

The Clinical Features and Survival Outcome of 107 Newly Diagnosed Advanced Stage Extranodal NK/T-Cell Lymphoma Cases: A Triple-Center Study

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Objective: Advanced stage extranodal natural killer/T-cell lymphoma (ENKTL) is a distinct type of non-Hodgkin lymphoma and the prognosis of ENKTL is poor with current treatment. This study aimed to investigate the clinical features, treatment strategy and survival outcome in patients with advanced stage ENKTL.

Patients and Methods: A total of 107 patients with newly diagnosed advanced stage ENKTL between January 2010 and December 2014 were reviewed from three cancer centers. Survival probability was calculated using Kaplan-Meier and the survival curves were compared by Log rank test. Cox regression analyses was performed to investigate the prognostic factors in ENKTL.

Results: The median patient age in our cohort was 42.0 years, with a male to female ratio of around 2.3:1. Over half of the patients had B symptoms ($n = 61$), high IPI scores (≥ 2 , $n = 60$) and high Prognostic Index of Natural Killer Lymphoma (PINK) scores (≥ 3 , $n = 69$). Elevated LDH level was present in around half of the patients (44/91). Most patients ($n = 88$) in our cohort originated in upper aerodigestive tract and the remaining 19 cases presented with non-upper aerodigestive tract involvement at first diagnosis. Chemotherapy regimens used in our study mainly include CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) ($n = 26$), L-asparaginase (L-aspar) containing chemotherapy (GELOXD (gemcitabine, l-asparaginase, oxaliplatin and dexamethasone) and SMILE (L-asparaginase, methotrexate, ifosfamide, etoposide, and dexamethasone)) ($n = 66$). No significant difference between the baseline clinical characteristics was found between the L-aspar and CHOP group. The CR rate after treatment was 39.3% (42/107) for the whole cohort. The 3-year progression-free survival (PFS) and 3-year overall survival (OS) rate was 41.0% and 41.5%, respectively. The 3-year PFS (49.2% vs 26.5%, $P = 0.048$) and 3-year OS (49.4% vs 26.0%, $P = 0.030$) was significantly higher in the L-aspar group than the CHOP group. Patient CR status and PINK score were proved to be significant independent factors affecting OS and PFS by multivariate analysis. The grade 3/4 hematologic toxicity ($P = 0.0003$) and non-hematologic toxicity ($P = 0.0002$) occurred more frequently in the SMILE group than the GELOXD group.

Conclusion: Our results demonstrated that L-aspar containing chemotherapy could provide favorable survival outcomes in patients with advanced stage ENKTL.

Keywords: extranodal natural killer NK/T-cell lymphoma, chemotherapy, toxicity

Introduction

Extranodal natural killer/T-cell lymphoma (ENKTL) represents a distinct subtype of non-Hodgkin lymphoma with EBV infection and aggressive clinical course. ENKTL occurs predominantly in the upper aerodigestive tract, with a more

frequent incidence in Asia and South America than other areas and countries.¹ Around 20–30% of the newly diagnosed ENKTL patients were in the advanced stage, with a median survival time of 12–18 months.² The prognosis of advanced stage ENKTL was poor and no standard therapeutic regimen has been set up for this aggressive lymphoma.

CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) is a commonly used regimen in lymphoma, but yields poor results in ENKTL patients due to the overexpression of P-glycoprotein in ENKTL.^{3–5} Recently, L-asparaginase (L-asp)-based chemotherapy such as SMILE (L-asparaginase, methotrexate, ifosfamide, etoposide and dexamethasone) has achieved promising outcomes in advanced stage nasal type ENKTL.^{6–9} However, the above results were based on a relatively small sample size. Here, we summarized the clinicopathologic features, survival outcomes and prognostic factors in advanced stage NK/T-cell lymphoma.

Patients and Methods

Patient Selection

Patients diagnosed with advanced stage ENKTL (Ann Arbor stage III/IV disease) at Fudan University Shanghai Cancer Center, Xiangya Hospital and Hunan Cancer Hospital between 2009 and 2014 were systematically reviewed. The inclusion criteria in this study were as follows: (1) pathologic diagnosis of ENKTL based on the WHO classification of lymph and haematogenous tissue tumor; (2) previously untreated, Ann Arbor stage III and IV disease at first diagnosis; (3) a complete set of clinical information, laboratory, treatment and follow-up data; (4) no previous or concomitant malignancies; This study was in accordance with the Declaration of Helsinki and approved by the Ethics Committees of the Fudan University Shanghai Cancer Center, Xiangya Hospital and Hunan Cancer Hospital.

Detailed patient clinic data was collected, including sex, age, systematic B symptoms, a complete medical history and physical examination, serum biochemistries with lactate dehydrogenase (LDH) level, computed tomography (CT) and/or magnetic resonance imaging of the head and neck, computed tomography of the chest, abdomen and pelvis, or positron emission tomography-computed tomography (PET/CT); bone marrow examination.

New prognostic model Prognostic Index of Natural Killer Lymphoma (PINK) was evaluated in our study. PINK represents a new prognostic index based on four prognostic factors,¹⁰ a patient aged >60 years, tumor stage III–IV disease, disease with distant lymph node involvement, and non-nasal type disease.

Treatment Protocol

GELOXD was a three-week cycle regimen, including gemcitabine (800 mg/m², d1,5), l-asparaginase (5000 U/m², d1–7), oxaliplatin (85 mg/m², d1) and dexamethasone (10 mg, on d1–7). VIPD was a 21-day cycle that included etoposide (75 mg/m²), ifosfamide (75 mg/m²), cisplatin (20 mg/m²) and dexamethasone (40 mg) on days 1–4. Modified SMILE regimen included L-asparaginase (6000 U/m²) on days 1–7, methotrexate (60 mg/m²) on day 1, ifosfamide (1.5 g/m²) on days 2–4, etoposide (100 U/m²) on days 2–4, and dexamethasone (15 mg) on days 1–7, with a cycle of 21 days. All the patients received 4–6 cycles of chemotherapy.

Evaluation Standards

Based on the revised response criteria for lymphoma,¹¹ the response of the patients in our cohort was categorized as complete response (CR), partial response (PR), stable disease (SD) or progressive disease (PD). PET/CT, MRI and/or CT were performed before treatment, after every two cycles of chemotherapy, after treatment, and to monitor relapses.

Evaluation of Adverse Effects

The adverse effects of chemotherapy were evaluated according to the National Cancer Institute Common Toxicity Criteria (version 3.0). Based on this criteria, the treatment-related toxicity was classified into grade 1 to 5.

Data and Survival Analysis

Progression-free survival (PFS) was measured from the time of diagnosis to the time of disease progression, relapse, or death by any cause. Overall survival (OS) was calculated from the date of diagnosis to the date of the last follow-up or death. The survival data was analyzed by Kaplan-Meier method and Log rank test was used to compare the survival curves. Significant factors in univariate analysis ($P < 0.05$) were further evaluated by multiple factors analysis with Cox regression model. The difference of categorical variables between two groups was compared by Pearson Chi-square analysis or Fisher exact test. $P < 0.05$ were considered statistically significant and all the statistical analyses were

performed using SPSS 18.0 software SPSS 19.0 software (SPSS Inc, Chicago, IL, USA) and GraphPad Prism 5 software.

Results

Patient Characteristics

A total of 107 patients with newly diagnosed advanced stage ENKTL were recruited from three cancer centers. The detailed clinical features and immunohistochemical results are summarized in Table 1. The median patient age was 42.0 years (range, 10–76 years) and most patients in our cohort

were young and middle-aged adults, with a male to female ratio of around 2.3:1. A vast majority of patients in our cohort had a good ECOG PS (≤ 1 , $n = 105$) and over half of the patients had B symptoms ($n = 61$), high IPI scores (≥ 2 , $n = 60$) and high PINK scores (≥ 3 , $n = 69$). Elevated LDH level was present in around half of patients (44/91) at first diagnosis. Most patients ($n = 88$) in our cohort originated in upper aerodigestive tract, including the nasal cavity ($n = 70$), nasopharynx ($n = 14$), and oropharynx ($n = 4$). Non-upper aerodigestive tract (NUAT) involvement was present in 19 patients, including skin ($n = 9$), intestinal tract ($n = 6$),

Table 1 Patient Characteristics

Characteristics	Patient Number (%)	CHOP Group (n = 28)	L-asp Group (n = 66)			P value (L-asp vs CHOP Group)
			Total	GELOXD (n = 44)	SMILE (n = 22)	
Gender						0.4237
Male	75 (70.1)	21	44	28	16	
Female	32 (29.9)	7	22	16	6	
Age (years)						0.9702
> 60	20 (18.7)	5	12	7	0 5	
≤ 60	87 (81.3)	23	54	37	0 17	
Median (years)	42.0	37.5	41.5			
ECOG PS						0.5275
0–I	105 (98.1)	27	65	43	0 22	
2–4	2 (1.9)	1	1	1	0	
B symptom						0.2049
Yes	61 (57.0)	13	40	25	0 15	
No	46 (43.0)	15	26	19	0 7	
IPI score						0.1642
> 1	91	23	60	41	0 19	
≤ 1	31 (34.1)	16	50	36	0 14	
	60 (65.9)	7	10	5	0 5	
LDH level						0.3745
Elevated	91	23	60	40	0 20	
	44 (48.4)	9	30	17	0 13	
Normal	47 (51.6)	14	30	23	0 7	
Primary sites						0.5596
UAT	88 (82.2)	23	52	36	0 17	
NAT	19 (17.8)	4	13	8	0 5	
Skin	9	2	5	3	0 2	
Intestinal tract	6 (1.4)	1	5	3	0 2	
Breast	1	0	1	1	0 0	
Distant lymph nodes	3	1	2	1	0 1	
PINK						0.2282
1–2	107	28	66			
	38 (35.5)	7	25	13	0 12	
≥ 3	69 (64.5)	21	41	31	0 10	
Immunophenotype						0.1603
Ki- 67 $\geq 60\%$	62 (57.9)	18	32	22	0 10	
EBER +	101 (94.4)	25	62	41	0 21	0.4319

Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; IPI, International Prognostic Index; LDH, lactate dehydrogenase; PINK, Prognostic Index of Natural Killer Lymphoma; EBER, EBV encoded RNA.

distant lymph nodes ($n = 3$), and breast ($n = 1$). The median Ki-67 value was 60% in the current study, with a wide range between 10% and 100%. Ten patients were positive for HBsAg and antiviral medication was administered before chemotherapy and radiotherapy in these patients. There is no obvious difference between the baseline clinical characteristics for the CHOP group and the L-aspl group.

Evaluation of Efficacy and Survival Outcomes

Of the 107 patients, 101 received chemotherapy as first line treatment, and two patients did not receive treatment due to disease progression or other personal reasons. One patient only received radiotherapy alone as a palliative therapy. The chemotherapy regimens used in our cohort were as follow: GELOXD for 44 cases, SMILE for 22 cases, VIPD for 7 cases, CHOP for 28 cases. The remaining three patients received other regimens, including EPOCH and ATT. The CR rate after treatment was 39.3% (42/107) for the whole cohort. Of the 101 stage III/IV patients treated with chemotherapy, CR rate and ORR were 39.6% (40/101) and 63.4% (64/101), respectively. Compared with the CHOP group, the CR rate (47.0% vs 21.4%, $p = 0.0204$) and ORR rate (68.2% vs 42.9%, $p = 0.0215$) were significantly higher in the L-aspl group (GELOXD and SMILE) (Table 2).

The median follow-up time was 30.5 months, with a range of 1–86 months. The 3-year PFS and 3-year OS rate was 41.0% (95% CI, 5.4–34.6%) and 41.5% (95% CI, 12.3–35.7%) respectively for the whole cohort (Figure 1A and B). The 3-year PFS (49.2% vs 26.5%, $P = 0.048$) and 3-year OS (49.4% vs 26.0%, $P = 0.030$) was significantly higher in the L-aspl group than CHOP group (Figure 1C and D). However, no survival difference was found between GELOXD and SMILE group (3-year PFS 47.7% vs 51.4%, $p = 0.9455$; 3-year OS 45.9% vs 55.4%, $p = 0.5435$) (Figure 1E and F). Notably, patients with the primary involved site of upper aerodigestive tract (UAT) obtained higher 3-year PFS (44.6% vs 18.3%, $P = 0.002$) and OS (45.1% vs 19.8%,

$P = 0.012$) than those with non-upper aerodigestive tract (NUAT) (Figure 2A and B).

IPI and PINK were a significant prognostic factor in our study (Figure 2C–F). Patients in the high-risk groups (PINK ≥ 3) had worse PFS (3-year PFS 50.7% vs 11.9%, $P = 0.001$) and OS (3-year OS 49.1% vs 15.7%, $P = 0.011$) rates than the low-risk (PINK < 3) group (Figure 2E and 2F).

Prognostic Factors for PFS and OS

In our study, univariate analysis showed that some significant factors could affect PFS, including age ($P = 0.011$), primary tumor site ($P = 0.002$), IPI score ($P = 0.029$), PINK score ($P = 0.001$) and CR status ($P < 0.001$). Patient CR status ($P = 0.002$) and PINK score ($P = 0.014$) were important independent factors affecting PFS by multivariate analysis (Table 3).

Significant factors affecting OS by univariate analysis included patient age ($P = 0.048$), primary tumor site ($P = 0.012$), IPI score ($P = 0.039$), PINK score ($P = 0.011$), L-aspl containing chemotherapy ($P = 0.042$) and patient CR status ($P < 0.001$). Multivariate analysis demonstrated that PINK score ($P = 0.020$) and CR status ($P = 0.003$) were significant independent factors for the whole cohort (Table 3).

Adverse Effects

Table 4 summarizes the treatment-related adverse effects of the GELOXD and SMILE regimen. The most common adverse effect of these two regimens was hematological toxicity and the SMILE regimen had significantly more severe toxicity. A relatively high rate of grade 3/4 hematologic toxicity was observed in the SMILE group, including neutropenia ($n = 12$, 54.5%), thrombocytopenia ($n = 9$, 40.9%), anemia ($n = 8$, 36.4%) and febrile neutropenia ($n = 2$, 9.1%), followed by non-hematological toxicities including nausea ($n = 8$, 36.4%), transaminase elevation ($n = 7$, 31.8%), hyperbilirubinemia ($n = 3$, 13.6%), vomiting ($n = 3$, 13.6%), diarrhea ($n = 2$, 9.1%), fatigue ($n = 3$, 13.6%) and peripheral neuropathy ($n = 2$, 9.1%). The toxicities of GELOXD were mild and the most frequent

Table 2 The Response Rates for Different Treatment Regimens

	CHOP (n=28)	L-aspl Containing Regimen (n=66)	VIPD (n=7)	P value (L-aspl vs CHOP)	P value (VIPD vs CHOP)
CR	6	31	3	0.0204	0.2460
PR	6	14	2		
SD	4	9	1		
PD	12	12	1		
ORR	42.9%	68.2%	71.4%	0.0215	0.2285

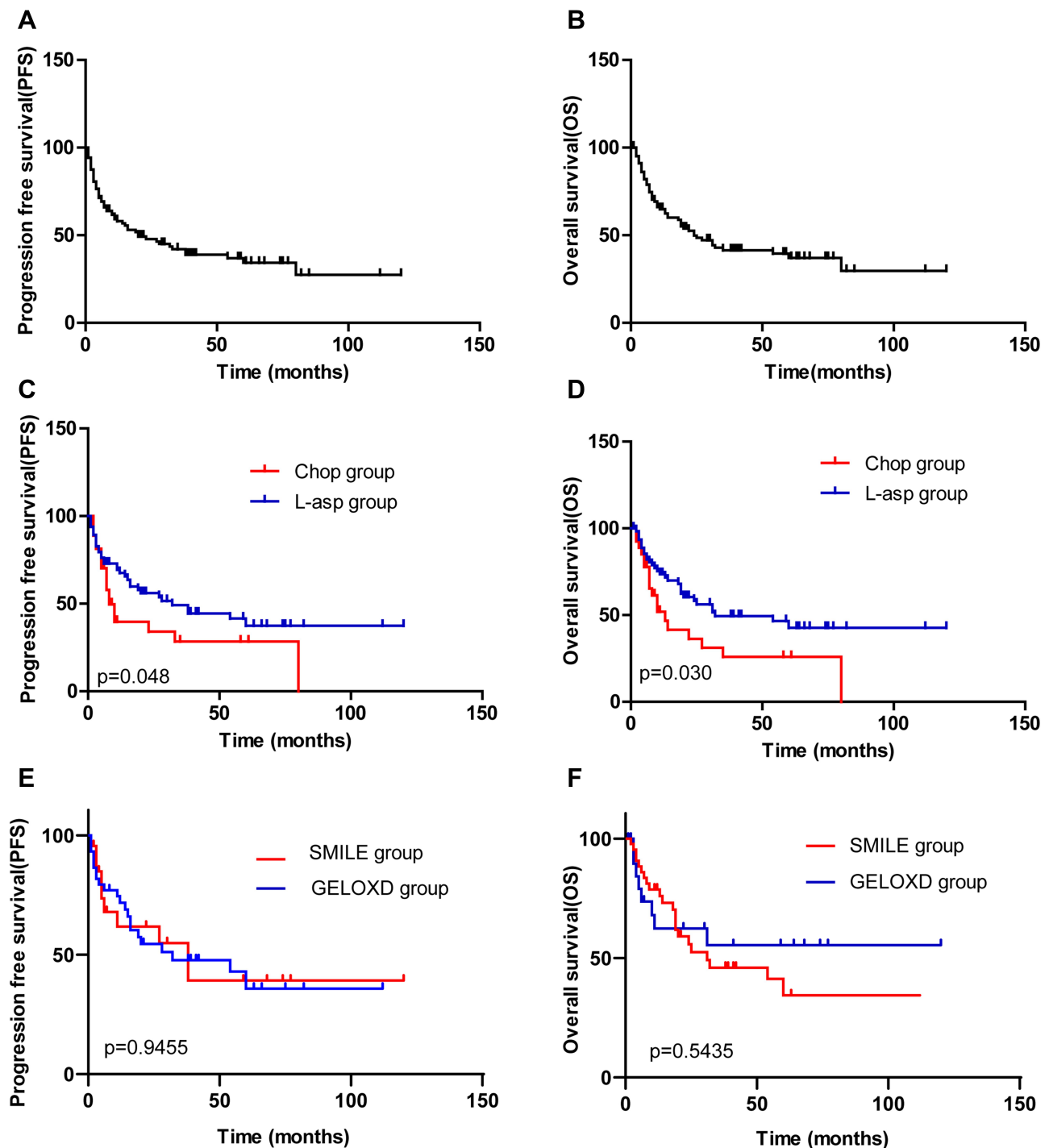


Figure 1 Kaplan-Meier survival curve of advanced stage ENKTL patients.

Notes: (A and B) The progression-free survival (PFS) (A) and overall survival (OS) (B) of all patients. (C) The progression-free survival in the CHOP and L-asparaginase groups. (D) The overall survival in the CHOP and L-asparaginase groups. (E) The progression-free survival in the SMILE and GELOXD groups. (F) The overall survival in the SMILE and GELOXD groups.

grade 3/4 toxicities were neutropenia ($n = 6$, 13.6%), nausea ($n = 5$, 11.4%) and anemia ($n = 4$, 9.1%).

Discussion

Extranodal NK/T-cell lymphoma represents a distinct entity of non-Hodgkin lymphoma characterized by

predilection of midline facial tissues involvement, high rate of EBV infection and aggressive clinic course.⁸ The prognosis of advanced stage ENKTL remains poor with conventional chemotherapy and application of L-asparaginase shedding light on the treatment of ENKTL.^{6,8} In this study, we summarized our experience of advanced stage ENKTL.

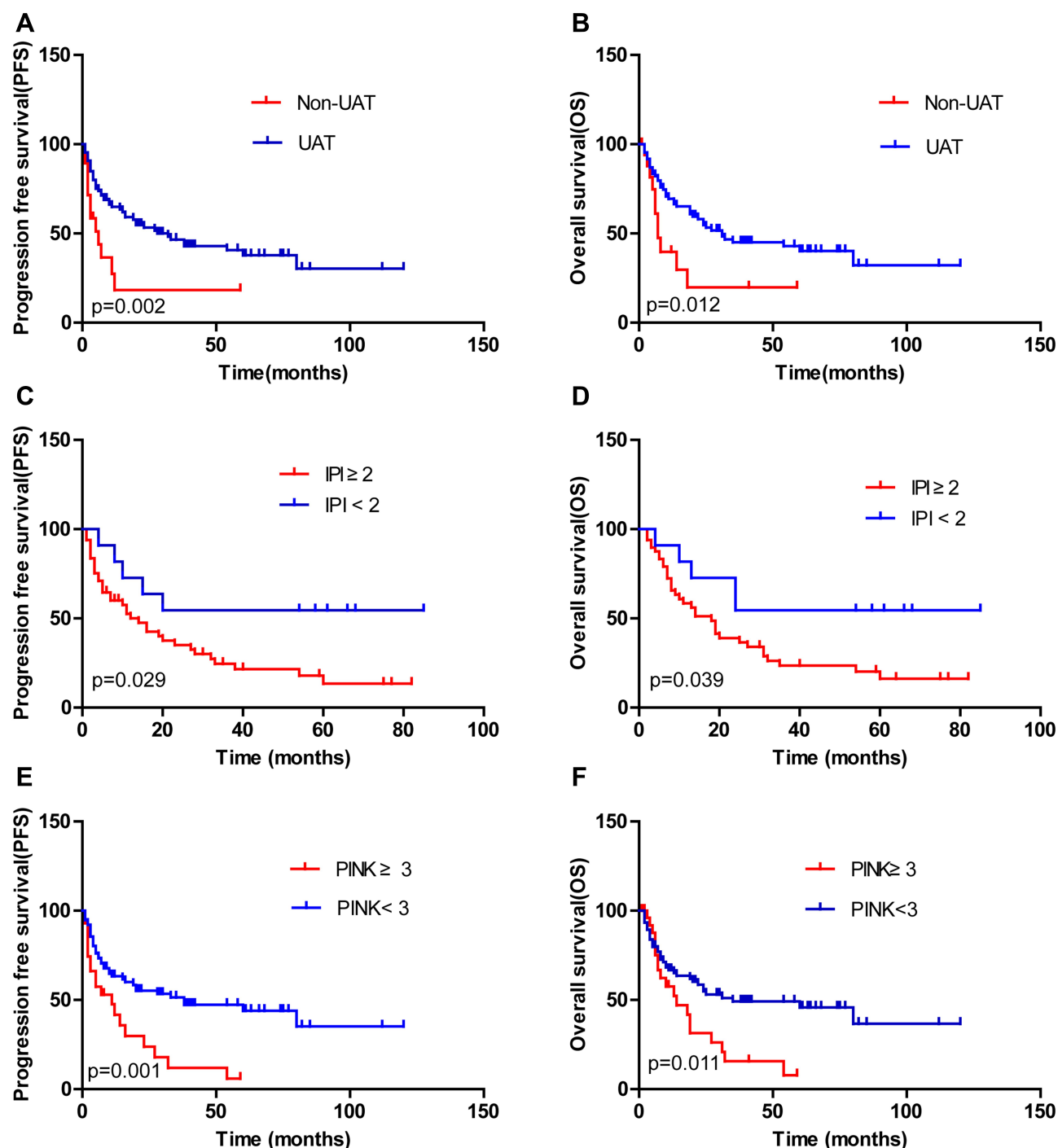


Figure 2 Significant impact of primary involved site, IPI score and PINK score on the survival outcome.

Notes: (A) The progression-free survival in the UAT and NUAT groups. (B) The overall survival in the UAT and NUAT groups. (C) The progression-free survival in the low-IPI and high-IPI groups. (D) The overall survival in the low-IPI and high-IPI groups. (E) The progression-free survival in the low-PINK and high-PINK groups. (F) The overall survival in the low-PINK and high-PINK groups.

Anthracycline-based chemotherapy was a common regimen for non-Hodgkin lymphoma and once used in advanced stage ENKTL. However, previous studies demonstrated the CHOP regimen yields poor results in advanced stage ENKTL, with a low CR rate and short

survival time.^{4,5} Kim et al⁴ reported the results of 59 ENKTL patients treated with anthracycline-based chemotherapy. In this study, 41 stage I/II and 18 stage III/IV ENKTL patients received anthracycline-based chemotherapy as first-line treatment, after a median follow up time of

Table 3 Univariate and Multivariate Analysis of Prognostic Factors for Survivals (by Cox Regression)

Clinical Factor	Progression-Free Survival				Overall Survival			
	Univariate		Multivariate		Univariate		Multivariate	
	P	HR (95% CI)	P	HR (95% CI)	P	HR (95% CI)	P	HR (95% CI)
Aged ≥ 60 y	0.011	0.470 (0.255–0.865)			0.019	0.491 (0.264–0.909)		
Gender	0.817	0.921 (0.458–1.852)			0.820	0.919 (0.444–1.903)		
B symptom	0.928	1.029 (0.550–1.924)			0.909	0.963 (0.502–1.847)		
LDH	0.532	1.243 (0.628–2.462)			0.649	1.173 (0.648–2.156)		
Ki67 $\geq 60\%$	0.238	0.605(0.262–1.395)			0.553	0.763(0.313–1.862)		
Primary tumor site (UAT vs NUAT)	0.002	0.377(0.195–0.728)			0.012	0.435(0.221–0.855)		
Stage (III vs IV)	0.147	1.837 (0.807–4.180)			0.238	1.648(0.719–3.778)		
IPI score ≥ 2	0.029	0.370 (0.144–0.951)			0.039	0.389 (0.151–0.999)		
PINK score ≥ 3	0.001	0.417 (0.239–0.726)	0.014	0.335 (0.141–0.798)	0.011	0.491 (0.279–0.866)	0.048	0.415 (0.174–0.991)
$\beta 2$ -microglobulin elevated > 2.5 mg/L	0.974	0.986 (0.416–2.333)			0.770	0.874 (0.355–2.154)		
Hemoglobin < 110 g/L	0.568	0.828 (0.420–1.632)			0.289	0.677 (0.329–1.391)		
Leukopenia $< 4 \times 10^9$ /L	0.415	1.359(0.650–2.842)			0.732	1.140 (0.538–2.419)		
Platelet $< 150 \times 10^9$ /L	0.649	0.853 (0.431–1.690)			0.367	0.723 (0.358–1.461)		
L-asparagine containing regimen	0.106	1.548(0.899–2.667)			0.042	1.776(1.022–3.089)		
CR after treatment	0.000	5.409 (2.893–10.113)	0.002	4.577 (1.724–12.150)	0.000	5.150 (2.717–9.759)	0.045	2.507 (1.021–6.153)

27.2 months, the 2-year disease-free survival and 2-year overall survival were 22.9% and 44.2%, respectively. Similarly, the efficacy of the CHOP regimen in our study was disappointing, with a CR rate of 23.1% and 3-year OS of 26.0%. The overexpression of P-gp overexpressed by lymphoma cells may contribute to the drug resistance.^{12,13}

As tumor cells lack L-asparagine synthetase, L-asparagine could produce anticancer effects by hydrolyzing serum asparagine, which was necessary for the survival of lymphoma cells. As such, L-Asp was not affected by multi-drug resistance and proved to be effective in advanced

stage ENKTL.^{6,7,14–16} Previous studies reported that some L-Asp based chemotherapy such as GELOX, SMILE and DDGP obtained good efficacy in advanced stage ENKTL.^{6–8} A multicenter prospective study including 42 advanced ENKTL patients demonstrated that the DDGP group had a better 2 year OS (74% versus 45%, $P = 0.027$) than the SMILE group, indicating that L-asparagine containing chemotherapy was effective in advanced ENKTL.⁷ Consistent with the above study, our results showed that the L-asparagine based regimen acquired a good therapeutic effect in patients with advanced ENKTL.

Some risk factors, including clinical stage, B symptoms, LDH, IPI score, PINK score and patient CR status, were proved to have been important prognostic factors in the last few years.^{16,17} Our study demonstrated that primary involved site, patient age, IPI score, PINK score, non-anthracycline containing chemotherapy and patient CR status were significant factors affecting survival outcome by univariate analysis. However, only IPI score and CR status remained significant independent factors affecting PFS or OS in multivariate analysis.

The primary tumor site was an important factor affecting survival outcome. Several studies have demonstrated that stage III/IV ENKTL patients with primary site of upper aerodigestive tract have a more favorable clinical outcome than those originating in non-upper aerodigestive tract.¹⁸ Similar to these researches, our result showed that the response rate and 3-year survival rate was significantly higher in patients with UAT advanced stage ENKTL,

Table 4 Grade 3/4 Toxicity That Occurred in the Two Groups

Toxicity	GELOXD (n=44)	SMILE (n=22)	P value
Hematologic	7	13	0.0003
Neutropenia	6(13.6%)	12(54.5%)	
Anemia	4(9.1%)	8(36.4%)	
Thrombocytopenia	2(4.5%)	9(40.9%)	
Febrile neutropenia	1(2.3%)	2(9.1%)	
Non-hematologic	8	14	0.0002
Nausea	5 (11.4%)	8 (36.4%)	
Vomiting	2 (4.5%)	3 (13.6%)	
Diarrhea	0	2 (9.1%)	
Transaminase elevation	2 (4.5%)	7 (31.8%)	
ALT elevation	2 (4.5%)	3 (13.6%)	
AST elevation	1 (2.3%)	5 (22.7%)	
Hyperbilirubinemia	1 (2.3%)	3 (13.6%)	
Peripheral neuropathy	1 (2.3%)	2 (9.1%)	
Fatigue	1 (2.3%)	3 (13.6%)	

indicating that this unique group of patients may be different in the pathogenesis and need to be explored as a single entity.

PINK score was a new prognostic model for ENKTL treated with non-anthracycline-based therapies.¹⁰ In our study, the PINK score was an important factor affecting OS and PFS by univariate analysis, consistent with previous reports.^{16,19}

Treatment-related toxicity of GELOXD and SMILE was evaluated in the present study. Treatment-related toxicity was mild in GELOXD, which was similar to a previous study, which demonstrated that 30% of cases had grade 3/4 neutropenia and 8.5% had grade 3/4 hepatotoxicity.²⁰ Compared with the GELOXD group, the SMILE group had significantly more severe hematological toxicities and liver dysfunctions in our study. However, the toxicity of SMILE was milder than a previous study, in which grade 3/4 neutropenia was observed in 100% of patients.⁶ The possible reason may be the relatively low dose of etoposide and cisplatin used in our study.

Conclusion

In conclusion, our study showed that the L-asparaginase-containing regimen could produce a higher CR rate and a longer survival time than the CHOP regimen in advanced stage ENKTL. The favorable outcome in our study demonstrated that GELOXD and SMILE are effective in patients with newly diagnosed advanced stage ENKTL. However, the present study also showed some limitations, such as heterogeneity of the treatment protocols and retrospective design of the study. Prospective and multicenter studies are needed to evaluate the efficacy and tolerance of the L-asparaginase-containing regimen and VIPD regimen in ENKTL patients in the future.

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Disclosure

The authors report no conflicts of interest in this work.

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