

#### ORIGINAL RESEARCH

# Development of Japanese Versions of the Control Preferences Scale and Information Needs Questionnaire: Role of Decision-Making and Information Needs for Japanese Breast Cancer **Patients**

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Purpose: The importance of shared decision-making (SDM) between physicians and patients is increasingly recognized. In Japan, patients have shown more willingness to participate in treatment if medical professionals provide sufficient information; however, relationships between physicians and patients have traditionally been asymmetric, with patients accepting information from physicians without discussion. To explore the benefits of SDM in cancer treatment, including confidence in treatment decisions, satisfaction with treatment, and trust in healthcare providers, this study developed Japanese versions of the Control Preference Scale (CPS) and Information Needs Questionnaire (INQ).

Patients and Methods: Reliability and validity of the CPS and INQ were tested with 49 breast cancer patients.

**Results:** The CPS showed good test–retest reliability (kappa coefficient: 0.61, weighted kappa coefficient: 0.61, Kendall's tau coefficient: 0.61) and acceptable criterion validity. The INQ showed adequate consistency; the mean number of circular triads and coefficient of consistency were 3 (range 0-19) and 0.9 (range 0.37-1), respectively. Using the CPS and INQ to identify patients' roles in decision-making and information needs, results further suggested that breast cancer patients in Japan want to participate in SDM. Medical issues, including disease spread and cure, were found to be of high interest, while social and psychological issues, including sexual attractiveness, genetic risk, and family impact, tended to be low.

**Conclusion:** The Japanese CPS and INQ can be used to assess patients' needs to improve care. Further, as patients' information needs change along the care trajectory, these tools should be used throughout treatment.

Keywords: shared decision-making, breast cancer, treatment option, control preference scale, information needs questionnaire

### Introduction

Shared decision-making (SDM) is a collaborative model for making medical decisions, including multiple medical treatment options, between healthcare professionals and patients. 1 Cancer patients need to make informed decisions regarding complex diagnoses, treatment options, treatment side effects, and the impact of treatment on both quality of life and longevity.<sup>2</sup> SDM in cancer treatment may have

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multiple benefits. Cancer patients who are involved in healthcare decisions are more likely to experience confidence in treatment decisions, satisfaction with treatment, and trust in healthcare providers.<sup>3,4</sup> Patients vary in their preferred levels of participation in cancer treatment decision-making; patient characteristics such as age, sex, race/ ethnicity, cancer type, and individual values and beliefs may affect these preferences. 5-8 Older patients may prefer a more passive role.9 Women are more likely to report a passive role in cancer treatment decisions than men; however, they tend to demonstrate less agreement between actual and preferred roles in decision-making.<sup>6</sup> There are fewer reports of patient decisions made in non-Western countries than in Western countries. It is difficult to compare the extant research because no common scale is used, but overall, results suggest that Asian patients desire a negative or collaborative role in decision-making. 10 Reasons for this include beliefs concerning doctor-patient relationships (patients recognize that their knowledge of treatment is inferior to that of doctors), family support (patients want to consider the impact on their family and compare options with their family), and low education levels. 10 In Japan, the traditional communication style of paternalism has tended to be expressed through asymmetrical relationships between physicians and patients. Medical experts' diagnostic evaluations were viewed as more or less indisputable, and patients seeking help and care had to accept these experts' decisions without discussion. 11 Slingsby described decision-making in Japan as an "omakase (entrusting) model"; however, there has been a shift from passive to active decisionmaking. 12 A study by Watanabe reveals that the decisionmaking roles preferred by Japanese cancer patients vary doctor-centered to patient-centered roles. 13 A correlation between patient preferences for roles in the decision-making process and the level of actual decisionmaking involvement has been shown to be an indicator of patient satisfaction, which was independent of who made the final decision; moreover, this finding is compatible with other studies conducted in the United States.<sup>13</sup>

The Control Preferences Scale (CPS) has been widely used in research with people facing life-threatening medical decisions. 14 The CPS was originally developed by Degner et al. 14 The control preferences construct is defined as "the degree of control an individual wants to assume when decisions are being made about medical treatment". 14 The CPS uses five response statements to measure the patient's role in decision-making. Two statements represent an active

role, one a collaborative role; and two a passive role. The CPS can be used to examine the role of decision-making for various cancer types, especially breast and prostate cancer. 15-19 However, there is currently no Japanese version of the CPS, a scale that is widely used to classify roles in decision-making and is internationally comparable.

Providing information and making decisions together is increasingly recognized as an important element of caregiving.<sup>20</sup> Information is necessary for patients to understand their conditions and make decisions regarding treatment. However, physicians often underestimate patients' desire for information.<sup>21</sup> Providing information in cancer treatment is regarded as one standard of care and is considered a therapeutic intervention.<sup>22</sup> Several studies have shown that most cancer patients want a maximum of detailed information, whether it is good or bad. 23,24 It has further been suggested that cancer patients' information needs can change over the course of treatment. From diagnosis to the start of treatment, patients consider information such as disease stage, treatment options, and side effects to be important. However, after starting treatment, patients attach importance to information on treatment and subsequent recovery. 25,26 It has been shown that patients tend be more active in treatment decisions if medical professionals provide sufficient information.<sup>27</sup> Research has also shown that patients' information needs can differ according to cancer type, and the information provided must meet these needs.<sup>28</sup>

Cancer patients' information needs can be studied using various measurement tools, such as the Information Needs Ouestionnaire (INO). 18 the Toronto Information Needs Ouestionnaire-Breast Cancer (TINO-BC).<sup>29</sup> and the Patient Learning Needs Scale (PLNS).<sup>30</sup> The INQ is a differential scale, which allows for comparisons of changes in an individual's position on dimensions, or for comparisons across individual differences relative to the dimension, while the TINQ-BC and PLNS are summative scales. 31,32

Recently, pharmacists' roles have expanded from only supplying medications to providing information, education, and pharmaceutical care to patients.<sup>33</sup> Particularly, a pharmacist's role of providing information is not limited to explaining the effects of treatment and adverse events but also has a wide range of effects on patients' daily lives and families. Studies have shown that information from pharmacists improves adherence, therapeutic efficacy, and satisfaction with drug treatment.34-37 It is important to share information between medical professionals and patients;<sup>38</sup> however, there is no internationally comparable evaluation method in Japan. Therefore, by developing Japanese versions

of the CPS and INQ, explanations regarding medications could be provided considering patients' individualized information needs. The aim of the present study was twofold. First, the purpose of this study was to develop Japanese versions of the CPS, which evaluates patients' roles in decision-making regarding treatment, and the INQ, which evaluates patients' information needs. Further, this study evaluated the test–retest reliability and criterion validity of the CPS and the consistency of the INQ. Second, we aimed to clarify the difference between the roles that patients prefer and play in treatment decisions using the Japanese version of CPS. We also aimed to evaluate the information needs of breast cancer patients using the Japanese version of INQ.

### **Patients and Methods**

### Translation Procedure

The original versions of the CPS and INQ are available in English. 14,18,39 We received permission from the developers of the CPS and INQ to create our translated versions. The Japanese versions were translated into Japanese using the forward-backward translation method. 40 First, two native Japanese speakers conducted the forward translation. One of the translators was informed of the aim and concept of the questionnaire, but the other was not. After the translations of both of the translators were synthesized, two translators back-translated the synthesized questionnaire into English. The two latter translators were native English language professionals without a medical background and were not informed of the aim or concept of the questionnaire. A committee comprised of the developer of the English version, the research director, a linguist, a statistician, and the four translators discussed the semantic, empirical, and conceptual equivalence, and created a Japanese pilot version. A pilot study was conducted to identify and solve potential problems with the pilot version. In the pilot study, after conducting a structured interview with five patients, the committee discussed problems and created the final Japanese versions of the CPS and INQ.

### Instruments

#### Control Preference Scale

The CPS consists of five cards on a board, each using a cartoon and short descriptive statement to illustrate a different role in decision-making.<sup>14</sup>

The items are as follows:

A: I prefer to make the final selection about which treatment I will receive.

B: I prefer to make the final selection of my treatment after seriously considering my doctor's opinion.

C: I prefer that my doctor and I share responsibility for deciding which treatment is best for me.

D: I prefer that my doctor makes the final decision about which treatment will be used, but seriously considers my opinion.

E: I prefer to leave all decisions regarding my treatment to my doctor.

#### Information Needs Questionnaire

The INQ in an instrument designed to measure the priority of information needs for breast cancer patients using the Thurstone method of pair comparisons. <sup>18</sup> The version of the INQ used in our research was revised to accommodate not only breast cancer patients but also other cancer patients and includes nine items related to important information for cancer patients. <sup>39</sup>

The items are as follows:

- 1. Information about how advanced the disease is and how far it has spread. (Spread of Disease)
- 2. Information about the likelihood of cure from the disease. (Cure)
- 3. Information about how treatment may affect my ability to carry on my usual social activities (eg, hobbies, sports, etc.). (Social Life)
- 4. Information about how my family and close friends may be affected by the disease. (Family Impact)
- 5. Information about caring for myself at home (eg, diet, support groups, help at home, social worker, counselor). (Self-Care)
- Information about how the treatment may affect my feelings about my body and sexual attractiveness. (Sexual Attractiveness)
- 7. Information about the different types of treatment and their advantages and disadvantages. (Treatment)
- 8. Information about whether my children or other members of my family are at risk of getting the disease. (Genetic Risk)

Information about possible side effects of treatment. (Side Effects)

### Procedure

### Control Preference Scale

The researcher asked the participants to arrange in order the five cards of the Japanese version of CPS depicting the patient's actual role and most preferred role regarding the treatment they are currently receiving. When the patient finishes this ranking, the researcher can assign the patient one of the following six classifications, based on the top two chosen cards: active—active, active—collaborative, collaborative—active, collaborative—passive, passive—collaborative, and passive—passive. According to these classifications, patients are then divided into three groups: active (active—active or active—collaborative), collaborative (collaborative—active or collaborative—passive), or passive (passive—collaborative or passive—passive). Subsequently, a semi- structured interview was conducted, in which the participants were asked the following four questions:

- 1. Currently, you have decided [interviewer states the present treatment]. How did you make this decision?
- 2. Why did you make this decision?
- The interviewer then summarized the respondent's main decision criteria and probed for other potential reasons for the decision.
- Did you share this information with your doctor? (Yes/No; Why/Why not)

Interview results were documented using an IC recorder. After two to four weeks, the same patient was readministered with CPS to measure the patient's actual role.

### Information Needs

The researcher created a total of 36 pairs (n (n-1)/2), which paired each of the nine items of INQ. The researchers asked patients which was more important and requested that they choose one of two. Patients responded using a tablet computer.

## **Participants**

This study was conducted with breast cancer patients who visited Tokyo Medical University Hospital and the Nagumo clinic from August to November 2013. Eligibility criteria were as follows: women between the ages of 20, the age of adulthood in Japan, to 75 due to consideration of cognitive function, diagnosed with breast cancer, and receiving chemotherapy or endocrine therapy. Participants were asked to fill out the questionnaire after at least one month since the start of treatment. Patients who had a serious mental health disorder were excluded. The

sample size was set to 50 based on the feasibility of the study period rather than on a statistical basis.

# Measurement and Statistical Analysis Demographics

Researchers collected data from participants' medical records regarding age, metastasis, current treatment, type of therapy, and history of treatment. Participants completed a questionnaire on marital status, education level, employment status, and household composition. Proportions and standard deviations (SD) were calculated for age, metastasis, current therapy, type of therapy, history of treatment, marital status, education level, and household employment status.

### Control Preference Scale

To assess test-retest reliability, the kappa coefficient and Kendall's tau coefficient were calculated from two measurements of the patient's actual role. To assess the scale's criterion-related validity, we asked each of the four researchers to evaluate the patient's decision-making based on the results of the structured interview, using the CPS and Charles' model. 41 Charles' model classified the decision-making process into three types: paternalistic, shared, and informed approaches. The kappa coefficient and Kendall's tau coefficient were evaluated based on the CPS evaluation of the actual role played by the patient and information obtained from the patient's interview, based on the four researcher's evaluation using the CPS and Charles' model. The degree of agreement between the patient's preferred and actual roles, as evaluated using the CPS, was calculated using the kappa coefficient and Kendall's tau coefficient.

### Information Needs

To evaluate the construct validity of the INQ, the coefficient of consistency, which indicates whether the individual was ranked among the items, was calculated from the number of circular triads. The coefficient of consistency indicates whether the ranking among individual items is made when comparing three or more items and is calculated with a value of 0–1. The higher the value of the coefficient of consistency, the smaller the number of circular triads, indicating the evaluation is consistent.

We defined the Japanese version of the INQ to be considered adequately valid if the coefficient of consistency was greater than 0.5.<sup>42</sup> Additionally, the selection ratio of each item was calculated in order to determine the

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status of patients' selection for each question item. The higher the selection ratio, the more important the information related to that item is to the patient. The scale value of each item was calculated by the Thurstone method using the selection ratio and visualized, and the relative positional relationship of the importance of items was determined. However, pairwise comparisons of the Thurstone method cannot be calculated when the selection ratio for each pair is 0.0 or 1.0. In that case, we made calculations by replacing 0.0 with 0.01 and 1.0 with 0.99.

### Results

## **Participants**

Five patients participated in the pilot study and 50 patients participated in this study from August to November 2013. We excluded the data of one patient from analysis due to withdrawal of consent. Thus, a total of 49 participants who completed the Japanese versions of the CPS and INQ were included in the analysis. Participant characteristics are shown in Table 1. Mean participant age was 52.4 (SD = 9.0), and 26.5% of participants had metastatic cancer. Regarding current treatment, 67.3% of patients were receiving chemotherapy, 32.7% were receiving endocrine therapy, and 73.5% were receiving a type of adjuvant therapy. Additionally, 77.6% of the patients had a high educational level, while 22.4% had a medium level of education.

# Test-Retest Reliability of the CPS

Table 2 shows the results of patients' CPS ratings on the first and second evaluations for their actual roles in decision-making. Regarding reliability, the kappa coefficient was 0.61 (95% CI: 0.40–0.82), the weighted kappa coefficient was 0.61 (95% CI: 0.39–0.83), and Kendall's tau coefficient was 0.61 (95% CI: 0.38–0.83). If the value exceeds 0.6, the degree of coincidence can be assumed to be high. Thus, the Japanese version of the CPS was determined to have good reliability.

# Criterion-Related Validity of the CPS

The four researchers evaluated the patients' decision-making based on the results of the structured interview, using the CPS and Charles' model. Regarding criterion validity, the weighted kappa coefficients for Researchers 1 and 2 were 0.33 (95% CI: 0.10–0.57) and 0.44 (95% CI: 0.25–0.63) in the CPS ratings. In the Charles' model rating, the weighted kappa coefficients for Researchers 3 and 4 were 0.40 (95% CI: 0.17–0.63), and 0.39 (95% CI:

**Table I** Participant Characteristics in the Study Sample (N = 49)

	Mean	SD
Age	51.9	9.0
	n	%
Metastasis		
Yes	13	26.5
No	36	73.5
Current therapy		
Endocrine therapy	16	32.7
Chemotherapy	33	67.3
Type of therapy		
Adjuvant	36	73.5
Palliative	13	26.5
History of treatment		
None	16	32.7
Chemotherapy	24	49.0
Endocrine therapy	3	6.1
Both	6	12.2
Marital status		
Married	36	73.5
Unmarried	10	20.4
Other	3	6.1
Educational level		
High	11	22.4
Middle	38	77.6
Employment status		
Full-time	15	30.6
Part-time	13	26.5
Housekeeper	20	40.8
Unemployed or retired	1	2.0
Household		
Living with a partner	35	71.4
Living alone	10	20.4
Other	4	8.2

Abbreviation: SD, standard deviation.

0.19–0.59) (Table 3). The assessment of the role patients actually played, and the documentation of the patients' interviews conducted by the researchers did not show a high degree of agreement.

# Preferred Role and Actual Role of the Patient

Patients' actual roles often did not match their preferred roles. In total, 39.6% of participants reported they wanted a passive role in decision-making, while Azuma et al Dovepress

**Table 2** Results of the CPS Assessment of Patients' Actual Roles (N = 48)

		First Patient Evaluation			
	n (%)	Active	Collaborative	Passive	
Second patient evaluation	Active Collaborative Passive	8 (16.7) 0 (0) 5 (10.4)	2 (4.2) 4 (8.3) 1 (2.1)	l (2.1) l (2.1) <b>26 (54.2)</b>	
	Total	13 (27.1)	7 (14.6)	28 (58.3)	

Notes: The abscissa shows the first CPS assessment of patients, and the ordinate shows the result of re-measurement.

58.3% actually played a passive role (Table 4). As a result, the kappa coefficient was 0.23 (95% CI: 0.-04–0.43), the weighted kappa coefficient was 0.31 (95% CI: 0.09–0.52), and Kendall's tau coefficient was 0.35 (95% CI: 0.18–0.58).

## Construct Validity of the INQ

The median number of circular triads and the coefficient of consistency were 3 (range 0–19) and 0.9 (range 0.37–1), respectively, for 48 patients. We adopted the cutoff value of > 0.5 for the coefficient of consistency. For one patient, the number of circular triads was 19, and the coefficient of consistency was 0.37, which was lower than 0.5. Therefore, this patient's data were excluded. As a result, the Japanese version of the INQ was considered to have adequate consistency.

### Information Needs

The results of the paired comparisons are presented in Figure 1. One patient who did not have an adequate coefficient of consistency was excluded from analysis. For participants, medical issues such as spread of the disease and cure were found to be of high interest, while social and psychological issues tended to be of low interest.

## **Discussion**

We developed Japanese versions of the CPS and INQ and investigated breast cancer patients' roles in decision-making regarding their treatment and information needs. The Japanese version of the CPS showed good test-retest reliability and acceptable criterion validity. Thus, our results showed that the Japanese version of the CPS could be used to assess patients' roles in decision-making. The Japanese version of the INQ was found to

Table 3 Degrees of Agreement Between Patients' and Researchers' Evaluations

	CPS	CPS		Charles' Model	
	Researcher I	Researcher 2	Researcher 3	Researcher 4	
Kappa coefficient (95% CI)	0.35 (0.14–0.55)	0.37 (0.19–0.55)	0.43 (0.22–0.63)	0.41 (0.31–0.92)	
Weighed kappa statistic (95% CI)	0.33 (0.10–0.57)	0.44 (0.25–0.63)	0.40 (0.17–0.63)	0.39 (0.19–0.59)	
Kendall tau coefficient (95% CI)	0.32 (0.05–0.58)	0.50 (0.30–0.70)	0.42 (0.17–0.67)	0.40 (0.18–0.63)	

 Table 4 Degrees of Agreement Between Patients' Actual and Preferred Decision-Making Roles

		Actual Role				
	n (%)	Active	Collaborative	Passive	Total	
Preferred role	Active Collaborative Passive	6 (12.5) 5 (10.4) 2 (4.2)	2 (4.2) 3 (6.3) 2 (4.2)	4 (8.3) 9 (18.8) 15 (31.3)	12 (25.0) 17 (35.4) 19 (39.6)	
	Total	13 (27.1)	7 (14.6)	28 (58.3)		

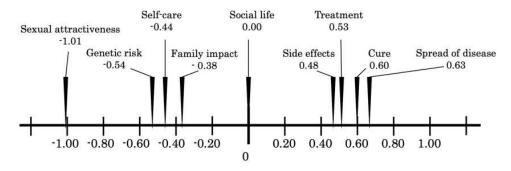


Figure I Information needs of breast cancer patients (N = 49).

be highly consistent and could be used to assess patients' information needs.

In examining the validity of the Japanese version of the CPS, the degree of agreement between patients and researchers was not high. A structured interview was recorded and documented. We considered the reason for the lack of a high degree of agreement to be because documenting interviews with patients made it difficult for researchers to assess the unique ways pauses were used when participants were hesitant or anxious about answering a question, or ambiguous expressions in spoken Japanese conversations, as these cannot be included in audio recording.

The actual roles patients played, as determined by CPS assessment, did not match their preferred roles. More than 70% of participants received adjuvant therapy, suggesting that physicians may not have provided enough information for patients to have options, for example no treatment, other than adjuvant therapy. Therefore, it is presumed there were many patients who considered treatment policy to be something mainly decided by their doctor. However, our results suggested that breast cancer patients want to make more collaborative decisions with their doctors regarding treatment. Previous studies in Canada and Sweden also showed that breast cancer patients prefer a less passive decision-making role. 14,43

The Japanese version of the INQ was highly consistent; however, in one patient, the coefficient of consistency was 0.37, indicating inconsistent ranking of information needs. The cause of the increase in the number of circular triads was considered to be the similarity of the notation in each question item and individual differences among patients' demographic and clinical characteristics. <sup>44</sup> Focusing on the similarity of the notation in each question item, it is considered that all nine items were not difficult to distinguish, because each item was created from nine different areas. Explanations were added for each question item,

however, when patients provided answers, in some instances similar kanji were presented in pairs on the tablet computer, such as those for "healing" and "treatment." In such cases, it is possible that patients may have mistaken the words for synonyms.

Regarding individual differences among patients, it has been reported that age, educational level, family history, and time since diagnosis affect the number of circular triads and the coefficient of consistency, which valuating item of consistency. 44 Patients who are highly educated or who have had a long period of time pass since diagnosis tend to be able to fully understand their own health conditions and information needs and can thus distinguish between and order the nine items. We did not examine relevance to the patient's demographic factors when evaluating validity. Therefore, it would be necessary to examine the relevance of more demographic factors in the future. It was suggested that the most important information for patients was regarding prognosis, such as spread of the disease, cure, treatment, and side effects. In the scale values depicted, a large difference was observed between the top four items and the bottom five items. The findings suggested that many participants were receiving adjuvant therapy, and that remission or full remission was the goal of treatment. Participants also indicated a high need for information on social life, and differences were found between the preceding and following items. In a study conducted in Canada, the most important item was cure, followed by spread of the disease, treatment, and genetic risks, side effects, family impact, social life, self-care, and sexual attractiveness.<sup>32</sup> Compared to our findings, previous studies indicated that patients placed more importance on information regarding genetic risk. Japanese breast cancer patients' BRCA1/2 mutation rates are similar to those in Europe and the United States.<sup>45</sup> However, at the time this study was conducted, genetic testing in Japan was not covered by insurance. Therefore,

the test implementation rate was overwhelmingly lower than in Canada. For this reason, medical information regarding genetic risk was most likely not widely provided; thus, patients' recognition of this information was insufficient.

Previous studies have shown that medication counseling provided by pharmacists reduces patients' decision-making conflicts. The most important aspect of pharmacist drug counseling is the quality of the information provided, and patients want accurate and thoughtful information. The Japanese versions of the CPS and INQ developed in this study could be used to evaluate the role of patients' decision-making and information needs regarding treatment for various types of cancer, and to support patient decision-making. We believe that intervention from pharmacists, with consideration for each patient, can be implemented to support patients' decision-making processes.

### Limitations

The number of patients studied in this research is small. In addition, patients with varying characteristics, such as time from diagnosis, time from the start of drug treatment, and different stages of cancer, were included. In the future, it will be necessary to increase the number of patients and further examine each patient's background regarding their preferred role, actual role, and information needs in decision-making.

### Conclusion

We developed Japanese versions of CPS and INQ. We believe that these versions can support patient decision-making and contribute to improving patients' treatment satisfaction by clarifying the decision-making role and information needs of Japanese cancer patients. Furthermore, it is expected that research on the decision-making of Japanese cancer patients will be promoted and international comparison will be possible.

### **Abbreviations**

CI, Confidence Interval; CPS, Control Preference Scale; INQ, Information Needs Questionnaire; PLNS, Patient Learning Needs Scale; SD, Standard Deviation; SDM, Shared Decision-making; TINQ-BC, Toronto Information Needs Questionnaire-Breast Cancer.

# **Data Sharing Statement**

Not applicable.

# Ethics Approval and Informed Consent

This study was conducted in accordance with the Japanese Ethical Guidelines for Epidemiological Research and the World Medical Association's Declaration of Helsinki. The Japanese Ethical Guidelines for Epidemiological Research were revised in 2014. The case collection for this study was conducted prior to revision and is based on old ethical guidelines. Written informed consent was obtained from all patients. The protocol was approved by the institutional review board of Tokyo Medical University and Nagumo clinic. This study is registered at UMIN-CTR, number UMIN000011272.

## **Consent for Publication**

Not applicable.

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### **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

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### **Disclosure**

The authors declare that there are no competing interests.

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