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ORIGINAL RESEARCH

The Effect of the Prognostic Nutritional Index on the Toxic Side Effects of Radiochemotherapy and Prognosis After Radical Surgery for Gastric Cancer

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Objective: A retrospective analysis was conducted to investigate the effect of the preoperative prognostic nutritional index (PNI) on the severity of toxic side effects of radiochemotherapy and the survival prognosis of patients with gastric cancer to guide the clinical nutritional support for patients with gastric cancer.

Methods: Data of 191 patients with gastric cancer in the Department of Gastrointestinal Surgery of Guizhou Cancer Hospital and the Affiliated Hospital of Guizhou Medical University between January 2008 and December 2018 were analyzed retrospectively. Patients were allocated to the high PNI group (with PNI \geq 47.7) and the low PNI group (with PNI <47.7) according to the PNI cutoff value, and the incidence of severe toxic side effects of radiochemotherapy and the overall survival time were compared between the high PNI group and low PNI group. In addition, prognostic factor analysis was performed.

Results: The severe hematologic side effects of radiochemotherapy and shorter postoperative survival time were more likely to occur in the low PNI group than in the high PNI group. The multifactor analysis showed that TNM stage (p = 0.000) and PNI (p = 0.001) were the independent risk factors for the overall postoperative survival time in patients with gastric cancer. **Conclusion:** Preoperative PNI might predict the severity of hematologic toxic side effects of adjuvant chemotherapy/radiochemotherapy in patients with gastric cancer after surgery. Patients in the low PNI group were more likely to have severe hematologic toxic side effects, and therefore a low PNI might be one of the important factors affecting the prognosis of gastric cancer.

Keywords: stomach neoplasms, prognosis nutrition index, toxic side effects, prognosis

Introduction

Gastric cancer, malignant tumors of the digestive tract, is one of the most common cancer types in China. According to the relevant diagnostic and therapeutic standards of gastric cancer,^{1–3} patients with stage Ib gastric cancer can be treated with simple surgery or surgery combined with radiochemotherapy. Patients with locally advanced stage II/III gastric cancer can be treated with radical surgery and adjuvant chemotherapy or radiochemotherapy, and some patients can be treated with neoad-juvant therapy followed by surgery and adjuvant chemotherapy or radiochemotherapy is usually adopted as the main treatment in patients with metastatic gastric cancer. Therefore, radiochemotherapy has become one of the

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3385

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Materials and Methods Collection of Clinical Data

The data of patients with gastric cancer in the Department of Gastrointestinal Surgery of Guizhou Cancer Hospital and the Affiliated Hospital of Guizhou Medical University between January 2008 and December 2018 were collected retrospectively. The inclusion criteria were as follows: 1) patients with histopathologically confirmed gastric adenocarcinoma (including adenocarcinoma of the gastroesophageal junction, gastric body, and gastric sinus); 2) patients in postoperative pathologic stage Ib-IIIc (the 8th edition American Joint Committee on Cancer (AJCC) TNM stage of gastric cancer) who underwent radical surgery (R0 resection) for gastric cancer; and 3) patients who received adjuvant chemotherapy or adjuvant chemotherapy combined with concomitant radiotherapy after the surgery. The exclusion criteria were as follows: 1) patients who had received parenteral nutrition therapy within 1 month before surgery; 2) patients who had perioperative blood transfusions; 3) patients who received preoperative neoadjuvant therapy; 4) patients with infectious diseases before the blood sample collection and patients with severe medical comorbidities before the surgery; 5) patients complicated with a second primary tumor; and 6) patients lost during the follow-up period. The data collected from the enrolled patients included gender, age, the results of the total peripheral blood lymphocyte count and serum albumin one week before the surgery, body mass index (BMI), degree of tumor differentiation, postoperative pathologic stage and postoperative adjuvant treatment regimen, results of the routine blood test together with the hepatic and renal function during the adjuvant radiochemotherapy, gastrointestinal tract reaction, skin mucosal reaction, and peripheral neuropathy. This study was approved by the ethics committee of Guizhou Medical University Hospital, and informed consent was obtained from all participants.

Methods

(1) Grouping: According to the results of the peripheral blood tests one week before the surgery, the preoperative PNI was calculated [formula: PNI = level of serum albumin (g/L) + 5 × total number of peripheral blood lymphocytes (×10⁹/L)], and then the Receiver Operating Characteristic curve was plotted and the Youden index was calculated. With the PNI value corresponding to the highest Youden index being taken as the cutoff value, the patients were allocated to the preoperative high PNI group and the preoperative low PNI group.

(2) Evaluation of toxic side effects: The toxic side effects of radiochemotherapy were classified as 1, 2, 3, or 4 according to the National Cancer Institute Common Terminology Criteria for Adverse Events 3.0 criteria.⁷ The most severe hematologic or non-hematologic toxic side effects that occurred during the radiochemotherapy were used to evaluate the toxicity levels.

(3) Follow-up of survival: The survival time of all the enrolled patients was followed up through the outpatient review or telephone follow-up.

(4) Analysis of the relationship between PNI and mild and severe hematologic and non-hematologic toxic side effects of radiochemotherapy: The survival curves were plotted for the high and low PNI groups, and the clinicopathological factors (gender, age, differentiation, neurological or vascular infiltration, TNM stage, treatment mode, BMI, PNI, and cycles of chemotherapy) were included in the single factor and multifactor analyses of the survival prognosis.

Statistical Analysis

SPSS 25 software was used for the data analysis. The Kruskal–Wallis test and the independent *T*-test were used to analyze the relationship between PNI and the mild and severe

toxic side effects of radiochemotherapy. The PNI values and 5-year survival time were adopted to plot the ROC curves. The best intercept of PNI was taken, and survival curves were plotted by using the Kaplan–Meier method. The Cox regression model was used for the single factor and multifactor analysis of the overall postoperative survival time. P < 0.05 was considered statistically significant.

Results

General Characteristics of the Patients

The data of 224 patients who received adjuvant chemotherapy and radiochemotherapy after undergoing radical surgery for gastric cancer were collected with the exclusion of 15 patients who received adjuvant chemotherapy with single-agent tigeo, 13 patients who received chemotherapy with a DP (Docetaxel + Oxaliplatin) regimen, and 5 patients who died of non-cancer -related diseases. Finally, 191 patients with gastric cancer were included in the present study. There were 128 males and 63 females aged 26-79 years (median age of 56 years). There were 10, 47, and 134 patients with stage Ib, stage II, and stage III gastric cancer, respectively, of which 156 patients had lowdifferentiated adenocarcinomas, 35 had intermediate- to highdifferentiated adenocarcinomas, and 79 had nerve or vascular invasion. All the patients underwent postoperative adjuvant chemotherapy (121 patients completed three-five therapeutic cycles, and 70 patients completed six-eight cycles). Adjuvant chemotherapy regimens included the XELOX regimen (oxaliplatin 130 mg/m² d1, capecitabine 1000 mg/m² d1-d14, and 21 days for one cycle) in 97 patients, and the SOX regimen (oxaliplatin 130 mg/m² d1, tigeo 80 mg/m² d1-d14, and 21 days for one cycle) in 94 cases. Among these, 93 cases were treated with adjuvant chemotherapy for one-two cycles followed by sequential concomitant radiochemotherapy. The regimen of the concomitant radiochemotherapy was as follows. The radiation area included the anastomosis + high-risk area of lymphatic drainage (T4b, radiotherapy area including the invasion area of the tumor bed). The total dosage was 45Gy/25f, 1.8Gy per time, 5-Fu225 mg/m2/day, and continuous intravenous pumped sensitization chemotherapy was given simultaneously. The characteristics of the enrolled patients are shown in Table 1.

The ROC Curves

The ROC curves were plotted according to the PNI values and 5-year survival time (Figure 1, the area under the curve was 0.706). When the PNI value was 47.77, the Youden index was the highest (78% sensitivity, 53%)

 Table I
 The Relationship Between the Clinicopathological

 Characteristics and PNI in Patients

Clinicopathological	Total	PN	11	Р	
Characteristics	Cases	High PNI Group (101 Cases)	Low PNI Group (90 Cases)		
Gender					
Male	128	65	63	0.408	
Female	63	36	27		
Age					
≥ 65 years	48	16	32	0.002	
<65 years	143	85	58		
BMI					
<18.5kg/m2	44	23	21	0.927	
≥18.5 kg/m2	147	78	69		
Degree of differentiation in tumor					
Low-differentiated	156	82	74	0.854	
Intermediate to	35	19	16		
high-differentiated					
Nerve or vascular invasion					
With invasion	79	43	36	0.718	
Without invasion	112	58	54		
TNM Stage					
Phase I–II	57	35	22	0.124	
Phase III	134	66	68		
Radiotherapy					
With radiotherapy	93	47	46	0.528	
Without radiotherapy	98	54	44		
Cycles of chemotherapy					
3–5 cycles	121	62	59	0.551	
6–8 cycles	70	39	31		

Abbreviations: PNI, prognostic nutrition index; Low PNI group, PNI≤47.77; High PNI group, PNI>47.77; BMI, body mass index;TNM, tumor-node-metastasis.

specificity). With 47.77 being taken as the cutoff value, the patients were allocated to the high PNI group (101 patients) and the low PNI group (90 patients), as shown in Table 1.

The Toxic Side Effects of Radiochemotherapy

Of the 191 patients with gastric cancer, all had completed three–eight cycles of adjuvant chemotherapy; in 93 patients, it had been combined with the concomitant radiochemotherapy. Hematologic side effects of the adjuvant chemotherapy above grade 3 occurred in 77 cases, as shown in Table 2. Hematologic side effects of the



Figure I ROC curve of the prognostic nutritional index (AUC=0.706).

concomitant radiochemotherapy above grade 3 occurred in 26 cases, the details of which are provided in Table 3.

The mean PNI values in patients who experienced grade 0–2 and grade 3–4 hematologic side effects of the adjuvant chemotherapy were 49.18 ± 5.32 and 45.73 ± 6.02 , respectively, and the difference was statistically significant (p = 0.000, Figure 2A). The mean PNI values in patients who experienced grade 0–2 and grade 3–4 hematologic side effects of the concomitant radiochemotherapy were 48.79 ± 5.69 and 45.52 ± 6.99 , respectively, and the difference was statistically significant (p = 0.030, Figure 2C). However, there was no significant difference in the mean PNI values in

patients who experienced grade 0–2 and grade 3–4 non-hematologic side effects of the adjuvant chemotherapy (the mean PNI value in those with grade 0–2 was 48.00 ± 5.91, the mean PNI value in those with grade 3–4 was 48.32 ± 4.84, p = 0.804, Figure 2B). Furthermore, there was no significant difference in the mean PNI values in patients who experienced grade 0–2 and grade 3–4 non-hematologic side effects of the concomitant radiochemotherapy (the mean PNI values in those with grade 0–2 was 48.13 ± 6.22, the mean PNI value in those with grade 3–4 was 47.09 ± 4.96, p = 0.667, Figure 2D).

In the high PNI group, hematologic side effects of the adjuvant chemotherapy above grade 3 occurred in 21 cases (20.8%), and hematologic side effects of the concomitant radiochemotherapy above grade 3 occurred in 7 cases (14.9%). In the low PNI group, hematologic side effects of the adjuvant chemotherapy above grade 3 occurred in 42 patients (46.7%), and hematologic side effects of the concomitant radiochemotherapy above grade 3 occurred in 14 patients (30.4%).

Prognosis of Survival

The median duration of the follow-up was 58 months (4–130 months). The survival curve was plotted using the Kaplan–Meier method (Figure 3). The median survival time was 60 months and 32 months in the high PNI group and the low PNI group, respectively, The 1-, 2-, and 3-year survival rates were

Toxic Side-Effects of Chemotherapy	High PNI Group (101 Cases)			Low PNI Group (90 Cases)			
	Grade 0	Grade I–2	Grade 3-4	Grade 0	Grade I-2	Grade 3-4	
Hematologic toxic side effects							
Leukocytosis	39(38.6%)	54(53.5%)	8(7.9%)	30(33.3%)	38(42.2%)	22(24.4%)	
Neutropenia	39(38.6%)	48(47.5%)	14(13.9%)	36(40.0%)	28(31.1%)	26(28.9%)	
Decrease in hemoglobin	68(67.3%)	30(29.7%)	3(3.0%)	45(50.0%)	36(40.0%)	9(10.0%)	
Decrease in hemoglobin	83(82.2%)	17(16.8%)	I(I.0%)	69(76.7%)	18(20.0%)	3(3.3%)	
Non-hematologic toxic side effects							
Increase in bilirubin	84(83.2%)	17(16.8%)	0(0.0%)	77(85.6%)	13(14.4%)	0(0.0%)	
Increase in transaminase	61(60.4%)	39(38.6%)	I(I.0%)	60(66.7%)	30(33.3%)	0(0.0%)	
Increase in alkaline phosphatase	85(84.2%)	16(15.8%)	0(0.0%)	76(84.4%)	14(15.6%)	0(0.0%)	
Increase in creatinine	101(100%)	0(0.0%)	0(0.0%)	88(97.8%)	2(2.2%)	0(0.0%)	
Nausea, vomiting	27(26.7%)	65(64.4%)	9(8.9%)	30(33.3%)	51(56.7%)	9(10.0%)	
Oral mucositis	66(65.3%)	32(31.7%)	3(3.0%)	54(60.0%)	35(38.9%)	1(1.1%)	
Diarrhea	66(65.3%)	35(34.7%)	0(0.0%)	62(68.9%)	27(30.0%)	1(1.1%)	
Constipation	78(77.2%)	22(21.8%)	I(I.0%)	73(81.1%)	17(18.9%)	0(0.0%)	
Peripheral neuropathy	57(56.4%)	42(41.6%)	2(2.0%)	41 (45.6%)	46(51.1%)	3(3.3%)	

Table 2 The Relationship Between the PNI and the Toxic Side-Effects of Adjuvant Chemotherapy Case (Rate)

Abbreviations: PNI, prognostic nutrition index; Low PNI group, PNI≤47.77; High PNI group, PNI>47.77;

Toxic Side-Effects of Concomitant	High P	NI Group (4	7 Cases)	Low PNI Group (46 Cases)			
Radiochemotherapy	Grade 0	Grade I–2	Grade 3–4	Grade 0	Grade I–2	Grade 3–4	
Hematologic toxic side effects							
Leukocytosis	18(38.3%)	22(46.8%)	7(14.9%)	16(34.8%)	20(43.5%)	10(21.7%)	
Decrease in platelet	44(93.6%)	3(6.4%)	0(0.0%)	42(91.3%)	2(4.3%)	2(4.3%)	
Decrease in hemoglobin	38(80.9%)	9(19.1%)	0(0.0%)	36(78.3%)	8(17.4%)	2(4.3%)	
Non- hematologic toxic side effects							
Skin	32(68.1%)	15(31.9%)	0(0.0%)	27(58.7%)	19(41.3%)	0(0.0%)	
Esophagitis *	40(85.1%)	7(14.9%)	0(0.0%)	44(95.7%)	2(4.3%)	0(0.0%)	
Upper gastrointestinal symptoms *	21(44.7%)	25(53.2%)	1(2.1%)	17(37.0%)	27(58.7%)	2(4.3%)	
Lower gastrointestinal symptoms *	42(89.4%)	5(10.6%)	0(0.0%)	41(89.1%)	5(10.9%)	0(0.0%)	
Fatigue and weakness	34(72.3%)	11(23.4%)	2(4.3%)	32(69.6%)	12(26.1%)	2(4.3%)	

Table 3 The Relationship Between the PNI and the Toxic Side Effects of Concomitant Radiochemotherapy Case (Rate)

Notes: *Esophagitis: pain behind the sternum, burning sensation, changes in eating or swallowing. Upper gastrointestinal symptoms: anorexia, nausea, vomiting, and upper abdomen pain. Lower gastrointestinal symptoms: abdominal pain, bloating, diarrhea, constipation.

Abbreviations: PNI, prognostic nutrition index; Low PNI group, PNI≤47.77; High PNI group, PNI>47.77.

89.5%, 69.8%, and 57.1%, respectively. The 1 -, 2 -, and 3-year survival rates of high PNI group and low PNI group were 92.1% vs 86.7% (P = 0.210), 77.9% vs 60.6% (P = 0.007) and 66.2% vs 46.7% (P = 0.003), respectively, and the 5-year survival rate was 38.2% for the whole group. The 5-year survival rate in the high PNI group and the low PNI group was 49.8% and 24.2% (P=0.001). The variables were introduced into the Cox regression models for the analysis of factors affecting survival. The single factor analysis showed that nerve or vascular infiltration (p = 0.030), TNM stage (p = 0.000), PNI (p = 0.001), and chemotherapy cycles (p = 0.045) were strongly associated with survival prognosis. The multifactor analysis showed that PNI (p = 0.001) and TNM stage (p = 0.000) were independent risk factors for the overall survival time after surgery in patients with gastric cancer (Table 4).

Discussion

The nutritional status in patients with cancer can affect the immune response and healing of the surgical incision, which, in turn, affect the prognosis.^{8,9} Severely malnourished patients with cancer have significantly higher mortality,¹⁰ while for patients with gastric cancer, because of the tumor itself, malnutrition is more likely to occur. Several assessment tools are currently available for the assessment of nutritional status: Nutritional Risk



Figure 2 PNI and the grade 0–2, 3–4 haematological and non-haematological toxic side-effects of radiochemotherapy. (**A**) Grades of the haematological toxic side-effects of adjuvant chemotherapy. (**B**) Grades of the non-haematological toxic side-effects of adjuvant chemotherapy. (**C**) Grades of the haematological toxic side-effects of the concomitant radiochemotherapy. (**D**) Grades of the non-haematological toxic side-effects of the concomitant radiochemotherapy.



Figure 3 Curves of the overall survival time in patients in the high PNI group and low PNI group with gastric cancer after surgery.

Screening (NRS2002), Controlling Nutritional Status (CONUT) score, and the Subjective General Nutrition Assessment (SGA). It has been reported that a high CONUT score could increase the incidence of postoperative infection and was an independent risk factor for the survival time,¹¹ and a high NRS2002 score was associated with prolonged postoperative hospitalization and postoperative complications;¹² however, these assessment tools are cumbersome to use and have little clinical utility. It has also been reported that immunity is strongly associated with the prognosis of patients with malignant tumors.¹³ In recent years, a number of clinical indices incorporating the nutritional and inflammatory parameters have emerged to predict the risk of surgery and the outcome of a tumor. Among them, the PNI, which is composed of the level of serum albumin and total peripheral blood lymphocyte count, reflects the nutritional status and immunity. When prospectively applied to patients undergoing gastrointestinal surgery, this index provides an accurate estimate of the risk of surgery.⁵ In recent years,

studies have shown the predictive value of the PNI in the prognosis of gastric cancer, colorectal cancer, pancreatic cancer, and esophageal cancer.¹⁴⁻¹⁷ The results of this study showed that the 1 -, 2 -, and 3-year survival rates of the high PNI group were higher than those of the low PNI group, which were similar to the results reported in the literature.^{18,19} The results of this study suggested that the 2 -, and 3-year survival rates of the high PNI group were significantly higher than those of the low PNI group. Some relevant domestic studies showed that among patients with gastric cancer, the 5-year survival rate in the high PNI group ranged from 34.2% to 54.1%, and the 5-year survival rate in the low PNI group ranged from 8.9% to 37.7%.²⁰⁻²² The results of the present study showed that the 5-year survival rate was significantly higher in the high PNI group than in the low PNI group (49.8% vs 24.2% p = 0.001), which is consistent with the results of previous studies in the literature. However, it should be noted that there was no clear definition of the PNI cutoff in those studies, and most of the studies were based on the best cutoff for predicting the 5-year survival as the cutoff point. Furthermore, the overall nutritional status of the patients included in the analysis might vary from one study to another, resulting in a different survival prognosis. The overall 5-year survival rate in the present study remained low, the possible reasons for which might be related to the following factors: there were relatively more patients in stage III, accounting for 70.2% of the sample; and some patients failed to complete the full cycle of chemotherapy for their own reasons or because of the toxic side effects of chemotherapy. The results of the present study also showed that the

	Table 4	4 Single-Factor	and Multifactor	Analysis	of the	Overall	Survival	Time in	Patients	After	the	Gastric	Cancer	Surger	y
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Variable	Single-Fact	or	Multifactor		
	HR (95% CI)	Р	HR (95% CI)	Р	
Gender (Male/Female)	1.32(0.87–2.02)	0.196			
Age (≥65/<65)	1.42(0.93-2.15)	0.105			
Degree of tumor differentiation (intermediate to high-differentiated/low- differentiated)	1.45(0.84-2.50)	0.184			
BMI (≥18.5/<18.5)	1.23(0.77–1.95)	0.384			
Nerve or vascular invasion (With invasion/Without invasion)	1.55(1.04-2.30)	0.030	1.40(0.94-2.09)	0.094	
TNM stage (I–II/III)	3.91 (2.25-6.78)	0.000	3.78(2.16-6.59)	0.000	
Radiotherapy (With radiotherapy/Without radiotherapy)	1.46(0.97–2.13)	0.052			
Cycles of chemotherapy (3–5 cyces/6-8 cycles)	1.51(1.01–2.26)	0.045	1.39(0.93-2.10)	0.111	
PNI (High PNI group/Low PNI group)	1.89(1.29–2.77)	0.001	1.88 (1.28–2.78)	0.001	

Abbreviations: PNI, prognostic nutrition index; HR, hazard ratio; BMI, body mass index; TNM, tumor-node-metastasis.

PNI was an independent prognostic factor for the overall postoperative survival time in patients with gastric cancer, which is consistent with the findings of previous studies.^{23,24}

As one of the main treatments for patients with stage Ib-III gastric cancer, radiochemotherapy can improve the prognosis and prolong the survival time; however, it can also cause different degrees of toxic side effects. Severe side effects may cause anxiety, loss of confidence in the treatment, or intolerance leading to treatment discontinuation, affecting the survival prognosis. Therefore, the ability to predict the toxic side effects of radiochemotherapy in patients with cancer and to implement early interventions to reduce the occurrence of toxic side effects is crucial. Studies on the toxic side effects of chemotherapy have shown that female gender, low BMI, and hypoalbuminemia are independent prognostic factors for the hematologic toxic side effects of grade 3-4 chemotherapy.²⁵ Guo et al reported that patients with gastric cancer with a high preoperative PNI had fewer and less severe adverse effects of chemotherapy than those with a low PNI.²⁰ A study of patients receiving neoadjuvant chemotherapy for gastric cancer showed that post-chemotherapy anemia and lymphopenia were significantly associated with a lower prechemotherapy PNI.¹⁸ There are few studies on the association between nutrition and the side effects of radiotherapy; however, some researchers believe that a low PNI and a high CONUT score are closely connected with severe side effects of radiotherapy in patients with head and neck tumors.²⁶ In patients receiving adjuvant radiochemotherapy for esophageal cancer, patients with a low PNI were more likely to have hematologic toxic side effects of radiotherapy above grade 3, and the correlation coefficient between PNI and toxic side effects was higher than that between lymphocyte count and serum albumin levels.⁶ However, there are few studies on the relationship between the PNI and chemotherapeutic side effects in gastric cancer, and no study concerning the correlation between the PNI and side effects of radiotherapy has been reported. The results of the present study showed that patients with a low PNI were more likely to experience hematologic toxic side effects of radiochemotherapy above grade 3. Therefore, preoperative nutritional monitoring in patients with gastric cancer and appropriate supportive therapy for patients with a low PNI might help to improve the nutritional status and immunity, which might help them to successfully complete the adjuvant radiochemotherapy and improve their survival prognosis.

Because of the small sample in the present study, a prospective study with a larger sample size is needed to provide a more accurate assessment of the relationship between nutritional status and adjuvant radiochemotherapy in patients with gastric cancer.

In summary, the results of the present study suggested that the preoperative PNI could predict the severity of hematologic side effects of adjuvant chemotherapy/radiotherapy in patients with gastric cancer, and that the group with a low PNI was more likely to have severe hematologic side effects, which was one of the important factors affecting the prognosis in patients with gastric cancer. Further clinical study is necessary to verify this conclusion.

Ethics Approval and Consent to Participate

This study was conducted in accordance with the Declaration of Helsinki. This study was conducted with approval from the Ethics Committee of Affiliated Hospital of Guizhou Medical University. A written informed consent was obtained from all participants.

Consent for Publication

Consent for publication was obtained from every individual whose data are included in this manuscript.

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Disclosure

All authors declare that the work is original and has not been submitted or published elsewhere. None of the authors have any financial disclosure or conflicts of interest.

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