

Artificial Neural Networks Analysis of polysomnographic and clinical features in Pediatric Acute-Onset Neuropsychiatric Syndrome (PANS): from sleep alteration to “Brain Fog”

Antonella Gagliano^{1,*}
 Monica Puligheddu^{1,2,*}
 Nadia Ronzano³
 Patrizia Congiu²
 Marcello Giuseppe Tanca³
 Ida Cursio³
 Sara Carucci¹
 Stefano Sotgiu⁴
 Enzo Grossi⁵
 Alessandro Zuddas^{1,3}

¹Child & Adolescent Neuropsychiatry Unit, “Azienda Ospedaliera Brotzu” Hospital Trust, Cagliari, Italy; ²Sleep Disorder Centre, Department of Medical Sciences and Public Health, University of Cagliari, Cagliari, Italy; ³Section of Neuroscience & Clinical Pharmacology, Department of Biomedical Sciences, University of Cagliari, Cagliari, Italy; ⁴Child Neuropsychiatry Unit, Department of Medical, Surgical and Experimental Sciences, University Hospital of Sassari, Sassari, Italy; ⁵Autism Research Unit, Villa Santa Maria Foundation, Como, Italy

*These authors contributed equally to this work

Study Objectives: PANS (pediatric acute onset neuropsychiatric syndrome) is thought to be the result of several mechanisms and multiple etiologies, ranging from endocrine/metabolic causes to postinfectious autoimmune and neuroinflammatory disorders. Sleep disorders represent one of the most frequent manifestations of PANS, involving around 80% of patients. The present study describes the clinical and polysomnographic features in a group of PANS children identifying the relationships between sleep disorders and other PANS symptoms.

Methods: All participants underwent a clinical evaluation including comprehensive sleep history, polysomnography, cognitive assessment and blood chemistry examination. A data mining approach with fourth-generation artificial neural networks has been used in order to discover subtle trends and associations among variables.

Results: Polysomnography showed abnormality in 17 out of 23 recruited subjects (73.9%). In particular, 8/17 children (47%) had ineffective sleep, 10/17 (58.8%) fragmented sleep, 8/17 (47.1%) periodic limb movement disorder (PLMD) and 11/17 (64.7%) REM-sleep without atonia (RSWA). Most subjects presented more than one sleep disturbances. Notably, among the 19/23 patients diagnosed with Tic/Tourette disorder, 8/19 (42.1%) show PLMD and 10/19 (52.6%) RSWA. Artificial neural network methodology and the auto-contrastive map exploited the links among the full spectrum of variables revealing the simultaneous connections among them, facing the complexity of PANS phenotype.

Conclusion: Disordered sleep represents, for prevalence and impact on quality of life, a cardinal symptom in patients with PANS. Thus, considering the weight of sleep disturbances on diagnosis and prognosis of PANS, we could consider the possibility of including them among the major diagnostic criteria.

Keywords: PANS, pediatric acute onset neuropsychiatric syndrome, sleep disorders, Tourette disorder, polysomnography, auto-contrastive map

Plain Language Summary

a) Current Knowledge/Study Rationale

Sleep Disorders are one of the most frequent manifestations in PANS, involving up to 80% of patients, but to date few studies have been conducted analyzing the sleep characteristics of these patients.

The aim of this study was to describe the clinical and polysomnographic characteristics of sleep and identify any sleep disorders in a population of children affected by PANS.

Correspondence: Monica Puligheddu
 Sleep Disorder Research Center,
 Department of Medical Science and Public
 Health, University of Cagliari, asse
 didattico E. SS 554 bivio Sestu,
 Monserrato, Cagliari, 09042, Italy
 Tel +0706754952
 Email puligheddu@unica.it

b) Study Impact

Sleep alterations represent, for prevalence and impact on quality of life, a cardinal symptom of PANS phenotype.

Sleep should always be evaluated in PANS patients, and when disordered sleep on daytime sleepiness are reported, a PSG recording should be performed.

Introduction

PANS (Pediatric Acute Onset Neuropsychiatric Syndrome) is a recently described syndrome characterized by an abrupt and acute onset of obsessive-compulsive symptoms and/or food restriction in association with at least 2 of the following symptoms: anxiety, emotional lability, depression, irritability, aggression, oppositionality, deterioration in school performance, behavioural regression, sensory and movement abnormalities, sleep disturbances, and increased urinary frequency.^{1,2} PANS is thought to be the result of several mechanisms and multiple etiologies, ranging from endocrine/metabolic causes to postinfectious autoimmune and neuroinflammatory disorders.³ Recently, a neuroimaging MRI investigation showed cerebral microstructural abnormalities in multiple brain structures, including basal ganglia, thalamus, and amygdala in children with PANS in comparison with healthy children.⁴

Sleep disorders represent one of the most frequent manifestations of PANS, involving around 80% of patients, often emerging at the onset of the psychiatric symptoms and subsequently regressing after the acute phase or following the course of the disease.^{5,6} Despite this frequent finding, to date few studies have been conducted analyzing the sleep characteristics of these patients, and only two polysomnographic studies have been published in patients diagnosed with PANS.^{7,8} Both studies report a higher prevalence of sleep disorders in PANS patients. The most common sleep disorders in patients with PANS are parasomnias (nightmares, nocturnal pavor, sleepwalking or somnambulism) as well as difficulties in falling asleep or maintaining sleep (early or intermediate insomnia), early awakenings (terminal insomnia), REM sleep disorders such as REM Sleep Without Atonia (RSWA), and sleep movement disorders such as Periodic Limb Movement Disorder (PLMD) are the most frequently mentioned.^{8,9}

The aim of the present study is to describe the clinical and polysomnographic features of sleep in a group of children diagnosed with PANS with no mention on medications for at least 4 weeks before enrollment. The main purpose is to identify putative relationships between sleep

disorders and other PANS symptoms by using a data mining approach with fourth-generation Artificial Neural Networks, computational adaptive systems able to discover subtle trends and associations among variables. Starting from the analysis of these relationships, the authors intend to suggest an interwoven pathophysiological mechanism underlying both sleep and PANS symptoms. The study also describes the condition known as “brain fog”, a clouding of consciousness that affect the capacity to organize the thoughts, being wakeful and aware and pay attention¹⁰ potentially linked to a plethora of possible causes including autoimmune disorders. For instance, people with Systemic Lupus Erythematosus experience a range of cognitive difficulties (“Lupus fog”) such as confusion, difficulty in articulating thoughts and memory impairment.¹¹ We suppose that this condition could affect also the children with PANS and that it could be related, at least in part, with the sleep abnormalities.

Methods

Participants

The participants are 23 children diagnosed with PANS during a time interval of six months (from March 1, 2019 to August 31, 2019), consecutively enrolled from the PANS/PANDAS outpatient-service at the Child and Adolescent Neuropsychiatry Unit, “A. Cao” Hospital – “G. Brotzu” Hospital Trust, Cagliari. The sample included children from different Italian regions, being our service one of the few Italian centers specifically dedicated to PANS/PANDAS diagnosis and treatment. Inclusion criteria for their selection were diagnosis of PANS according to the criteria proposed by the 2015 Consensus Conference;⁵ drug-free period of at least 6 weeks; interruption of nutraceutical supplements (included Melatonin) at least 6 weeks before admission to the study.

All participants underwent a comprehensive clinical evaluation including a detailed interview aimed to assess sleep-wake cycle and sleep disorders, cognitive assessment, blood chemistry examination (tests listed in Table 1), nasal and pharyngeal swab and polysomnography.

Beside PANS, specific DSM-5 disorders (Tic or Tourette Disorder, Obsessive-compulsive disorder, Mood Disorders, Anxiety Disorder, Attention Deficit Hyperactivity Disorder and Oppositional Defiant Disorder, Learning Disorders) were also considered and diagnosed by Kiddie SADS PL¹² and specific assessment scales.

Table 1 Laboratory Variables Measured in the Study Sample (PANS-Panel)

Routine blood test	Blood counts, sideraemia, Erythrocyte sedimentation rate (ESR), C- Reactive Protein (CRP), D-vitamin, Free Triiodothyronine (fT3), Free thyroxine (fT4), Thyroid-stimulating hormone (TSH), Neuron-specific Enolase (NSE)
Immunological parameters	Lymphocyte subpopulation, immunoglobulin and complement profile, Anti-TSH Receptor Antibodies (AbTR), Anti-thyroid peroxidase (AbTPO), Anti-thyroglobulin Antibodies (AbTG), Lupus Anti-Coagulant (LAC), Anti-Nuclear Antibodies (ANA), anti-cardiolipin Antibodies, Anti-Glicoprotein B2 Antibodies, anti-deamidated gliadin, transglutaminase and endomysial Antibodies.
Infectious parameters	Anti-streptolysin O (ASLO), Herpes Simplex Virus 1 (HSV-1), Epstein Barr Virus (EBV), Mycoplasma Pneumoniae and Chlamydia Pneumoniae, Nasal and Pharyngeal swab.

In particular,

- Yale Global Tic Severity Scale (YGTSS)¹³ for the evaluation of tics (Score ≥ 20 as threshold),
- Children's Yale-Brown Obsessive-Compulsive Scale (CY-BOCS)¹⁴ for the evaluation of obsessive-compulsive symptomatology (Score ≥ 7 as threshold),
- Pediatric Acute-onset Neuropsychiatric Syndrome Scale (PANSS)¹⁵ for the evaluation of specific PANS symptoms (Score ≥ 20 as threshold with a possible maximum score of 54).

The level of impairment of global functioning was evaluated by Children's Global Assessment Scale (C-GAS),¹⁶ cognitive profile by Wechsler series scales (WISC-IV and WPPSI-III) considering Full Scale IQ, Verbal Comprehension Index (VCI), Perceptual Reasoning Index (PRI), Working Memory Index (WMI), Processing Speed Index (PSI); cognitive levels were dichotomized based on a cut-off of 85 (1 SD below the average).

Polysomnography

Each subject underwent a full-night ambulatory polysomnography (PSG) recording (Nox A1 – Nox Medical). The PSG was executed in the same week in which the clinical evaluation was performed. Sleep stages, respiratory and legs activity were scored manually by a clinical

neurophysiologist expert in sleep medicine (PC), following standard AASM criteria¹⁷ (2018 update) on 30-seconds epochs.

The following parameters were included in the polysomnographic study: EEG (six channels: one frontal, one central, and one occipital per hemisphere, referred to the contralateral earlobe), electrooculogram (electrodes placed 1 cm above the right cantus and 1 cm below the left cantus and referred to A1), electromyogram (EMG) of submental muscle, EMG of bilateral tibialis anterior muscle (bipolar derivations with two electrodes placed 3 cm apart on the belly of the anterior tibialis muscle of each leg), and one single-lead ECG. The sleep respiratory pattern was assessed by means of nasal airflow (nasal pressure cannula), thoracic and abdominal respiratory effort (strain gauge) and oxygen saturation (pulse-oximetry) during the study night. Sleep signals were stored on hard disk in European data format for further analysis. The polysomnographic evaluated parameters were Total Sleep Time (TST), Sleep Efficiency (SE), Sleep Latency (SL), REM Latency, N1% TST, N2% TST, N3% TST, REM% TST, Wake After Sleep Onset % (WASO%), Awakenings, Periodic Limb Movement Index (PLMI), RSWA (REM Sleep Without Atonia), and the presence of Frequent change position as index of restless sleep.¹⁸ The parameter "Ineffective Sleep" has been defined by values of Sleep Efficiency $< 88\%$; the parameter "Fragmented Sleep" has been defined by values of WASO $> 10\%$ and the diagnosis of Periodic Limb Movement Disorder (PLMD) has been formulated if the PLMI was ≥ 5.0 events/hr in presence of a clinical sleep disturbance or daytime impairment. The diagnosis of pediatric obstructive sleep apnea syndrome (OSAS) was defined by an AHI $> 1/\text{hr}$ and the presence of snoring or effortful, paradoxical, or obstructed breathing during the child's sleep, or in presence of sleepiness, hyperactivity, behavioral problems, or learning problems, according to ICSD-3 criteria for pediatric obstructive sleep apnea.

The parameter "Abnormal PSG" includes all the diagnosed sleep disorders (PLMD) and the altered PSG (Ineffective Sleep, Fragmented Sleep, and RSWA).

Artificial Neural Networks Analysis

For the analysis of the collected data, the Auto Contractive Map (Auto-CM) system has been used. Artificial Neural Networks (ANNs) are computational adaptive systems inspired by the functioning processes of the human brain: they are considered particularly useful to solve non-linear

problems and to discover subtle trends and associations among variables. Based on their learning through an adaptive way (ie, extracting from the available data the information needed to achieve a specific aim and to generalize the acquired knowledge), the ANNs appear to be a powerful tool for data analysis in the presence of relatively small samples.¹⁹ The Auto Contractive Map (Auto-CM) system is a fourth generation unsupervised ANNs which has already been demonstrated to outperform several other unsupervised algorithms in a heterogeneous class of tasks.²⁰ Auto-CM has been developed to explore the concomitant associations of different variables, and the potential relationships among variables in a multi-factor network relevant for the disease. The ultimate goal of this data mining model is to discover hidden trends and associations among variables since this algorithm is able to create a semantic connectivity map in which no linear associations are preserved, and explicit connection schemes are described.

Auto-CM requires a training phase necessary to learn how variables are interconnected. The learning algorithm of CM may be summarized in four orderly steps: a) Signal Transfer from the Input into the Hidden layer; b) Adaptation of the connections value between the Input layer and the Hidden layer; c) Signal Transfer from the Hidden layer into the Output layer; d) Adaptation of the connections value between the Hidden layer and the Output layer (see [Supplementary Materials](#)).

Based on the minimum spanning tree theory, the Auto-CM reveals the connections among variables providing a graph in which the distances among variables reflect their bonding strength (weights). This approach provides the map of relevant connections between and among variables and the principal hubs of the system. Hubs can be defined as variables with the maximum amount of connections in the map. The Auto-CM does not pose randomly the initial weights. Conversely, the Auto-CM starts with the same value. Thus, the resulting graph is reproducible along many runs.

The MST represents what we could call the “nervous system” of any dataset. In fact, summing up all of the connection strengths among all the variables, we get the total energy of that system. The MST selects only the connections that minimize this energy, ie, the only ones that are necessary to keep the system coherent. Consequently, all the links included in the MST are fundamental, but, on the contrary, not every “fundamental” link of the dataset need be in the MST. Such limit is intrinsic to

the nature of MST itself: every link that gives rise to a cycle into the graph (viz., that destroys the graph’s ‘treeness’) is eliminated, whatever its strength and meaningfulness. To fix this shortcoming and to better capture the intrinsic complexity of a dataset, it is necessary to add more links to the MST, according to two criteria: (1) the new links have to be relevant from a quantitative point of view; (2) the new links have to be able to generate new cyclic regular microstructures, from a qualitative point of view. The additional links superimposed to MST graph generate a Maximally Regular Graph.

The Maximally Regular Graph (MRG) the graph whose hubness function attains the highest value among all the graphs generated by adding back to the original MST, one by one, the missing connections previously skipped during the computation of the MST itself. In other words, The MRG, generates, starting from the MST, the graph presenting the highest number of regular microstructures highlighting the most important connections of the dataset. The resulting “diamond” expresses the complexity core of the system and in our specific case, the core of the syndrome. The detailed description of Auto-CM is provided in the [Supplementary Material S1](#).

For statistical purposes, we converted some continuous variables in dichotomous measures. In particular, the ASLO patient’s serum titers have been pooled in two groups (ASLO titer > or < of 200 IU/mL), based on the upper reference limit. The ANA patient’s serum titers have been pooled in two groups (titers < or > of 1: 120), based on the upper reference limit. ESR, NSE and CRP were evaluated as abnormal if above the upper reference limit of 15 mm/h, 18 ug/L and 2.0 mg/dl, respectively. Vitamin D was considered insufficient under the lower reference limit of 25 ng/mL.²¹

Ethical Approval

This study was conducted in accordance with the Declaration of Helsinki and the Independent Ethical Committee of Cagliari University Hospital approved the study. All the parents were given a full explanation of the study methods and purposes and they gave their written consent.

Results

Between March 2019 and August 2019, a total of 43 individuals with PANS were screened for enrolment. Of these, 20/43 (46.5%) were excluded as they did not meet inclusion criteria (12/20 were taking melatonin or vitamin D supplementation and 8/20 were taking SSRI or

Dopamine-receptors antagonist drugs). All enrolled children were drug free for any psychoactive and anti-inflammatory drugs and for dietary supplements for at least 6 weeks.

In the remaining 23 patients (19 males, 4 females; gender ratio of 5:1), mean age at enrollment was 9.8 years (SD: 2.6; range: 4.8–15.4), mean age at onset of PANS symptoms was 6.4 years (SD: 2.6; range: 2.5–11.1), mean age at the time of diagnosis was 8.7 years (SD: 2.8; range: 3.8–15.1), corresponding to a mean diagnosis delay of 2.3 years (SD: 1.7; range: 0–6.5).

At the time of clinical assessment, 9/23 subjects (39.1%) were in the acute phase of the disease (onset or relapsing phase), and the remaining 14/23 (60.8%) had a chronic PANS presentation or were on the remitting phase of a “wax and wane” PANS course.

The descriptive characteristics of the sample are summarized in Table 2.

The clinical-anamnestic assessment about sleep-wake cycle obtained with the parental contribution showed that 14/23 children had an urge to move the legs with associated unpleasant discomfort which, however, had no clear circadian rhythm, was present not solely at rest, and not always had benefit from the movements,

preventing us to set RLS diagnosis. 10/23 children had snoring.

As expected, polysomnography showed abnormality in 17 out of 23 (73.9%). In particular, in accordance with AASM criteria, 8/17 children (47%) had an ineffective sleep, 10/17 (58.8%) fragmented sleep, 11/17 (64.7%) REM-Sleep Without Atonia (RSWA), and 8/17 (47.1%) Periodic Limb Movement Disorder (PLMD). As suggested by the distribution of percentages, most patients had disordered sleep (“Abnormal PSG”). Similarly, among the 19/23 patients diagnosed with Tic Disorder/Tourette Disorder, 8/19 (42.1%) had PLMD, and 10/19 (52.6%) showed RSWA polysomnographic features (Table 3).

The respiratory parameters were available only for 18/23 (78.2%) patients, due to the difficulties for 5 children to accept the pulse-oximeter. Among them, 14/18 (77.8%) subjects had a respiratory pattern characterized by snoring and 6/18 (33%) had a condition of pediatric obstructive Sleep Apnea Syndrome (OSAS) with a severity score between mild (4/6) and severe (2/6) (see Table 3). These 2 patients with a severe OSAS underwent an adeno-tonsillectomy and reported an improvement in both OSAS (mean AHI 0.2 events/

Table 2 Demographic and Clinical Patients' Characteristics

Continuous Variables			
	Mean Value (Years)	Range (Years)	SD (Years)
Mean age at study enrolment	9.8	4.8–15.4	2.6
Mean age at full symptom onset	6.4	2.5–11.1	2.6
Mean age at diagnosis	8.7	3.8–15.1	2.8
Diagnostic mean delay	2.3	0–6.5	1.7
	Mean Value	Range	SD
Full Scale Intelligence Quotient (Full Scale IQ)	94.6	55–116	15.9
Verbal Comprehension Index (VCI)	99.2	60–124	18.9
Perceptual Reasoning Index (PRI)	102.4	71–124	15.5
Working Memory Index (WMI)	90.5	70–118	12.3
Processing Speed Index (PSI)	84.6	65–109	12.7
Discrete Variables			
Gender	Females: 4/23 (17%)	Males: 19/23 (83%)	
Phase of disease	Acute: 9/23 (39%)	Chronic: 14/23 (61%)	
DSM-5 Associated Diagnosis			
OCD 10/23 (43%)	ADHD/ODD: 12/23 (52%)		
Anxiety 4/23 (17%)	Tic /TD: 19/23 (82%)		
Learning Disorder 8/23 (34%)	Mood Disorders: 2/23 (8.6%)		

Notes: Acute = symptoms onset or wax phase of a relapsing/remitting course; Chronic = Chronic course or wane phase of a relapsing/remitting course. Notably, both the Processing Speed Index (PSI) and the Working Memory Index (WMI) show a mean value relatively low, if compared to the other indices' scores.

Abbreviations: OCD, obsessive-compulsive disorder; ADHD, attention deficit hyperactivity disorder; ODD, oppositional defiant disorder.

Table 3 Polysomnographic Parameters

	Mean	Std. Deviation	95% Confidence Interval	D'Agostino & Pearson Normality Test	
				P value	(Alpha=0.05)
Total sleep time. Min	473.20	59.31	447.6–498.90	0.81 ^{ns}	Yes
Sleep efficiency. %	89.57	5.86	87.04–92.11	0.67 ^{ns}	Yes
Sleep Latency (min)	14.53	12.79	8.995–20.06	0.17 ^{ns}	Yes
REM latency (min)	144.90	66.85	116–173.80	0.74 ^{ns}	Yes
Sleep stage N1, %	7.94	5.47	5.574–10.30	0.00**	No
Sleep stage N2, %	46.69	6.19	44.01–49.36	0.64 ^{ns}	Yes
Sleep stage N3, %	24.74	4.10	22.97–26.51	0.53 ^{ns}	Yes
Sleep stage R, %	21.14	5.74	18.66–23.62	0.72 ^{ns}	Yes
Wakefulness after sleep onset, %	10.16	5.43	7.813–12.51	0.87 ^{ns}	Yes
WASO min	50.87	29.84	37.97–63.77	0.80 ^{ns}	Yes
Awakenings	10.70	7.41	7.49–13.90	0.05*	No
PLMS index, n/hour	5.70	6.56	2.86–8.53	<0.0001****	No
Apnea-Hypopnea index, n/hour [#]	2.79	4.56	0.45–5.14	<0.0001****	No
Oxygen Desaturation Index, n/hour [#] <3%	2.01	2.84	0.55–3.47	0.00***	No
SpO2mean (%) [#]	96.31	0.57	96.01–96.60	0.30 ^{ns}	Yes
SpO2min (%) [#]	92.13	1.54	91.3–92.95	0.89 ^{ns}	Yes
Heart rate [#]	68.28	8.95	63.51–73.05	0.07 ^{ns}	Yes

Notes: [#]Respiratory parameters were available only for 18/23 patients, due to the difficulties for 5 children to accept the pulse-oximeter. Test for Gaussian distribution. GP: 0.1234 (^{ns}); 0.0332 (*), 0.0021 (**), 0.0002 (***), <0.0001 (****).

hour and mean ODI 0,2 events/hour) and PANS symptoms after surgery.

Biological parameters were out of the normal range in a large portion of patients. Serology and blood chemistry showed high antibody titers against Chlamydia Pneumoniae in 15/23 participants (65.2%) of which 10/15 were IgM and 5/15 IgG-mediated, and against Mycoplasma pneumoniae in 6/23 participants (26%) of which 4/6 were IgM and 2/6 IgG-mediated. Elevated ASLO (Anti Streptolysin-O; 11/23, 47.8% of the sample) and Anti-Nuclear Antibodies (ANA) titers (5/23, 21.7%) were also found. Neuron-specific enolase (NSE), an enzyme detected in serum following structural damage of neuronal brain cells, was elevated in 15/23 subjects (65.2%). Severe lower levels of vitamin D (14/23, 60.8%) and of serum iron (sideraemia, 8/23, 34.7%), were also detected. Erythrocyte Sedimentation Rate (ESR) faster-than-normal rate and a high level of C-Reactive Protein (CRP) were measured only in 4/23 (17.3%) and 1/23 (4.3%) patients.

With reference to the cognitive parameters, we found a discrepancy between the Processing Speed Index (PSI) (mean score 84.6) and the other intelligence scale mean score indexes (VCI 99.2; PRI 102.4; WMI 90.5). Since processing speed refers to the speed of cognitive processes

and response output, this result accounts for a slower processing speed in the children of our sample.

Semantic Connectivity Maps

The semantic connectivity maps (Auto-CM method) – Minimum spanning tree (MST) graphs show the strength of association across the clinical, neuropsychological, biological and polysomnographic variables visualized by the concept of “closeness”: the variables whose connection weights are higher get relatively nearer and vice versa. The strength of the relation between variables increases progressively until the values of 1 (the strongest level of connection). In our maps, all the links strength values were above 0.8 (corresponding to a strong connection) and most of them were very close to 1. As shown in all maps, the variable “Abnormal PSG”, which includes all the diagnosed sleep disorders, acts as a hub having a considerable number of connections with the other clinical, neuropsychological and biological variables.

Map 1 shows the connections between all sleep parameters and the clinical variables, expressed by the standardized scores at the evaluation scales (YGTSS; CYBOCS; PANSS; C-GAS). The same map includes the diverse DSM-5 diagnoses of the disorders diagnosed in association to PANS. The “Maximally Regular Graph”

(red diamond, for details see [Supplementary Material S1](#)) encompasses the variables with higher number of connections. These are represented by the DSM-5 diagnosis of Tic disorder/Tourette disorder, the total YGTSS score >20, the PANSS total score >20, the CGAS <60, the “Abnormal PSG” and the presence of snoring (see [Figure 1](#)).

Map 2 explores the connections between all the sleep parameters and both the clinical dimensions assessed by PANSS and neuropsychological parameters assessed by Wechsler intelligence scales. This map is aimed to highlight the hidden connection between sleep characteristics and cognitive and behavioural symptoms. Both “Abnormal PSG” and “sleep disturbance” represent hubs with a high number of links. The “Maximally Regular Graph” (red diamond) includes also the motor symptoms, the learning cognitive symptoms, anxiety, irritability and OCD symptoms. Among PSG variables, the “Maximally Regular Graph includes snoring (see [Figure 2](#)).

Map 3 explores the connections between all sleep parameters and the laboratory variables exceeding the normal range. This Map reveals that the variable “Abnormal PSG” represents the hub with the highest number of links, receiving convergence from both sleep and biological parameters. The “Maximally Regular Graph” (red diamond) includes the D3 vitamin low level, the sideraemia low level, the positive anti-chlamydia antibodies and the ASLO high title as well as

other clinical sleep variables (RSWA, PLMD, frequent position changes and Snoring) (see [Figure 3](#)).

Discussion

The results of the present study confirm sleep disturbances in children diagnosed with PANS, and strongly suggest that disordered sleep is a cardinal symptom of the PANS illness.

A growing scientific attention has recently focused on PANS. On one hand, several cohort studies demonstrated associations between different microbial infections and the abrupt emergence of psychiatric symptoms. On the other hand, biological studies disagree in showing PANS to have a clear immune basis and strong consistency for treatment with antimicrobials or immunotherapy is still lacking, with the only randomized, controlled clinical trial suggesting little outcome difference between placebo and immunotherapies.²² However, given the severe and sometimes disabling nature of PANS and the serious effects on family and child functioning, it is crucial to understand the biological basis of this syndrome and to distinguish this entity from others. The objective characterization of sleep alterations associated to PANS phenotype might be helpful in reaching this purpose.

Polysomnographic Study

Polysomnography was abnormal in 73.9% of our patients which is consistent with the finding of PANS consortium

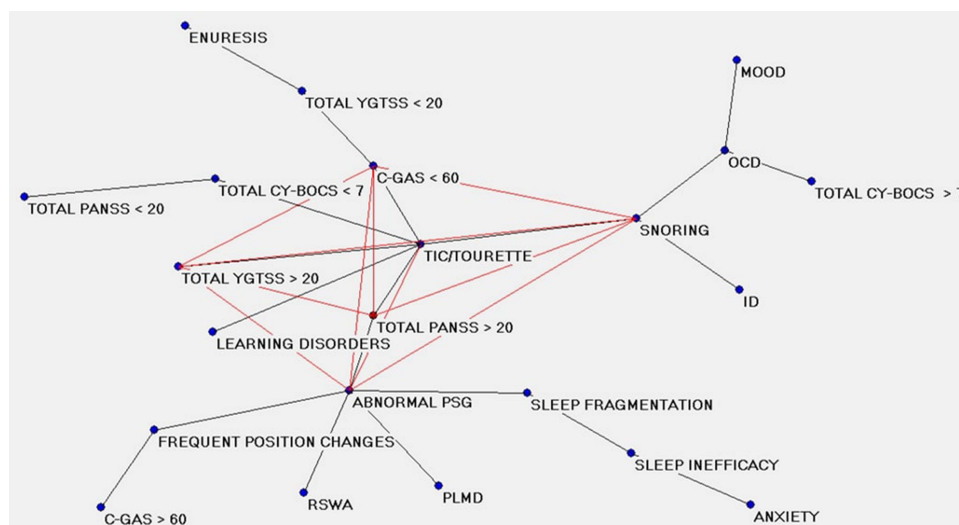


Figure 1 Map 1. Connections between sleep parameters inferred by polysomnographic study, DSM-5 diagnoses, and all the behavioural parameters assessed by scales and checklists.

Abbreviations: PSG, polysomnography; OCD, obsessive-compulsive disorder; ID, intellectual disability; PLMD, periodic limb movement disorder; RSWA, REM sleep without atonia; Mood, mood disorders; Anxiety, anxiety disorders; Tic/Tourette, Tic/Tourette disorder; Total YGTSS, Total score in Yale Global Tic Severity Scale; Total CY-BOCS, Total score in Children's Yale-Brown Obsessive-Compulsive Scale; Total PANSS, Total score in Pediatric Acute-onset Neuropsychiatric Syndrome Scale; C-GAS, score in Children's Global Assessment Scale.

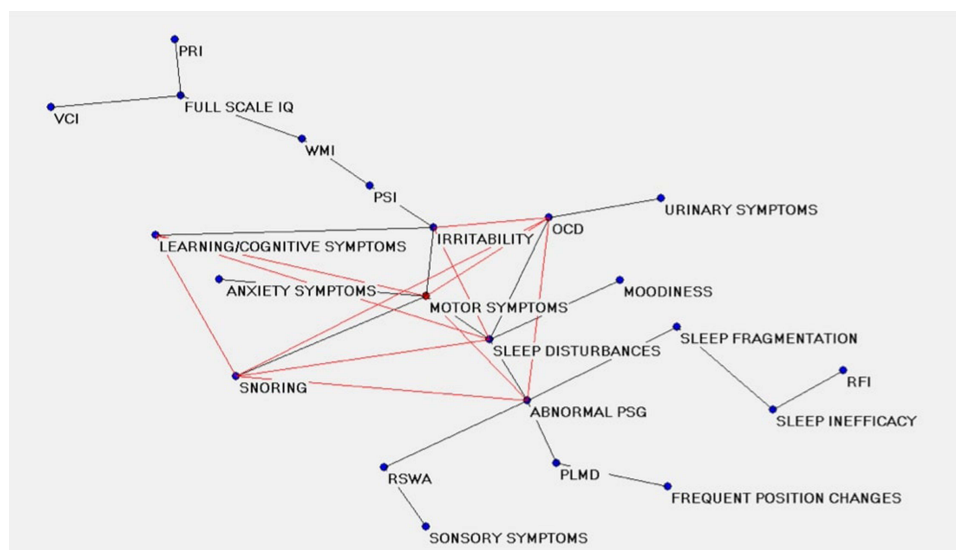


Figure 2 Map 2. Connections between sleep parameters inferred by polysomnographic study, clinical dimensions assessed by PANSS and neuropsychological parameters assessed by Wechsler intelligence scales.

Abbreviations: PSG, polysomnography; PLMD, periodic limb movement disorder; RSWA, REM sleep without atonia; PRI, Perceptual Reasoning Index; VCI, Verbal Comprehension Index; PSI, Processing Speed Index; WMI, working memory index; Full-Scale IQ, Full-Scale Intelligence Quotient.

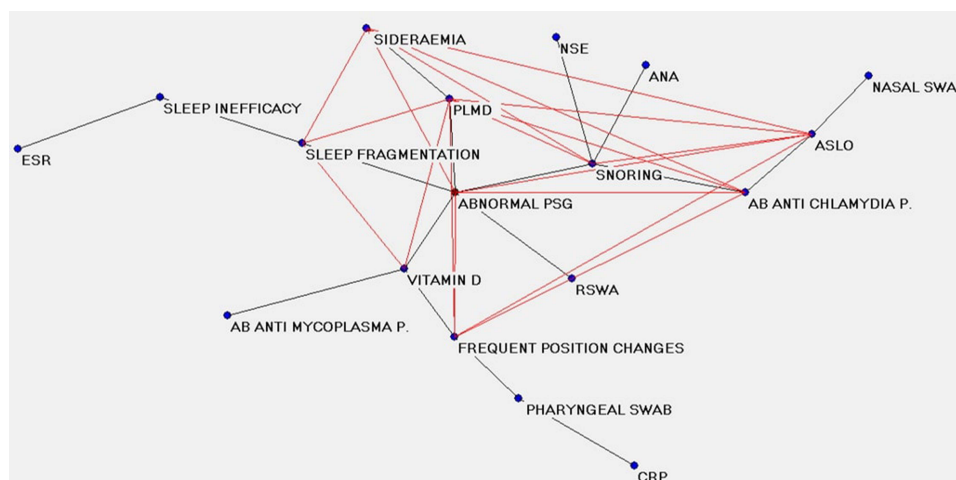


Figure 3 Map 3. Connections between clinical sleep parameters inferred by polysomnographic study and biological parameters which exceeded the normal range.

Notes: Nasal and pharyngeal swab: culture positive for Group A beta-hemolytic streptococcus (GABHS) or *Staphylococcus aureus* (MRSA), *Streptococcus pneumoniae* (pneumococcus), *Haemophilus influenzae* or other upper respiratory tract pathogenic germs. The antistreptolysin O (ASLO) patient's values in the blood plasma have been pooled in two groups (antistreptolysin titer > or < of 200 IU/mL), based on the upper reference limit. One or more IgG and IgM Antibodies against other germs (Anti *Mycoplasma pneumoniae* and Anti *Chlamydia pneumoniae*). The Antinuclear Antibodies (ANA) patient's values in the blood plasma have been pooled in two groups (titers < or > of 1: 120), based on the upper reference limit. One or more inflammatory markers (erythrocyte sedimentation rate [ESR] and C-reactive protein [CRP]) above the upper reference limit.

Abbreviations: PSG, polysomnography; PLMD, periodic limb movement disorder; RSWA, REM sleep without atonia; ESR, Erythrocyte sedimentation rate; CRP, C-Reactive Protein; ANA, Anti-Nuclear Antibodies; NSE, Neuron-specific Enolase; ASLO, Anti Streptolysin O.

reporting sleep alterations in about 80% of patients with PANS both at the onset of symptoms and after the acute phase.⁵

One of the main features of the present study is the complete pharmacological and nutraceutical wash-out condition of all enrolled patients. Compared to previous PANS

polysomnographic studies,^{7,8} our study has no biases related to the potential drug-driven interferences on the sleep-wake rhythm and the intrinsic structure of sleep.

The subjects of our sample showed sleep disorders and disordered sleep both in acute and chronic phase of the disease, which may be linked to the new entity of

restless sleep disorder described by DelRosso et al.¹⁸ In particular, PLMD and RSWA were largely represented. Notably, among the 19/23 patients diagnosed with Tic Disorder/Tourette Disorder, 10/19 (52.6%) had a condition of RSWA and 8/19 (42.1%) had a condition of PLMD. The prevalence rate of PLMD observed in the studied sample was significantly higher than that in the general pediatric population (2–4%).²⁴ The rates of RSWA were similar to those reported by Gaughan.⁷ Unfortunately, we could not compare our RSWA rate to that of the general pediatric population, since, to the best of our knowledge, this rate is not available in the existing literature.²³ Moreover, the prevalence of PLMD in our Tic/Tourette's PANS patients was also significantly higher than that among pediatric patients with isolated Tourette's Disorder (6% described by Kostanecka-Endress et al).²⁵ This difference might represent a possible distinction-key between a “pure” Tourette's Disorder and a Tourette's Disorder diagnosed in the context of a PANS.

The high prevalence of PLMD among PANS patients may suggest a specific involvement of dopaminergic circuits, in the disorder as PLMD is thought to be sustained by a deficit of motor inhibition during sleep.^{26–30} Periodic Limb Movements (PLMs) are associated with a hypo function of dopaminergic system as suggested by their suppression after treatment with L-dopa or dopaminergic agonist drugs, whereas they may be induced or worsened by dopamine-receptor antagonists.³¹

In patients with PLMs in sleep or restless legs syndrome, PET and SPECT studies have shown a mild pre-synaptic nigrostriatal and postsynaptic striatal dopaminergic hypofunction.³²

In patients with Parkinson's disease reduced striatal [(123)I]beta-CIT binding correlated with the number of periodic limb movements in sleep;^{33,34} Parkinsonian patients off of dopaminergic treatment had significantly higher rates of PLMs than patients under treatment.³⁵

Semantic Connectivity Maps

Artificial Neural Network methodology and the Auto-Contractive Map was used to investigate the putative relationships among the frequent PSG abnormalities and clinical, neuropsychological and laboratory parameters. Exploiting all not obvious links among the full spectrum of variables, the Auto-Contractive Map method revealed the simultaneous connections among them, facing the complexity of PANS phenotype.

The semantic connectivity Map 1 (Figure 1) shows the connections between sleep parameters, DSM-5 diagnoses, and all the behavioral parameters assessed by scales and checklists. The clearest evidence of this map is that the variable “Abnormal PSG” is related to higher PANS total score, suggesting a strong impact of sleep disturbance on PANS phenotype. Interestingly, “Tic/Tourette” diagnosis is the variable closer to the “Abnormal PSG”, confirming the strong connection between sleep abnormalities and the presence of daily uncontrolled movement as tics. An extensive nationwide population-based study showed as Tourette Disorder per se is an independent risk factor for sleep disorders in children with associated neurodevelopmental disorders.³⁶ However, the overall incidence rate of sleep disorders in TD subjects reported in Lee's study³⁶ (7.24%) is largely lower than the incidence rate of sleep disorders in our PANS series, suggesting a wider involvement of the sleep regulation mechanisms in PANS than in TD.

The “Maximally Regular Graph” (red diamond) encompassing the variables with higher number of connections, also includes the variable “snoring”. This is an interesting finding since snoring is usually related in children with adenotonsillar hypertrophy, which, in turn, represents a potential cause of OSAS.³⁷ In our sample 33% of the 18 patients for which oximeter data were available had OSAS. A previous study, aimed to describe the otorhinolaryngologic findings in patients with Pediatric Autoimmune Neuropsychiatric Disorders Associated with Streptococcal Infections (PANDAS), found a high rate (65.7%) of adenoid and tonsil hypertrophy.³⁸ The authors stated that not all children with adenotonsillar hypertrophy suffer also from sleep breathing disorders and that the correlation between the degree of lymphatic structures hypertrophy and the severity of obstructive respiratory symptoms is not linear. However, the same authors discuss the efficacy of adenotonsillectomy for pediatric OSAS and, according to the guidelines for tonsillectomy (American Academy of Otolaryngology-Head & Neck Surgery),³⁹ suggest to consider the surgical therapeutic option also for PANDAS/PANS patients.

The semantic connectivity Map 2 (Figure 2) shows the connections between sleep parameters, clinical dimensions assessed by PANSS and neuropsychological parameters assessed by Wechsler intelligence scales. “Abnormal PSG” and “sleep disturbances” variables represent hubs with a high interconnectivity with motor symptoms, learning cognitive symptoms, anxiety, irritability and OCD.

Therefore, the “Maximally Regular Graph” (red diamond) includes both cognitive and psychiatric symptoms strictly linked to sleep disturbances, suggesting a central role of these last in defining the PANS phenotype. Among PSG variables, snoring is the closest to learning and cognitive dimension of PANS. A potential impact of sleep disordered breathing symptoms on daily cognitive performances has already been described by many authors.^{40,41} A similar impairment of both sleep and cognitive aspects also occurs in other sleep disturbances such as narcolepsy and Kleine-Levin syndrome.⁴² In these conditions, sleep alterations are often associated with a certain degree of cognitive dysfunction as confusion and fogging.⁴³

Interestingly, the semantic connectivity Map 2 (Figure 2) shows a close link between the sleep variables, encompassed in the red diamond, and the cognitive indices “Processing Speed Index (PSI)” and “Working Memory Index (WMI)” of the Wechsler intelligence scales for children. Processing speed is thought to be the ability to rapidly process novel information and to identify, discriminate, integrate, make a decision about information while working memory accounts for higher order thinking processes and, in particular, for the ability to temporarily store and manipulate new information to ensure successful task execution.¹² We can assume that both these functions are impaired in the condition known as “brain fog” described as a weakening in memory, attention, executive function, and the speed of cognitive processing. This condition has been clinically observed in a large part of the children of our sample and confirmed by the result of the cognitive test, showing relatively low mean scores in PSI and WMI index (84.6 and 90.5, respectively). Meaningfully, reduced speed of complex information processing is the impairment most consistently found in chronic fatigue syndrome (CFS)⁴⁴ a disease strongly associated with a brain fog condition. A more recent study conducted in adolescents with CFS⁴⁵ found that IQ scores tested lower than the IQ scores of healthy peers with an equivalent school level suggesting that the lower IQ outcomes could be due to concentration problems based on a lowered processing speed. At the same time, the “brain fog” condition is largely described among different autoimmune and inflammatory diseases. We mentioned in the introduction the Systemic Lupus Erythematosus disease as a condition that can lead to experience a range of cognitive difficulties (“Lupus fog”) such as confusion, difficulty in articulating thoughts and memory impairment.¹¹ A mild degradation of cognitive functions, referred to as “brain fog”, has been

also reported in patients with coeliac disease.⁴⁶ Such deficits associated with sleep disturbance, also occur in patients with Crohn’s disease, particularly in association with systemic inflammatory activity.⁴⁷ Taken together, these data could indicate a possible link between sleep disorders/disordered sleep and brain fog and the association of both these conditions with systemic inflammation. Furthermore, the symptomatic overlap of PANS with other autoimmune diseases and other sleep disorders of well-known immune-mediated pathogenesis is consistent with the explanation of PANS as a complex syndrome encompassing different symptoms, epiphenomena of a common inflammatory/autoimmune condition.

The analysis of the connections between sleep and biological parameters by semantic connectivity Map 3 (Figure 3), shows that the node “Abnormal PSG” acts as a hub, receiving a great number of convergences. This evidence stresses, once again, the central role of sleep disturbances and disordered sleep in PANS phenotype. The “Maximally Regular Graph” (red diamond) links the sleep variables (PLMD, Sleep fragmentation, RSWA, frequent position changes and Snoring) with some metabolic and infective variables linked to PANS. In particular, some infectious markers such as a high ASLO titer and the presence of anti-Chlamydia antibodies, appears closely linked to sleep variables suggesting a shared dysimmune basis, possibly triggered by an infectious episode. This concept has already been proposed by some authors which have stressed the bidirectional relationship between sleep and immunity against infections, starting from the evidence of a large proportion of patients with narcolepsy having antibodies (ASLO) against Group A Beta-Hemolytic Streptococcal (GABHS).^{48,49} The authors’ hypothesis was that this bacterium might trigger narcolepsy through an autoimmune mechanism. Furthermore, starting from the observation of a high density of cytokines’ receptors (particularly interleukins’ receptors) in hypothalamic neurons, some authors propose that the wake-sleep rhythm and immune system modulate each other.⁴⁸ However, not only GABHS but also other bacterial pathogens frequently causing sore throat (eg, Chlamydia pneumoniae and Mycoplasma pneumoniae) are supposed to be triggers for PANS symptoms⁵ and, specifically for sleep disorders related to PANS, as suggested by the strong link between the anti-Chlamydia and anti-Mycoplasma antibodies and sleep variables, in our sample.

As specific marker of neuronal injury we found increased serum level of Neuron Specific Enolase (NSE)

in a large portion of our sample. NSE is a glycolytic enzyme found in neuronal and neuroendocrine tissues, associated with nonspecific central nervous system injury, such as cerebral vascular accident, brain metastasis, systemic sclerosis, stroke, anoxic encephalopathy and encephalitis.⁵⁰ Interestingly, increased serum levels of NSE were found also in Acute Sleep Deprivation⁵¹ and chronic insomnia disorder.⁵² As the semantic connectivity map showed, NSE serum level is closely related to sleep parameters in our PANS sample. This link could be indicative for either neuronal damage, impaired sleep, or both.

A second significant correlation described by the semantic connectivity Map 3 (Figure 3) is the link between sleep parameters and the condition of hypo-sideraemia (low iron serum level). Systemic iron deficiency is described in about two-thirds of children with restless legs syndrome (RLS) and it seems to be related to a more severe symptomatology.^{53,54} In the Wisconsin Sleep Cohort, a PLM index >15 was associated with low (<50 ng/mL) serum ferritin levels suggesting a role for low iron stores in the pathogenesis of Periodic Limb Movements.²⁶ A current explanation is that iron deficiency may result in a dopaminergic dysfunction supporting the RLS and PLMD, since iron is a necessary cofactor for dopamine production via tyrosine hydroxylase.⁵⁵ Iron deficiency adversely affects the basal ganglia function potentially leading to cognitive and motor dysfunctions, condition which could represent an intersection between PANS, Tourette Disease and PLMD.⁵⁶

Finally, in Map 3 (Figure 3) we observed a strong connection between vitamin D deficiency and “Abnormal PSG”. This is a somehow expected result given the role of vitamin D both as immunomodulatory agent and fundamental factor for a balanced neurotransmitter setting in the central nervous system.²⁴ A recent meta-analysis indicates that serum 25(OH) D <20 ng/mL could significantly increase the risk of unhealthy sleep and sleep disorders.⁵⁷ At the same time, a large amount of literature supports the central role of vitamin D in modulating immunological functions.⁵⁸ Insufficient vitamin D levels are thought to be linked to a higher susceptibility for infectious and autoimmune diseases.^{59–61} Within this framework the connection between sleep disorder, vitamin D deficiency and PANS is an expected condition.

A Hypothetical Dysfunctional Model

Obsessive-compulsive, tic and sleep disorders have in common varying degrees of dysfunction in attentional vigilance and motor disturbances. Starting from this consideration, we

propose a hypothetical dysfunctional model explaining the co-occurrence of sleep, motor, obsessive-compulsive and cognitive symptoms in PANS, graphically explained in Figure 4.

Basal ganglia play a crucial role in sleep physiology and pathophysiology.⁶² The midbrain dopamine system via the dorsal and ventral striatum tunes cortical inputs, regulating a wide range of functions including cognition, motor behavior, and sleep–wake states.^{63–65} Recent evidence from sleep animal models indicate that stimulation of nigrostriatal dopaminergic pathways, activating the external globus pallidus (GPe) increases sleep and EEG delta power, while stimulation of mesolimbic dopaminergic, activating the nucleus accumbens, induces arousal, decreasing sleep and reducing EEG power.^{66,67}

Recent neuroimaging studies indicate that microstructural differences (ie, apparent diffusion coefficient, but not regional brain volume nor cerebral blood flow) may be observed in the basal ganglia, thalamus, and amygdala of children and adolescents with PANS.⁴ Interestingly, recent evidence indicates that also thalamus and amygdala play an important role in sleep regulation.⁶⁸ Considering the complex microstructure of the basal ganglia,⁶⁹ PANS neuro-inflammation may heterogeneously impact specific sub regions modulated by midbrain dopaminergic pathways, leading to apparently opposite symptoms (ie tics and PLMD). Interestingly, high prevalence of a PLMD (a hypo-dopaminergic condition) in patient also showing motor and vocal tics (a hyper-dopaminergic condition) may appear contradictory and of puzzling explanation: in fact, dopaminergic regulation of sleep–wake cycle may be of help.

The thalamus and basal ganglia interconnect distant parts of the cerebral cortex via cortico-thalamo-cortical and cortico-striato-thalamic loops. In recent years the parallel and segregated model of basal ganglia modulation of cortical motor, cognitive and limbic function⁷⁰ evolved into a model of multiple spatially distant cortical projections to the subcortex, describing the circuits as “parallel and integrative” rather than purely parallel.⁷¹ This integrative model may explain why even small lesions in the thalamus or basal ganglia can be neurologically remarkable, whilst similarly sized lesions in the cerebral cortex may go unnoticed.^{72,73} Immune-mediated impairment of basal ganglia may explain the specific cognitive relative impairment of cognition (WISC IV Processing Speed Index and, more in general “Brain fog”) observed in our PANS patients and other case series.

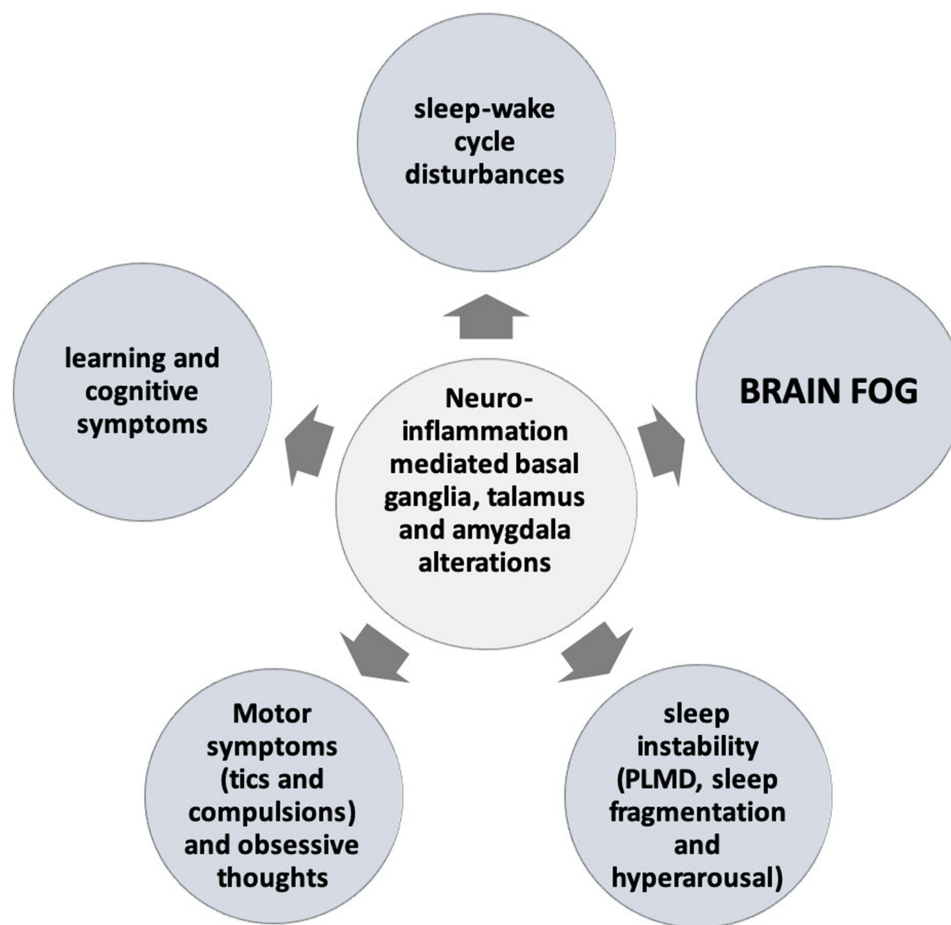


Figure 4 A putative dysfunctional model explaining the co-occurrence of sleep, motor and cognitive symptoms in PANS: PANS-related obsessive-compulsive and tic symptoms, putatively following insults to the basal ganglia and to the consequently functional alterations of CSTC motor circuit. Inflammatory-driven alteration of basal ganglia may explain the reduction of the mental capacity to concentrate or to think or reason clearly ("Brain fog") observed in PANS patients and, at the same time, may favour the sleep instability and sleep-wake cycle disturbances.

Limitations

Lack of a control group, as only PANS patients were included, could be the major limitation of our study together with the relatively small sample size. Nevertheless, our chosen statistical model allowed us to analyze a single group data set. Differently from the classical statistical tests, analytical methods based on Artificial neural networks may manage complexity even with relatively small samples and with a subsequent unbalanced ratio between variables and records. In this connection, it is important to note that adaptive learning algorithms of inference, based on the principle of a functional estimation like artificial neural networks, overcome the problem of dimensionality.

Comparison with typical neurodevelopmental subjects and/or with patients presenting a limited association of PANS criteria, but not the complete disorder, may help to verify the strength of the observed associations.

Furthermore, an enlarged sample size will be useful to confirm the present results. Further studies including more subjects and a control cohort are warranted to confirm these findings, leading to the inclusion of sleep abnormalities among PANS major diagnostic criteria.

Another limitation of this study was that a lab attended video-PSG recording was not performed, and consequently an objective visual information about the night of the recording is missing, thus in order to report any abnormal behavior during sleep, parents were asked to supervise children during the recording.

A further limitation of our study is the lack of female in our sample. Even though, according to the study of Calaprice and coll,⁷⁴ the gender ratio in PANS is estimated to be 1.8 males to 1 female, the consecutive patients enrolled in the present study were mainly males (gender ratio 5:1). The possibility that this circumstance affected the results is purely speculative, but it would be

appropriate to study the sleep characteristics in clinical samples including both genders.

Finally, the iron deficiency found in our sample have not been discussed in light of the ferritin levels because the lack of ferritin measurement. Since both RLS and/or PLMD are more closely linked to ferritin levels, further studies should provide the whole analysis of iron metabolism parameters.

Conclusion

Taken together, altered sleep has been linked to abnormalities in basal ganglia and neuroinflammatory diseases (ie, narcolepsy), and seeing that a recent study shows microstructural changes to the deep grey matter in patients with PANS,⁴ it is not surprising that this PANS cohort has sleep disturbances.

Several lines of evidence are consistent with this argument:

- the symptomatic overlap with other sleep disorders (narcolepsy and Klein-Levine syndrome) of established immune-mediated pathogenesis;
- the strong link between some infectious markers (anti-Chlamydia Pneumoniae and ASLO titers) and sleep disorders, supporting the infective trigger causative model via abnormal activation of the immune system;
- the association between a deficit of vitamin D, a known immunomodulatory agent, and the polysomnographic alterations;
- the association with PLMD and with serum iron deficiency suggesting an impairment of dopaminergic system in the pathogenesis of both PLM and tics in PANS.

Nevertheless, our sample size and the limitation of the present study design does not allow firm conclusion on the pathophysiology of PANS, even the present results may be considered a conceptual framework useful for organizing further empirical research.

A complete evaluation of sleep should be performed for all PANS patients since the onset of the disorder, and a polysomnographic investigation should be suggested in all PANS patients for whom the parents report sleep disturbance as well as for patients with strong indicators of cognitive alterations, as brain fog condition, daily sleepiness, confusion or attentive difficulties.

In conclusion, the present study underlines that sleep alterations represent, for prevalence and impact on quality of life, a cardinal symptom of PANS phenotype. Currently,

sleep abnormality is not a major criterion for this diagnosis. Considering that measuring sleep abnormalities maybe more objective than measuring psychiatric symptoms, clinicians and researchers should consider revising the diagnostic criteria and tracking methods to include sleep quality.

Abbreviations

AASM, American Academy of Sleep Medicine; ADHD, attention deficit hyperactivity disorder; AHI, Apnea – Hypopnea Index; ANA, Anti-nuclear Antibodies titer; ANNs, Artificial Neural Networks; ASLO, Anti Streptolysine titer; Auto-CM, Auto Contractive Map; C-GAS, Children's Global Assessment Scale; CRP, C-Reactive Protein; CFS, Chronic Fatigue Syndrome; CY-BOCS, Children's Yale-Brown Obsessive-Compulsive Scale; DSM-5, The Diagnostic and Statistical Manual of Mental Disorders – 5th edition; ECG, Electrocardiogram; EEG, Electroencephalogram; EMG, Electromyogram; ESR, Erythrocyte Sedimentation Rate; GABHS, Group A Beta-Hemolytic Streptococcal; GPe, external Globus Pallidus; Kiddie SADS PL, The Kiddie Schedule for Affective Disorders and Schizophrenia Present and Lifetime Version; L-dopa, Levodopa; IQ, Intelligence Quotient; MRI, Magnetic Resonance Imaging; MST, Minimum Spanning Tree; N1, N1 phase of NREM sleep; N2, N2 phase of NREM sleep; N3, N3 phase of NREM sleep; NREM, not rapid eye movement sleep stage; NSE, Neuron-specific enolase; OCD, Obsessive-Compulsive Disorder; ODD, Oppositional Defiant Disorder; OSAS, Obstructive Sleep Apnea Syndrome; PANDAS, pediatric autoimmune neuropsychiatric disorders associated with streptococcal infections; PANS, Pediatric Acute-onset Neuropsychiatric Syndrome; PANSS, Pediatric Acute-onset Neuropsychiatric Syndrome Scale; PET, Positron-Emission Tomography; PLMD, Periodic Limb Movement Disorder; PLMI, Periodic Limb Movement Index; PLMs, Periodic Limb Movements; PSG, Polysomnography; PRI, Perceptual Reasoning Index; PSI, Processing Speed Index; RBD, REM Behavior Disorder; REM, Rapid Eye Movement Sleep stage; RSWA, REM Sleep Without Atonia; SD, Standard Deviation; SE, Sleep Efficiency; SL, Sleep Latency; SPECT, Single Photon Emission Computed Tomography; SSRI, Selective Serotonin Reuptake Inhibitors; TD, Tourette Disorder; TST, Total Sleep Time; VCI, Verbal Comprehension Index; WASO, Wake After Sleep Onset; WISH-IV, Wechsler Intelligence Scale for Children – 4th edition; WMI, Working Memory Index; WPPSI-III, Wechsler Preschool and Primary Scale of Intelligence – 3rd edition; YGTSS, Yale Global Tic Severity

Scale; [(123)I]beta-CIT, Iodine-123-beta-carbomethoxy-3 beta-(4-iodophenyltropane) (CIT).

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