CLINICAL TRIAL REPORT

A Modified Approach Below the Lateral Arcuate Ligament to Facilitate the Subcostal Anterior Quadratus Lumborum Block

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Department of Anesthesiology, Beijing Chaoyang Hospital, Capital Medical University, Beijing, 100020, People's Republic of China **Purpose:** The subcostal quadratus lumborum (QL) block was used in postoperative analgesia for abdominal surgery. However, it is difficult to precisely put the needle tip into the target fascia compartment. In the current study, we proposed a modified approach to facilitate the subcostal QL block.

Patients and Methods: Twenty-four patients scheduled for laparoscopic renal surgery were enrolled. The modified QL block was placed preoperatively. The transducer was placed just laterally to the tip of L1 transverse process to perform the parasagittal scan. The needle was inserted in-plane and advanced toward the target compartment between the QL muscle and the anterior thoracolumbar fascia and just below the lateral arcuate ligament. The 20 mL of 0.5% ropivacaine was injected slowly if the saline spread cranially via the posterior pathway of lateral arcuate ligament was observed on the sonogram. Then, the paramedian transverse scanning at the level of T12-L1 was performed to observe the injectate diffusion. The dermatomal coverage of sensory block was tested at 5 min and 10 min after LA injections. The complications associated with the block were recorded.

Results: Twenty-three (95.8%) patients received the successful block. All patients achieved the sensory block dermatomes of T9–T12 and T6–L1, at 5 and 10 minutes after injection, respectively. The mean numbers of block dermatomes were 5.6 ± 1.8 at 5 min and 6.5 ± 2.2 at 10 min after injection. Two out of twenty-three (8.7%) patients achieved coverage as cephalad as T5 at 10 min after injections. The lunar-shaped spread of LA along the diaphragm into the T12 paravertebral space was observed in every patient. No complications occurred.

Conclusion: The modified subcostal QL block has the advantages of clear sonoanatomy, rapid onset time, and consistent dermatomal coverage and provides a new choice for post-operative analgesia of abdominal surgery.

Trial Registration: Chinese Clinical Trial Registry: ChiCTR2000029210. **Keywords:** quadratus lumborum block, ultrasound, diaphragm, arcuate ligament

Introduction

The thoracolumbar fascia provides a retinaculum for the paraspinal and posterolateral abdominal wall muscles. In the three-layered model, the posterior thoracolumbar fascia layer surrounds the erector spinae muscles, the middle layer passes between the erector spinae muscles and quadratus lumborum (QL), and the anterior layer lies anterior to both QL and psoas muscles. In the two-layered model, the fascia on the anterior aspect of the QL muscle is referred to as the transversalis fascia. Cranially, the anterior thoracolumbar fascia (ATF) divides into two layers.

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One layer is continuous with the endothoracic fascia in the thorax, and the other layer blends with the diaphragm at the arcuate ligaments.

The classic subcostal QL block targets the local anesthetic (LA) between the investing fascia of QL and the ATF.¹ The injected LA can spread cranially under the latera arcuate ligament to the lower thoracic paravertebral space posterior to the endothoracic fascia, which has been validated as the action mechanism in a cadaver study.^{2,3} However, it is frequently difficult for anaesthetists to distinguish the ATF from the investing fascia of QL during ultrasound scanning, especially in obese or elderly patients. In addition, the ATF may fuse with the posterior lamina of the posterior renal fascia and the blending site varies between patients.^{4,5} Together, the multilaminated fascial structures anterior to the QL indicate the precision injection is of great difficult in the classic subcostal QL block. Based on the action mechanism of classic subcostal OL block, we think that it indicates the correct position of needle endpoint if the cranial spread of injected LA via the posterior pathway of lateral arcuate ligament could be confirmed under the ultrasound visualization. In other words, the cranial spread of LA via the posterior pathway of lateral arcuate ligament can be considered as an indicator of successful subcostal QL block. However, in the classic subcostal QL block, the probe is placed too laterally to clearly visualize the diaphragm and lateral arcuate ligament. Therefore, we proposed a modified subcostal OL block below the lateral arcuate ligament by replacing the probe over to the tip of L1 transverse process (TP) and performing a sagittal scan. In our modified approach, the acoustic shadows of T12 rib and the apposition zone (the posterior pathway of lateral arcuate ligament) between the OL and diaphragm were visualized and the cranial spread of LA via the posterior pathway of the lateral arcuate ligament could also be identified under ultrasound guidance.

Methods

This study followed the Declaration of Helsinki. Ethical approval for this study (2019-ke-369) was provided by the Ethical Committee of Beijing Chaoyang Hospital, Capital Medical University, China (Chairperson Prof. Yong Chen) on 6 January, 2020. This study was registered with the Chinese Clinical Trial Registry, clinical trial number ChiCTR2000029220, date of registration 19 January, 2020. After the written informed consent was obtained, twenty-four patients American Society of Anesthesiologists physical

status (ASA) I–III scheduled for laparoscopic renal surgery were enrolled for this study, in whom general anesthesia combined with the modified subcostal QL block was planned. Patients were excluded if they had a body mass index (BMI) >28 and more than 70 yr, clinically known spinal deformity, infection in the back, allergy to LA, or coagulopathy.

The block was placed before the induction of general anesthesia. After the patient arrived in the operating theatre, intravenous access was established, and patient vitals including heart rate, arterial pressure, arterial oxygen saturation, and electrocardiography were monitored. The patients were positioned in the prone position. All ultrasound scans were performed using an ultrasound system and a curved array probe (C5-2, 5-2 MHz frequency, KONICA MINOLTA, Tokyo, Japan). A right-hand dominant anesthetist (Dr. Yun Wang) experienced in ultrasound imaging and interventional injections conducted the ultrasound scanning protocols and the modified subcostal QL block below the lateral arcuate ligament. The probe was held in the non-dominant hand of the operator and was positioned 6-8 cm lateral to the spine midline, with its orientation marker directed cranially. The QL was identified as a long curve muscle with cranial end attached to the T12 rib. Then, the probe was moved medially until the tip of L1 TP appeared after the acoustic shadow of T12 rib was identified, while still maintaining the same orientation. Finally, the probe was positioned over the tip of L1 TP on the affected side to perform a sagittal scan. The acoustic shadows of T12 rib and the apposition zone (the posterior pathway of lateral arcuate ligament) between the QL and diaphragm were visualized in the sonogram (Figure 1). The ultrasound scout scan was deemed successful if the moving diaphragm, the OL muscle and the continuity of lateral arcuate ligament with the ATF were visualized. Those patients with successful ultrasound scout scan underwent the modified QL block below the lateral arcuate ligament. The position of the probe was then marked on the patient's back using a skin marking pen (Figure 2A).

Following proper sterile technique, the probe and cable were covered with a sterile plastic sleeve. Iodophor served as the coupling agent. While this strategy did slightly reduce overall ultrasound image quality, proper adjustments to gain and compression settings allowed for effective image quality optimization.^{6,7} To assess the ultrasound visibility of the diaphragm, the QL muscle and the ATF, an expert sonographer who was blinded as to the ultrasound-guided injections

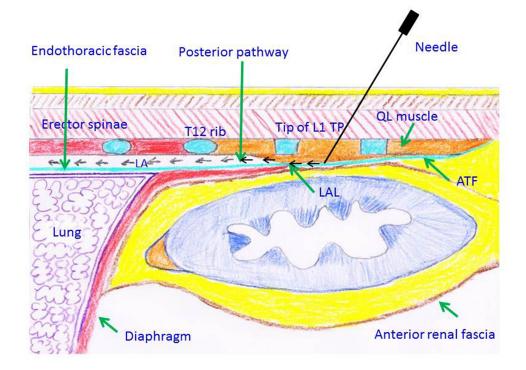


Figure I The Schematic diagram describing the action mechanism of the modified subcostal QL block (parasagittal view through the tip of LI TP). The ATF is divided into two leaflets when it approaches the LAL, and the upper leaflet is in continuity with the endothoracic fascia. The lower leaflet blends with the LAL. The LA spreads cranially via a posterior pathway to the LAL and into lower thoracic paravertebral space posterior to the endothoracic fascia after the modified subcostal QL block below the LAL (black arrows).

Abbreviations: TP, transverse process; QL, quadratus lumborum; LAL, lateral arcuate ligament; LA, local anesthetic; ATF, anterior thoracolumbar fascia.

used a 4-point scoring system to assess the visibility of them (0, not visible; 1, barely visible; 2, clearly visible; 3, very clearly visible). The total ultrasound visibility score of the three structures was determined for every patient. The total ultrasound visibility of the three structures was determined to be good if the mean total visibility score was >6, average if the score was 3–6, and poor if the score was <3.^{6,8}

2–3 mL of 1% lidocaine was injected into the skin 2 cm caudal to the ultrasound probe for local infiltration. A 18 G puncture needle (TUOREN, Henan, China) was next inserted in the plane of the ultrasound beam such that its tip was oriented towards the target injection site below the lateral arcuate ligament and between the ATF and the investing fascia of QL muscle (Figure 1). The needle angle was optimized while in the erector spinae muscle, and the needle was then slowly advanced into the target compartment. The hydro-dissection was performed by injecting 0.9% saline after careful aspiration to avoid inadvertent administration of LA into vessels. The 20 mL of 0.5% ropivacaine was injected slowly if the saline spread cranially via the posterior pathway of lateral arcuate ligament was observed on the sonogram. Otherwise, the depth of needle tip was slightly adjusted till the cranial spread of saline via the posterior pathway of lateral arcuate ligament was observed. Then, the paramedian transverse scanning at the level of T12-L1 was performed immediately to observe the diffusion of injected LA. The spread pattern of LA was recorded.

Patients were then repositioned to a supine position. The dermatomal coverage of sensory blockade was then tested at 5 min and 10 min after the LA injections. The reduced sensation to an ice block was identified as sensory block. The assistant who tested the dermatomal coverage of sensory blockade was blinded as to the ultrasound-guided injections. Then, anesthesia induction and tracheal intubation were performed in all patients.

The number of attempts, as defined by the number of times in which direction was adjusted without altering the puncture site, was also recorded. The procedure duration was recorded from the scanning of structures after proper sterile technique to the time of LA injection completion, excluded time to assess the structures visibility. At 2 h after the operation, an expert sonographer evaluated the occurrence of postoperative complications such as local

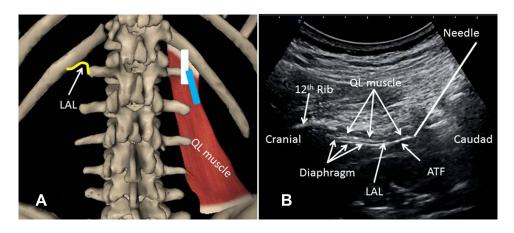


Figure 2 The position of probe and the sonogram for the modified QL block below the LAL. (A) the position of probe. The yellow line shows the position of LAL. The white rectangular box shows the position of probe for the modified subcostal QL block below the LAL. The blue rectangular box shows the position of probe for the classic subcostal QL block. (B) the sonogram showing the sonoanatomic relationship among diaphragm, LAL and the ATF. The diaphragm appeared hypoechoic and was sandwiched between two bright echogenic lines of fascia. The lowest edge of the diaphragm was considered as the LAL, which could be seen when shifting the probe laterally to the tip of L1 TP. The LAL is in continuity with the ATF. The QL muscle is lined with the diaphragm at the lateral supra-arcuate ligament, and with the ATF below the LAL. **Abbreviations:** TP, transverse process; QL, quadratus lumborum; LAL, lateral arcuate ligament; ATF, anterior thoracolumbar fascia.

hematoma, renal injury and pneumothorax by using ultrasound scan.

Results

One patient was excluded because the diaphragm and ATF were not clearly visualized on the ultrasound image during the ultrasound scout scan. 12 men and 11 women with a mean age of 63.1 (6.4) yr, weight 65.8 (3.5) kg, height 163.2 (3.9) cm, and BMI 25.8 (3.7) received the modified block. The ultrasound scout scan was successful in 23 out of 24 (95.8%) patients, in whom the QL muscle, the diaphragm and the ATF were visualized.

After sterile preparation, the total ultrasound visibility of QL muscle, diaphragm and ATF was judged as good in 19 out of 23 (82.6%), and average in 4 out of 23 (17.4%) patients. The total ultrasound visibility score of the QL muscle, diaphragm and ATF in this cohort of patients was 8 (range 5–9). The diaphragm appeared hypoechoic and was sandwiched between two bright echogenic lines of fascia.⁹ With inspiration, the diaphragm moved caudally. The lowest edge of the diaphragm was considered as the lateral arcuate ligament.^{8,9} The continuity of the lateral arcuate ligament with the ATF also could be observed in the sonogram (Figure 2B and Video 1). The QL muscle was shown as the hypoechoic or isoechoic structure posterior to the diaphragm (Figure 2B).

The modified subcostal QL block below the lateral arcuate ligament was successfully performed in 23 patients with successful scout scans, in which 1 (range 1-3) attempt was taken. During the injection, the apparent downward displacement of the diaphragm and the ATF

was observed in these 23 patients, indicating the spread of LA in the posterior pathway of lateral arcuate ligament (Figure 3A and <u>Video 2</u>). The average procedure duration was 78 ± 5 seconds, which is acceptable in clinical practice.

The paramedian transverse scanning at the level of T12-L1 was performed immediately after the block to observe whether the LA injectate spread into the T12 paravertebral space. The lunar-shaped spread of LA along the diaphragm into the T12 paravertebral space was observed in all patients (Figure 3B).

At 5 min after injection, the sensory blockade range from T9-T12 was obtained in every patient, while the sensory blockade range from T6-L1 was available in every patient at 10 min after injection. 2 out of 23 (8.7%) patients achieved coverage as cephalad as T5. The mean numbers of block dermatomes were 5.6 ± 1.8 at 5 min and 6.5 ± 2.2 at 10 min after injection.

No pneumothorax and renal injury occurred in all patients. All patients recovered from this block without other complications.

Discussion

In the study, we have shown the successful application of the modified QL block below the lateral arcuate ligament, in which the needle was inserted in the plane of the ultrasound beam. It reliably provides the sensory blockade to nearly the entire abdomen.

Elsharkawy et al developed the classic subcostal QL block.¹ This block targets the LA between the investing fascia of the QL muscle and the ATF at L1-2 level with the

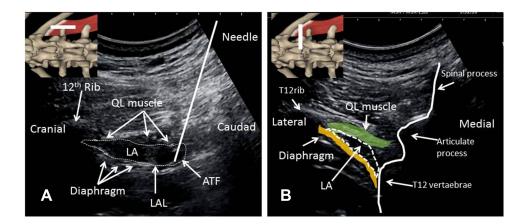


Figure 3 The sonograms showing the local anesthetic spread under paramedian sagittal view and paramedian transverse view. (A) Paramedian sagittal sonogram during realtime ultrasound-guided modified QL block. The target injection site is below the LAL and between the investing fascia of QL muscle and the ATF. During the injection, the apparent downward displacement of diaphragm and the ATF was observed. (B) The lunar-shaped spread of LA along the diaphragm into the T12 paravertebral space was observed under paramedian transverse view at the level of T12-L1 immediately after injection.

Abbreviations: QL, quadratus lumborum; LAL, lateral arcuate ligament; LA, local anesthetic; ATF, anterior thoracolumbar fascia.

transducer directed cranially, 6-8 cm lateral to the L1 spinous process and angled medially (Figure 2A). It can provide a sensory block with dermatomal coverage from T6-7 to L1-2.10 The subcostal QL block allows LA to spread cranially via a posterior pathway of the lateral arcuate ligament and into the lower thoracic paravertebral space posterior to the endothoracic fascia, which was validated in a cadaver study.² So, the lateral arcuate ligament and its posterior pathway are the key structures for understanding the action mechanism of classic subcostal QL block. However, Dr. Elsharkawy did not describe the ultrasonography of the lateral arcuate ligament and its posterior pathway in his technique. In his approach, the probe is placed too laterally to clearly visualize the diaphragm and lateral arcuate ligament. In the current study, our group developed a method to identify the sonoanatomy of the lateral arcuate ligament and its posterior pathway. Further, we modified the classic subcostal QL block. In our modified QL block, the cranial spread of LA via the posterior pathway of the lateral arcuate ligament was considered as an indicator of successful injection.

In the current study, the ultrasound scout scan for visualizing QL and diaphragm is successful in most patients (95.8%). The total ultrasound visibility of QL muscle and diaphragm was judged as good in 82.6% and average in 17.4% patients after sterile preparation. The high imaging quality might be associated with the lower average weight (65.8 \pm 3.5 kg) in this cohort of patients, since excessive fat in obesity may decrease image quality by attenuating the transmission of the US signal,

increasing the overall depth to the target structures.⁶ Future studies should evaluate the utility of ultrasound guidance in the obese since the modified technique in these patients may be challenging.

During the injection, the apparent downward displacement of the diaphragm and the ATF was observed in these 23 patients, indicating the spread of LA into the posterior pathway via the lateral arcuate ligament. The number of 1 (range 1–3) attempt was taken for the modified QL block. In the current study, the procedure time was defined from the scanning of structures after sterile preparation to the time of LA injection completion, excluded time to assess the structures visibility. The average procedure duration was 78 seconds. Since the block needle was inserted in the plane of the ultrasound beam, it was possible to visualize the advancing needle in real time. It may help reduce the number of attempts and the time for accessing the target compartment.

The paramedian transverse scanning at the level of T12-L1 was performed to monitor whether the LA spread into the T12 paravertebral space immediately after the modified QL block. The transverse ultrasound images showed the lunar-shaped spread of LA along the diaphragm into the T12 paravertebral space, which indicates that the action mechanism of the modified QL block is the LA spread into the lower thoracic paravertebral space from the injection site.^{11,12}

The dermatomal coverage of sensory block with the modified QL block is similar to that with the subcostal QL block. The innervation of the abdominal wall is derived from the thoracic level of T6–L1, so blockade of these sensory dermatomes with the new approach should provide the analgesic efficacy in abdominal surgery.^{10,13} The transmuscular QL block is reported to generate analgesia from T10-L4, which is not suitable for upper abdominal surgery.^{14,15}

The block onset time depends on the type and concentration of local anesthetic, and the frequency of assessment. Borglum et al¹⁶ have demonstrated that the transmuscular QL block is characterized by a 30 min block onset time with 30 mL of 0.375% ropivacaine. Zhu et al¹⁷ have reported that the T6-L1 dermatomal coverage could be achieved at 30 min after subcostal QL bock (0.3% ropivacaine, 0.4 mL/kg). Lu et al¹⁸ have also tested the area of sensory block at 30 min after transmuscular QL block based on the report on the onset time from Borglum et al. In our current study, 20 mL of 0.5% ropivacaine was used for the modified block based on our study on the thoracic paravertebral block.¹⁹ The sensory block with the modified QL block could be tested in all patients at 5 min after LA injection, indicating the rapid onset with the new block when 20 mL of 0.5% ropivacaine was given. It may be attributed to the spread of LA into the lower thoracic paravertebral space via the posterior pathway of lateral arcuate ligament and the higher ropivacaine concentration used. The rapid block onset time can help anesthetists judge whether the block is successful or not and in time optimize the intraoperative anesthesia management or postoperative analgesia strategy. Future comparisons between this technique and others on the onset time with the same type and concentration of local anesthetic are required.

The ultrasound-guided transmuscular QL block catheter technique has been reported by Kadam et al.²⁰ The catheter technique can prolong the block action of QL block and may be beneficial for postoperative recovery. For our modified block, the sagittal ultrasound scan was used and the apparent downward displacement of the diaphragm and the ATF was observed after the injection. It indicates that the catheter technique is easily performed in this new block. The future investigation needs to be done to make sure the effectiveness of the modified QL block catheter technique.

The injection target site is below the lateral arcuate ligament and the pneumothorax could be avoided by distinguishing diaphragm from bright echogenic pleura. No case developed pneumothorax in the current study. Similarly, the renal injury associated did not occur in this cohort of patients, since the block needle trajectory was clearly visualized with the needle in the plane of ultrasound beam, and the total ultrasound visibility of target structures was judged as good and average.

The current study has several limitations. We only included the patients with BMI < 28. Future studies should evaluate the utility of the technique we described above in the obese patients. Another limitation of our study is that it is descriptive and not a randomized trial. Larger randomized trials are required to quantify the success and failure rates of the technique described. Additionally, the comparisons between this technique and classic QL blocks with comprehensive measures of success, including the pain scores after operation and postoperative opioid consumption are required to establish the role of this block in improving the surgical outcomes in the future.

Conclusions

In summary, we have demonstrated the successful use of the modified QL block below the lateral arcuate ligament, which is characterized as rapid onset time (<5 min), consistent block segments, and high success rate. Further randomized trials are required to establish the role of this block in improving the surgical outcomes.

Data Sharing Statement

The corresponding author (Dr. Yun Wang, wangyun129@ccmu.edu.cn) will be ready to share all related data for any authority upon request by an email message. The data will be available this way for up to 6 months after the paper is published.

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Disclosure

The authors declare no conflicts of interest.

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