of clinical trials of asthma educational and behavioral interventions undertaken by different types of providers in the past decade and (b) exploring differences in program components employed by them.

### Methods

Articles appearing in the English language in the Cochrane Collaboration Data Base, MEDLINE, PUBMED, and Google Scholar were searched. Reference lists on identified articles were also searched. Search terms included asthma self-management, asthma behavior, asthma randomized controlled trials, asthma outcomes, asthma education, and asthma patient education. Inclusion criteria were publication in a peer-reviewed journal between 1990 and 2009; randomized clinical trial (RCT) to assess an educational or behavioral intervention for asthma; and evidence of statistical assessment of asthma-related outcomes on at least one variable including asthma symptoms, pulmonary function, medicine use, psychosocial factors, days absent from work or school, days of restricted activity due to asthma, selfmanagement, self-efficacy, quality of life, emergency department use, hospital in-patient stays, and office visits. Success in achieving outcomes was accepted as statistical difference between interventions and control in a patient sample of at least 100 subjects. Virtually no study provided sample size calculations, and as asthma outcomes related to health care use generally require larger samples, 100 was considered a generous cut point. Studies failing to meet all of these criteria were excluded.

The initial search was broad, accepting any article related to evaluation of social and behavioral interventions to ensure a comprehensive view of available work, and generated 1650 articles. Preliminary application of study criteria identified 249 potential studies for inclusion that met one or more criteria. Further review of these investigations by two independent reviewers yielded 50 RCTs that fully met all inclusion criteria. No individual authors were contacted for information. No further review of methodological quality of the studies was conducted beyond that it appeared in a peer review journal and comprised an RCT. The 50 eligible articles were again closely examined by two individuals and data extracted using a standard protocol regarding target population, sample size, program provider, program content, intervention components, processes, and outcomes. Comparison among provider type was computation of differences between percent of successful program to number attempted. No further statistical analyses were employed.

## Results

The majority of the 50 RCT evaluated programs were conducted by teams of providers (n = 20) and the least by pharmacists (n = 4). Just above 28% were offered to adults with asthma, just under 65% were for children, and 7% included both.

Table 1 illustrates that among the most frequently studied outcomes (health care use, symptoms, self-management/ self-efficacy, and quality of life), health care use was the outcome most frequently reported. In the majority of studies, no delineation was made as to which were primary versus secondary goals of the research. A number of studies described more than one outcome resulting from the program, and not all reported about the same or included all the major outcomes. Table 2 provides the outcomes achieved in programs by provider type. Considering the number of interventions undertaken by type of provider and the number reporting success achieving health care use reductions, physicians had a 83% success rate (ie, the percent of times positive health care reduction outcomes were reported given the number of studies by that type of provider), nurses reported success in 73% of their undertakings, pharmacists reported no success, multidisciplinary teams reported 50% success, and lay people 35% success. For reports of symptom reduction, reported success for physicians was 33%, nurses 36%, pharmacists 50%, multidisciplinary teams 51%, and lay

Table	I Studies by	provider and ma	jor outcomes	(symptoms,	health car	e use, quality	of life, se	elf-management/se	elf-efficacy)

Health care use	Symptom reduction	Quality of life	Self-management/ self-efficacy
Physicians (programs n = 5)			
Cabana et al⁴	Glasgow et al <sup>6</sup>	_	-
Clark et al⁵	Yoon et al <sup>9</sup>	_	-
Glasgow et al <sup>6*</sup>	_	_	-
Hoskins et al <sup>7</sup>	_	_	-
Moudgil et al <sup>8</sup>	_	_	-
Nurses (programs n = 12)			
Bolton et al <sup>10</sup>	Becker et al <sup>18</sup>	Abdulwadud et al <sup>20</sup>	-
Charlton et al <sup>11</sup>	Clark et al <sup>13</sup>	Cleland et al <sup>21</sup>	-
Choy et al <sup>12</sup>	Levy et al <sup>14</sup>	_	-
Clark et al <sup>13</sup>	Madge et al <sup>15</sup>	_	-
Levy et al <sup>14</sup>	Wilson et al <sup>19</sup> *	_	-
Madge et al <sup>15</sup>	_	_	-
Webber et al <sup>16</sup>	_	_	-
Wesseldine et al <sup>17</sup>	-	_	-
Pharmacists (programs $n = 4$ )			
Weinberger et al <sup>22</sup> (increased)	Armour et al <sup>23</sup>	Stergachis et al <sup>25</sup> *	-
-	Barbonel et al <sup>58</sup>	_	-
Teams of providers (programs $n = 20$ )			
Butz et al <sup>26</sup>	Bruzzese et al <sup>36</sup>	Butz et al <sup>26</sup>	Chiang et al <sup>27</sup>
Chiang et al <sup>27</sup>	Cano-Garcinuno et al <sup>37</sup>	Krieger et al <sup>30</sup>	Clark et al <sup>38</sup>
Ghosh et al <sup>28</sup> *	Clark et al <sup>38</sup>	Lahdensuo et al <sup>31</sup>	Griffiths et al <sup>44</sup>
Glasgow et al <sup>6*</sup>	Garrett et al <sup>39</sup>	Magar et al⁴0	-
Karnick et al <sup>29</sup>	Griffiths et al⁴	Shames et al <sup>43</sup>	-
Krieger et al <sup>30</sup>	Krieger et al <sup>30</sup>	_	-
Lahdensuo et al <sup>31</sup>	Magar et al <sup>40</sup>	_	-
Robinson et al <sup>32</sup>	MeGhan et al⁴	_	-
Splett et al <sup>33</sup>	Sullivan et al <sup>42</sup>	_	-
Walders et al <sup>34</sup>	Yoon et al <sup>9</sup>	_	-
Zeiger et al <sup>35</sup>	Zeiger et al <sup>35</sup>	_	-
Lay person (programs n = 9)			
Adams et al <sup>45</sup>	Canino et al <sup>48</sup>	Henry et al <sup>49</sup>	Bonner et al <sup>51</sup>
Bryant-Stephens and Li <sup>46</sup>	_	Shah et al <sup>50</sup>	Griffiths et al <sup>24</sup>
Partridge et al <sup>47</sup>	_	-	Turner et al <sup>52</sup>
(outcomes compared against nurses)			
Total n = 28	21	10	6

Note: \*No significant results.

people 11%. Multidisciplinary teams reported achieving quality of life outcomes in 50% of the studied programs and lay persons' self-management and/or self-efficacy outcomes in 33% of programs.

Auxiliary outcomes of a more mediating or psychosocial type beyond the most frequently reported major outcomes were described in some studies. Table 3 presents these other outcomes. The most frequently reported outcome of a mediating or psychosocial type was use of medicines and delivery devices. Team-provided programs reported these results most often.

Table 4 presents the components and processes of the interventions by provider type and outcome. Program approaches varied from providing highly specific asthma information along with specialist consultations, for example,

Levy et al,<sup>14</sup> to enhancing patient–clinician interactions including emphasis on communication, for example, Cabana et al,<sup>4</sup> to paying indirect attention to asthma in literacy education, for example, Robinson et al.<sup>32</sup>

Table 5 presents program focus, content, and processes by outcome. Clinician–patient communication, self-management skills, control of the environment, and medicine and device use were all employed in programs that reduced health care use. Action plans, peak expiratory flow (PEF) monitoring, control of the environment, and clinician–patient communication skills were employed in interventions that reduced asthma symptoms. Patient–clinician communication and patient asthma self-management education were included in interventions improving quality of life and self-management outcomes. Two areas of focus, interactions between patients

	Success in health care use	Success in symptom reduction	Success in quality of life	Success in self-management/ self-efficacy
Physician-led programs (n = 5)	83% (n = 5)	33% (n = 2)	-	-
Nurse $(n = 12)$	73% (n = 8)	36% (n = 4)	20% (n = 2)	_
Pharmacist $(n = 4)$	-	50% (n = 2)	-	_
Teams (n = 20)	50% (n = 10)	55% (n = 11)	25% (n = 5)	I 5% (n = 3)
Lay person (n = 9)	33% (n = 3)	11% (n = 1)	2% (n = 2)	33% (n = 3)

Notes: \*Percent of successful outcomes in number of programs by profession.

and clinicians and patient education for self-management, were evident in all interventions reporting major outcomes, that is, those related to health care use, symptoms, selfmanagement/self-efficacy, or quality of life.

Table 6 presents activities most used by different types of program providers. Physician-directed programs emphasized one-on-one counseling, self-monitoring, and use of diaries/action plans. Nurses used individual, group, and telephone learning sessions and employed activities to elicit patient participation such as role plays and problem-solving exercises. They also engaged in home visiting. Teams used a range of these activities and, in addition, case managers. Lay people-led programs involved individual, group, and home visit sessions and use of peer educators. Pharmacists used one-on-one counseling.

#### **Discussion and conclusion**

Findings from this review of asthma interventions demonstrate that several types of providers have led programs assessed through RCTs using various program components and reporting varying results. No one common outcome has been sought by all the available studies. No rationale was provided in research reports for why given program planners sought to emphasize certain outcomes and not others or included certain program components and not others or deployed certain program providers and not others.

There is a degree of consistency in outcomes achieved across the interventions as measured by frequency of reports of reaching a category of major outcome. Almost half of the interventions achieved reductions in health care use and about one-third reduced frequencies of asthma symptoms. Proportionately, physician-led programs mostly reported health care use improvements.

This review suggests that there is an evolving gold standard for asthma interventions. So many have demonstrated symptom or health care use improvements that these may have become the unofficial bench mark of success. This review also suggests that clinician-patient communication and patient self-management may be the most promising to include in efforts to change health care use and reduce asthma symptoms as these elements have been included in all programs to date reporting such outcomes.

A number of studies have described only outcomes related to self-efficacy, medicine use, school/work absenteeism, feelings about asthma, etc. Each of these clearly can be important outcomes for patients. Some, in fact, may be the mediating factors producing what we have termed major outcomes. The frequency with which these auxiliary results have been sought and achieved has been less than attempts to achieve change in symptoms, health care use, selfmanagement/self-efficacy, and quality of life. These more distal outcomes have likely been assumed by program planners to be associated with major outcomes. However, their connection has not, as yet, been empirically demonstrated in intervention research. In other words, support for these being the sole outcome sought and achieved in interventions, until they are proven to be the route to clinical changes, is questionable.

Important considerations regarding the type of program leader and interventions themselves could not be addressed in this exploration. For example, the relative costs of delivering a program and the cost of training different types of individuals to lead programs differ. Physician time is usually expensive whether providing an intervention solo or as part of a team. Teams may cost more than a nurse delivering a program alone. Peer leaders may be the least expensive in implementation but not in training and needed backup support. A program with many components may be the most powerful or as this study suggests one or two very effective elements may produce the best results. Knowing program costs and savings is important in choosing types of interventions.

Several limitations to this description of interventions are apparent. The number of studies in each provider category was uneven and often very small. For example, many trials involving teams have been conducted, while only four concern pharmacists. Exclusion of studies of fewer than 100 subjects may have worked against some studies where

Table 3 Su	iccess in reaching	g auxiliary outco	${\bf Table}~{\bf 3}$ Success in reaching auxiliary outcomes reported by ${\bf profession^*}$	su*					
		Pulmonary function	Appropriate medication/device use	Less limited activity	Environmental modifications	Work/school absenteeism	School grades Depression	Depression	Parent/patient feelings
Physicians	Glasgow et al <sup>6</sup>	Glasgow et al <sup>6</sup> Moudgil et al <sup>8</sup>	Cabana et al <sup>4</sup>	1	I	I	1	1	Colland <sup>53</sup>
Nurses	Choy et al <sup>12</sup> Levy et al <sup>14</sup>	Choy et al <sup>12</sup> Levy et al <sup>14</sup>			Becker et al <sup>18</sup>	Heard et al <sup>54</sup> Lahdensuo et al <sup>31</sup>			
– Teams of providers	– oviders	I	I	I		I	I	I	I
	I	I	Chiang et al <sup>27</sup> Lozano et al <sup>55</sup> Magar et al <sup>40</sup> MeGhan et al <sup>41</sup> Windsor et al <sup>56</sup>	MeGhan et al <sup>41</sup> Shames et al <sup>43</sup> -	1	Bruzzese et al <sup>36</sup> Clark et al <sup>38</sup> Glasgow et al <sup>6</sup> -	Clark et al <sup>38</sup>	1	1
Lay person					:				!
- Total	m	m	6 –	- 2	Bryant-Stephens and Li <sup>46</sup> 2	1 2	ı —	Griffiths et al <sup>24</sup> I	Canino et al <sup>48</sup> 2
Notes: *Thre	e additional studies w	rere undertaken (Gal	Notes: *Three additional studies were undertaken (Gallefoss et al, Smeele et al, Premaratne et al), but reported no significant outcomes.	ine et al), but reported	d no significant outcomes.				

sample size recalculation would indicate smaller numbers could ascertain differences. Studies of teams of providers did not describe fully the relative roles of team members or assess which provider had the most influence on success. No multifactorial research designs were used in the studies included here to uncover which element or combination of elements in the intervention produced the outcome. Reports of only five negative studies could be located. The publication of negative studies in the literature is quite rare. Thus, our findings may be subject to publication bias. In one study, for example, Griffiths et al<sup>24</sup> not all patients had asthma and the whole may not reflect subgroup differences. A few studies focused on specific ethnic/racial groups (eg, African-American, Chinese, South Asian), but no comparison between approaches for differing ethnicities was available. As components of interventions may have differing effects on subgroups of the population, comparative effective studies appear needed. Further, investigations in this review comprise those targeted at children, at adults, and sometimes both. The relative advantages of approaches identified here for younger and older patients were not clear in the available data and deserve attention in future studies.

How, by necessity, we have looked at the extant studies that also reflect weaknesses in the field more generally. For example, measures used to assess asthma outcomes are not standard and/or are not applied in a standard way. The rationale and/or theory underlying the components of an intervention were not described in study reports inhibiting theoretical conclusions regarding why an intervention may or may not have worked. Descriptions of the organizational context for program delivery, or success in institutionalizing an effective intervention, were not presented, so characteristics of sustainability or longevity of programs cannot be assessed. Nonetheless, the findings from this review are instructive concerning the current situation regarding the type of providers and components of interventions apparently associated with specific asthma outcomes.

A number of recommendations are evident in the results of this review. One, as noted, is the need for standard asthma outcome measures and uniform application of them. New efforts by the US National Heart, Lung, and Blood Institute and a joint Committee of the European Respiratory Association and American Thoracic Society to identify and assess the validity and reliability of asthma outcome measures should help in this regard.<sup>60</sup> Another is to consider health care use and symptom reduction as the gold standards of intervention success. If programs do not, at minimum, achieve these results, their added value and a strong rationale for their

Frontier         Outcome         Interspictor         Four of intervention         Four of interventintervention					
Amount reduction         Gragow et al const af const	Provider	Outcome	Investigator	Focus of intervention	
Symptom reduction         Glagow et al end         Eucliation of HO           -	Physicians				
Hath care use     -     -     -       Hath care use     Toon et al Bagow et al Condigie et al Bagow et al Cark e		Symptom reduction	Glasgow et al <sup>6</sup>	Education of MD	<ul> <li>Psychological component</li> </ul>
Construction     Action plan.       Health care use     Gagow et al? Gagow et al? Model is al     Preter and family one sestion group management skills       Health care use     Gagow et al? Gagow et al? Model is al     Preter and family one sestion group management skills       Health care use     Cabra et al     Preter and family one sestion group management skills       Symptom reduction     Levy et al     Model direct management plan       Cabra et al     Model direct management plan     Model direct management plan       Symptom reduction     Levy et al     Model direct and family one sestion group management plan       Symptom reduction     Levy et al     Model direct and family one sestion group management plan       Freeder et al     Model direct and family one sestion group management plan       Model direct and family one sestion of Model     Model direct and family one sestion of Model       Model direct and family one sestion of Model     Model direct and family one sestion of Model       Model direct and family one set al     Model direct and family one set al       Model direct al     Model direct al			1	• 3+ plan review	<ul> <li>Pt interviewing</li> </ul>
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Symptom reduction         Levy et al <sup>14</sup> A shim specialist consultation           -				plan for use with patients	
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<ul> <li>elephone tollow-up</li> <li>Becker et al<sup>18</sup></li> <li>Encasement of mattresses</li> <li>Encasement of mattresses</li> <li>Instructions for bed washing</li> <li>Instructions for bed washing</li> <li>Current attacks as a model for management</li> <li>Current attacks</li> <li>Telephone advice regarding individual</li> <li>Mebber et al<sup>16</sup></li> <li>Choy et al<sup>12</sup></li> <li>Webber et al<sup>16</sup></li> <li>Webber et al<sup>17</sup></li> <li>Webber et al<sup>16</sup></li> <li>Webber et al<sup>16</sup></li> <li>Webber et al<sup>17</sup></li> <li>Webber et al<sup>16</sup></li> <li>Webber et al<sup>17</sup></li> <li>Webber et al<sup>17</sup></li> <li>Webber et al<sup>16</sup></li> <li>Webber et al<sup>17</sup></li> <li>Webber et al<sup>17</sup></li> <li>Webber et al<sup>18</sup></li> <li>Webber et al<sup>18</sup></li> <li>Webber et al<sup>18</sup></li> <li>Webber et al<sup>19</sup></li> <li>Webber et al<sup>18</sup></li> <li>Webber et al<sup>19</sup></li> <li>Bethomagement plan</li> <li>Levy et al<sup>19</sup></li> <li>Guided self-management plan</li> <li>Levy et al<sup>19</sup></li> <li>Sabove</li> <li>Bolton et al<sup>10</sup></li> <li>Nurse review of self-monitoring and self-monitori</li></ul>			read et al		
Becker et alls       Encasement of mattresses         -       -				<ul> <li>Telephone tollow-up</li> </ul>	<ul> <li>PFM and SX monitoring</li> </ul>
<ul> <li>Instructions for bed washing Madge et al<sup>15</sup></li> <li>Current attacks as a model for management of future attacks</li> <li>Current attacks</li> <li>Veebber et al<sup>16</sup></li> <li>Vestedine et al<sup>17</sup></li> <li>Vesseldine et al<sup>17</sup></li> <li>Use of medications/devices</li> <li>Vesseldine et al<sup>16</sup></li> <li>Use of medications/devices</li> <li>Use of medications/devices</li> <li>Use of medications/devices</li> <li>Charlton et al<sup>16</sup></li> <li>Charlton et al<sup>16</sup></li> <li>Charlton et al<sup>17</sup></li> <li>Sa bove</li> <li>Nurse review of self-monitoring and self-management</li> <li>Medication</li> <li>Cueland et al<sup>21</sup></li> <li>Attack prevention and control</li> <li>Cleland et al<sup>21</sup></li> <li>Comminication skills</li> </ul>			Becker et al <sup>18</sup>	<ul> <li>Encasement of mattresses</li> </ul>	<ul> <li>Benzyl benzoate application</li> </ul>
Madge et alls       • Current attacks as a model for management         -       -         -       • Current attacks as a model for management         -       -         -       • Current attacks as a model for management         -       -         -       • Current attacks as a model for management         -       -         -       • Chope et alls         Chop et alls       • Written management information         Chop et alls       • Written management information         -       -         -       • Pathophysiology of asthma         -       -         -       -         -       -         -       -         -       -         -       -         -       -         -       -         -       -         -       -         -       -         -       -			I	<ul> <li>Instructions for bed washing</li> </ul>	<ul> <li>Pt counseling retriggers</li> </ul>
<ul> <li>of future attacks</li> <li>Telephone advice regarding individual management plans</li> <li>Webber et al<sup>1/2</sup></li> <li>Webber et al<sup>1/2</sup></li> <li>Written management information individualized management plan</li> <li>Written management plans</li> <li>Written management plan</li> <li>Triggers</li> <li>Triggers</li> <li>Use of medications/devices</li> <li>Use of medications/devices</li> <li>Use of medications/devices</li> <li>Use et al<sup>1/3</sup></li> <li>Guided self-management plan</li> <li>Charlton et al<sup>1/4</sup></li> <li>Bolton et al<sup>1/4</sup></li> <li>Mrese education</li> <li>Muse educations addressed</li> <li>Three education sessions</li> <li>Metication skills</li> <li>Communication skills</li> </ul>			Madge et al <sup>15</sup>	<ul> <li>Current attacks as a model for management</li> </ul>	1
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Webber et all <sup>6</sup> • Written management information         Choy et all <sup>2</sup> • Written management information         Choy et all <sup>2</sup> • Pathophysiology of asthma         -       • Pathophysiology of asthma         -       • Pathophysiology of asthma         -       • Discharge education         -       • Seseldine et all <sup>14</sup> -       • Use of medications/devices         Wesseldine et all <sup>14</sup> • Discharge education         -       • Guided self-management plan         Madge et all <sup>15</sup> • Guided self-management plan         Madge et all <sup>15</sup> • Sabove         Polton et all <sup>16</sup> • Nurse review of self-monitoring and         Bolton et all <sup>10</sup> • Nurse review of self-monitoring and         -       • Sabove         Bolton et all <sup>10</sup> • Nurse review of self-monitoring and         -       • Balton et all <sup>10</sup> -       • Patient priority questions addressed         -       • Patient priority questions addressed         -       • Attack prevention and control         -       • Patient teaching techniques         -       • Communication skills				management plans	
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<ul> <li>Triggers</li> <li>Use of medications/devices</li> <li>Wesseldine et al<sup>17</sup></li> <li>Use of medications/devices</li> <li>Wesseldine et al<sup>14</sup></li> <li>Guided self-management plan</li> <li>Levy et al<sup>14</sup></li> <li>Guided self-management plan</li> <li>Guided self-management plan</li> <li>Guided self-management plan</li> <li>Guided self-management plan</li> <li>As above</li> <li>As above</li> <li>As above</li> <li>As above</li> <li>As above</li> <li>Nurse review of self-monitoring and self-monitoring and self-management</li> <li>Patient priority questions addressed</li> <li>Three education sessions</li> <li>Medication</li> <li>Cleland et al<sup>21</sup></li> <li>Attack prevention and control</li> <li>Patient teaching techniques</li> <li>Communication skills</li> </ul>			Choy et al <sup>12</sup>	<ul> <li>Pathophysiology of asthma</li> </ul>	<ul> <li>Self-management skills</li> </ul>
<ul> <li>- • Use of medications/devices</li> <li>Wesseldine et al<sup>17</sup></li> <li>• Discharge education</li> <li>- • • Oischarge education</li> <li>- • • Oischarge education</li> <li>- • • • • • • • • • • • • • • • • • • •</li></ul>			I	Triggers	<ul> <li>Pt self-rating of asthma</li> </ul>
Wesseldine et al <sup>17</sup> <ul> <li>Discharge education</li> <li>Guided self-management plan</li> <li>Levy et al<sup>14</sup></li> <li>Guided self-management plan</li> <li>Levy et al<sup>14</sup></li> <li>Guided self-management plan</li> <li>Charlton et al<sup>16</sup></li> <li>Nurse review of self-monitoring and self-management</li> <li>Nurse review of self-monitoring and self-management</li> <li>Patient priority questions addressed</li> <li>Patient priority questions addressed</li> <li>Three education sessions</li> <li>Medication</li> <li>Cleland et al<sup>21</sup></li> <li>Patient teaching techniques</li> <li>Communication skills</li> </ul>			I	<ul> <li>Use of medications/devices</li> </ul>	I
<ul> <li>- • Guided self-management plan Levy et al<sup>14</sup></li> <li>As above</li> <li>Madge et al<sup>15</sup></li> <li>As above</li> <li>Charlton et al<sup>11</sup></li> <li>As above</li> <li>Nurse review of self-monitoring and self-management</li> <li>Patient priority questions addressed</li> <li>Bolton et al<sup>10</sup></li> <li>Patient priority questions addressed</li> <li>Cleand et al<sup>21</sup></li> <li>Attack prevention and control</li> <li>Cleand et al<sup>21</sup></li> <li>Communication skills</li> </ul>			Wesseldine et al <sup>17</sup>	<ul> <li>Discharge education</li> </ul>	<ul> <li>Nature of asthma and risk factors</li> </ul>
Levy et al <sup>14</sup> As above         Madge et al <sup>15</sup> As above         Charlton et al <sup>11</sup> • Nurse review of self-monitoring and self-management         -       self-management         Bolton et al <sup>10</sup> • Patient priority questions addressed         -       • Patient priority questions addressed         -       • Patient priority questions addressed         -       • Patient priority questions         -       • Medication         -       • Medication         -       • Attack prevention and control         Cleland et al <sup>21</sup> • Patient teaching techniques         -       • Communication skills			I	<ul> <li>Guided self-management plan</li> </ul>	<ul> <li>Medications/devices</li> </ul>
Madge et al <sup>15</sup> As above       Charlton et al <sup>11</sup> • Nurse review of self-monitoring and       -     self-management       -     self-management       Bolton et al <sup>10</sup> • Patient priority questions addressed       -     • Patient priority questions addressed       -     • Patient priority questions       -     • Medication       -     • Medication       -     • Attack prevention and control       Cleland et al <sup>21</sup> • Patient teaching techniques       -     • Communication skills			Levy et al <sup>14</sup>	As above	I
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<ul> <li>self-management</li> <li>Patient priority questions addressed</li> <li>Bolton et al<sup>10</sup></li> <li>Patient priority questions</li> <li>Medication</li> <li>Medication</li> <li>Attack prevention and control</li> <li>Cleland et al<sup>21</sup></li> <li>Patient teaching techniques</li> <li>Communication skills</li> </ul>			Charlton et al <sup>11</sup>	<ul> <li>Nurse review of self-monitoring and</li> </ul>	I
<ul> <li>Patient priority questions addressed</li> <li>Bolton et al<sup>10</sup></li> <li>Three education sessions</li> <li>Medication</li> <li>Medication</li> <li>Attack prevention and control</li> <li>Cleland et al<sup>21</sup></li> <li>Patient teaching techniques</li> <li>Communication skills</li> </ul>			I	self-management	
Bolton et al <sup>10</sup> Three education sessions       -     • Medication       -     • Attack prevention and control       Cleland et al <sup>21</sup> • Patient teaching techniques       -     • Communication skills				<ul> <li>Patient priority questions addressed</li> </ul>	I
<ul> <li>Medication</li> <li>Attack prevention and control</li> <li>Cleland et al<sup>21</sup></li> <li>Patient teaching techniques</li> <li>Communication skills</li> </ul>			Bolton et al <sup>10</sup>	Three education sessions	I
<ul> <li>Attack prevention and control</li> <li>Cleland et al<sup>21</sup></li> <li>Patient teaching techniques</li> <li>Communication skills</li> </ul>			I	<ul> <li>Medication</li> </ul>	<ul> <li>Relaxation exercises</li> </ul>
Cleland et al <sup>21</sup> • Patient teaching techniques - • Communication skills			1	<ul> <li>Attack prevention and control</li> </ul>	<ul> <li>Smoking cessation</li> </ul>
Communication skills		Quality of life	Cleland et al <sup>21</sup>	<ul> <li>Patient teaching techniques</li> </ul>	<ul> <li>Role play with feedback</li> </ul>
			I	<ul> <li>Communication skills</li> </ul>	Clinical priorities

Health care use (increased) No outcomes Symptom reduction	Abdulwadud et al <sup>20</sup> Armour et al <sup>23</sup> - Barbanel et al <sup>56</sup> - Weinberger et al <sup>22</sup> - Stergachis et al <sup>25</sup> - MeGhan et al <sup>41</sup>	<ul> <li>Self-management skills</li> <li>Meds and side effects</li> <li>As above</li> <li>Education of pharmacists</li> <li>Asthma education manual</li> <li>Risk assessment</li> <li>Risk assessment</li> <li>Risk assessment</li> <li>Pathophysiology</li> <li>Inhaler technique</li> <li>Trigger avoidance</li> <li>Self-management skills</li> <li>Self-management skills</li> <li>Self-management skills</li> <li>FV info</li> <li>Pt data</li> <li>FEV info</li> <li>Pt data</li> <li>FEV info</li> <li>Pt ata</li> <li>Reacher asthma awareness event at school</li> <li>Information letter for doctors with suggested actions plan</li> </ul>	<ul> <li>Triggers</li> <li>Importance of compliance</li> <li>Medications</li> <li>Medications</li> <li>Six-step management plan</li> <li>Adherence assessment</li> <li>Adherence assessment</li> <li>ED use info</li> <li>PT ed materials</li> <li>PT ed materials</li> <li>Pan</li> </ul>
Health care use	Sullivan et al <sup>42</sup> Bruzzese et al <sup>36</sup> Cano-Garcinuno et al <sup>37</sup> Magar et al <sup>40</sup> - Clark et al <sup>38</sup> - Krieger et al <sup>39</sup> - Garrett et al <sup>36</sup> - Butz et al <sup>26</sup> - Butz et al <sup>26</sup> Robinson et al <sup>22</sup>	Present multiply actions       - Active follow-up         Asthma nurse       - Self-management tools for patients         Asthma nurse       - Active follow-up         Teams worked with families and PCP to encourage asthma management plans       - Active follow-up         Teams worked with families and PCP to encourage asthma management plans       - Active follow-up         Teams worked with families and PCP to encourage asthma management plans       - Action plans         Teams worked with families and PCP to encourage asthma management plans       - Action plans         Teams worked with asthma       - Action plans         Teaction for all school personnel       - Environmental education for all students         CHW provide visits reaction plans, education, social support, resources given to reduce environmental exposures: bedding, vacuums, etc.       - Self-management         Community health worker instruction       - Actessing medical care       - Actessing medical care         Medications       - PFM       - Actessing medical care       - Actessing medical care         Medications       - PFM       - Actessing medical care       - Actessing medical care         Medications       - PFM       - Actessing medical care       - PFM         Medications       - PFM       - PFM       - Actessing medical care         Match       - PFM       - PFM	te - Self-management tools for patients - Active follow-up sthma management plans alone, then together - Action plans - Environmental education for all students - Environmental education for all students - - Self-management - - - - - - - - - - - - -

			<b>7</b> 6	
Provider	Outcome	Investigator	Focus of intervention	
		Walders et al <sup>34</sup>	<ul> <li>Asthma management plans</li> </ul>	<ul> <li>Risk profile</li> </ul>
		I	• PFM	<ul> <li>Problem solving</li> </ul>
		I	<ul> <li>Medications/devices</li> </ul>	1
		Chiang et al <sup>27</sup>	Group education regarding self-management, demonstration of med use discussion	tion of med use discussion
		Splett et al <sup>33</sup>	<ul> <li>Provided medication</li> </ul>	<ul> <li>Communication between parents and school</li> </ul>
		I	<ul> <li>Action plans</li> </ul>	<ul> <li>Clinic activities regarding asthma guidelines</li> </ul>
		1	• PFM	
		1	<ul> <li>PT educations</li> </ul>	
		Karnick et al <sup>29</sup>	<ul> <li>Individualized education reinforcement of leadership</li> </ul>	I
		1	<ul> <li>Case management</li> </ul>	1
		Lahdensuo et al <sup>31</sup>	Education	• PFM
		1	<ul> <li>Medication use</li> </ul>	1
		Krieger et al <sup>30</sup>	As above	1
		Zeiger et al <sup>35</sup>	As above	1
	Quality of life	Magar et al <sup>40</sup>	As above	1
		Shames et al <sup>43</sup>	<ul> <li>Case manager</li> </ul>	<ul> <li>Video game</li> </ul>
		1	<ul> <li>Self-management educations</li> </ul>	<ul> <li>Visits with allergists</li> </ul>
		I	Follow-up	Hotline
		Lahdensuo et al <sup>31</sup>	As above	1
		Butz et al <sup>26</sup>	As above	1
	Self-management/self-efficacy	Chiang et al <sup>27</sup>	As above	I
		Griffiths et al <sup>44</sup>	As above	1
		Clark et al <sup>13</sup>	As above	1
	No outcomes	Kauppinen et al <sup>59</sup>	I	I
Lay person (9)				
	Symptom reduction	Canino et al <sup>48</sup>	Family education regarding self-management	I
	Health care use	Bryant-Stephens and Li <sup>46</sup>	Home visitors for environmental control: bedding, pest reduction, self-management	eduction, self-management
		I	Classes, symptom diaries	I
		Adams et al <sup>45</sup>	Monthly contacts to assess	<ul> <li>Self-management plans</li> </ul>
		I	<ul> <li>Morbidity outcomes</li> </ul>	<ul> <li>Patient education</li> </ul>
		Partridge et al <sup>47</sup>	<ul> <li>Consultation</li> </ul>	<ul> <li>Medications/devices</li> </ul>
		I	<ul> <li>Telephone follow-up</li> </ul>	<ul> <li>Pt history guides</li> </ul>
		I	<ul> <li>Self-management counseling</li> </ul>	<ul> <li>Counseling</li> </ul>
	Quality of life	Henry et al <sup>49</sup>	Three-lesson package of asthma education	I
		Shah et al <sup>50</sup>	Peer leaders in schools provide asthma education	
	Self-management/self-efficacy	Bonner et al <sup>51</sup>	<ul> <li>Lay person facilitated interaction between</li> </ul>	
		I	pt and doctor	
		1	<ul> <li>Family education</li> </ul>	• PFM
		I	<ul> <li>Diaries</li> </ul>	I
		Turner et al <sup>52</sup>	<ul> <li>Problem solving</li> </ul>	<ul> <li>Management plan</li> </ul>
		I	<ul> <li>Asthma management skills</li> </ul>	• PFM
		Griffiths et al <sup>24</sup>	As above	1

Table 4 (Continued)

Health care use	Symptoms	Quality of life	Self-management
Patient-clinician communication	Patient-clinician	Patient–clinician	• Patient–clinician
<ul> <li>Patient education regarding</li> </ul>	communication	communication	communication
self-management	<ul> <li>Action plans</li> </ul>	<ul> <li>Patient education regarding</li> </ul>	<ul> <li>Patient education regarding</li> </ul>
0	<ul> <li>PEF monitoring</li> </ul>	asthma management	asthma management
Environment control/modification	Environment	astanta management	assina management
techniques/materials	control/modification		
Use of medications/devices/action	control/modification		
plans			

Table 5 Most common	intervention elements	by outcome
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association with clinical or quality of life improvements would appear to be needed. Also needed as part of standard practice in program planning is a clearer rationale for selection of a) intended outcomes b) program provider selected to pursue the outcomes, and c) the program components included to achieve it.

Specific intervention studies are needed that evaluate the comparative effectiveness of programs as provided by one type of health professional versus another. The only

Table 6	Teaching	learning	approaches	most used	by	provider
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Provider	Teaching/learning approaches
Physicians	
-	Individualized sessions with patients one-on-one
	Self-monitoring/regulation
	Patient diaries/action plans
Nurses	
	Group and individual patient education sessions
	face-to-face
	Role plays
	Problem solving
	Home visits for environment control and pt
	education
	Patient diaries
	Telephone counseling
Pharmacists	
	Patient assessment
	Individual pt medication monitoring and
	counseling
Teams of providers	-
·	Groups and individual pt educational sessions
	face-to-face
	Information for patient physician
	Peer educators
	Telephone consultation
	Web-based team discussion
	Telephone advice line
	Case managers
	Home visits for environmental control and
	pt education
Lay person	
	Groups and individual patient educations
	sessions
	Home visits for environmental control and
	pt education
	Peer educators

such study identified in this review was one by Partridge et al,<sup>47</sup> where lay providers were compared to nurse program providers. The relative advantage of different providers appears to have important implications for both the type of outcomes achieved and the frequency of achieving them, as well as, cost of program implementation. An implication of these findings is that those with a specific professional background may benefit from adopting the techniques successfully used by other professionals. Multifactorial studies are needed to compare program components for their relative effectiveness in producing outcomes. Needed personnel, supervision, as well as, intensity and duration evident in the interventions studied varied greatly. Research is needed to examine the costs of program delivery against the savings generated by outcomes. Cost pressures in most health care systems make acquisition of this information necessary to ensure adoption and institutionalization of interventions that can assist patients to reduce the burden of asthma on them, their families, and their communities.

### Conclusion

In the past decade, multidisciplinary teams have been the most frequent providers of asthma educational and behavioral interventions. Health care use and symptom reduction have been the most frequent outcomes of interventions. Physician-led programs have most reported health care use reductions. Teams have most reported symptom reductions. Two elements, self-management skills and physician-patient communication, have been the program components most deployed by providers successfully reaching these outcomes. Costs have not been assessed. Apparent emerging gold standards for asthma interventions are outcomes related to reductions in symptoms and/or health care use. Outcomes produced by different program components and different providers vary with some having more success with clinically related results and some with more potentially mediating psychosocial-related results. Comparative effectiveness studies are needed to assess outcomes associated with different program providers and program components.

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# Disclosure

The authors report no conflicts of interest in this work.

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