

# Carbonic Anhydrase XII is a Clinically Significant, Molecular Tumor-Subtype Specific Therapeutic Target in Glioma with the Potential to Combat Invasion of Brain Tumor Cells

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Correspondence: Ulf Dietrich Kahlert Moorenstrasse 5, Düsseldorf, 40225, Germany Tel +49-211-810 8013 Fax +49-211-810 8012 Email mail@ulf-kahlert.com **Background:** The metabolic enzyme carbonic anhydrase 12 (CA12/CAXII) emerges as a promising cancer therapeutic target with drug development projects underway. Previous reports proposed the relevance of CA12 in the context of glioma but are limited in patient data quantity, ignore ethnic diversity of patients or rely on semi-quantitative, thereby out of date, methodology. Moreover, little is known on the association of CA12 to brain tumor stemness or on the effect of anti-CAXII-directed monotherapies on glioma stem cells (GSCs), in particular their response regarding mesenchymal differentiation status.

**Methods:** We performed in silico analysis on three independent, large-scale patient datasets interrogating state of the art molecular diagnostics alongside clinical outcomes. We analyzed CAXII abundance on a collection of GSCs and functionally tested their response to exposure to CAXII blocking antibody 6A10.

**Results:** CA12 is highly expressed in glial tumors compared with normal tissue and predicts for poor clinical course of tumor patients. CA12 expression in glioblastoma significantly correlates with clinically established, molecular markers of IDH1<sup>WT</sup> DNA, WHO grade IV or absence of 1p/19q chromosome arm co-deletion. Furthermore, tumors with elevated CA12 cluster into the mesenchymal transcription subclass of the disease. CAXII abundance in different GSCs ranges from almost absent to high levels and does not correlate to stem cell marker CD133/AC133 cell surface expression. Moreover, aiming to pharmacologically block CAXII in our cells with antibody 6A10 caused significant functional response only in one of the tested GSCs models, featuring suppression of cell invasion accompanied by reduction of ZEB1 protein and other stem cell

**Conclusion:** CA12 represents a clinically relevant and molecular brain tumor-subtype specific therapeutic target. Our correlative data from experimental and clinical samples does not support CA12/CAXII to be GSC specific. 6A10 possesses promising potential to impede the invasive capacity of glioma cells and supports the emerging concept that CAXII interacts with cancer EMT programs. However, further mechanistic studies are required to comprehensively assess the therapeutic potential of 6A10 and to identify different resistance mechanisms of GSCs.

**Keywords:** carbonic anhydrase 12, 6A10, glioma, therapeutic antibody, ZEB1, disease modeling

# Introduction

Gliomas, amongst which glioblastoma (GBM) is the most aggressive form, represent a clinically challenging class of cancers. Their high malignancy is characterized by

elevated levels of therapy resistance and invasive growth. It is believed that malignant features of GBM are promoted by cells with stem cell properties.<sup>1</sup> Recent evidence proposes that GBM stem cells (GSCs) are tightly associated with the molecular reconversion into mesenchymal state, and epithelial-to-mesenchymal-like processes (EMT) are intertwined with GBM stemness in order to augment various malignant properties of the disease.<sup>2</sup> Over recent years, the prominent transcriptional EMT activator ZEB1 (Zinc finger E-boxbinding homeobox 1) has emerged as a potent regulator of GSCs.<sup>3-7</sup> A pharmacological strategy to block the GSCs ZEB1 program is of high clinical need.

Carbonic anhydrases (CA), a family of isoforms of hypoxia-responsive cell metabolic enzymes, are discussed as potent anti-cancer targets in a wide variety of cancers,8 including GBM. 9-13 Previous work indicates CA isoform 12 (CA12) may be of particular relevance. However, until now our knowledge has been restricted to a low number of analyzed patient samples, ignoring ethnic diversity of patients and exclusively based on semi-quantitative, thereby out of date, methodology to score CA12 activation. 11,12 The presented results on over 1700 patient samples using various state of the art molecular diagnostics 14-18 closes all these gaps of current knowledge in order to benchmark the clinical relevance of CA12 in the current scientific neuro-oncological environment. We also compare the clinical prognostic value of CA12 mRNA expression against counterparts of other CA isoforms. Overall, our data strongly position CA12 as a molecular target with significant clinical negative prognostic value of glioma patients, and with significant association with various WHO diagnostic guideline-accepted, molecular properties of GBM. Aiming to validate our computational-build hypothesis with functional data, we performed applied stem cell models of GBM, in order to recapitulate the most aggressive subtype of cells of the disease. In total, correlative data on clinical and experimental biosamples do not support CA12 to be highly stem cell specific nor predict for response to anti-CAXIIdirected therapeutic antibody 6A10. Interestingly in a fraction of tested GSCs, 6A10 treatment caused significant suppression of ZEB1 associated with impaired cellular invasion. Since clinically feasible strategies to directly inhibit transcription factor activities are challenging, our data promote subsequent studies with 6A10 as a potential drug candidate. Resistance mechanisms and biomarkers predicting response need to be identified to facilitate 6A10's clinical application.

# Materials and Methods

# Patient Data Resources and in silico **Analysis**

Molecular and clinical data of glioma patients were obtained from the publicly assessable sources, namely The Chinese Glioma Genome Atlas (CGGA, (https:// www.cgga.org.cn, two independently acquired datasets with n=325 individuals, and a 2019 dataset with n=693 individuals) and The Cancer Genome Atlas (TCGA, with n=702 individuals, for verification). For CGGA, molecular testing of each patient was performed at the Molecular Pathology Testing Center of Beijing Neurosurgical Institute and the data acquisition was approved by the Beijing Tiantan Hospital institutional review board and tumor specimen quality control.

The statistical computations and figure drawing were performed with R package 'ggplot2'. Unsupervised cluster analysis was performed by pheatmap package in software environment R (version 4.0.0). The analysis processes were carried out under the default parameters. Student's t test was used in the differential analysis of the two groups in this study. The drawing was performed by ggplot2 package in software environment R (version 4.0.0). The differential analysis of CA families between normal brain tissue and brain tumor tissue were performed in the GEPIA portal (http://gepia.cancer-pku.cn). The most significantly related genes of CA12 were obtained by Pearson correlation analysis. Genes with a correlation coefficient greater than 4.5 were used for enrichment analysis. Biological function and pathway enrichment analysis were performed by analysis tools in The Database for Annotation, Visualization and Integrated Discovery (DAVID, v6.8) (https://david.ncifcrf.gov). The Pearson correlation analysis method was used in the correlation analysis of this study. Glioma stem cell markers were obtained from the Cell Marker portal (http://biocc.hrbmu. edu.cn/CellMarker/). Kaplan-Meier survival analysis was used in the survival analysis of this study. Drawing was performed using ggplot2 and survival packages in software environment R (version 4.0.0). The analysis processes were carried out under the default parameters.

# Cell Culture and Pharmacological Model

The in vitro cell models 407 and BTSC233 were kindly provided by M.S. Carro, Freiburg University, Germany; GBM1 by A. Vescovi, San Raffaele Hospital, Milano, Italy; NCH421k and NCh644 by G. Herold-Mende, Heidelberg,

Germany; JHH520 by G. Riggins and SF188 by E.H. Raabe (both Johns Hopkins Hospital, Baltimore, USA). GSC models were grown under neurosphere conditions as described previously.<sup>20</sup> 6A10 was provided as previously described.<sup>21</sup> Determination of the molecular concentration for testing the effect of the substance (25 µg/mL) was based on the wide experience with 6A10 from co-author R. Zeidler, Munich. Moreover, our experimental design was guided by published data of 6A10 impacting cancer cells in vitro using the same concentration.<sup>22</sup> Duration for acquiring cell invasion potential was limited to time span of overnight to a maximum of 24 hours. For all assays, volume-adjusted medium was used as mock treatment. Ethical approval to conduct the in vitro studies with the used cell lines was granted by the ethics commission of the Medical Faculty of the Heinrich-Heine University (study ID 5841R).

# Functional in vitro Assays

Cell growth quantification was executed using MTT assay similarly as described before.<sup>7</sup> The following specific parameters were applied: assay format: 96 well, 4000 cells each well/100 µL culture media. Quantification of cell proliferation, apoptosis and cell cycle progression was performed using Muse® Cell Analyzer (Merck KGaA, Germany) instrumentation using relevance kits and following manufacturer protocols (Luminex, United States: Muse® Ki67 Proliferation Kit, Muse® Annexin V

& Dead Cell Kit, Muse® Cell Cycle Kit). Invasion assay was performed using modified Boyden chamber assay similarly as described before.<sup>3</sup> In brief, Matrigel was thawed at 4 °C overnight. Inserts were incubated with 1 mL Matrigel® at 37 °C for an hour. Harvested cells were adjusted to concentration of 3 x 10<sup>5</sup> in - FCS solution. The upper chamber was filled with 500 µL harvested cells, while 800 µL + FCS solution was added to the lower chamber. Non-invading cells that remained in the upper chamber were removed by cotton swab. Invasive cells in the lower chamber were fixed with 500 µL precooled methanol. After one wash, cell nuclei were stained with hematoxylin and washed with warm water. N=5 randomly selected areas in each insert were photographed under inverted light microscope (40× objective) and further quantified in Image J by manual counting of cells. For the in vitro assays, acquired data were presented as average and SEM. Welch's t-test was used to check for significant differences (p≤0.05), with p-values showing to be significant (p<0.05, \*), very significant (p<0.01, \*\*) and highly significant (p<0.001, \*\*\*).

# **aPCR**

Targeted gene expression quantification was performed according to established procedures in the lab using SYBR green-based nucleic acid intercalation assay, similar to those described previously.<sup>23</sup> Suppl. Figure S1 lists all primer specifications relevant for this study. Acquired data were presented as average and SEM. Welch's t-test was used to check significant difference (p≤0.05), with p-values showing to be significant (p<0.05), very significant (p<0.01) and highly significant (p<0.001).

# Protein Quantification Assays Western Blot

Standard procedures similar to those described previously were applied.<sup>24</sup> Non-specific sites were blocked by 5% milk powder solution followed by primary antibody incubation at 4 °C overnight. Fluorescent secondary antibody was diluted in TBS-T and incubated at room temperature for an hour. Detected signals was acquired with Odyssey® CLx (LI-COR Biosciences, Germany). Further data analysis was processed in Image Studio Ver2.1. Suppl. Figure S2 lists all antibody specifications relevant for this study.

# **FACS Analysis**

In this flow cytometry experiment, cells were prepared according to the direct flow cytometry protocol from Abcam. In brief,  $5-10 \times 10^5$  cells were collected in a Falcon round bottom polystyrene test tube. After trypsinization into single cells, media was replaced by ice cold 10% FBS in PBS. Afterwards, cells were incubated with fluorescent-labelled antibodies on ice for 30 min (Suppl. Figure S2). Since there is overlap between two fluorescent emission spectra, singlestained samples were applied for correction as compensation control. Cells were washed with ice cold PBS 3x and resuspended in 500 µL 10% FBS in PBS. Samples were analyzed within one hour on BD FACSCanto<sup>®</sup>. Bivariate histograms and statistical plots were generated by the BD FACSDiva® Software at the core facility setup.

# Results

# CA12 Transcription Level is Increased in Gliomas Compared with Non-Tumor Cells, Predict Poor Clinical Prognosis of Glioma Patients

We present the landscape of transcription of CA families and their relationship between diverse diagnostically relevant, clinicopathological factors in the CGGA Database and

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CGGA 2019 Database (Figure 1A and B). Amongst all CA isoforms, expression levels of CA12 and CA13 are the only family members that predict for significant poor prognosis in terms of overall patient survival time in both CGGA datasets (Figure 1C). Moreover, expression levels of those negative predictors are significantly increased in tumors compared with non-tumor tissue, both in low grade (LGG) and high grade gliomas (HGG) respectively (Figure 1D). Poor prognostic value of high CA12 mRNA levels was confirmed in additional datasets of Gravendeel et al.25 and Kawaguchi et al.<sup>26</sup> (Suppl. Figure S3). To the best of our knowledge, this is the ethnically most diverse and largest number of cancer patient samples probed for clinical prognostic values of CA12. Given these strong indications, next we interrogated the data of state of the art molecular characterization of brain tumors to dissect any relevance of CA12 as a potential molecular-subtype specific therapeutic target.

# Elevated CA12 Transcriptional Levels in Glioma Correlate with Clinically Established, Molecular Properties

High mRNA levels of CA12 in glioma significantly correlate with clinically relevant, negative prognostic pathological and molecular markers of brain tumors. Those are tumors defined as WHO grade IV types (Figure 2A and F), tumors with IDH1 wildtype DNA according to WHO classification of 2016<sup>27</sup> (Figure 2B and G), and tumors without 1p19q chromosome codeletion<sup>28</sup> (Figure 2C and H). Of note, in one of CGGA datasets, high CA12 expression was found in tumors with methylated DNA promoter of O(6)methylguanine-DNA methyltransferase  $(MGMT)^{29}$ (Figure 2D and I). In addition, the mesenchymal GBMs, known to be particularly aggressive, 15 present significantly higher expression levels of CA12 than

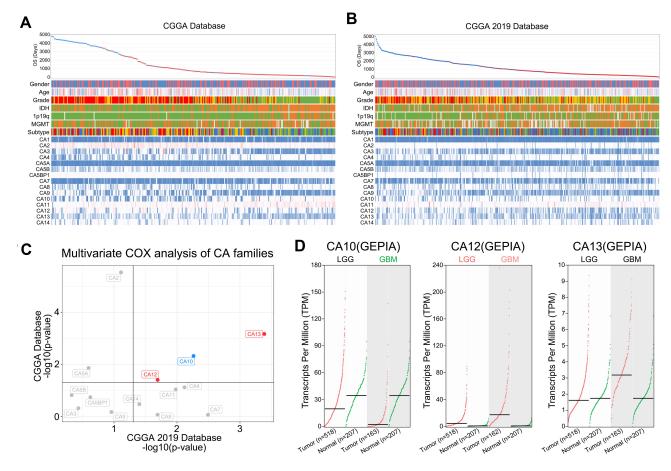


Figure 1 CA12, amongst all CA family members, represents the most promising therapeutic target in glioma. The landscape of CA families and their relationship between diverse diagnostic relevant clinicopathological factors in (A) CGGA database and (B) CGGA 2019 database. (C) CA10, CA12 and CA13 are independent prognostic factors in both CGGA and CGGA 2019 databases. Red: poor prognostic factors. Blue: good prognostic factors. (D) Comparison of the expression differences of CA10, CA12 and CA13 in normal brain tissue and brain tumor tissue. Green: significantly high expression in normal tissues. Red: significantly high expression in tumor tissues. Black: no significant difference.

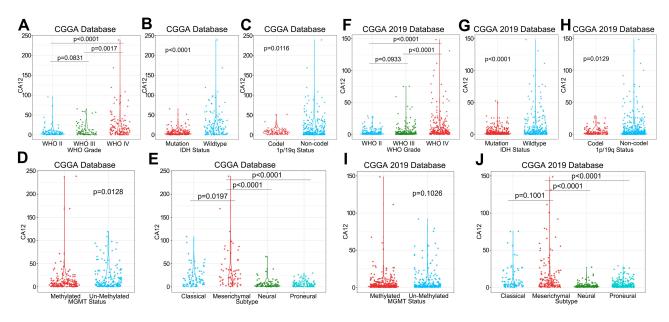


Figure 2 The relationship between CA12 and clinicopathological factors in CGGA and CGGA 2019 databases. High CA12 mRNA levels significantly associated with WHO IV grade (A and F), IDH1 wildtype DNA status (B and G) and tumors without Ip/19q chromosome arm co-deletion (C and H). CA12 mRNA levels are upregulated in tumors carrying methylated MGMT promoter region (significantly for CGGA) (D and I). CA12 mRNA is significantly upregulated in most aggressive mesenchymal subtype of GBM (E and J).

other transcriptional subtypes in both Asian datasets (Figure 2E and J). We validated our findings in the TCGA dataset and found high CA12 expression significantly associated to gliomas with WHO grade IV staging, to tumors with IDH1<sup>WT</sup> DNA, to tumors with 1p19q chromosome arm co-deletion as well as to cases classified as mesenchymal subtype of GBM (Figure 3A–F). In the TCGA dataset CA12 transcription is enriched in cases with unmethylated MGMT promoter DNA (Figure 3E).

# Correlation of CA12/CAXII with Stem Cell Markers

To look for an association with malignancy promoting tumor stem cell signals, we performed targeted correlative assays in clinical and experimental biosamples. This rationale was further supported by the work of others in the context of lung cancer, where CAXII was described to serve as a marker for tumor stem cells.<sup>21</sup> Our analysis does not unequivocally support this hypothesis to apply in the context of brain tumors.

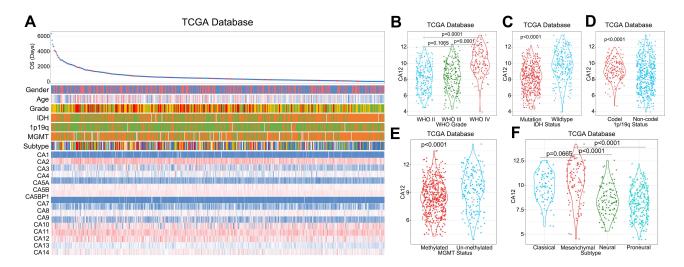


Figure 3 Validation of the identified association of CA12 transcription level with clinicopathological factors using TCGA database. The landscape of CA families and their relationship between diverse diagnostically relevant clinicopathological factors in TCGA Database (A). High CA12 mRNA levels significantly associate with WHO IV grade (B), IDH1 wildtype DNA status (C), tumors with Ip/19q chromosome arm co-deletion (D) and CA12 tumors carrying de-methylated MGMT promoter region (E). CA12 mRNA is significantly upregulated in the most aggressive mesenchymal subtype of GBM (F).

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First, in silico analysis testing for correlative expression of CA12 with mRNA levels of previously reported stem cell molecules shows a mixed picture. Although prominent markers such as heme oxygenase 1,<sup>30</sup> membrane transporter SLC16A1<sup>31</sup> or Nestin<sup>32</sup> show positive correlation in all datasets, negative correlation between CA12 and likewise prominent stem cell markers SOX2<sup>33</sup> or ZEB1<sup>4</sup> exists (Figure 4A–C). Moreover, high CA12 expression revealed association to expression patterns of biological processes and molecular signaling pathways (using Kyoto Encyclopedia of genes/KEGG consensus items as determinates) both associated to cancer stem cells and to those previously not dominantly associated to tumor stemness (Suppl. Figure S4).

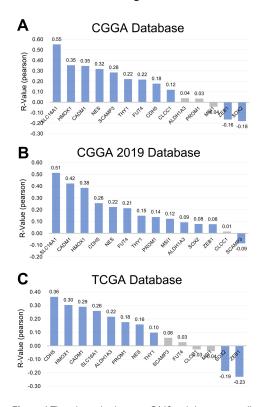
In addition, our protein analysis on GSC in vitro models does not support CA12 to be GSC specific. FACS data show that the amount of cell surface CAXII does not correlate with the prevalence of cells positive for bona fide GSC marker CD133/AC133<sup>34</sup> (Figure 4D). In addition, baseline abundance of total CAXII in our stem cell models varies from very low to high signals, as detected in Western blot (Figure 5). Together, our clinical and experimental data do not strongly support that high CA12/CAXII levels correlate with brain tumor stem cell signatures.

# 6A10 Treatment in vitro Can Cause Moderate Inhibition of Growth and Significant Reduction of Invasion Capacity

In our antibody treatment experiments, we observed a mild reduction of cell growth for GBM1 and 407 (Figure 5A and B), and no clear effect for BTSC233 (Figure 5C). This was accompanied by a trend towards induction of apoptosis in GBM1, abut no changes in apoptosis rate were observed in the other two models used (Suppl. Figure S5). None of the cell models showed a difference in cell proliferation or cell cycle analysis in response to the treatment (Suppl. Figure S5). Most notably, responder model GBM1 reacted with significantly diminished capacity of cellular invasion (Figure 5D), as 407 did not reduce its invasive properties (Figure 5E).

# 6A10 Treatment Can Suppress the Activation of EMT Marker ZEB1 and Leads to Induction of CA12 Expression and Protein

Next, we tested the change of stem cell markers in response to 6A10 exposure on mRNA level. Interestingly, GBM1 showed significantly suppressed



Cell Line	CD133-PE % (MFI)	CA XII-FITC % (MFI)
BTSC233	4.28 % (624.79)	97.83% (2791.87)
GBM1	9.83 % (1485.23)	96.57 <b>%</b> (727.83)
BTSC23	14.29 % (1145.95)	95.41 % (1020.05)
JHH520	51.8 % (2515.57)	84.20 <b>%</b> (528.85)
BTSC407	64.01 % (1217.67)	69.14 <b>%</b> (583.22)
NCH421	1.45 % (821.55)	2.32 % (354.32)
NCH644	89.21 % (2631.28)	2.19 % (354.32)
SF188	0.57 % (515.80)	0.86 % (238.52)

Figure 4 The relationship between CA12 and glioma stem cell markers. CA12 is significantly positively correlated with the expression of most glioma stem cell markers in CGGA (A), CGGA 2019 (B) and TCGA (C) databases. Blue: significantly correlated. Black: non-significantly correlate. Cell surface abundancy of CA12 does not correlate with relative cell surface abundance of bona fide brain tumor stem cell marker CD133 in our in vitro disease models as expressed by percentage of positive cells and mean fold intensity/MFI, one time experiment (D).

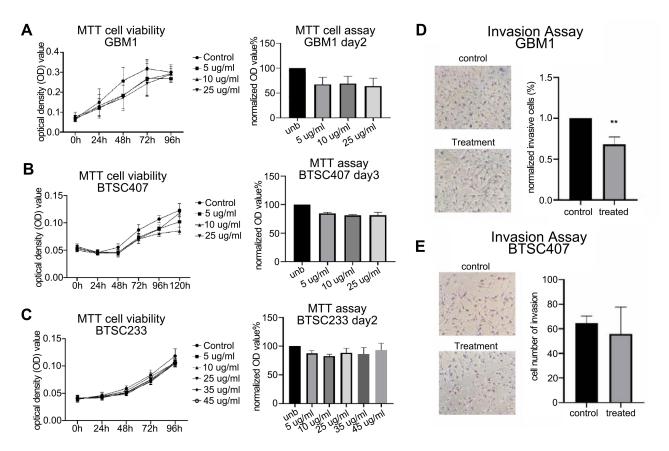


Figure 5 Pharmacological targeting of CAXII in glioma in vitro functional responses. 6A10 treatment did cause mild inhibitory effect on cell growth in subset of tested GSC models/GBMI and BTSC407, and no effect on BTSC233, unb = control (A–C). All cells are IDH1wt and MGMT promoter methylated, GBMI and 407 are classical subtype of GBM, BTSC233 is mesenchymal subtype of GBM (data not shown). 6A10 treatment caused suppression of cellular invasion in responder cell line GBMI but not in non-responder model 407 (D and E), BTSC233 not tested.

expression of various stem cell markers, whereas no clear trend was seen for the other two tested cell models. All tested models showed signs of upregulation of CA12 expression upon antibody treatment, with GBM1 showing significant induction (Figure 6A).

When testing the abundance of relevant factors on total protein level, to our surprise we identified CAXII to be virtually absent in naïve GBM1 and 407, and comparatively strongly active in BTSC233 (Figure 6B and C). Moreover, it seems that the cell surface protein level of CAXII differs from total CAXII, as 407, but foremost GBM1, are high on cells positive for cell surface CAXII (Figure 4D). Interestingly, for all models we identified stronger CAXII bands when exposed to 6A10, with significant induction in GBM1 (Figure 6B). Naïve ZEB1 levels are roughly similar between the tested cell models. Interestingly, we see reduction of protein in response to treatment in some of the biological repetition experiments in any of the investigated cell lines, although significance over all repetitions was reached in GBM1 only (Figure 6B and C).

# Discussion

Our data show CA12 to serve as a clinically relevant anticancer therapeutic target and proposes its potential suitability for the development of neuro-oncology precision medicine. By using large-scale, molecular and clinical data retrieved from three different datasets, thereby ensuring ethnic and gender diversity, to the best of our knowledge this is the largest descriptive analysis of CA12 in tumor samples. In the context of brain tumor, a previous study on only 22 GBM samples identified CA12 mRNA levels to be associated with tumors carrying EGFR/RNF139 DNA abnormalities. The authors acknowledged the limitation of their results as they were retrieved on a small sample size only. Moreover, no data on clinical diagnostic molecular markers according to WHO guidelines are presented. 11 Haapasalo et al. assessed CAXII protein abundance in a larger series of glioma cases (n=370) and found signal enrichment with increasing WHO staging of the tumors. Their survival studies (on n=269 GBM samples) revealed that high CAXII protein predicts for shorter survival of the patients. 12 Although this study is indicative

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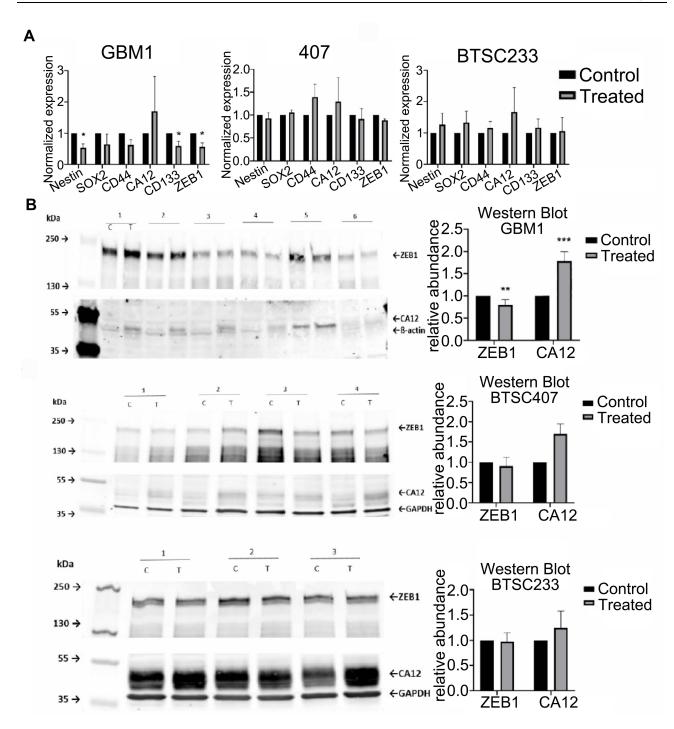


Figure 6 Pharmacological targeting of CAXII in glioma in vitro effects on stem cell markers, CAXII and ZEBI protein 6A10 treatment on GBMI caused significant reduction of stem cell molecules Nestin, CD133/PROM1, ZEB1 mRNA, and trend of reduction of CD44 and SOX2. Moderate effects are observed on models 407 and BTSC233 (A). Induction of 6A10 target CA12 on mRNA and protein was found in all models with significant upregulation on protein level in GBM1 (A and B). Reduction of stem cell marker ZEB1 in GBM1 upon 6A10 treatment was confirmed on protein level with no change detected in 407 and BTSC233 (B) (c - control/mock, T - treatment, 25µg/mL 6A10, for more details please see relevant section in Materials and Methods section).

and our data can at least be seen as confirmative, we believe the respective part of our data is more convincing as Haapasalo et al. applied a semi-quantitative method, prone to subjective errors. In addition, we extended the analysis of CA12 activation to clinical molecular markers, namely IDH1 DNA mutation status, chromosome aberration status and MGMT-methylation status of the tumors. Moreover, we correlated CA12 expression to total transcriptome signatures of the tumors. In total, given our multi-method the acquired data are more comprehensive

and more precise than previous reports, and our CA12 focused results are a superior contribution to the field of precision neuro-oncology.

Given GSCs are thought to be a main cause of tumors' malignant properties, we extended our analysis to probe if CA12 can be associated with glioma stem cell maintenance. By and large, both our expression analysis in clinical samples, as well as protein analysis in naïve experimental models did not present a clear picture regarding this question. Expression of stem cell markers in patient samples is both positively and negatively associated to high CA12 mRNA abundance. On the other hand, inter-case comparison of our stem cell models showed that CAXII is activated to different extents, from high activity to virtual absence of signals in Western blot or FACS, depending on the cell line. We also did not notice any correlation between the level of cells positive for bona fide GSC marker of cell surface CD133/AC133 and the level of cells positive for surface CAXII. Interestingly, our cell line data revealed differences in relative abundance of total CAXII and cell surface CAXII, indicating differences in protein stability or protein processing amongst different GBMs. As our cell models only resemble IDH1WT cases, it would be interesting to see if there is a noticeable difference in correlation of CA12/CAXII with stem cell molecules when comparing GSC models with or without IDH1R132. However the field lacks overall availability of accepted models carrying IDH mutations<sup>27</sup> and we cannot conduct this study at this point. Of note, a recent conference abstract reports that CA12 plays a role in CSG maintenance but no original data is assessable, such as how the authors define GSCs or what the IDH DNA mutation status of the used cells is.<sup>35</sup>

Next, aiming towards a clinical translational perspective for our anticipated results, we decided to use a pharmacological strategy to target CAXII in vitro. By choosing 6A10 as a previously validated, highly specific CA12 blocking antibody, 21,22,36,37 we found that only GBM1 models show significant phenotypic responses to the treatment. Although all IDH1WT, our models represent different molecular subtypes of GBM (GBM1 and 407 are classical subtype, BTSC233 is mesenchymal subtype, 24 data not shown). Thus, together with the known fact of the enormous inter-tumoral heterogeneity of the disease, 15,28 our data suggest that the therapeutic potential of 6A10 may be limited to a subset of tumors only. In the context of Notch1 receptor, we recently showed that drug target protein abundance in GSCs is predictive for the

responsiveness to the blocking antibody intervention.<sup>20</sup> Our correlative data in this project do not support this rationale to be true in the context of CAXII and 6A10, however we acknowledge our study has severe technical limitations. First, we did not include an IgG control arm to test any off-target effects of the antibody setup. We acknowledge previous work of others that indicates that IgG treatment does not cause severe phenotypic effects of responder model GBM1,<sup>38</sup> but differences in age of the cells and different IgG specifications may have varying influences. Moreover we designed our study on the assumption of ultra-high target specificity of 6A10 to apply in our tests, further justifying our chosen mock treatment as an acceptable experimental design for this project, especially given the main focus of this paper lies on the molecular diagnostics of patient samples. Second, a genetic model of CAXII suppression could be applied to discern if the phenotypic response of 6A10 is target specific

Our translational data position well in current drug development campaigns as the generation of CAinhibitors is under heavy investigation worldwide. 19,39-43 Given this active field of research, a comprehensive discussion of the therapeutic angle of our 6A10 data, adequately appreciating the entire activities in the field, is challenging. That is why we focus on direct competitor drug candidates in the context of neuro-oncology. In this regard, 6A10 has to withstand benchmarking against very promising recent results reported with natural-derived compound Psammaplin C and its derivatives. Those substances impair enzymatic activity of CA12 in glioma cells, reducing their resistance to the standard chemotherapeutic agent temozolomide (TMZ) that eventually leads to prolonged survival of mice bearing GBM models. 44,45 Emergence of therapy resistance against CA12 inhibitors is discussed, with reports showing CA9 compensating altered enzymatic activity of CA12 to promote cancer malignancy; 9,10 we sought to correlate the expression patterns of those isoforms in our clinical samples. Although we find positive correlation of CA12 with CA9 in our clinical datasets (Suppl. Figure S6), further studies testing enzymatic activity of CA12 and CA9 upon 6A10 treatment will be relevant to assess the potential of this antibody to achieve durable therapeutic effects. Moreover, testing the effect of 6A10 treatment on the resistance of cells to standard chemotherapy may be an additional set of experiments to benchmark the antibody against competitor compounds. Although not observed in all studied models,

6A10 can impair GSC growth to some extent. This observation is in line with reported effects of 6A10 being able to block the growth of cells from lung, gastric, ductal breast and colon cancers.<sup>37</sup> However, to the best of our knowledge, for the first time we provide evidence that anti-CA12 directed treatment in glioma can effectively block cell invasion marker ZEB1 accompanied by significant suppression of cellular invasion. Given the relevance of ZEB1 in mediating the highly invasive growth of GBM,<sup>3,4</sup> and given ZEB1 activation has been suggested to mediate the resistance of GBM cells to TMZ, 4,24,46 we believe this result is of particular high translational interest requiring further exploration. Interestingly, CA12 activation was found to be a consequence of breast cancer cell EMT. 47 Moreover, in a pan-cancer study, CA12 was prominently positioned as a top candidate of metabolic genes that is positively correlated to EMT transcription signature<sup>48</sup> and TGF-b signaling in GBM, a known glioma EMT activator,<sup>2</sup> augments CAXII signals<sup>49</sup>. Taken together, our functional data extend evidence that CAXII participates in - or at least is a reporter of - cancer EMT programs. We enforce the concept that generation of pharmacological anti-EMT directed strategies is feasible and that these represent a promising approach to develop future tumor-agnostic treatment regimes.

### Conclusion

CA12 activation predicts for negative clinical course in Asian and TCGA brain tumor patients and is associated with clinical molecular marker of IDH1WTDNA and to 1p19q chromosome arm co-deletion. GBMs of the mesenchymal subtype are enriched for CA12. In total, our data fill the hitherto existing gap of knowledge of probing the emerging cancer target CA12 in the context of state of the art molecular neuropathology. Correlative analysis in clinical samples and corresponding protein studies on experimental models does not promote CA12/ CAXII to present a specific glioma stem cell marker. However, anti-CAXII directed pharmacotherapy using 6A10 blocking antibody can cause suppression of growth and cellular invasion in a fraction of GSCs and supports the growing concept that CAXII interacts with cancer EMT programs. Further studies are required to delineate the risk of off-target events of 6A10 in GSCs and to identify the molecular background responsible for the different response rates of glioma cells to the antibody. We enforce the concept that generation of pharmacological anti-EMT directed strategies is feasible and that these represent a promising approach to develop future tumor-agnostic treatment regimes.

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### **Disclosure**

Prof. Reinhard Zeidler reports a patent, EP10004646.5, issued. The authors report no other potential conflicts of interest in this work.

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