

# In Vitro Modelling of Barrier Impairment Associated with Gastro-Oesophageal Reflux Disease (GERD)

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**Purpose:** A novel experimental model based on a 3D reconstructed human oesophageal epithelium model (HO2E) has been developed to investigate the structural and functional changes of the oesophageal epithelium following exposure to a solution of HCl 0.1 N (pH = 1.2) mirroring GERD microenvironment condition.

**Methods:** The barrier structure modification after the exposure to the acid solution on HO2E tissues was investigated immediately after damage induction and after 1 hour post incubation and compared to HO2E tissues exposed to phosphate buffered saline solution. Immunofluorescence (IF) was applied to quantify the expression and localization of barrier function proteins: Claudin-1 (CLDN-1), Claudin-4 (CLDN-4), Zonulin-1 (ZO-1), E-Cadherin and Mucin-1 (MUC1). Barrier functionality was measured by TEER.

**Results:** In the acidic microenvironment, TEER measurement has shown some limitations and results were not applicable, whereas the evaluation of protein localization and quantification provided clear and robust evidence of the damage which occurred to the epithelium barrier structure. CLDN-4 expression significantly decreased after exposure to acid. ZO-1 protein appeared upregulated immediately after exposure to HCl and was mainly localized in the cytoplasm and not on the cell membrane. This different localization was also observed for CLDN-1. CLDN-1, MUC1 and, to a lower extent, ZO-1 expression increased during the post-incubation period.

**Conclusion:** The relevant tissue biomarkers identified, CLDN-1 and MUC1, can be used to monitor TJ structure and epithelial barrier recovery after acid-induced damage which, in our experimental conditions, were non-destructive and suitable for recovery studies. The established model can be useful to investigate the mechanism of action of formulations acting on this specific pathophysiological condition and/or designed to potentiate the physiological defense mechanisms of oesophageal mucosa.

**Keywords:** gastro-oesophageal reflux disease, GERD, 3D reconstructed human oesophageal epithelium, Claudin-1, Claudin-4, E-Cadherin, Zonulin-1, Mucin-1, TEER measurement

## Introduction

Gastro-oesophageal reflux disease (GERD) is a chronic disorder caused by prolonged exposure of the distal oesophagus to acid gastric contents.<sup>1</sup> Approximately 10–20% of people in the Western world have GERD, with at least weekly heartburn and/or acid regurgitation.<sup>2</sup> GERD significantly impacts patient's quality of life and may lead to long-term complications, such as Barrett's oesophagus (BE) and can be a cause of oesophageal adenocarcinoma (ADC).

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Received: 17 June 2021  
Accepted: 11 August 2021  
Published: 8 September 2021



The first structure protecting the oesophageal epithelium from gastric fluids is represented by the mucus layer covering the oesophageal mucosa.<sup>3</sup> Mucin glycoproteins (MUC2 and MUC1) expression has been shown to considerably increase in response to acid and pepsin;<sup>4</sup> furthermore a different expression of mucins (MUC1, MUC2, MUC5AC and MUC6) is found in patients with BE and ADC.<sup>5,6</sup>

The second intrinsic defense mechanism of the oesophageal epithelium is the epithelial barrier structure. The integrity of the oesophageal epithelium is dependent on the mechanical cohesion between epithelial cells thanks to the apical junction complexes: adherents' junctions, desmosomes and tight junctions (TJs). The TJs in particular make the epithelium act as a barrier to the passage of ions and solutes and are composed of functional proteins, which interact to form the architecture of epithelial barriers.<sup>7</sup> Claudin, Occludin and JAMs are transmembrane proteins that connect cells to the adjacent ones and Zonulin acts as a link for the TJ with the cytoskeleton of the cell. E-cadherin, a component of the adherents' junction, is also known to contribute to integrity of the barrier in most epithelia, including the oesophagus.<sup>8</sup>

Prolonged exposure to gastric fluids results in an impairment of both the intrinsic defense mechanisms of the oesophageal epithelium. The following mechanisms are described in the literature findings based on ex-vivo and in vitro studies: deregulation and mis-localization of proteins of apical junction complexes,<sup>9</sup> impairment of mucin secretion,<sup>4,10</sup> decrease of Trans Epithelial Electrical Resistance (TEER) values,<sup>11,12</sup> and disruption of cell-cell junctions.<sup>13–16</sup> The modifications described in the literature on human explants or air-liquid interface systems resulted in dilated intercellular spaces (DIS) between oesophageal epithelial cells, characteristic of oesophageal biopsies from GERD patients.<sup>17,18</sup>

Biological models currently available to evaluate mechanisms of treatments at tight junction and mucin level include endoscopic biopsies from patients with GERD related symptoms,<sup>9,15</sup> in vivo surgical models in rat or mice,<sup>14,19</sup> ex-vivo swine model,<sup>20</sup> in vitro oesophageal epithelial cell line monolayers,<sup>21</sup> and in vitro culture model of stratified squamous epithelium based on primary human oesophageal epithelial cells (HEECs).<sup>13,22</sup> Based on the use of these models, the detrimental action of acidic reflux and duodenal reflux components as well as the mechanisms of action of therapeutic agents have been better understood. However, these models have some limitations. In particular, they lack reproducibility and versatility, and they have ethical concerns (human biopsies and animal model).

Since gastric acid plays a key role in the pathogenesis of GERD, luminal pH control is considered important in the management of this disease. Antisecretory drugs, such as histamine H2 receptor antagonists and proton pump inhibitors, have been shown to be effective against GERD.<sup>23</sup> However, the response to these medications is variable, suggesting that an efficient treatment should have the epithelial barrier as principal target. More recently, new formulations as alternatives to antacids have been developed taking into account the molecular mechanisms responsible for GERD-induced damage to the oesophageal epithelium.<sup>4,14</sup>

The necessity of alternative and more performant medications has led to an increase in R&D activities determining both a deeper understanding of the mechanisms inducing epithelium damage and the development of appropriate preclinical models and protocols suitable for formulation screening and product efficacy evaluation.

In this study, a novel pre-clinical experimental model based on a new, reproducible and commercially available 3D human reconstructed human oesophageal epithelium tissue (HO2E) has been developed to investigate the structural and functional changes of the oesophageal epithelium following exposure to acid intestinal fluid (HCl 0.1 N, pH 1.2) mirroring refluxates on GERD microenvironment conditions. We have considered fundamental to adopt a histomorphological approach to characterize the 3D human tissues in order to use it to recapitulate barrier damage with modifications of barrier protein expressions and localization. Literature reports deregulation and mis-localization of proteins of the apical junction in the case of GERD as relevant and representative parameters of a symptoms persistency.<sup>9,24</sup> The effects of the acidic solution at pH 1.2 after 1 hour exposure on HO2E epithelium were investigated immediately after the induction of acute damage and after 1 hour post incubation period. For both conditions, immunofluorescence (IF) was used to quantify the expression of specific TJs proteins and the applicability of a functional measure of the barrier integrity and fence properties (TEER measure) has been investigated.

## Materials and Methods

### Reagents

HCl was purchased from Supelco (Bellefonte, PA, USA), Phosphate Buffer Saline (PBS) from Sigma Aldrich (St. Louis, MO, USA) and Saline Solution from Eurospital (Trieste, Italy).

## Test System

The SkinEthic™ Oesophageal Epithelium (HO2E) was provided by EPISKIN (Lyon, France). The epithelium is formed after 5 days of airlift culture of the Kyse 510 cell line (derived from human oesophageal squamous cell carcinoma) on inert polycarbonate filters in a chemically defined medium to reproduce the human oesophageal epithelium morphology. The epithelium is 0.5 cm<sup>2</sup> large with an average thickness between 65–80 µm.

The batch was tested for the absence of hepatitis B, hepatitis C and mycoplasma, and the maintenance medium was tested for sterility. The inserts containing the tissues at day 5 were placed at room temperature in a multi-well plate filled with an agarose nutrient solution in which they were embedded for shipment.

After arrival, the HO2E were removed from the agarose nutrient solution under a sterile airflow cabin. The inserts were rapidly transferred to 6-well plates previously filled with specific growth medium (1 mL/well) (EPISKIN, Lyon, France) at room temperature and incubated at 37 °C, 5% CO<sub>2</sub> and saturated humidity. The growth medium was used for 7 days after tissue reception. The test was performed at 12 days of differentiation (HO2E/12) with tissues kept in maintenance medium till the end of the experiment. The study has been performed on triplicate tissues.

## Protocol

At day 12, one hundred microliters of HCl 0.1 N solution (pH 1.2) were applied directly on the apical surface of HO2E tissues for 1 hour ± 10 minutes while tissues were kept at 37 °C, 5% CO<sub>2</sub> and saturated humidity.

At the end of the damage induction, the excess of the HCl solution was removed by a gentle rinse with 100 µL of PBS. The tissues were used for analysis immediately after exposure (series HCl 0.1N) or were left for 1 hour at 37 °C, 5% CO<sub>2</sub> and saturated humidity with 100 µL of fresh PBS on the tissues' surface (series HCl 0.1N + 1h post incubation). The two series were compared to HO2E tissues treated with PBS and used as negative control (NC). The HO2E tissues were then fixed in buffered formalin solution 10% and embedded in paraffin blocks; 5 µm sections were cut and placed onto X-tra adhesive slides (Leica Biosystems).

## Trans Epithelial Electrical Resistance (TEER) Measurement

TEER is an indirect assessment of tight junctions' stability and, consequently, a direct measure of the functionality of

barrier function in epithelial tissues. It reflects the global resistance of the barrier linked both to the tissue's structure and to its thickness.

TEER was measured using the Millicell®-ERS (Electrical Resistance System) instrument (Millipore Corporation, Bedford, MA, USA). The measure was performed 1 hour after acid treatment on tissues placed in 6-well plates previously filled with 5 mL/well of saline solution and 0.5 mL of saline solution were directly applied on the tissue's surface. The Millicell-ERS instrument was placed with the electrodes in the two chambers and the TEER was measured (range 0–20 kΩ). Three measurements for each tissue were done and the mean TEER value of each tissue was calculated. The mean TEER value was then corrected considering the tissue surface (0.5 cm<sup>2</sup>) according to the following formula:

$$\Omega/\text{cm}^2 = \text{tissue's mean TEER } (\Omega) \times \text{tissue surface } (0.5\text{cm}^2) \quad [1]$$

The mean TEER values of each series were then calculated. The results were expressed as % with respect to negative control.

## Hematoxylin and Eosin (H&E) Staining

Hematoxylin and eosin (H&E) staining is based on acidic/basic properties of the chemicals: the hematoxylin stains cells blue whereas the eosin stains the extracellular matrix and cytoplasm pink. H&E staining was performed on both HO2E tissues series and on a human oesophagus biopsy obtained from AMSBIO (Abingdon, UK). Specifically, the biopsy derived from a healthy Caucasian female, 40 years old (specimen ID: CU2008/20 2C-19 A).

The slides were deparaffinized, rehydrated, stained with H&E, dehydrated and finally mounted with organo-limonene and cover slips.

The tissues were evaluated by light microscopy using microscope LEICA DMi8 THUNDER imager 3D composed by camera DFC450 C. The entire (100%) sections were acquired by LASX Tlescan (20x objective).

## Immunofluorescence Analysis (IF)

Five proteins were investigated: Claudin-1 (CLDN-1), Claudin-4 (CLDN-4), Zonulin-1 (ZO-1), E-Cadherin and Mucin-1 (MUC1). The roles of these proteins and their expression/localization in GERD conditions are described in Table 1.

The slides were deparaffinized, rehydrated, treated with citrate buffer (pH 6.0) as antigen retrieval, and blocked with bovine serum albumin in phosphate-buffered saline

**Table 1** Biological Role of Esophageal Barrier Biomarkers and Their Expression/Localization in GERD Conditions According to Literature Findings

Protein	Biological Role	Protein Expression Modification/ Localization in GERD Condition
Claudin-1 Claudin-4	Transmembrane proteins contributing to the barrier function of the stratified esophageal epithelium, predominantly localized on the cell membrane of basal, supra-basal and superficial epithelial layers.	Claudin-1 is reported to increase in erosive reflux disease (ERD) and non-erosive reflux disease (NERD) in patient esophageal biopsies. <sup>15</sup> Claudin-4 and Claudin-1 expression is reported to decrease in GERD patient biopsies. Furthermore, Claudin-1 increases after esophageal mucosa exposure to 1.5 mM DCA and 0.04 mM trypsin treatment in the Ussing chamber. By using 2.5 mM DCA and trypsin, Claudin-4 significantly decreases while Claudin-1 remains unchanged. <sup>9</sup>
E-cadherin	Transmembrane calcium-dependent cell adhesion protein involved in mechanisms regulating cell-cell adhesions, mobility and proliferation of epithelial cells. It is a ligand for integrin $\alpha$ E $\beta$ 7.	E-cadherins expression remains unchanged in GERD patients compared with healthy subjects. However, it significantly decreases after esophageal mucosa exposure to 2.5 mM DCA and 0.04 mM trypsin. <sup>9</sup>
Zonulin I	Intracellular located adaptor protein that links TJ transmembrane proteins such as claudins, junctional adhesion molecules and occludin to the actin cytoskeleton.	No change in Zonulin I expression is reported in GERD patients. <sup>25</sup>
Mucin I	A transmembrane glycoprotein with extensive O-linked glycosylation of its extracellular domain. Mucin I is present on the apical surface of epithelial cells of the lung, stomach, intestines, eyes, and a variety of hematopoietic cells. The main function of Mucin I is to protect cells from infection by binding to the pathogens with oligosaccharides in the extracellular domain, thus preventing pathogens from invading cells.	Mucins increase significantly in response to acid treatment in order to counteract the surface corrosive effect. <sup>26</sup>

(to prevent non-specific binding) prior to treatment with specific antibodies.

### Claudin-1 and Claudin-4 Double Immunostaining

The slides were immunolabeled with primary antibodies such as rabbit polyclonal (pAb) anti-CLAUDIN-1 antibody (51–9000) (Invitrogen, USA), mouse monoclonal anti-CLAUDIN-4 antibody (32–9400) (Invitrogen, USA) and then incubated overnight at +4 °C.

The day after primary antibodies incubation, the slides were washed with PBS and incubated with Alexa Fluor 488 goat anti-mouse PLUS (A32723) (Invitrogen, USA) and Alexa Fluor 555 donkey anti-rabbit (A31572) (Invitrogen, USA) secondary antibodies. Finally, the sections were counter-stained with 4',6-diamidino-2-phenylindole (DAPI).

### ZO-1, E-Cadherin and MUC1 Immunostaining

The slides were immunolabeled using the following primary antibodies: a rabbit anti-ZO-1 polyclonal antibody (pAb) (33–9100) (Abcam, USA), a rabbit anti-E-CADHERIN polyclonal antibody (pAb) (HPA004812) (Sigma Aldrich, Germany), a rabbit anti-MUCIN 1 polyclonal antibody (pAb) (HPA004179) (Sigma Aldrich, Germany) and then incubated overnight at +4 °C.

The day after primary antibodies incubation, the slides were washed with PBS and incubated with goat anti-rabbit biotinylated secondary antibody (31822) (Invitrogen, USA) for the rabbit primary antibodies, followed by further incubation with streptavidin conjugate with Texas red (S872) (Invitrogen, USA).

The sections were counter-stained with 4',6-diamidino-2-phenylindole (DAPI).



## Fluorescent Signal Quantification

The histological samples were analyzed in triplicate ( $n = 3$ ) using the LED microscope LEICA DMi8 THUNDER imager 3D composed by camera K5.

For each biological replicate, the entire sections were acquired by LASX Tilesan technology and quantified using LASX 3.7.1 software. Representative images at 63X magnification were acquired. Signal quantification was performed considering the Sum intensity of area covered by fluorescence signal; the mean and standard deviation of biological replicates were calculated.

## Statistical Analysis

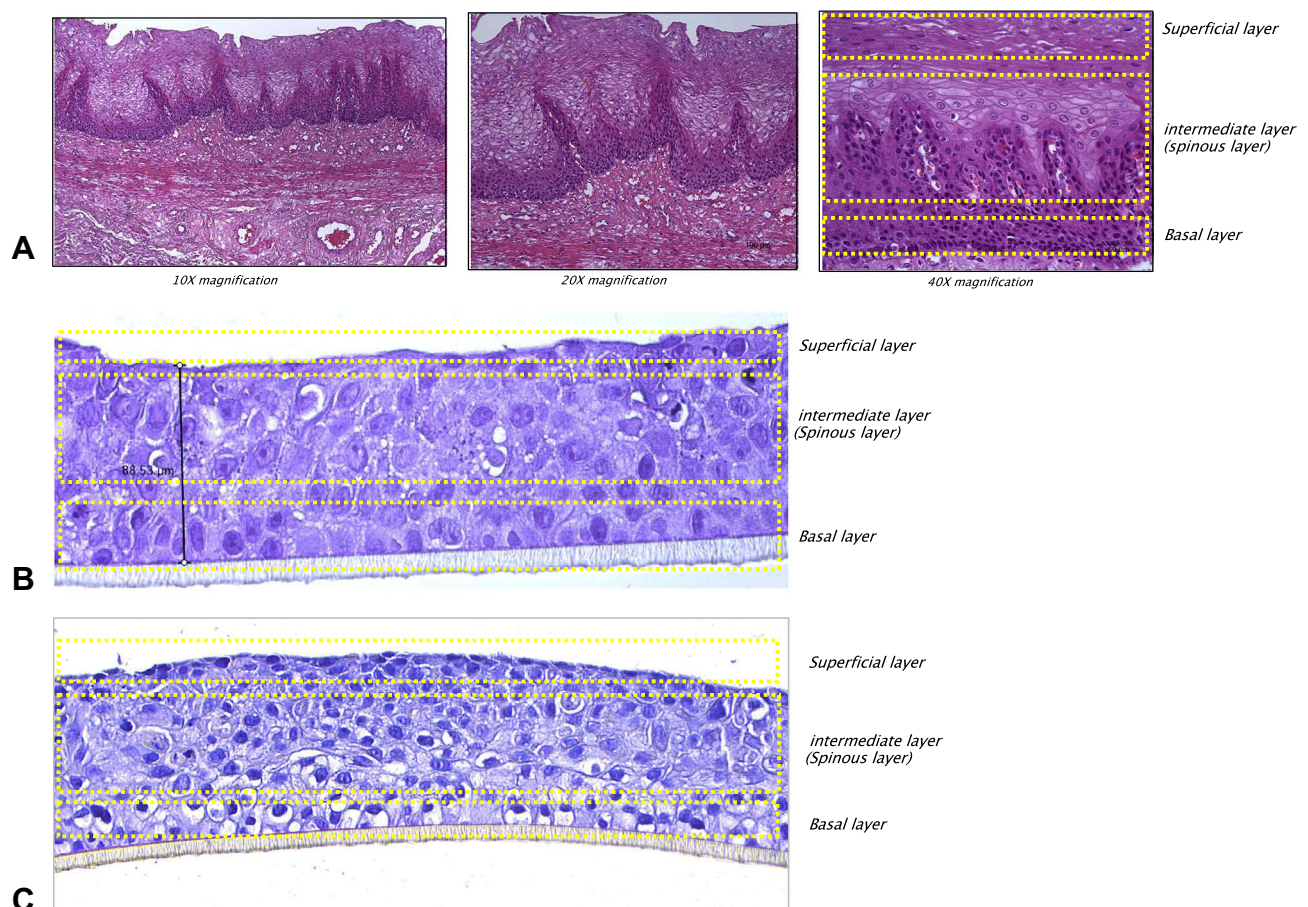
Statistical analysis was performed using one-way ANOVA with post-hoc Tukey HSD Test and statistically significant results were reported as p-value ( $p < 0.05$  and  $p < 0.01$ ).

## Results and Discussion

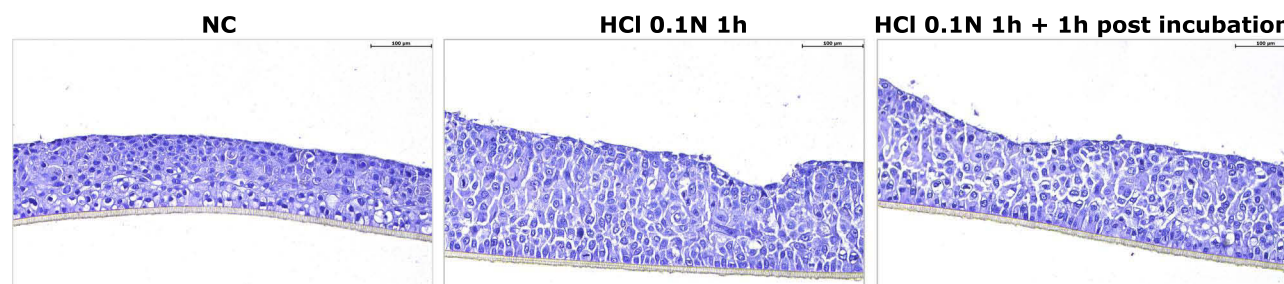
### Oesophageal Epithelium Morphology: H&E Staining

The structure of the oesophageal epithelium is characterized by a basal layer consisting of a regular column cuboidal cells shape, an intermediate layer (spinous layer) with polygonal cells that allows an increase in the epithelium tensile strength and a non-keratinized superficial layer (squamous), organized in a continuous layer in which the cell-cell interaction is significantly reduced (Figure 1A).

The 3D reconstructed oesophageal epithelium after 5 days of differentiation (Figure 1B) presents a similar architecture to the ex vivo epithelium. However, the most superficial layer, squamous, shows a more homogeneous epithelium continuity (because of stronger cell-cell adhesion due to the airlift culture) and compactness compared to ex vivo structure.



**Figure 1** H&E staining of healthy human female oesophageal biopsy (A), human reconstructed oesophageal epithelium after 5 days of differentiation (B) and after 12 days of differentiation (C). The yellow dashed rectangles underline the location of the different cell layers present both in the human explant and in the 3D reconstructed tissue.



**Figure 2** H&E staining performed on HO2E/12 treated with buffered saline solution (NC), with HCl 0.1N during 1h (series HCl 0.1N 1h) or with HCl 0.1N followed by a post incubation period of 1h (series HCl 0.1N 1h + 1h post incubation). Magnification 20x. Scale bar = 100 µm.

After 12 days of differentiation (Figure 1C), the squamous layer appears more similar to the ex vivo morphology, suggesting that this model is a more predictive and robust candidate to be used as a test system.

In Figure 2 HO2E tissue morphology by H&E staining before and after acid treatment is shown. Compared to the control (NC) the tissues exposed to HCl 0.1N (pH 1.2) during the 1h showed a modified morphology: enlarged intercellular spaces and diffuse spongiosis are responsible for thickness modification increase.

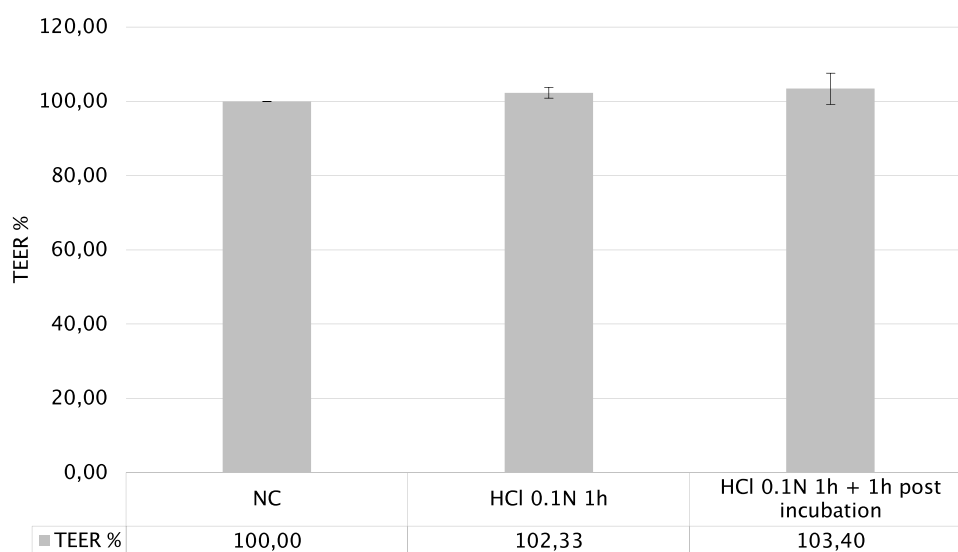
## TEER Measurements

The TEER values expressed as % with respect to negative control to which 100% TEER has been assigned are reported in Figure 3.

TEER values were not significantly different when measured immediately after HCl exposure or after 1 hour post incubation.

According to literature findings on oesophageal biopsies,<sup>27</sup> TEER values on HO2E tissues exposed to acid damage were expected to drastically decrease but these results, apparently unexpected, have an explanation. TEER measurements reflect the global resistance of the barrier, linked both to the TJ structure and tissue thickness. The measured TEER values are coherent and reflect the increased tissue thickness observed after acid exposure (Figure 2). An increase of TEER values following exposure to acidic chemicals were previously reported in the literature,<sup>11,12</sup> and were correlated with the consequences of cell swelling and increased thickness.

In our experience, in the absence of significant and irreversible proteins damage or denaturation (for example, as it



**Figure 3** TEER% values of HO2E tissues treated with HCl 0.1N (pH 1.2) during 1h (series HCl 0.1N) and after 1h post incubation (series HCl 0.1N + 1h post incubation) compared to HO2E tissues treated with phosphate buffered saline (NC).

occurs in the presence of surfactants or basic pH), the measure of TEER immediately after epithelial acid damage is often variable and leads to unclear conclusions. This statement is also confirmed by the recommendation not to measure TEER in an acidic environment on an epithelial model as reported in OECD TG 494 (Vitrigel-Eye Irritancy test method), where test chemicals with a  $\text{pH} \leq 5$  are excluded from the applicability domain of the test method. For these reasons, TEER measurements in the early readout after damage (within 1h) have been considered not suitable and a not robust parameter to evaluate the oesophageal epithelium response to acid damage, since it suffered from an experimental bias.

## Immunofluorescence Analysis CLDN-1 and CLDN-4

In Figure 4 the immunofluorescent images of CLDN-1 and CLDN-4 double staining in HO2E/12 tissues treated with saline solution (NC), exposed to HCl 0.1N (pH 1.2) for 1 hour followed or not by 1 hour post incubation period, are presented. The IF signal quantification is reported in Figures 5 and 6 for CLDN-1 and CLDN-4, respectively.

In the NC, CLDN-1 (red) and CLDN-4 (green) expression is homogenous within basal and apical compartments, particularly in the supra-basal and basal layers.

As illustrated in Figure 4 and quantified in Figure 5 immediately after acid damage, the CLDN-1 (red) signal was quantified as not different from the negative control. After 1 hour post incubation the IF signal significantly increased ( $p < 0.01$ ) compared to the NC and the HCl 0.1N series; the protein localization was observed particularly in the most superficial layer and it appeared more dense around each single cell, a mis-localization that can be associated to a barrier impairment.

CLDN-4 (green) protein was almost absent at both timepoints compared to the negative control (Figure 4) corresponding to severe damage of the TJ structure: as reported in

Figure 6, a significant reduction of IF signal has been quantified at both timepoints ( $p < 0.01$ ) compared to the NC. By comparing the results between the 2 timepoints (1 hour compared to 1 hour + post-incubation) a not significant increase of protein level was observed, suggesting that the TJ structure was not fully recovered at this time but a physiological mechanism was stimulated as a first attempt to recover the barrier function. This early recovery mechanism was expected as reported in literature findings.<sup>9,15,28</sup>

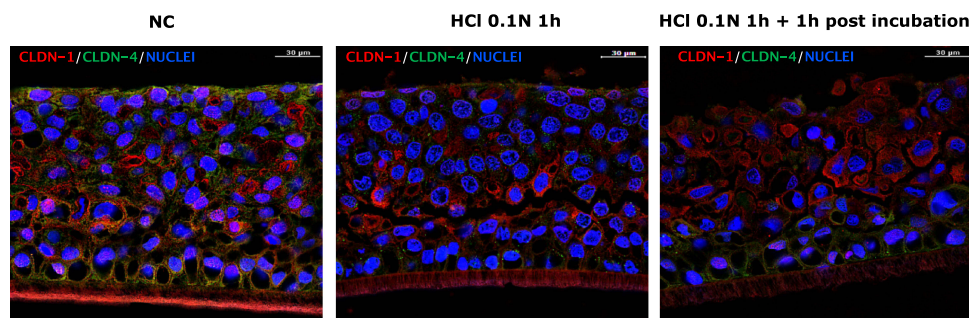
The immunofluorescence results on CLDN-1 and CLDN-4, whose expression increased during the post incubation period compared to their expression just after acid removal, allows us to make the hypothesis that a physiological recovery from acid damage had already started at this time, involving both proteins.

## ZO-1

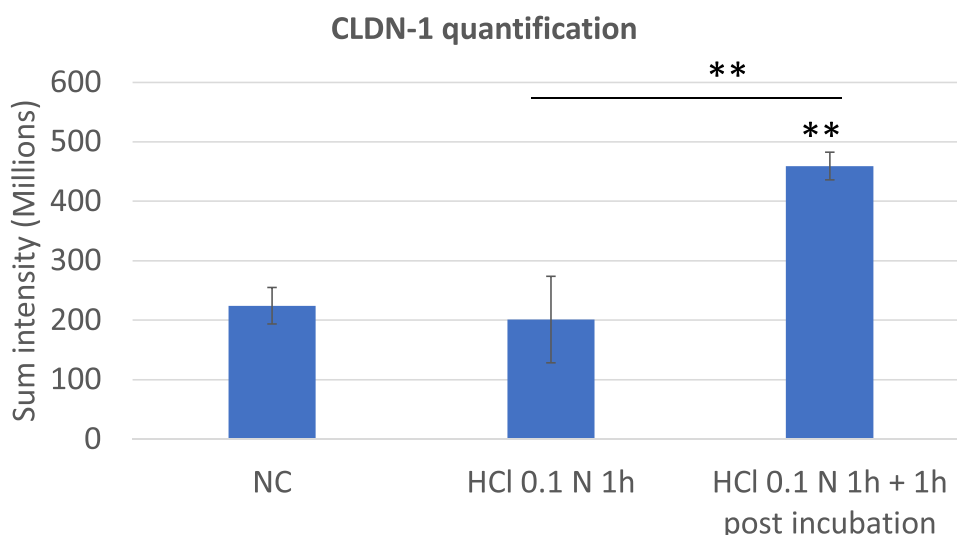
In Figure 7 the immunofluorescent images of ZO-1 in HO2E tissues are presented and the corresponding IF signal quantification is reported in Figure 8.

As shown in Figure 7, ZO-1 protein in the negative control is poorly expressed in our experimental conditions, by using LED based microscopy. After exposure to HCl 0.1N, the protein appears localized mainly in the cytoplasm and its signal is significantly increased after 1 hour ( $p < 0.05$ ) and even more significantly after 1 hour of post incubation ( $p < 0.01$ ) (Figure 8).

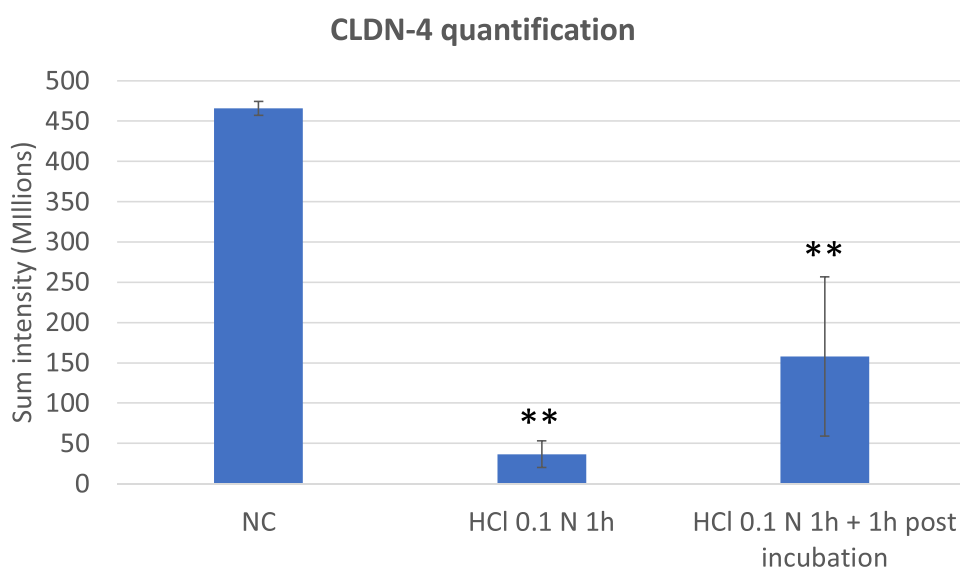
The ZO-1 expression is upregulated immediately after the damage and exhibits higher expression values (however not statistically significant) during the post incubation period. We have made the hypothesis that this increased expression is a first attempt of the epithelium to recover barrier function within a very short experimental window (1h) after acid-induced damage.



**Figure 4** Immunofluorescence analysis of CLDN-1 and CLDN-4 double staining in untreated HO2E/12 tissues (NC) and HO2E/12 exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). Nuclei are stained in blue (DAPI). Magnification 63x. Scale bar = 30  $\mu\text{m}$ . Representative images selected within triplicate series.



**Figure 5** CLDN-1 quantification performed on triplicate series of HO2E/12 tissues treated with saline solution (NC) or exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). The signal of CLDN-1 was quantified using Tlescan technology which allows evaluation of the protein expression on the entire tissue section. Statistical significance compared to the NC and between the two series treated with HCl 1N are reported: \*\* $p < 0.01$ .



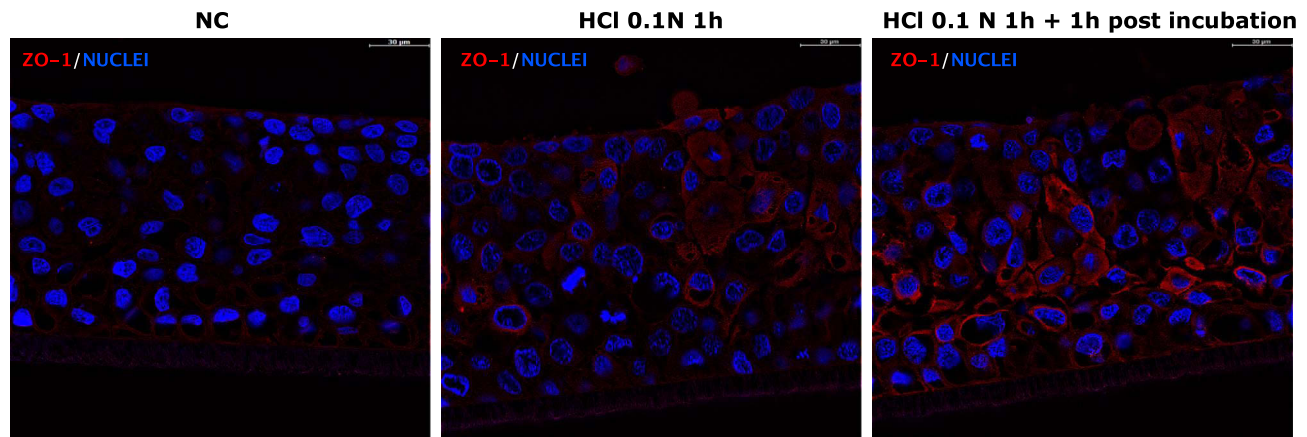
**Figure 6** CLDN-4 quantification performed on triplicate series of HO2E/12 tissues treated with saline solution (NC) or exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). The signal of CLDN-4 was quantified using Tlescan technology which allows evaluation of the protein expression on the entire tissue section. Statistical significance compared to NC: \*\* $p < 0.01$ . Non statistical significance between the two series treated with HCl 0.1N:  $p > 0.05$ .

Furthermore, since ZO-1 is poorly expressed in ex vivo oesophagus in physiological conditions and being a protein that links tight junction (TJ) transmembrane proteins such as claudins, it may be possible that the ZO-1 expression could significantly increase as a consequence of the decrease of CLDN-4 expression.

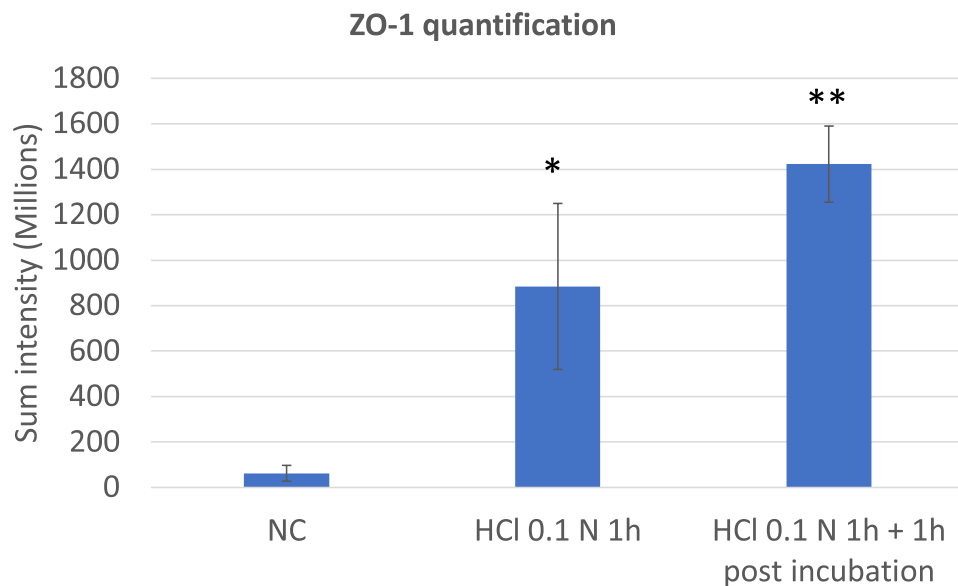
### E-Cadherin

In Figure 9 the immunofluorescent images of E-cadherin in HO2E/12 tissues are presented and the corresponding IF signal quantification is reported in Figure 10. In Figure 9 E-cadherin localization within the tissue is visible. In the NC the protein is regularly localized on the cytoplasmic





**Figure 7** Immunofluorescent staining of ZO-1 in untreated HO2E/12 tissues (NC) and HO2E/12 exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). Nuclei are stained in blue (DAPI). Magnification 63x. Scale bar = 30  $\mu$ m. Representative images selected within triplicate series.



**Figure 8** ZO-1 quantification performed on triplicate series of HO2E/12 tissues treated with saline solution (NC) or exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). The signal of ZO-1 was quantified using Tiscan technology which allows evaluation of the protein expression on the entire tissue section. Statistical significance compared to NC: \* $p < 0.05$ , \*\* $p < 0.01$ . Non statistical significance between the two series treated with HCl 0.1N:  $p > 0.05$ .

membrane while after treatment with HCl 0.1N for 1 hour and in the post incubation period the localization appears more cytoplasmic, suggesting that acid treatment causes mis-localization of E-cadherin, which could compromise the oesophageal barrier function.

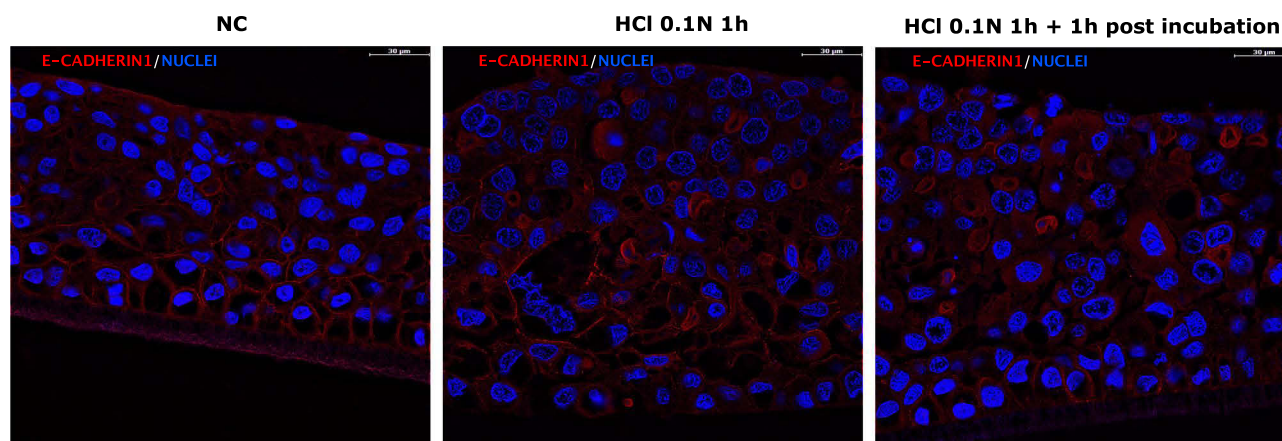
However, the overall protein expression quantified as an IF signal does not support a significant change between the two experimental conditions (Figure 10,  $p > 0.05$ ).

In contrast with ZO-1 behaviour, the E-Cadherin signal appears stable just after damage induction (as

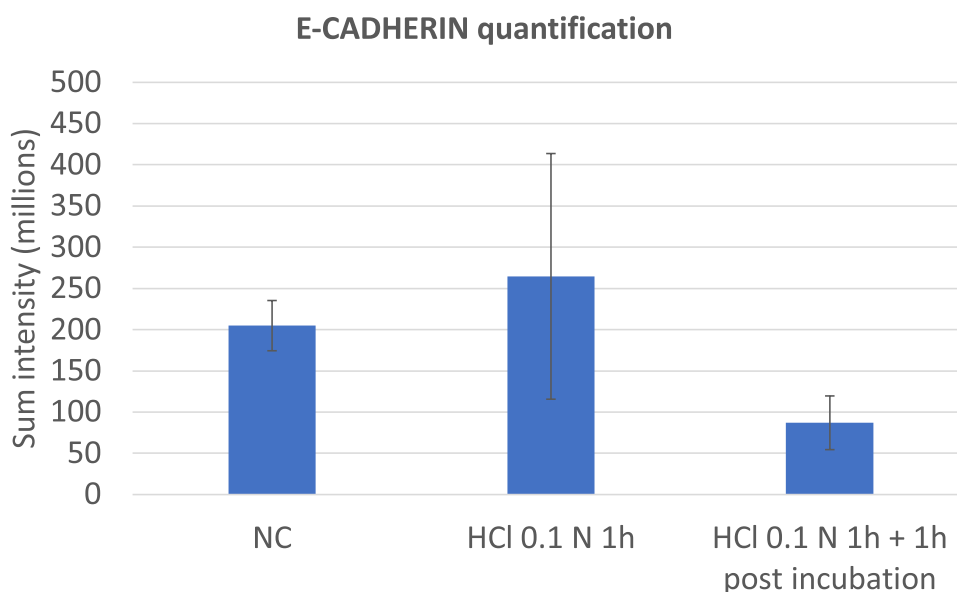
confirmed by signal quantification in Figure 10) while decreasing during the post-incubation period. This behaviour has to be further investigated but could be linked to the protein cleavage process which is mediated by a cytoplasmic metalloprotease that certainly requires a longer time to be established.<sup>8</sup>

### MUC1

In Figure 11 the immunofluorescent images of MUC1 in HO2E/12 tissues are presented and the corresponding IF signal quantification is reported in Figure 12.



**Figure 9** Immunofluorescence of E-Cadherin in untreated HO2E/12 tissues (NC) and HO2E/12 exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). Nuclei are stained in blue (DAPI). Magnification 63x. Scale bar = 30  $\mu$ m. Representative images selected within triplicate series.



**Figure 10** E-cadherin quantification performed on triplicate series of HO2E/12 tissues treated with saline solution (NC) or exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). The signal of E-Cadherin was quantified using Tilesan technology which allows evaluation of the protein expression on the entire tissue section. Because of the high SD the differences observed are not statistically significant compared to NC.

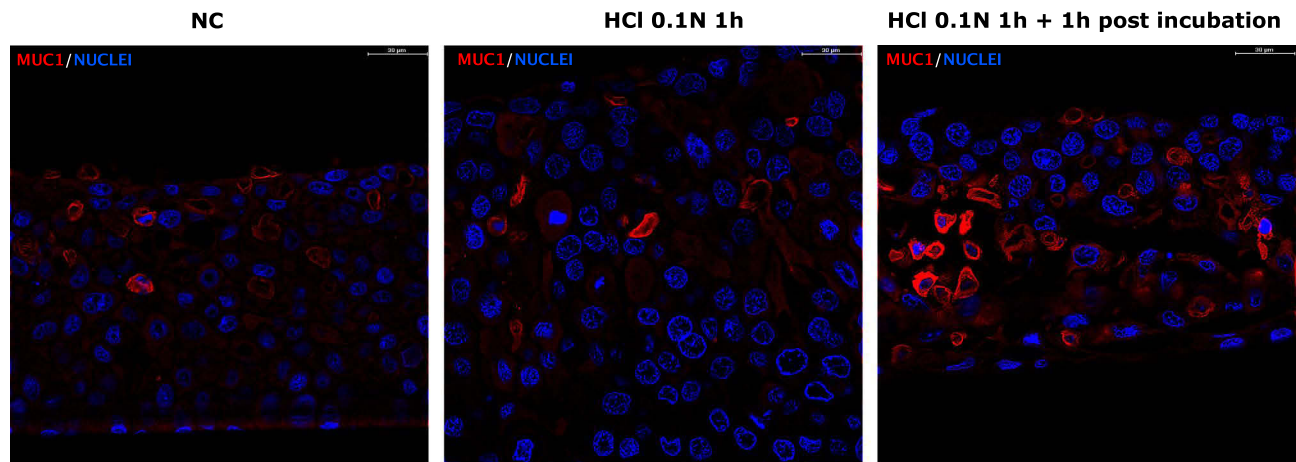
In **Figure 11** the protein is localized around the nuclei, at cytoplasmic and membrane levels for the NC as well as for the tissue series that have undergone acid treatment.

MUC1 plays an important role in protecting the oesophageal mucosa by membrane-bound mucins. In the established experimental conditions, MUC1 localization and expression remain stable immediately after acid exposure while they significantly increase ( $p < 0.01$ ) during the post incubation period (**Figure 12**). This behavior has been observed also for CLDN-1 and it seems to be associated with a defense mechanism

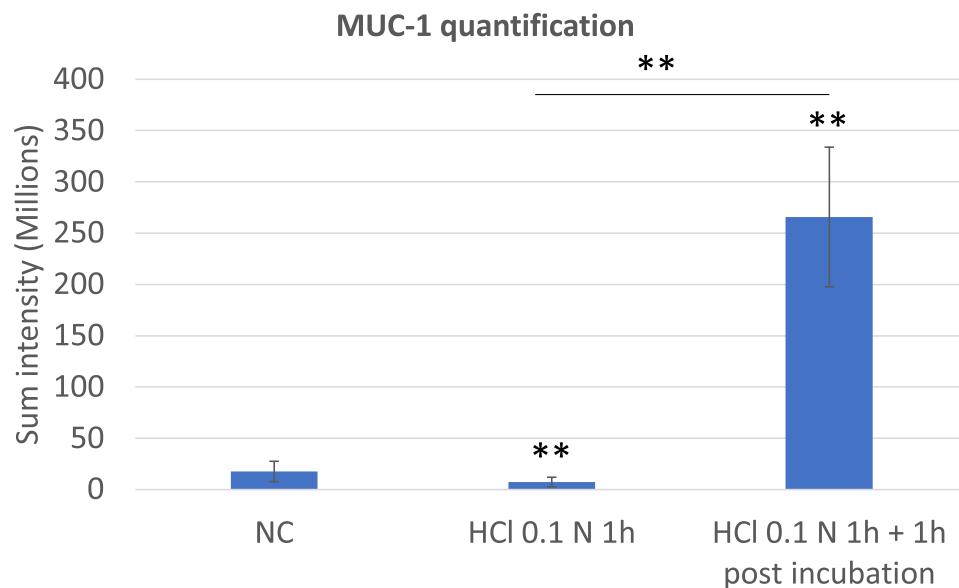
developed to counteract the devastating effect of acid on the oesophageal mucosa as already reported in literature.<sup>4,26</sup>

## Conclusion

GERD syndrome is characterized by oesophageal barrier impairment and changes in membrane-bound mucin expression, particularly MUC1. Literature reports that at TJs level other relevant biomarkers could have a functional role in restoring the damage that occurs at oesophageal level after acute or occasional exposure to noxious acid gastric fluids, in particular CLDN-1 and CLDN-4.



**Figure 11** Immunofluorescence staining of MUC1 in untreated HO2E/12 tissues (NC) and HO2E/12 exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). Nuclei are stained in blue (DAPI). Magnification 63x. Scale bar = 30  $\mu$ m. Representative images selected within triplicate series.



**Figure 12** MUC1 quantification performed on triplicate series of HO2E/12 tissues treated with saline solution (NC) or exposed to HCl 0.1N (pH 1.2) for 1h without (series HCl 0.1N 1h) or with 1h post incubation period (series HCl 0.1N 1h + 1h post incubation). The signal of MUC1 was quantified using TilesCan technology which allows evaluation of the protein expression on the entire tissue section. Statistical significance compared to the NC and between the two series treated with HCl 1N are reported: \*\* $p < 0.01$ .

A pre-clinical model based on a new 3D human reconstructed human oesophageal epithelium model has been developed to investigate structural and functional modifications at the oesophageal epithelium level following exposure to an acid solution as a surrogate of noxious refluxate thus mirroring the microenvironment and mechanisms that are the basis of GERD. HO2E/12 tissues were treated with HCl 0.1N (pH = 1.2) for 1 hour with readout immediately after HCl 0.1N removal or after 1 hour post incubation period.

Being the first time in our knowledge that this 3D in vitro model has been applied as a test system to develop a preclinical experimental design, we have decided to adopt a histomorphology and immunohistochemistry approach and to investigate the structural tissue changes through immunolocalization of the epithelial barrier function biomarkers: CLDN-1, CLDN-4, ZO-1, E-Cadherin and MUC1.

As a general consideration, the expression of the different proteins investigated in a defined and relatively short

experimental windows after HCl exposure suggests that not all these proteins can be used as relevant biomarker to follow the restoring of TJs structure and epithelial barrier itself after damage. In our experimental conditions it has been possible to determine a significant modification of CLDN-1 and MUC1 expression and localization after exposure to an acid micro-environment (pH 1.2) and this modification was particularly relevant 1 hour after the acid exposure and during 1 hour post incubation period.

However, this severe damage to TJs and oesophageal epithelial structure was not disruptive, and it has been shown that the 3D viable tissue model has the potential to recover the damage within a very short experimental window (1h). This recovery involves a different localization of MUC1, ZO-1 and CLDN-4 and their significantly increased expression compared to negative control.

Apart from TEER that has been shown to be not applicable to our experimental conditions compared to other GERD models, we have found a good correlation of these experimental data with literature findings on different experimental models as reported in Table 1.

The in vitro developed GERD model on 3D human reconstructed and fully viable tissue has allowed the recreation, in vitro, of the main feature of the impaired epithelial barrier typically found in the biopsies of GERD patients: the disease condition was shown to be reversible, and it was maintained during 1h post incubation. The established model can be useful to investigate the mechanism of action of formulations acting on this specific pathophysiological condition and/or developed to potentiate the physiological defence mechanisms of oesophageal mucosa (eg TJ proteins and mucus production). By using adapted protocols, preclinical efficacy studies can be performed to evaluate the protective mechanisms on oesophageal epithelium from acute tissue damage by performing a pre-treatment or to investigate the properties to restore epithelium integrity after damage (post-treatment).

Further investigations are in progress in order to explore the relevance of additional biochemical and molecular parameters, to extend the experimental window and to validate the approach with reference formulations. In parallel, the use of gastric fluids including pepsin has been done with encouraging results in terms of test system resistance to a more drastic challenge. Reconstructed human oesophageal epithelium based experimental systems, if correctly developed within a suitable experimental framework, can represent a robust and reliable preclinical platform for other disease models (eosinophilic esophagitis, Barrett's oesophagus) to substantiate specific product

mechanisms of action during the development of new formulations. Last but not least, the proposed approach has high ethical relevance with respect to the use of animals and it is sustainable with respect to Directive EU n. 2010/63 which also promotes, for preclinical studies, the replacement of animal testing by scientifically validated preclinical models.

## Acknowledgments

This research work did not require any review/approval by institutional review board or ethics committee since it is based on in vitro methodologies on commercially available human derived reconstructed tissues.

## Disclosure

The authors have no conflicts of interest to declare.

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