




# Engineered Nanomaterials: The Challenges and Opportunities for Nanomedicines

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**Abstract:** The emergence of nanotechnology as a key enabling technology over the past years has opened avenues for new and innovative applications in nanomedicine. From the business aspect, the nanomedicine market was estimated to worth USD 293.1 billion by 2022 with a perception of market growth to USD 350.8 billion in 2025. Despite these opportunities, the underlying challenges for the future of engineered nanomaterials (ENMs) in nanomedicine research became a significant obstacle in bringing ENMs into clinical stages. These challenges include the capability to design bias-free methods in evaluating ENMs' toxicity due to the lack of suitable detection and inconsistent characterization techniques. Therefore, in this literature review, the state-of-the-art of engineered nanomaterials in nanomedicine, their toxicology issues, the working framework in developing a toxicology benchmark and technical characterization techniques in determining the toxicity of ENMs from the reported literature are explored.

**Keywords:** engineered nanomaterials, nanomedicine, nanotoxicology, particle tracking analysis, asymmetric flow field-flow fractionation, Taylor dispersion analysis

## Introduction

Nanomaterials have been reported to show promising potential in key industries, specifically in nanomedicine, pharmacology, and biomedical fields.<sup>1</sup> Lucrative funding has been invested in nanotechnology research.<sup>2</sup> By the year 2024, the global nanotechnology market is anticipated to exceed USD124billion, with more than 50% of the market falls in the Asia Pacific region.<sup>3,4</sup> These opened avenues for innovative applications in medical fields, also known as nanomedicine.<sup>5</sup> Nanomedicine can be defined as the use of nanomaterials for medical purposes in medical diagnostic, therapeutic techniques, disease control and prevention.<sup>6</sup>

Engineered nanomaterials (ENMs), with a size of 100 nm or less, are synthesized from numerous types of nanomaterials.<sup>7</sup> ENMs offer great opportunities to nanomedicine and have been increasingly commercialized in several industries, as described in Table 1. According to the British Broadcasting Corporation (BBC) Research in 2014, nanomedicine represented 15% in the pharmaceutical market and was increased to 22% in 2019.<sup>8</sup> Based on the reported statistics, the nanomedicine business was estimated to worth USD293.1 billion dollars by 2022 with a perception of market growth to USD350.8 billion in 2025.<sup>9,10</sup> This ignites the great potential in the medical and pharmaceutical industries. For the application in nanomedicine, ENMs exhibit exceptional physicochemical properties in terms of

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**Table 1** Essential Applications of ENMs<sup>172–176</sup>

Categories	Applications	Ref.
Medical diagnosis	Bioimaging In-vitro diagnosis Biosensing	[1,178,179] [180,181] [182,183]
Therapeutic techniques	Drug delivery Gene therapy	[184–186] [179,186,187]
Disease control or applications	Tissue engineering Vaccination	[184,188] [185,188]

functionality, sensitivity, efficiency and specificity, which allows them to be used with better successes in the therapeutic and other biomedical applications.<sup>11</sup>

It has been reported that ENMs show various unique features that enhancing their use in various medical applications.<sup>12</sup> For specific interactions between nanoparticles and cells, the adsorptive physio-chemical properties of the nanoparticles can be altered by selectively functionalizing the particle surfaces.<sup>13</sup> In terms of combined immunotherapy treatment of cancerous tumors, nano-scaled photothermal treatment can be realized by photosensitizer molecules, where directional-specified irradiation can be achieved after injecting these molecules into the tumor region.<sup>14,15</sup> Besides the abovementioned examples, other unique features of nanomaterials, including controllable nanocluster size,<sup>16</sup> high surface dispersity,<sup>17</sup> and tunable morphology<sup>18</sup> enable ENMs in providing new opportunities and innovation for numerous applications in nanomedicine.<sup>19</sup>

For ENMs application in nanomedicine, Chen et al reported the application of platinum nanoclusters for self-bioimaging-guided cancer theranostics. By employing an in-situ, spontaneous biosynthesized approach, fluorescent platinum nanoclusters can be obtained in the biological environment.<sup>20</sup> Zhu et al reported on a highly selective drug release using smart nanosized micelles. Using the hyaluronic acid-tocopherol succinate binds, a smart nanosized micelle demonstrated controlled drug release in the biosystem, suggesting an outstanding therapeutic effect against colon cancer.<sup>21</sup> In addition, other applications such as bioimaging, photothermal treatment, tissue engineering and other biomedical applications have been demonstrated using ENMs.<sup>22,23</sup>

Despite the outstanding potential of ENMs in nanomedicine, several concerns exist in their applications. Due to the tunable physicochemical properties of ENMs, they

induce the production of specific chemical environments for a pro-oxidant species within the cells.<sup>24</sup> This initiates the disruption of cellular energy homeostasis, causing aggressive biological side effects such as an inflammatory reaction and eventual cell death.<sup>25,26</sup> Thus, nanomaterials should be wisely designed in terms of their intrinsic physicochemical properties and surface chemistry to achieve specific biomedical applications. In this stage, the toxicological profile of ENMs and their toxicological mechanisms are under-explored.<sup>27,28</sup>

Nanotoxicology studies the inter-reactions between ENMs and biological surroundings.<sup>29,30</sup> The scope of this field focuses mainly on the investigation of the correlations between ENMs toxicity and adversarial biological responses to their physicochemical properties and surface chemistry.<sup>11,31</sup> This helps to determine the advantages of ENMs in terms of physicochemical characteristics for flexible designs of ENMs.<sup>29,32–36</sup> The paradigm of nanotoxicology also involves ENMs toxicity, which is a challenging issue in realizing the full application of ENMs in nanomedicine.<sup>13,14</sup> Therefore, comprehensive understandings of the interactions of ENMs, include the extra-/intracellular mechanisms on the cellular level is crucial.<sup>37–39</sup>

In this review, we investigate the state-of-the-art challenges in determining the toxicity of ENMs, highlighting the reported strategies in characterizations and toxicity measurement and describing some of the emerging alternatives of their characterization methods. At the same time, we also explore the future standard characterization methods, which could provide the required knowledge to avoid potential risks associated with ENMs.

## ENMs in Nanomedicine

Over the past decades, ENMs have been extensively used in nanomedicine. One of the significant applications is the specialized drug delivery in deploying liposomes to nano-shells and transdermal patches. These ENMs can be engineered at nanoscale to tune the delivery and releasing efficiency. At the same time, the development of biocompatible nanomaterial prosthetic implants, and the metal-containing functionalized nanoparticles, have shown high localization, patient-specific functionalities in the bioimaging and treatment of various cancers.<sup>5</sup> By integrating ENMs usage to AI technologies, ENMs can realize the development of internet-linked diagnostic devices (eg, “doctor-on-a-chip” diagnostic tools). At the same time, nanobionics can facilitate the incompatibility issues between

medical devices or bionic prosthetics and the nervous system. The bionic prosthetics can replace the traditional invasive cranial sensing electrodes made of traditional cytotoxic metals with more biocompatible surface transistors.<sup>40</sup>

In this chapter, the usage of ENMs in nanomedicine will be discussed. The discussion includes a list of FDA-approved ENMs, and applications of ENMs in nanomedicine from various prospects.

## FDA-Approved ENMs for Nanomedicine Applications

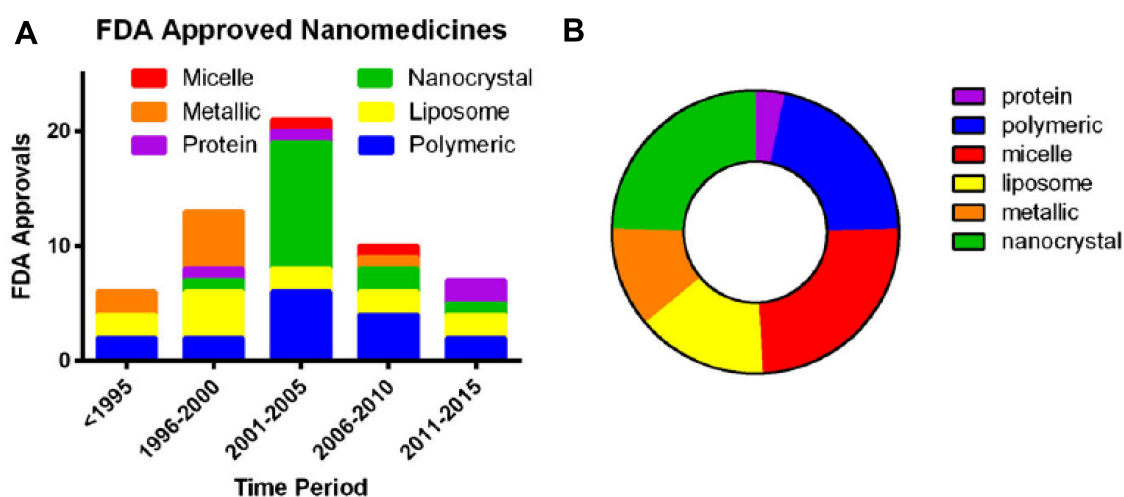
The field of nanomedicine is continuously growing, developing and optimizing to improve small molecules and drugs with key pharmacological properties like solubility, bioavailability and targeted delivery of the therapeutic agent to the diseased tissues.<sup>41,42</sup> Over the past years, the food and drug agencies (FDA) in the US and EU have certified numerous nanomedicine-based drugs for cancer diagnostic and therapeutic purposes, and many others are currently evaluated to be released in the market.<sup>43</sup>

Among the approved drugs, about 250 drugs were produced based on the nanotechnology platform, either on the market or in clinical trials.<sup>44</sup> Among the reported drugs, polymeric nanoparticles are commonly-used for nanomedicines due to their facile synthesis and wide applicability across all aspects of the field. The description of the trends in the development of nanomedicine is shown in Figure 1.<sup>45</sup> From the list of FDA-approved nanomedicines, polymeric micelles occupy a significant fraction. Due to the self-assembled polymeric

amphiphiles structures, polymeric micelles are ideal for the controlled delivery of hydrophobic drugs.<sup>45</sup> The hydrophobic nature of the core can confine hydrophobic drugs, whereas the charged outer surface can dissolve hydrophilic drugs. At this stage, the only FDA-approved micelle is Estrasorb™, a conventional form of estradiol is used as a topical treatment for vasomotor symptoms of menopause.<sup>46</sup> Table 2 shows the list of FDA-approved nanomedicine.

Apart from polymeric micelles, liposome has also been widely used among FDA-approved medicine. Liposomes are self-integrating structures with surrounding amphipathic domains. At the same time, the aqueous core accommodates instant chemical hybridization of various molecular species with distinct physico-chemical properties. Due to the unique combination of structural properties, liposomes are seemed to be the most readily-fabricated class of nanoparticles.<sup>48</sup> The pioneering FDA-approved liposome nanoparticles include liposomal formulations of doxorubicin and Amphotericin B, which an antifungal medication used for serious fungal infections and leishmaniasis.<sup>49</sup> One of the most recently-approved liposomal nanoparticles by the FDA is Onivyde® (liposomal irinotecan). Onivyde® is a topoisomerase I inhibitor used as a second-line treatment for metastatic pancreatic cancer, where prescribed patients were shown to have a ~50% improvement in median survival duration as compared to conventional cancer drugs.<sup>51</sup>

Besides the abovementioned nanoparticles, nanocrystals also occupy a significant amount in the list of FDA-approved



**Figure 1** Trends in the development of nanomedicines. (A) FDA-approved nanomedicines stratified by category; (B) FDA-approved nanomedicines stratified by category overall.

**Notes:** Reprinted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature; Nature Nanotechnology; Bobo D, Robinson KJ, Islam J, Thurecht KJ, Corrie SR. Nanoparticle-based medicines: a review of FDA-approved materials and clinical trials to date. *Pharm Res.* 2016;33:2373–2387; Copyright 2016.<sup>45</sup>

**Table 2** List of FDA-Approved Nanomedicine

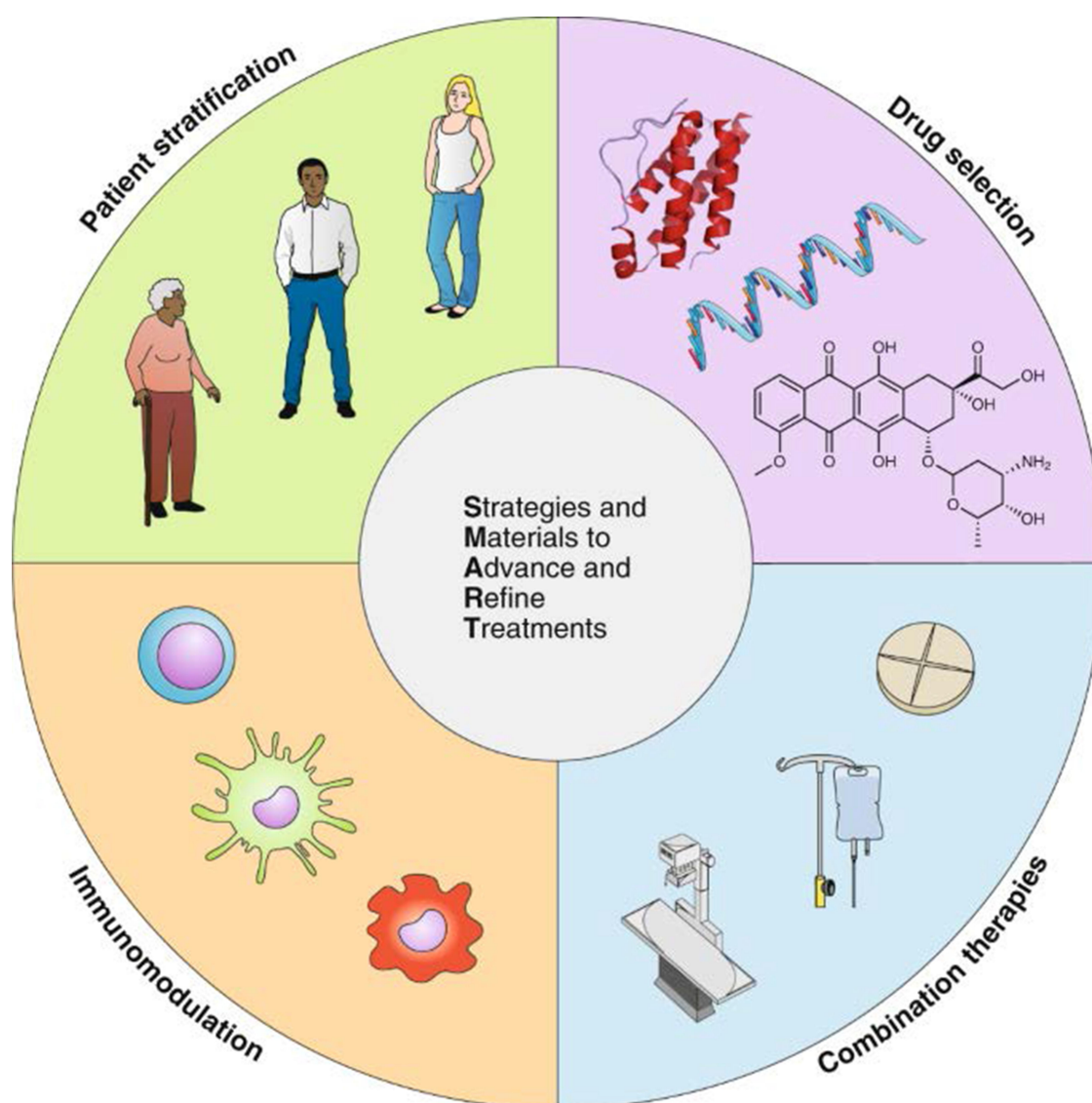
Name	Particle type/drug	Approved application/indication	year of approval (FDA)
VYXEOS CPX-351 (Jazz Pharmaceuticals)	Liposomal formulation of cytarabine: daunorubicin (5:1M ratio)	Acute myeloid leukemia	2017
ONPATTRO Patisiran ALN-TTR02 (Alnylam Pharmaceuticals)	Lipid nanoparticle RNAi for theknockdown of disease-causingTTR protein	Transthyretin (TTR)-mediated amyloidosis	2018
Doxil Caelyx (Janssen)	Liposomal doxorubicin (PEGylated)	Ovarian cancer (secondary to platinum based therapies) HIV-associated Kaposi's sarcoma (secondary to chemotherapy) Multiple myeloma (secondary)	1995
DaunoXome (Galen)	Liposomal daunorubicin (nonPEGylated)	HIV-associated Kaposi's sarcoma (primary)	1996
Abraxane (Celgene)	Albumin-particle bound paclitaxel	Advanced non-small cell lung cancer (surgery or radiation is not an option) Metastatic breast cancer (secondary) Metastatic pancreatic cancer (primary)	2005
Marqibo (Spectrum)	Liposomal vincristine (non-PEGylated)	Philadelphia chromosomenegative acute lymphoblastic leukemia (tertiary)	2012
Onivyde MM-398 (Merrimack)	Liposomal irinotecan (PEGylated)	Metastatic pancreatic cancer (secondary)	2015
CosmoFer INFeD Ferrisat (Pharmacosmos)	Iron dextran colloid	Iron deficient anemia	1992
DexFerrum DexIron (American Regent)	Iron dextran colloid	Iron deficient anemia	1996
Ferlecit (Sanofi)	Iron gluconate colloid	Iron replacement for anemia treatment in patients with chronic kidney disease	1999
Venofer (American Regent)	Iron sucrose colloid	Iron replacement for anemia treatment in patients with chronic kidney disease	2000
Feraheme (AMAG) Rienso (Takeda) Ferumoxytol	Iron polyglucose sorbitol carboxymethylether colloid	Iron deficiency in patients with chronic kidney disease	2009
Injectafer Ferinject (Vifor)	Iron carboxymaltose colloid	Iron deficient anemia	2013
Definity (Lantheus Medical Imaging)	Perflutren lipid microspheres	Ultrasound contrast agent	2001
Feridex I.V. (AMAG) Endorem	Iron dextran colloid	Imaging of liver lesions	1996
Optison(GE Healthcare)	Human serum albumin stabilized perflutren microspheres	Ultrasound contrast agent	1997
Diprivan	Liposomal propofol	Induction and maintenance of sedation or anesthesia	1989
AmBisome (Gilead Sciences)	Liposomal amphotericin B	Cryptococcal meningitis in HIVinfected patients Aspergillus, Candida and/or Cryptococcus species infections (secondary) Visceral leishmaniasis parasite in immunocompromised patients	1997
Visudyne (Bausch and Lomb)	Liposomal verteporfin	Treatment of subfoveal choroidal neovascularization from age-related macular degeneration, pathologic, or ocular histoplasmosis	2000

**Notes:** Reproduced from Anselmo AC, Mitragotri S. Nanoparticles in the clinic: An update. *Bioeng Transl Med.* 2019;4(3):e10143<sup>47</sup>.

substances in nanomedicine. Fundamentally, crystalline nanomedicines themselves are composed of 100% drug compound. Attributing to the nano-scaled structures, the high dissolution surface area of nanocrystals enhances the dissolution rate and saturation solubility. Most importantly, the miniaturized particles of nanocrystals increase the dissolution, resulting in improved driving forces for diffusion-based mass transfer through biological structures.<sup>51</sup> The first nanocrystal element approved by FDA is Rapamune (the year 2000). The active sirolimus component in Rapamune can be used to prevent organ rejection upon transplantation. After this approval, there have been several other approved nanocrystalline drug formulations, where the last of which was approved in 2009.<sup>52</sup>

## Engineered Nanomaterials for Nanomedicine Applications

In compiling various approaches in the use of ENMs in cancer treatment, four directions in utilizing ENMs in nanomedicine in refining their cancer-treating performance were proposed, as shown in Figure 2.<sup>53</sup> These four directions include patient stratification, drug selection, immunomodulation and combination therapies. For patient stratification, nanomedicine such as liposomal doxorubicin, Myocet™, and DaunoXome™, have been used in exploiting the enhanced permeability and retention (EPR) effect in the stratification of cancer patients.<sup>54</sup>



**Figure 2** Four directions in utilizing ENMs in nanomedicine in refining their cancer-treating performance.

**Notes:** Reprinted by permission from Springer Nature Customer Service Centre GmbH: Springer Nature; Nature Nanotechnology; van der Meel R, Sulheim E, Shi Y, et al. Smart cancer nanomedicine. *Nat Nanotechnol.* 2019;14:1007–1017; Copyright 2019.<sup>53</sup>



Combining the enhanced biomarking strategies with nanocarriers and artificial intelligence (AI) for precision oncology, an integrated nanomedicine-AI strategy can be developed in identifying and stratifying early cancer patients.<sup>55</sup> In nanomedicine, optimizing the treatment outcomes relies on 2 stages of development. Firstly, the drug and dose space to optimize their efficiency and safety. After that, the variable synergy takes place. The dose-dependent and patient-specific nature of synergy require dynamic dosage to optimize the treatment. In conjunction with the drug and dose space, AI-enabled approaches take various factors into considerations, such as drug targeting, ratio-metric delivery, and other properties. In the case of modulated treatment, dynamically modulating treatment requires an even higher degree of actionability in the clinic. This requirement represents an opportunity for the field of artificial intelligence (AI).<sup>56</sup>

In drug selection, nanocarrier technologies can significantly assist in enhancing the payload of DNA- and RNA-based drugs. For instance, the application of ENMs serves as a fundamental platform in assisting the development of other genome-induced nanomedicines, such as RNA-based vaccines for treating infections and selected cancers. The RNA encoding engineered in the vaccines can be utilized as a biological ground for protein production upon transportation to hepatocytes cells in the liver.<sup>57</sup>

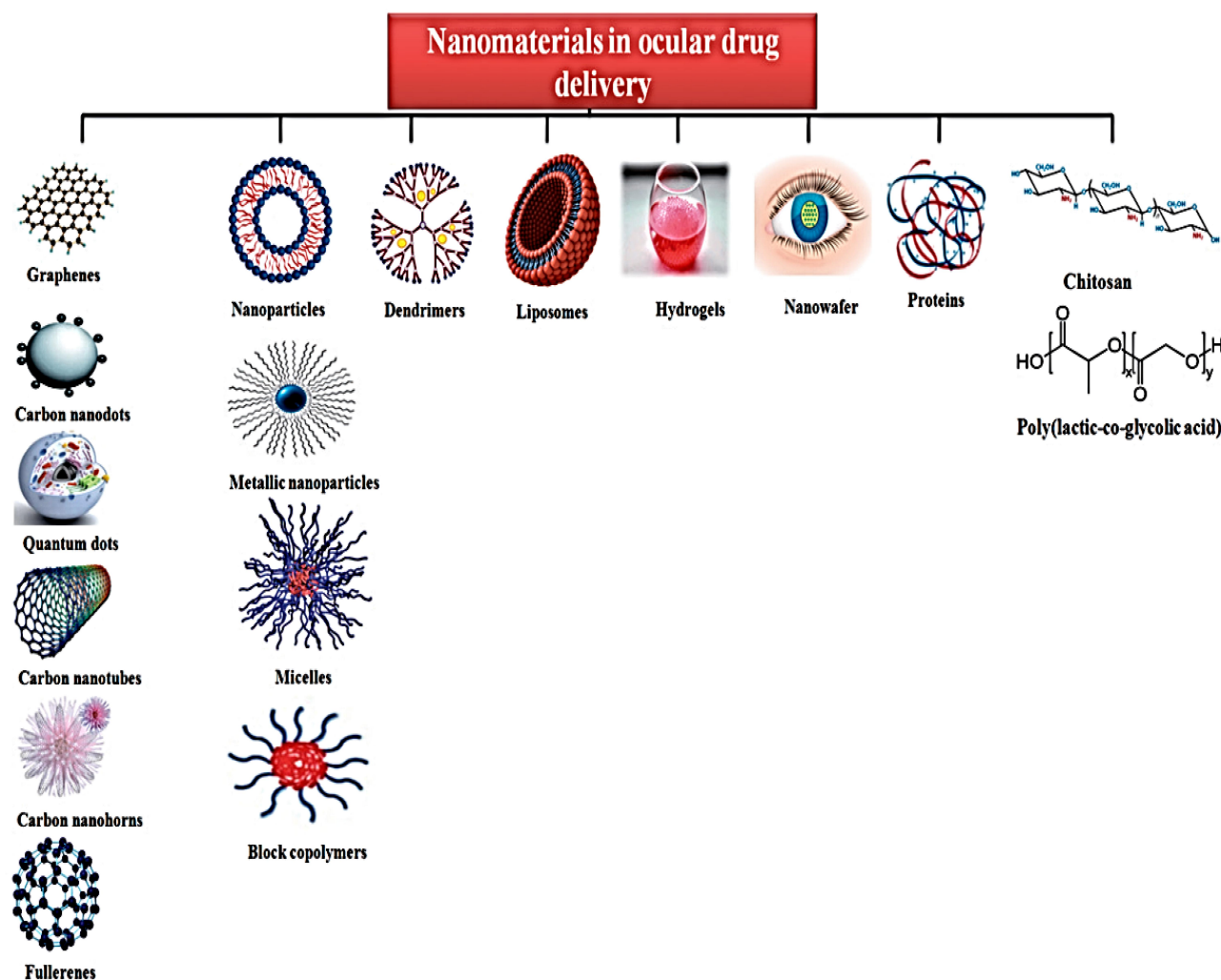
Besides drug selection, the delivery of active ingredients towards tumor regions is an important aspect of cancer treatment. One of the proposed strategies is to employ a combined therapy, combining nanomedicine with a systematically administered drug. The combined therapies can help overcome barriers for tumor-targeted drug delivery. Various biological barriers including the dense extracellular matrix (ECM), the high interstitial fluid pressure (IFP) and the high metabolism in tumors exhibit significant barriers in targeted drug delivery, by utilizing combined therapy, such as integrated prescribed drugs with the angiotensin II receptor inhibitor losartan, the ECM and IFP contents in tumors can be reduced. As a result, it increases the nanomedicine's clinical efficacy and performance.<sup>58</sup>

Besides cancer detection, diagnosis, and treatment, nanomedicines have been used in the prevention efforts by enhancing the human body's immunity against cancer. For instance, ENMs used in chemotherapy, doxorubicin and oxaliplatin-induced counter-tumor immunity by triggering immunogenic cell death (ICD), promoting checkpoint blockade effects in immunotherapeutics. A simple

explanation of better immunotherapy outcomes by ICD-inducing nanomedicines can be described as the reduction of drug exposure by ICD-inducing nanomedicines resulting in less systemic immunodepression.<sup>59</sup> Thus, it promotes the conversion of tryptophan to kynurenine, and induce immunosuppression in the human body.

Apart from the field of cancer treatment, ENMs are widely used in ocular drug delivery. As the eye is a sensitively accessible organ, it requires delicate handling in treatment, drug selection, and drug delivery. Attributing to their extremely high area to volume ratio, ENMs often have distinctive physicochemical properties as compared to their bulkier counterparts.<sup>60</sup> Figure 3 shows the usage of nanomaterials as therapeutic agents for eye treatment. Various ENMs have been reported for drug delivery and treatment in ocular specialists, specifying corresponding eye-associated diseases. For example, Eleraky et al reported that surfactants are mixed in ENM composite to further disperse the nanoclusters, reducing the size of both ocular and drug emulsions. Despite the advantages of ENMs in ocular treatment, ionic surfactants are toxic generally toxic. The concentration of the surfactant shall be meticulously tuned as higher concentrations because it may cause irritation and corneal damage. Other solutions for toxicity in ionic surfactants include the usage of non-ionic surfactants like poloxamers, polysorbates, polyethylene glycol, and tyloxapol.<sup>61</sup>

In the context of drug delivery, the efficiency of drug delivery is crucial especially in the field of cancer treatment. Various types of nanoparticles are used in drug delivery for cancer treatment. For instance, Cisplatin, an effective drug against breast, ovarian, head-neck, bladder and testicular tumors that can achieve efficient drug loading was measured as  $\approx 100\%$  with long blood circulating time of up to 15.53 h. Besides, Oxaliplatin, an effective drug to treat colon cancer, shows drug loading efficiency of 75.69%.<sup>62</sup> For platinum-based nanoparticles in liposomal formulations, co-delivery of oxaliplatin and irinotecan by liposomal formulations is more efficient than synchronous and sequential administration of these drugs.<sup>63</sup> In the context of hybrid nanomaterials for anticancer drug delivery, Wang et al reported Rational Design of DNA Framework-Based Hybrid Nanomaterials. The designed framework presents significant advances in drug delivery carriers such as precisely controlled topology, superb fluorescence property, high stability under physiological conditions, rapid internalization, as well as high biocompatibility.<sup>64</sup>



**Figure 3** Nanomaterials used as therapeutic agents in nanomedicines, particularly ocular.

**Notes:** Mehra NK, Cai D, Kuo L, Hein T, Palakurthi S. Safety and toxicity of nanomaterials for ocular drug delivery applications. *Nanotoxicology*. 2016;10:836–860, reprinted by permission of the publisher (Taylor & Francis Ltd, <http://www.tandfonline.com>).<sup>60</sup>

Besides the abovementioned directions, ENMs have also been used in antimicrobial applications, including antifungal, antibacterial and antiviral activities. Various researches reported the usage of ENMs as the remedy of infectious disease, for instance, Fe, Ag, Cu, TiO<sub>2</sub> and ZnONPs have been illustrated significant antibacterial activities against drug-resistant bacteria. The main antibacterial mechanism of these ENMs is primarily ion, which causes free radical stress in bacteria.<sup>65</sup> In the case of Fe-based ENMs, Alavi et al demonstrate the anti-spreading ability of Fe<sub>3</sub>O<sub>4</sub> nanoparticles towards multi-drug resistance bacteria. In the reported study, Fe<sub>3</sub>O<sub>4</sub> nanoparticles are attempted to investigate its antibacterial abilities on *E. coli* ATCC 25922, *S. marcescens* ATCC13880 and *S. aureus* ATCC 43300. From the

reported study, *S. aureus* ATCC 43300 colony has been successfully suppressed with increasing about of Fe<sub>3</sub>O<sub>4</sub> nanoparticles.<sup>65</sup> Besides, Fe-based nanoparticles, Ag-based particles has been paired with various bio-compounds to form antibacterial nanoformulations. From the reported study, the bioactivity of Ag-based nanoformulation, including AgNP-chitosan, AgNP-cellulose, AgNP-sodium alginate, and AgNP-chitosan-cellulose, may be influenced by many factors such as types of nanoformulation (films, foams, and hydrogels) and concentration or volume ratio of each ingredient.<sup>66</sup> The possible applications from antibacterial properties of ENMs are not only limited to nanomedicine but also include food packaging, water disinfection, antimicrobial coatings, etc.<sup>67</sup> Besides metallic-based ENMs, carbon-based ENMs, such as

carbon nanotubes (CNTs) have been reported to show antibacterial activities. The open-ended structures of CNTs may encapsulate the antibacterial agent, which shows significant bactericidal effects.<sup>68</sup>

From the abovementioned discussion, it can be deduced that ENMs possess promising potential in the field of nanomedicine, including cancer treatment and ocular treatment. In terms of cancer treatment, ENMs have reported showing outstanding performances in patient stratification, drug selection, immunomodulation, and combination therapies. For ocular treatment, the adoption of various nanomaterials has been reported in various aspects of ocular treatment. However, the toxicity nature of most ENMs results in a significant obstacle against its realization in modern nanomedicine. Thus, various efforts shall be exerted to overcome this nanotoxicology issues in nanomedicine.

## Nanoparticles Affecting the Health

The enormous success of nanotechnology in improving biomedical applications and innovations to overcome many of the health challenging issues leads to overlooking the toxicological aspect of ENMs.<sup>24,27,31,69,70</sup> However, the recent concern on the toxicity and the behavior of ENMs among regulatory agencies has been growing, as implied by many studies reported in the nanomedicine community. However, the toxicological studies of ENMs are still under-explored in some aspects, specifically on the health complications of ENMs. Several health complications such as inhalation toxicity, cardiovascular, hepatotoxicity, neurodegenerative, and carcinogenicity of ENMs positioned it as a health threat in nanomedicine applications.<sup>23–30</sup>

One of the challenges in resolving toxicity in ENMs is the difficulties to standardize and implement the method that reflects the real toxicity of organs and the exposure root in which the ENMs entered the body.<sup>24,25,28</sup> The exposure roots include lung, skin, and mucous membranes, endothelium and blood cell components in addition to different organ toxicity, such as spleen, liver, nervous system, heart, and kidney.<sup>71,72</sup> Once ENMs have entered the body as drug delivery or diagnostic tools, they induce physicochemical interactions with the immunology mechanisms in blood, muscle tissue, liver, spleen, and kidney.<sup>73,74</sup> For inhaled ENMs, where immune cells can uptake and translocate them across epithelial and endothelial cells to the blood circulation system spreading to key organs, including the cardiovascular system, bone marrow, lymph nodes, and spleen.<sup>71,75–78</sup> The translocation of inhaled ENMs causes them to retain around the respiratory tract regions through

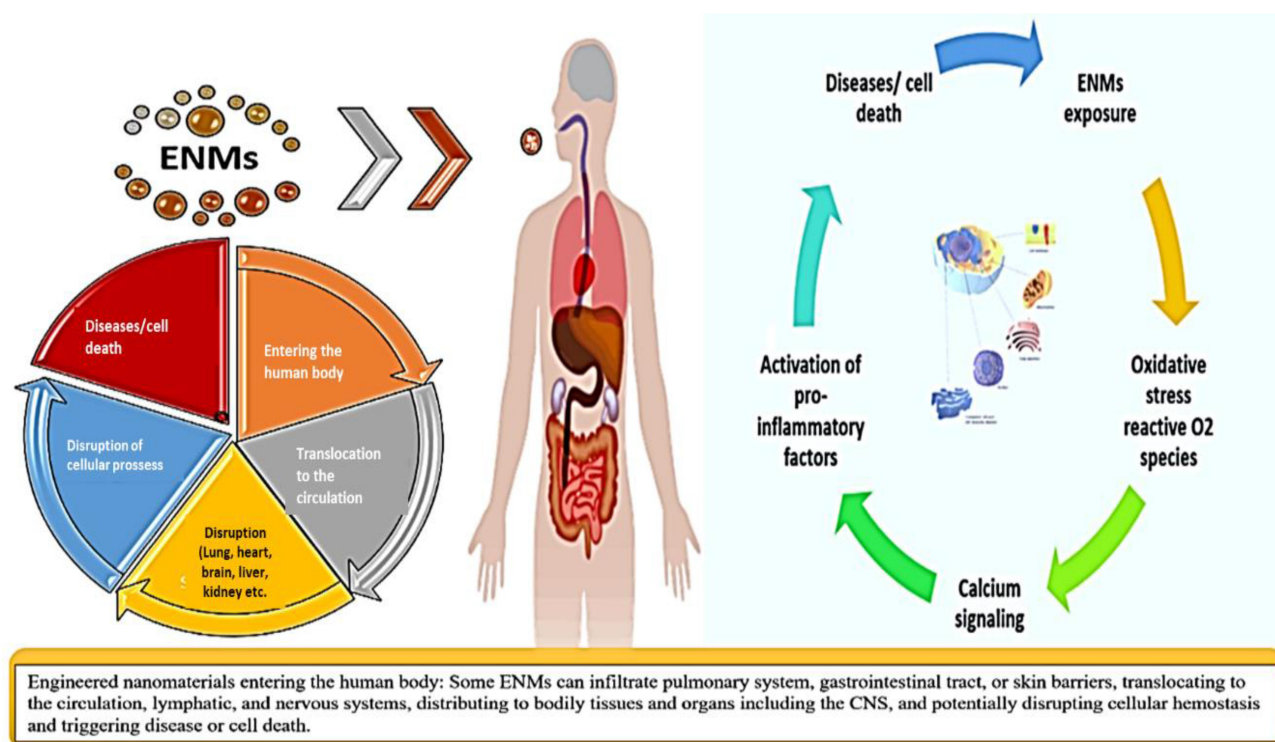
diffusional mechanisms, resulting in further medical complications. Besides, ENMs have also been detected on the CNS.<sup>79,80</sup> Adsorption of ENMs through the skin seems to be distributed through the lymphatic system.<sup>75,76,81</sup> Orally administrated ENMs are mainly digested in the gastrointestinal system which is an acidic environment. The remaining survived ENMs from gastric juice may pass to the intestine and reach the bloodstream if not absorbed in hepatic portal circulation and eliminated by the liver.<sup>82,83</sup>

Figure 4 shows numerous exposure pathways and administration roots of the human body towards ENMs with their corresponding effects and linked diseases. The common cytotoxicity mechanisms of ENMs include inflammation, autophagy dysfunction, oxidative stress, cell membrane damage, immune system response, genotoxicity, alteration in cell structural or cell organelle morphology, cell cycle arrest, etc.<sup>25,70–72,75,76,82,84–86,87</sup> The common effects and their mechanisms are further described in Table 3.

Besides the impact of ENMs' nanotoxicity on the human body, it is important to understand the molecular mechanism in the toxicity of ENMs.<sup>88</sup> One of the prominent mechanisms is oxidative stress induced by ENMs.<sup>89</sup> Oxidative stress is indicated by a rise in ROS level, which is primarily attributed to an imbalance between ROS production and antioxidant defense. Reactive oxygen species (ROS) are chemically reactive species (eg hydroxyl ( $\cdot\text{OH}$ ), superoxide ( $\text{O}_2^{\cdot-}$ ), hypochlorous acid ( $\text{HOCl}$ ), singlet oxygen ( $^1\text{O}_2$ ), and ozone ( $\text{O}_3$ )). Fundamentally, ROS are bio-based byproducts of oxidation metabolism in human tissues, including the cell membrane, cytoplasm, mitochondria, and endoplasmic reticulum (ER).<sup>90</sup>

Under normal conditions, the body possesses a low value of ROS, where only a small amount of reactive oxygen is present in the body. A small amount of ROS is needed for various functionalities in the body. For instance, in the kidney, the main role of ROS is to regulate the resorption of solutes and water. This is critical for maintaining electrolyte homeostasis and extracellular fluid volume. However, when the level of ROS increases to an undesired level, it can destroy the functionalities of the kidney.<sup>91</sup> Studies have demonstrated that various types of ENMs, eg, gold, silver, and copper, were able to induce high ROS production. Besides kidney damage, several in vitro studies have shown that Ag-based ENMs may trigger ROS production by mediating mitochondrial dysfunction, leading to DNA damage. In the HK2 cell line, Ag NPs can induce ROS-mediated DNA damage and





**Figure 4** The fate of ENMs, their effects and cycle in human body.

subsequent G2/M cell cycle arrest in human renal epithelial cells.<sup>92</sup>

Due to the toxicity nature of ENMs, it possesses a significant challenge in realizing ENMs in the nanomedicine field. To utilize the various advantages of ENMs in nanomedicine, the toxicity issue shall be evaluated and addressed. The details of the challenges and proposed solutions in resolving toxicities in ENMs shall be discussed in the next sections.

## The Challenges in Nanotoxicology

The toxicity evaluation of ENMs in nanomedicine research possesses a challenge, where an objective strategy in determining the toxicity of individual ENMs is crucial.<sup>93–95</sup> The fast development and commercialization of ENMs and the lack of suitable detection and characterization techniques exacerbated concerns over the potential risk of using ENMs.<sup>44,75,81</sup> These need further clarifications to develop reproducible, adequate and validated methods for the detection and characterization of ENMs for toxicological studies to develop safe nano-based systems for clinical practices.<sup>42,44</sup>

In this stage, both in vivo and in vitro are established methods in determining ENMs' toxicity. Among the in vitro methods, inflammatory biomarkers and lactate

dehydrogenase (LDH) assay is used to assess inflammatory response and cell integrity, respectively. However, due to their highly tunable properties of ENMs, the physicochemical properties of ENMs are sensitive, and relatively unstable compared to their counterpart bulk materials. Thus, its usage in assessing inflammatory response and cell integrity is relatively unreliable.<sup>95–97</sup>

As compared to in vitro method, in vivo model is the most acceptable testing system for regulatory agencies. This can be attributed to various advantages in in vivo model, including fast results, simple process, etc. However, the disadvantages of in vivo model include limitations in predicting human biological responses. Apart from the low throughput, the costs, and the ethical issues of in vitro and in vivo methods, they have given conflicting and inconsistent results in different laboratory settings. For usage of in vitro and in vivo methods in determining the toxicity of ENMs,<sup>76,93,94,96</sup> these methods yield inconsistent ENMs toxicity results due to various factors. These include the nanoscale size versus large surface area, the high catalytic activity, and the unique optical properties.<sup>99–100</sup>

In addition to the agglomeration effects and the potential interference between ENMs and the currently available assay kits, all these have raised the need to adopt new

**Table 3** The Common Effects of ENMs and Their Mechanisms

Type of Effect	Description	Mechanism	Ref.
Biodistribution-related toxicity	The behavior of an ENM in the physiological surrounding will be determined by its dispersion in various organs; heart, lungs, kidney, spleen, liver, brain, testis and thymus, and by physicochemical properties such as hydrophobicity, dissolution, and aggregation state. Also, cell uptake and route of administration.	Dissolution can result in a redox reaction, altering the chemical reactivity of the ENM. Adsorption of matrix components, the agglomeration or sedimentation of the ENM can significantly impact the delivery of the material and its bioavailability	[71,78,79]
Reactive oxygen species	During the mitochondrial electron transport of aerobic respiration or oxidoreductase enzymes and metal-catalyzed oxidation, reactive molecules and free radicals are emitted as by-products. These by-products can be susceptible to causing a series of catastrophic occurrences in the body.	Superoxide anion, hydrogen peroxide and nitric oxide	[31,78,85]
Inflammation	A major process where the body repairs damaged tissue and protect its organs from outside invaders. Acute inflammation is a typical response to an incoming threat to eradicate foreign bodies, damaged tissue, and stopping additional injury	Macrophages present in the tissue acts as the tissue's primary immune response, releasing numerous cytokines and immune factors. Upon interaction of macrophage with ENM, macrophages differentiated to pro-inflammatory or anti-inflammatory phenotypes depending on the ENM nature	[75,76,83–85,190]
Cell membrane damage	The cell membrane separates and protects the inner organelle of cells from the outside environment. ENMs can pass cell membrane by endocytosis or thru penetration. This may provoke permanent cell damage and toxicity depends on ENMs concentration and properties.	Cell membrane disruptions and cell death typically depending on various ENMs physiochemical properties, such as size, surface charge, or hydrophilicity. Adsorption of ENMs on cell membranes may lead to blocking cellular ducts, causing changes to membrane structures, or inhibiting metabolism or ion intake causing cell death	[93,122]
Genotoxicity	Describes the damage of the genetic information within a cell as a result of oxidation of critical cell biomolecules lead to chromosomal aberrations, gene mutations, apoptosis and carcinogenesis	Alterations of redox equilibrium in the cell among produced reactive oxygen species and antioxidant leading to DNA damage.	[71,84,85,191,192]

alternative methods as a primary goal in nanotoxicology to identify the ENMs' potential health hazard to the environment and humans.<sup>101–105</sup> Progress in the growth of nanotechnology and its translation to clinical practices requires comprehensive characterization of ENMs and detecting their toxicity based on feasible and cost-effective approaches include considering those characteristics as safe-by-design approaches.<sup>70,75,93,100</sup> In addition to a suitable detection method to assess their potential toxicity, effective standardized regulatory guidelines are needed.<sup>42,44,75</sup>

## Nanotoxicology in Biodegradable Materials

Due to the possible toxicity in ENM substances, there have been numerous research efforts in exploring low-toxicity

alternatives for nanomedicine applications. Recently, much attention has been shifted to the research and development of biodegradable organic nanomaterials.<sup>105,106</sup> These biodegradable nanomaterials can be degraded in the human body, resulting in chemical products that are cell building blocks, eg sugars, amino acids, and fatty acids.<sup>107</sup> Due to the usage of biodegradable materials in nanomedicine, the toxicity of nanomaterials has been largely reduced. In most cases, these biodegradable nanomaterials are generally presumed to be nontoxic, despite possible toxic payload resulted from the treatments which are unavoidable.<sup>108</sup> Despite the common practice in assuming biodegradable nanomaterials as non-toxic, one needs to take note that not all biodegradable nanomaterials are deemed safe, specifically for nanomedicine applications. Despite biodegradability properties, some

nanoparticles may still have negative impacts on human bodies. For instance, attributing to their physicochemical properties, eg particle size, ionic polarity, insolubility, usage of specific biodegradable materials will cause undesired issues in the blood coagulation system.<sup>108</sup> For example, Su et al reported that poly-alkyl-cyanoacrylate (PAC) can be degraded in the human system by esterases. However, the degradation rate produces toxic components, which are undesirable for nanomedicine applications.<sup>109</sup> To control the release of toxic substances during biodegradation, various factors need to be considered, eg choice of nanomaterials, size, shape, surface polarity of the nanoparticles.<sup>110</sup> In terms of material choices, Kim et al reported that the encapsulation efficiency of Poly-ε-Caprolactone (PCL) for taxol (anti-cancer drug) is ~20%. This encapsulation is much lower compared to its Poly Lactic-Co-Glycolic Acid (PLGA) counterparts with ~100% encapsulation efficiency. However, due to the difference in chemical properties, PCL nanoparticles have better therapeutic efficiency and bio-stability as compared to PLGA substrates.<sup>111</sup> Nevertheless, due to the relatively brief history of nanomedicine, the long-term effect of toxic payloads in biodegradation nanomaterials is still understudied. In conjunction with the toxicity concerns of nanomaterials in nanomedicine in general, the toxicity assessment and qualification standards in nanomedicine are called for.

## Toxicity Assessment and Qualification Standards in Nanomedicine

### Approaches to Toxicity Assessment

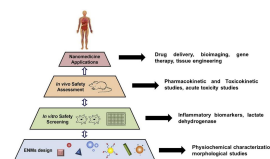
The dose-response relationship is the classical approach to evaluate toxicity, in which the steepest slope or setting a cut off is used for comparison.<sup>112,113</sup> It is the most fundamental concept of toxicology, many decisions depend on the integrity of this relationship, serving as an important guideline for many regulatory, health and economic assessments.<sup>113</sup> Though, many factors affecting the integrity of the dose-response relationship, including changes in the pharmacokinetics of the chemicals which are a common root for altered dose-response relationships.<sup>84,112</sup> The pharmacokinetics of ENMs are significantly influenced by their physicochemical properties, which lead to significant unpredictability in absorption, clearance, and distribution.<sup>70</sup> Subsequently, this alteration of pharmacokinetics leads to the modification

of the pharmacodynamic affecting the efficacy and toxicity of ENMs.<sup>87,115,116</sup> Therefore, high throughput testing tools provide predictive data that have been proposed to develop more efficient safety assessment protocols for ENMs.<sup>117,118</sup> The assessment of ENMs toxicity can be categorized into various steps; physicochemical characterization, in vitro assay (cellular and acellular), and in vivo assays.<sup>77,119</sup> Nevertheless, many of the existing safety assessment standards are not suitable to assess the ENMs safety, due to their lack of a standardized method for characterizing critical physicochemical properties and their corresponding effects on various pathological systems.<sup>101–104,120</sup> Safety assessment of the ENMs requires a careful look for precise assays considering the distinct physicochemical properties of the ENMs and the potential interference between them and the assay kits.<sup>101–104,120</sup> However, the exponential growth of ENMs results in their corresponding design complexity and complex physicochemical properties. This exerts difficulties in inaccurate measurements of critical physicochemical parameters in their application in nanomedicines.

In determining the toxicity of ENMs, several studies have proposed various frameworks in performing predictive safety assessment of ENMs. Mirshafiee et al proposed a pyramidal model on the determination of ENMs toxicity, and their suitability in nanomedicine application.

As shown in Figure 5, the hierarchy of ENMs' predictive safety assessment starts with the design and characterizations of ENMs. After that, the ENMs shall be subjected to in vitro safety screening to capture possible toxicities in the ENMs. After the in vitro screening, the nanomaterials will be subjected to in vivo safety assessment. The designed ENMs require to pass both in vitro and in vivo stages before proceeding to nanomedicine applications, including clinical trials, or prototype development.<sup>121</sup>

Fundamentally, in vivo is the research or investigation where work is done within a living organism. This includes



**Figure 5** Pyramid model on the determination of ENMs design, production and toxicity assessment.

**Notes:** Adapted with permission from Mirshafiee V, Jiang W, Sun B, Wang X, Xia T. Facilitating translational nanomedicine via predictive safety assessment. *Mol Ther*. 2017;25:1522–1530.<sup>121</sup>

studies in animal bodies, systems, or human clinical trials. Meanwhile, *in vitro* is the research or investigation where work is done outside a living organism. This includes studying cells in culture or antibiotic tests. In toxicity assessment in nanomedicine, *in vivo* is extensively used to obtain the first-hand safety assessment information of the nanoparticles, as reported by Quarta et al<sup>122</sup>. In practice, the *in vivo* toxicology safety assessment of nanomedicine is similar to conventional drugs. First, the pseudo allergy reactions of patients to the active ENM particles shall be characterized, predict, and prevent. This is to mitigate the risk of acute cardiopulmonary distress, which can be lethal to patients.<sup>124</sup> Prior to clinical trials, preclinical safety and pharmacokinetic evaluation in animals are required by the regulatory bodies. These evaluations must be carried out under good laboratory practice. As reported by Cicha et al, the required *in vivo* toxicology tests include (1) safety pharmacology test, (2) pharmacokinetic and toxicokinetic studies, (3) acute toxicity studies, (4) local tolerance studies in rabbit, and (5)

genotoxicity studies before proceeding to good manufacturing practice production.<sup>124</sup>

As mentioned earlier, due to the high sensitivity of ENMs' physicochemical properties, the research outcomes on the ENMs' toxicity across various labs are inconsistent. Therefore, it is important to establish a platform, where sharing of toxicity outcome is available among the nanomedicine communities. Fadeel et al proposed a harmonized knowledge infrastructure for the safety, toxicity and harmfulness regulations of ENMs. In the reported work, a co-sharing platform developed based on various principles, including findability, accessibility, interoperability and reusability of data, has been preliminarily proposed. As shown in Figure 6, the data obtained from students can be transparently shared within the community, assuring the quality, completeness and objectivity of the obtained data. The end-user of the shared data includes modelers, risk assessors, regulators, consumers, which can use the toxicity data as a useful reference in making various regulatory or purchasing decisions.<sup>118</sup>



**Figure 6** Knowledge-sharing platform in assessing the toxicity of ENMs in nanomedicine.

**Notes:** Reprinted by permission from Springer Nature CustomerService Centre GmbH: Springer Nature; Nature Nanotechnology; Fadeel B, Farcal L, Hardy B, et al. Advanced tools for the safety assessment of nanomaterials. *Nat Nanotechnol*. 2018;13:537–543; Copyright 2018.<sup>118</sup>



In regulating the toxicity data of ENMs, various machine learning algorithms have been developed to better understand and evaluate the toxicity behavior of the ENMs.<sup>125</sup> These include linear and logistic models, support vector machines (SVM), random forests (RF), classification and regression trees (CART), partial least squares discriminant analysis (PLSDA), linear discriminant analysis (LDA), and artificial neural networks (ANNs).<sup>126</sup> These approaches are anticipated to produce toxicity prediction with greater accuracy. By implementing machine learning in toxicology studies in nanomedicine, it transforms human's capability to speculate the toxicities from nano-structures under experimental and in vivo condition. Thus, the study of machine learning application in toxicology studies remains a dynamic and diverse manner, where preliminary standardized methodology requires a substantial period of research duration to subside.<sup>127</sup>

As discussed earlier, the study of ENMs in nanomedicine involves a mass number of diverse nanomaterials researches. To build up a predictive modeling algorithm on the nanotoxicity, two primary phases are essential – identification of physiochemical properties of ENMs which will cause toxicity issues, and standardized toxicity data collection for possible data analysis and mining. The properties which yield toxicity in ENMs shall be discussed in the next section. For standardize toxicity data collection, ISA-TAB Nano (Investigation – Study – Assay – Material Tab-delimited) was introduced to ensure same-page communication of toxicity data across researchers on various ENM species. This is to compile and communicate diversified data in a standardized way.<sup>128</sup>

To realize predictive modeling for ENMs' toxicity, various computational systems and data mining techniques are required. Among the reported computational system, regression analysis is widely used in forecasting and speculating toxicology behavior.<sup>129</sup> Regression is a simple predictive model that describes the changes in the figures of dependent variables along with independent variables. For example, Horev-Azaria et al reported the usage of the regression technique to predict the toxicology of cobalt nanoparticles and ions. By using regression techniques, the obtained data integrates wells with the in vitro data from five different research groups, where the toxicity behavior can be analyzed based on average threshold values of dose responses.<sup>130</sup>

Besides the regression technique, other computational techniques have also been reported, such as decision trees, support vector machines, and artificial neural networks.

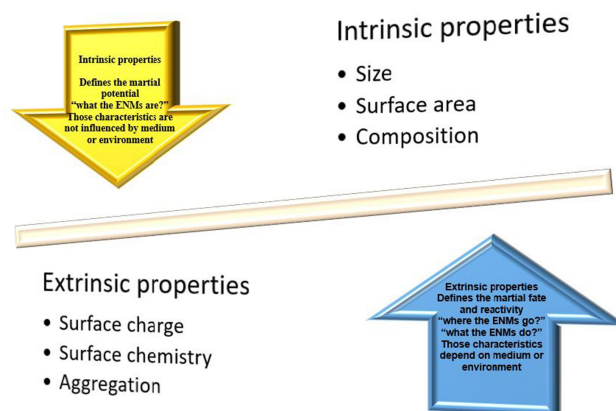
For instance, Gajewicz et al reported the usage of the decision tree model in classifying nanomaterials according to the DF4nanoGrouping scheme. In the reported study, three qualitative classification tree models have been developed for the toxicology predictive model, where small data set predictions are feasible.<sup>131</sup> Nevertheless, the development of a predictive model for toxicology assessment in nanomedicine is an emerging trend, where much development and investigations are needed.

In general, regulators shared agreement on some physicochemical properties as the important parameters and critical factors for the evaluation of ENMs safety, especially for nanomedicine formulations.<sup>42,132</sup> The critical factors include particle size distribution (PSD), chemical composition, drug loading capacity and release kinetics.<sup>133</sup>

## Critical Factors and Parameters for the Evaluation of ENMs Safety in Nanomedicine

The nature of cell responses toward ENMs is directly related to their physicochemical properties.<sup>71,134,135</sup> In nanotoxicology, beyond the description of ENMs uptake pathways with their kinetics (absorption, distribution and clearance) in the body, understanding of the interactions between cell–nanomaterial is more critical. This includes their dependence on the intrinsic and extrinsic properties in altering the nanotoxicity of the ENMs<sup>71,95,103,136–138</sup> (Figure 7).

As mentioned earlier, the large surface area per mass causes ENMs surface to be more reactive, thermodynamically unstable and increase their biological activity compared to the bulk materials.<sup>93</sup> This activity is associated with the susceptibility in causing inflammatory and pro-



**Figure 7** The extrinsic-intrinsic properties balance for the selection of ENM to be used in nanomedicine.

**Table 4** Physicochemical Properties of ENMs and Their Associated Effects and Characterization Methods

Physicochemical Properties	Effects	Characterization Method	Ref.
Size and size distribution	Effect the body absorption of the ENMs, their biodistribution and excretion. Determines the cytotoxic response Affects the cell uptake and endocytosis process Altering the ENMs intracellular fate a common consensus on literature that as the size of the ENMs become smaller, the degree of cytotoxicity is greater.	Dynamic light scattering (DLS) Brunauer–Emmett–Teller (BET) Nanoparticle tracking analysis (NTA) Field flow fractionation (FFF) coupled sizing detectors (DLS-multi angle light scattering [MALS]) Transmission electron microscopy (TEM)	[75,116,117,120,135,136,156,193]
Surface and morphology	Affects the cell uptake mechanism cytotoxicity of ENMs depend on the particle's morphology	FFF-MALS-DLS FE-SEM Transmission electron microscopy (TEM) Brunauer–Emmett–Teller (BET)	[31,71,103,137,138,156]
Surface coating and charge on ENMs	ENMs surface coating act as interfaces between nanostructure-cell Affects the intrinsic interactions, cell uptake, cytotoxicity Determines the ENMs charges cell uptake positively charged ENMs more effectively lead to more toxicity effects compared to neutral or negatively charged ENMs	Zeta Potential analysis Fourier transform infrared spectrometer (FTIR) Transmission electron microscopy (TEM) Energy-dispersive X-ray coupled SEM (SEM-EDX) X-ray diffraction (XRD) Raman Spectra	[31,71,103,137,138,156]

oxidant response, potentially exhibit antioxidant activity.<sup>31,135,138</sup> With the recent advancement in material engineering, achieving a precise synthesis of ENMs with specific functionality and targeted action is possible. There is a various aspect of nanotoxicological responses which depend on their size, surface morphology, charge and composition<sup>71,93,137</sup>(Table 4).

## Physicochemical Characterization Techniques for ENMs

### Effect of Physicochemical Properties in ENMs on Their Nanotoxicity

Among ENMs, certain properties define their possible interaction within the biological system and determining cellular response and uptake, their in vivo fate, and toxicity.<sup>70,71,78,84,87,93,94,103,137,139</sup> Therefore, their physicochemical characterizations in determining the corresponding feasibility in nanomedicine applications. The most critical properties are cluster-size distribution, elemental composition, morphology, surface charge, functionalization and aggregation.<sup>136–138,140,141</sup>

Fundamentally, most in vivo toxicity of ENMs ignited from the production of reactive oxygen species (ROS). A widely-recognized mechanism of ROS production is when Fe-based ENMs are dissolved in aqueous solutions, where the Fe particles act as catalysts for the formation of OOH and OH free radicals from H<sub>2</sub>O<sub>2</sub> molecules.<sup>142</sup> The occurrence of free radicals from H<sub>2</sub>O<sub>2</sub> arises from the Fenton reaction, which is induced by the byproduct (Fe) catalyst formation upon biochemical reaction between ENMs and human tissues. For the case of inert nanoparticles, thought spontaneous ROS production is absent, but the production of ROS can occur under pathological conditions.<sup>143</sup> The production of ROS can damage cells by peroxidizing lipids, altering proteins, disrupting DNA, and finally ending up in cancer.<sup>30</sup>

Among the properties of ENMs, particle size and surface area are crucial in nanotoxicology. As particle size reduces, the corresponding surface area increases, which results in high reactivity ENMs. Both in vitro and clinical studies show that ENMs with small particle sizes with correspondingly large surface areas yield higher ROS

generation.<sup>144</sup> This increases the susceptibility of ENMs in entering the biological system of human bodies, which can induce tissue injury and other possible toxicity effects. For example, Jiang et al reported that the binding and activation of membrane receptors highly-correlate with the ENMs particle size. Studying Au nanoparticles between 2 to 100 nm, they discovered that the Au particles play an active role in mediating cell functions, which may simultaneously induce toxicity issues in biological processes.<sup>145</sup> At the same time, another consideration in altering the particle size of ENMs is the rate of degradation and clearance from the human system. For example, Au ENMs smaller than 50 nm cause high toxicity when administered into animal samples, they disperse to nearly all issues (eg heart, lungs, liver, etc.) and accumulate in these respective organs. On the other hand, Au ENMs with 100–200 nm particle sizes cause low toxicity as they can be cleared from the biological system naturally.<sup>146</sup>

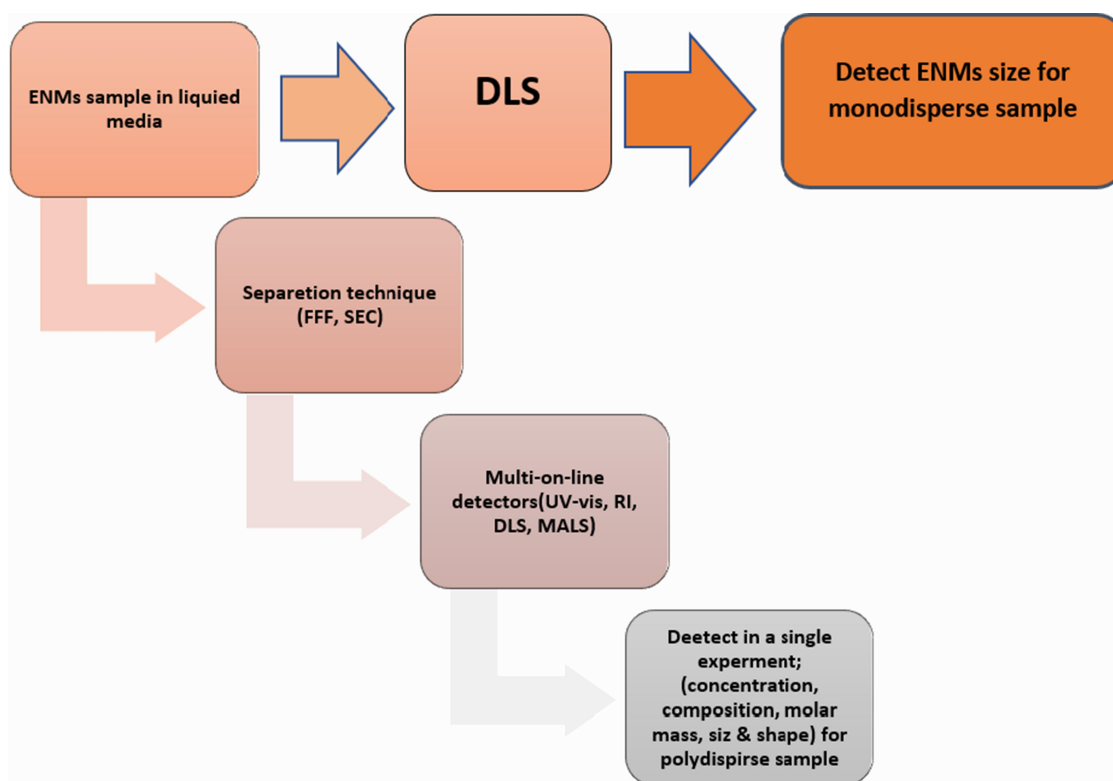
Besides particle size, the surface charge of ENMs occupies and decisive role in determining its corresponding toxicity. In principle, the surface charge controls the ion adsorption and alters biological feedback towards particles. At the same time, surface charge influences the agglomeration characteristics of ENMs and their corresponding shapes and sizes.<sup>147</sup> Typically, positively-charged surfaces are more toxic than negatively charged surfaces, possibly due to the cation affinity towards negatively-charged functional groups on cell membranes. This influences the plasma protein binding, which has a direct effect on the excretion of ENMs from the human body.<sup>148</sup> For example, Saxena et al reported that acid-functionalized CNTs has a significantly higher toxicity than pristine counterparts, specifically under in vivo condition. This can be attributed to two possible factors, a high surface-to-size ratio of functionalized CNTs, or negatively-charged CNTs which enhances the susceptibility in bioreactions.<sup>149</sup> Similar outcomes on CNTs were reported by Pietroiusti et al, where acid-treated CNTs have a higher toxicity effect on pregnant mice as compared to pristine CNTs. This can be attributed to the negative charge and low hydrophobicity of functionalized CNTs.<sup>150</sup>

Besides particle size and surface charges, there are several factors in dictating the toxicity of ENMs, including particle shape, composition, coatings, and surface roughness. In terms of particle shape, a study published by Gurr et al discovered that Rutile TiO<sub>2</sub> causes oxidation-induced DNA damages and lipid peroxidation; whereas anatase TiO<sub>2</sub> has negligible toxicity.<sup>151</sup> On the other hand, Griffith et al found that silver- and copper-based ENMs possess significant toxicity, whereas TiO<sub>2</sub> has relatively

negligible toxicity.<sup>152</sup> Nevertheless, due to the mass variations of ENMs available in the state-of-the-art, the factors influencing the nanotoxicity of each ENMs are still under-explored, where further investigations are called for.<sup>153</sup>

## The Challenge of Physicochemical Properties Characterization

To date, the existing standard characterization methods listed and recognized by the health agencies are insufficient and often not suitable to characterize the sophisticated ENMs and their potential effect on biological systems.<sup>133</sup> This can be attributed to the information gaps between the regulatory and research community, caused by the complexity of numerous critical physicochemical properties. For example, the International Organization for Standardization (ISO) guidelines include electron microscopy (EM), dynamic light scattering (DLS), small-angle X-ray scattering (SAXS), atomic force microscopy (AFM) as the standard characterization, forgoing the chemical and elemental composition aspects of the ENMs.<sup>120,154</sup> Besides, the invalidity issues inherited in some of those methods, applying those methods to the more complex innovative ENMs may require a new or modified method in order to fit newly developed ENMs characterization.<sup>120</sup> Subsequently, comprehensive, established characterization standards are critical for supporting authorities in making decisions on the feasibility of ENMs in nanomedicines. For that reason, the current research is focusing on the introduction of robust physicochemical and alternative toxicology testing methods with capacity and proven validity to be employed as the future standardized evaluation methods in the field of nanomedicine.<sup>70,75,133</sup> The hyphenated method is recently proposed as the possible solution, in which, the characterization method is advanced for example by coupling a separation technique with an on-line detection technology.<sup>155</sup> Other examples include coupling xx (SEC) or xx (FFF) with two detectors channeled to characterization systems, such as UV-Vis, refractive index (RI), or multi-angle light scattering (MALS) for sizing applications.<sup>156</sup> This has led to an extraordinary improvement and has significantly broadened the applications in the analysis and characterization.<sup>120,156–159</sup> The addition of on-line DLS with SEC or FFF can overcome the polydispersity limitation of DLS batch measurements.<sup>118,120,156,160</sup> In addition, the hyphenation of various characterization systems can facilitate more comprehensive characterizations in a single experiment including, mass concentration, composition, molar mass, size and shape of each detected ENMs population.<sup>156</sup> The International



**Figure 8** Illustration of the advantage of separation hyphenation with multi-detectors vs DLS technique.

Organization for Standardization (ISO) has recently released the pioneering standard on the FFF application for nanoparticles.<sup>154</sup> Figure 8 illustrates the hyphenation of the Asymmetric Field flow fractionation (AFFF) method coupled with xx (PTA), using a series of different detectors.

## ENMs Characterization Techniques Particle Tracking Analysis

Over the past years, particle tracking analysis (PTA), or commonly known as Nanoparticle Tracking Analysis (NTA), is progressively becoming a mainstream technique for characterizing ENMs in a liquid suspension.<sup>120,156</sup> This is a high-resolution analysis technique, rapidly and inexpensively track individual particles measuring their size among multiple individual nanoparticles.<sup>120</sup> Like DLS, the working principle of PTA involves light scattering and Brownian motion.

PTA possesses many advantages over DLS. PTA is capable of tracking individual particles and calculating their diffusion coefficient.<sup>118,133,157</sup> Additionally, it can differentiate between two particles or populations with small differences. The working mechanisms of PTA depend highly on the ENMs diffusion coefficient and Brownian motion, or light scattering intensity, enabling it to detect motion changes in molecular

scale.<sup>133,156,160</sup> One of the most prominent advantages of PTA over DLS is overcoming larger particles or aggregates limitation which DLS techniques overwhelmingly bias on the current of the large particles in the sample.<sup>161</sup> The ability of PTA to track single particles enables secondary peaks detection, which may be undetectable with other conventional methods.<sup>161</sup> Apart from that, PTA enables the concurrent calculation of the sample concentration in nanoparticle per milliliter (NPs/mL).<sup>120,156,157,161</sup> Moving forward, the analysis of PTA is further advanced by integrating the finite track length adjustment algorithm to refine the analysis of the polydisperse and multimodal sample through refining isolation and resolution of size distribution peak.<sup>156,160</sup>

Nevertheless, PTA exhibits limitations, specifically when analyzing polydisperse samples. These limitations are related to the camera and the laser set up a dynamic range of PTA, which could lead to measurement errors and inaccuracies.<sup>120,157</sup> The visualization of tiny particles requires a shorter wavelength, as shorter wavelengths scattered more strongly in contrast to longer wavelengths under Rayleigh and Mei scattering. Analyzing a polydisperse sample using the short wavelength laser (405 nm) in PTA setups may result in the detection of the smaller components.<sup>120,157</sup> As a result, it



overexposes the larger particles which could conceal small particles.<sup>162</sup>

Another limitation of PTA is the role of light scattering potential in determining the accuracy of particles' concentration measurement and size measurements.<sup>120,157</sup> This operational limit results in higher uncertainty when measuring particles with low refractive indices. To overcome the polydisperse sample analyses limitation by DLS and PTA, a fractionation step before measurement using DLS and MALS detectors coupled with the cloud system, including the asymmetric flow FFF (AF4) or size exclusion chromatography,<sup>118,120,156,157</sup> is recommended.

## Asymmetric Field Flow Fractionation

Asymmetric flow field-flow fractionation (AF4) can be described as a particle-size characterization system where particles are separated based on size.<sup>156,158,160,163</sup> In a typical characterization process, ENMs are channeled through a narrow tunnel, in which the parabolic laminar flow profile is obtained.<sup>163</sup> The narrow tunnel consists of two walls, the impermeable upper wall and the accumulation wall at the bottom, made up of a permeable membrane. The applied separation force is generated through a perpendicular field or crossflow. The particles within the sample are forced by the crossflow in the direction of the accumulation wall while being countered by the Brownian motion. As a result, larger particles will equilibrate around the accumulation wall with reduced diffusion rates decreases and a low-velocity profile throughout the laminar flow. Meanwhile, smaller particles are suspended further away from the accumulation wall, possessing a higher velocity profile as compared to its larger particle counterpart. Consequently, the smaller particles will pass through and elute the tunnel before the larger particles.<sup>120,160,163</sup> By coupling AF4 with various in-line detectors (eg DLS, multiangle light scattering, refractive index and ultraviolet-visible spectrophotometry) to the smaller particle stream, the determination of several parameters including, hydrodynamic size, purity, and radius of rotation can be realized. AF4 setups have been proposed to analyze polydisperse suspensions in which AF4 first separates the sample fractionating its populations for analysis off-line by the suitable detection technique.<sup>156,156,160,163</sup>

The use of this multipurpose and gentle fractionation technique was effectively used to characterize numerous types of ENMs within nanomedicine applications like liposomes, lipid nanoparticles, polymeric nanoparticles, virus-like particles, metallic nanoparticles and metal oxides. This technique enables various characterizations to be carried out

in a biologically relevant environment under their original properties, stability and behavior.<sup>118,120,156,158,160,163</sup>

Despite the previously described advantages, the above-mentioned separation-based techniques possess some challenging issues. In general, this approach requires the development of a new method for each corresponding type of ENMs to achieve measurement robustness and accuracy, instead of one-size-fits-all setups for various types of ENMs. Apart from that, to obtain particle size and particle shape data from the system, the particles should be larger than 10 nm attributing to MALS' limitations for slight isotropic scatterers and the radius of gyration,  $R_g$ . However, there have been continuous advancements in AF4 systems by integrating it with a viscometer to facilitate the analysis of ENM flow's intrinsic viscosity, which enables instantaneous measurements of particle sizes of isotropic scatterers.<sup>156,158</sup>

## Taylor Dispersion Analysis

Taylor Dispersion Analysis (TDA) is a microcapillary flow technique, where the ENM sample is pushed through a laminar flow of a stationary phase (buffer). Attributing to the combined effect of convection and radial diffusion, the ENM sample flow is perpendicular to the laminar flow along with the buffer.<sup>164</sup> This method enables mass-weighted size measurement of ENM samples regardless of the variation of sample population sizes, providing accurate results down to 0.3 nm scale.<sup>165</sup> Recently, an automated characterization system commercialized with a UV detector is gaining attention in the industry. The drawback of this system is the interactions between the sample and capillary walls and the sensitivity of the system toward the presence of chromophoric impurities, which can affect the results.<sup>165–167</sup> Furthermore, TDA is a batch-mode technique which is not effective with polydisperse samples.<sup>166–168</sup>

## Tunable Resistive Pulse Sensing

Tunable resistive pulse sensing (TRPS) is a characterization technique that enables specific particle detection, characterized based-on three important physicochemical parameters simultaneously: particle size, particle concentration and zeta potential.<sup>169,170</sup> The principle of this technique relies on a variant of resistive pulse sensing (RPS).<sup>171</sup> Under an applied electrical potential across the membrane, a suspension of particles in aqueous electrolyte media is passed through a single pore in a membrane. When a particle passing the membrane disrupting the generated ionic current, it generates a resistive pulse.<sup>169</sup> A significant limitation of TRPS as compared to the previously discussed techniques (PTA, AF4, etc.), is the

calibration requirement.<sup>171</sup> Extensive and repeated calibrations are required in TRPS, which increases the challenges and time consumed in using TRPS. Nevertheless, both PTA and TRPS exhibit similar performance in the level of accuracy when analyzing tiny particles.<sup>172</sup>

## Conclusions

Despite the rapid development trend in nanotechnology research, the field of nanotoxicology research is growing relatively slow. However, the uprising awareness about the toxicity of engineered nanomaterials (ENMs) in nanotechnology and nanomedicine communities provokes much attention toward nanotoxicology research. Several strategies and frameworks have been proposed in identifying the toxicology of ENMs in nanomedicine, including strategies in nanotoxicology identification and sharing of knowledge among the research and regulatory personnel. Fundamentally, the toxicity of ENMs is caused by several factors. Two primary factors are the physicochemical properties and their associated permeability, which can be defined as their ability to infiltrate through the body to reach cells. ENMs toxicity has been documented at both the molecular and cellular level. From the documented studies, it can be deduced that ENMs' size is one of the important parameters leading to oxidative stress within the cells and to pro-inflammatory response which eventually causes cell death. To understand the toxicity of ENMs, several aspects need to be taken into considerations. These include characterization and understanding of ENMs' physicochemical parameters, the influence of these parameters on ENMs' toxicity, and to minimize their toxicity by developing biocompatible ENMs. The translational research of ENMs in nanomedicine can be fully achieved only when there is enough understanding of their potential risks and hazards, leading to a growing positive perspective for various applications in nanomedicine. However, there are still many challenges to overcome, including the lack of validated characterization methods for ENMs' properties.

## Way Forward

This review addresses the current nanotoxicology in nanomedicine in several aspects: the state-of-the-art of engineered nanomaterials (ENMs) in nanomedicine, the toxicology issues in ENMs, the working framework in developing a toxicology benchmark for ENMs, and technical characterization techniques in determining the toxicity of ENMs. Several directions

shall be focused on the nanotoxicology communities of the ENMs as our next steps.

First, the integration of various characterization techniques in determining the toxicology characteristics of ENMs. The current literature has developed extensive researches on studying the toxicity of various ENMs. However, their use in different studies is inconsistent. Thus, it is important to develop integrated characterization techniques to address the comprehensive toxicity information of each ENMs. Second, the internationally recognized standards of qualification on the toxicity of ENMs in nanomedicine. As the toxicity of ENMs are widely varied, and the toxicity of each nanomedicine application requires varied toxicity threshold, the development of standards can be categorized according to their corresponding ENMs/nanomedicine applications. Third, the establishment of a knowledge-sharing platform among the research communities, regulatory parties, and consumers. The data, including toxicity research data and suggested toxicity qualification standards produced by researchers and authorities, shall be transparently shared. Thus, the research communities can steer the toxicology research directed specifically to the needs of the regulatory parties, while the regulatory parties can tweak the existing standards and regulations on toxicity according to the current research findings. At the same time, consumers can make better purchase decisions according to the research outcomes and regulations shared on the knowledge platform.

It is undeniable that many technical details are still under-explored in understanding the toxicity of ENMs in nanomedicine. These include the specific toxicity of derived ENMs and complex ENM/matrix composites. Nevertheless, while technical explorations are irreplaceable, we shall also work on the strategies on integrating the existing knowledge available in the state-of-the-art and develop them into comprehensive toxicity regulation standards or standard-operation-procedures. Thus, the knowledge development, standard regulation, and knowledge sharing of nanotoxicology shall be carried out concurrently in our future work.

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## Disclosure

The authors report no conflicts of interest in this work.

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