

## M2-Type Macrophages Induce Tregs Generation by Activating the TGF-β/Smad Signalling Pathway to Promote Colorectal Cancer Development

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Purpose: The increase of both M2-type macrophages and Tregs is closely associated with the development of colorectal cancer. However, the mechanism of their interaction is still unclear. In this study, we investigated the correlation of M2-type macrophages with Tregs and the possible mechanisms between them.

**Methods:** Using immunohistochemistry, we analysed Smad3 (a key protein in the TGF-β/ Smad signalling pathway) expression in colorectal cells, as well as infiltrating numbers of CD163 (a marker for M2-type macrophages), Foxp3 (a marker for Tregs) in 250 surgically resected colorectal cancer tissues, matched normal and paracancerous tissues. The relation of CD163 and Foxp3 was investigated in CRC with clinicopathological characteristics and preoperative tumour markers.

**Results:** CD163, Foxp3 and Smad3 were upregulated in CRC tissues compared to matched normal and paracancerous tissues. Interestingly, CD163 and Foxp3 were significantly positively correlated in CRC, and both were significantly positively correlated with Smad3. Both CD163 and Foxp3 were upregulated with increasing tumour TNM staging, increasing number of lymph node metastases and increasing vascular invasion. Additionally, CD163 was upregulated with increasing depth of infiltration. The number of M2-type macrophages and the expression levels of preoperative CEA, CA19-9 and CA72-4 were significantly positively correlated. The number of Tregs was significantly positively correlated with the expression levels of preoperative CEA and CA19-9.

Conclusion: M2-type macrophages may induce Tregs generation through activation of the TGF-β/Smad signalling pathway, which can promote the development of colorectal cancer. **Keywords:** M2-type macrophages, Tregs, TGF-β/Smad signalling pathway, CD163, Foxp3, colorectal cancer, CRC

#### Introduction

Colorectal cancer (CRC), currently one of the most morbid and mortal malignant tumours worldwide, is increasing in incidence and mortality year by year. By 2035, the total number of deaths from rectal and colon cancer will be expected to increase by 60% and 71.5%, respectively. Recent studies<sup>2-4</sup> have shown that specific immune cells in the tumour microenvironment (TME) play a key role in disease progression, significantly influencing tumour development, therapeutic effect and clinical outcomes.

M2-type macrophages and regulatory T cells (Tregs) are crucial players in the TME. They can promote tumour growth and immune tolerance. The presence of

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OncoTargets and Therapy 2021:14 5391-5402

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M2-type macrophages has been associated with poor clinical outcomes in almost all malignant tumours and is thought to affect disease outcomes by stimulating angiogenesis, lymphangiogenesis, immune suppression and possibly by decreasing the effectiveness of certain treatments.<sup>6,7</sup> Cluster of differentiation 163 (CD163) is a specific marker for M2-type macrophages.<sup>8</sup> Tregs, a kind of CD4+ T lymphocyte, may suppress anti-tumour functions and regulate immune tolerance. Alterations in their development, function or homeostasis may predispose them to a variety of diseases including autoimmunity, graft rejection and cancer. 10 Forkhead transcription factor p 3 (Foxp3), which plays a key role in the differentiation and function of Tregs, is a specific marker for Tregs. 11 The correlation between M2-type macrophages and Tregs has been demonstrated in ovarian, laryngeal, prostate and nasopharyngeal cancers. 6,12-14 However, it has not been reported in CRC.

Epithelial-mesenchymal-transition (EMT) is an important process in tumour metastases. During the time the epithelial cells lose their adhesive properties and acquire a fibroblast-like morphology, which can gain the ability to invade, migrate and resist treatment. EMT is a multicascade complex process. Signalling pathway is strongly associated with EMT, especially the transforming growth factor- $\beta$  (TGF- $\beta$ )/drosophila mothers against decapentaplegic protein (Smad) signalling pathway. If has been reported that M2-type macrophages can activate the TGF- $\beta$ /Smad signalling pathway by secreting TGF- $\beta$ , which promotes EMT in tumour cells. Note that the combination of Smad3 and Foxp3 enhancer site plays a decisive role in inducing the differentiation of naive CD4+ T cells to Tregs.  $^{20,21}$ 

In this study, we analysed the correlation among the expression of M2-type macrophages, Tregs and Smad3, a key protein of the TGF-β/Smad signalling pathway, in colorectal tissues of 250 CRC patients through IHC. We hypothesised that M2-type macrophages may activate the TGF-β/Smad signalling pathway by secreting TGF-β, leading to increased expression of Foxp3+ Tregs. This study aimed to understand the possible related mechanisms of CRC infiltration and metastases and to explore potential new therapeutic targets for new immunotherapy in CRC, which lays a foundation for further studying the immune pathogenesis of malignant tumours and exploring new immunotherapy targets. It has particularly important clinical value for early diagnosis, treatment and prognostic evaluation of CRC.

## Materials and Methods Patients and Data Collection

A total of 368 patients with CRC admitted to The Second Ward of Colorectal Surgery, The First Affiliated Hospital of Jinzhou Medical University from January 2020 to December 2020 were selected for this study. According to the inclusion and exclusion criteria, 250 patients of them were included. The clinical data of these patients are shown in Table 1.

The postoperative pathological tissue specimens of 250 patients with CRC were divided into three groups: CRC tissues, normal and paracancerous colorectal tissues. The above three groups of tissue specimens were obtained from the non-necrotic area in the center of the cancer foci, the area 10 cm from the cancer margin and the area 5 cm from the cancer margin of the same patient, respectively.

Inclusion criteria: (1) primary CRC; (2) diagnosis and first surgical treatment in our hospital; (3) consent to participate in this study. Exclusion criteria: (1) received chemotherapy, radiotherapy or immune-targeted therapy after diagnosis; (2) had multiple sites of intestinal malignant tumours or combined with other systemic malignant tumours.

### Experimental Methods

The expression profiles of CD163, Foxp3 and Smad3 in different colorectal tissues of the same patient were detected by immunohistochemical (IHC). The experimental steps

Table I Clinical Characteristics of the 250 CRC Patients

Variables	n=250 Percent (%)			
Age (years)				
<65	105	42.00		
≥65	145	58.00		
Gender				
Male	153	61.20		
Female	97	38.80		
TNM staging				
1	37	14.80		
ll ll	108	43.20		
III	77	30.80		
IV	28	11.20		
Histologic types				
Tubular adenocarcinoma	232	92.80		
Mucinous carcinoma	12	4.80		
Other types	6	2.40		

were as follows. All specimens were formalin-fixed, paraffin-embedded and cut into 4 µm thick sections. First, after dewaxing with xylene and hydration with ethanol, antigen retrieval was conducted using EDTA Antigen Retrieval Solution PH=8.0 (ZLI-9072, ZSGB-Bio, Beijing, China) in a pressure cooker for 2.5 minutes. Subsequently, Endogenous Peroxidase-blocking Solution (KIT-9710, Maixin-Bio, Fuzhou, China) was added and incubated for 10 minutes at room temperature, followed by Non-specific Stainingblocking Solution (KIT-9710, Maixin-Bio, Fuzhou, China) for 30 minutes at room temperature. Third, Anti-CD163 (1:500, antibody monoclonal ab182422, Abcam, Cambridge, UK), Anti-Foxp3 monoclonal antibody (1:500, ab20034, Abcam, Cambridge, UK) and Anti-Smad3 monoclonal antibody (1:500, ab40855, Abcam, Cambridge, UK) were added and incubated in a refrigerator at 4 °C for 16 hours. Fourth, Biotin-Labeled Goat Anti-Rabbit/Mouse IgG polymer (KIT-9710, Maixin-Bio, Fuzhou, China) and Streptavidin Peroxidase (KIT-9710, Maixin-Bio, Fuzhou, China) were added sequentially and incubated in a 37 °C water bath for 30 minutes. Fifth, the pathological sections were stained with a DAB kit (DAB-0031, Maixin-Bio, Fuzhou, China) and Hematoxylin (G1140, Solarbio, Beijing, China). Finally, they were dehydrated and sealed. PBS (ZLI-P063, ZSGB-Bio, Beijing, China) was used for washing in all steps.

## Judgment of Staining Results

CD163+ staining was expressed as the presence of tan or yellow particles in the cell membrane or cytoplasm of M2-type macrophages. Foxp3+ staining was expressed as the presence of tan or yellow particles in the nucleus of Tregs. Smad3+ staining was expressed as the presence of tan or yellow particles in the cell membrane or cytoplasm of colorectal cells. Known positive results were used as a positive control. PBS was used as a negative control instead of primary antibody.

Five high magnification fields (400x) were randomly selected from the stained pathological sections. Image J was applied to determine the positive cells, which were then confirmed by two pathologists under double-blind methodological conditions.

For pathology sections stained with Anti-CD163 monoclonal antibody and Anti-Foxp3 monoclonal antibody, the mean number of positive cells was calculated for the five high magnification fields, and this mean was the final score for this section. For pathology sections stained with Anti-Smad3 monoclonal antibody, the average of the staining indices of the five sections was calculated, and this average was the final score for this section. (Staining Indices = the staining intensity  $\times$  the percentage of positive cells). The staining intensity was divided into four levels: 0 (negative), 1 (weak), 2 (moderate), 3 (strong). The percentage of positive cells was regarded as 0 (0–10%), 1 (11–25%), 2 (26–40%), 3 (41–75%), 4 (76–100%).

Taking the median of the final score as the boundary, the final score greater than or equal to this median is a high expression, and the final score less than this median is low expression.

#### Statistical Analysis

Statistical analysis was performed using SPSS 26.0. The Kruskal–Wallis H-test was used to compare means among multiple groups. The independent sample t-test was used to compare means between the two groups. The  $\chi^2$  test was used to compare count data. Spearman correlation analysis and linear regression analysis were used to analyze the correlation between two variables. A value of p<0.05 was considered significant.

#### Results

# The Expression of CD163, Foxp3 and Smad3 in Normal, Paracancerous and CRC Tissues

IHC results showed that CD163 mainly infiltrated in the tumour mesenchyme in cancer tissues, and it expressed the membrane and cytoplasm of M2-type macrophages, which was brownish-yellow (Figure 1A). CD163 mainly infiltrated the mucosal mesenchyme in normal and paracancerous tissues, which was light yellow (Figure 1B and C). Foxp3 was more distributed in the tumour mesenchyme in cancer tissues, and it expressed the nucleus of Tregs, which was brownish-yellow (Figure 1D). In normal tissue and paracancerous tissues, Foxp3 had a small extent in the mucosal interstitium, which was light yellow (Figure 1E and F). Smad3 was mainly expressed on the cell membrane and cytoplasm of colorectal cells, which stained differently in normal, paracancerous and CRC tissues (Figure 1G–I).

# The Difference Between CD163, Foxp3 and Smad3 in Normal, Paracancerous and CRC Tissues

The Kruskal–Wallis *H*-test was used to compare the expression differences of CD163 (H=220.487, *p*=0.000), Foxp3

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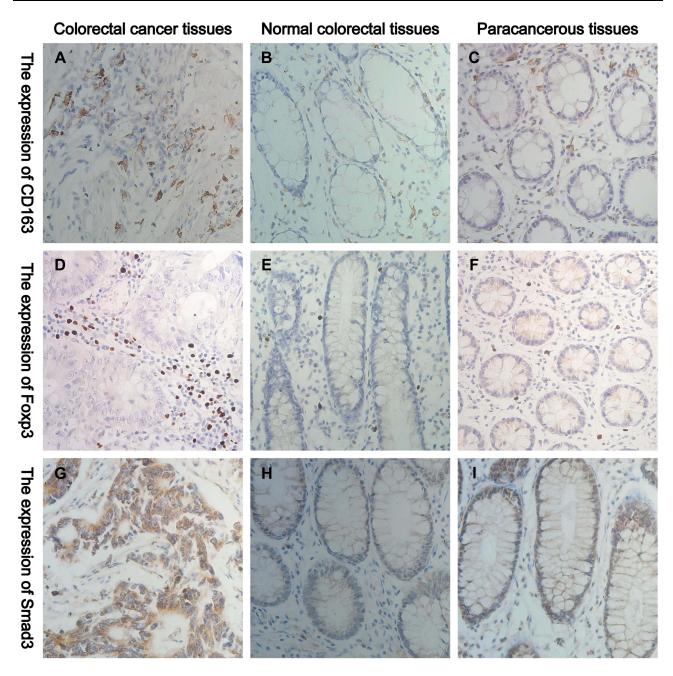


Figure I Representative IHC staining of CD163, Foxp3 and Smad3 in normal, paracancerous and CRC tissues (400× field). (A–C) The cell membrane and cytoplasm of M2-type macrophages are stained brown. (D–F) The nucleus of Tregs are stained brown. (G–I) The cell membrane and cytoplasm of Smad3+ cells are stained brown.

(H=198.303, p=0.000) and Smad3 (H=130.486, p=0.000) in the three groups of colorectal tissues. The independent samples t-test was applied to analyse the numbers of CD163, Foxp3 and Smad3 in colorectal tissues among the three groups. The expression of CD163 (t=-16.331, p=0.000; t=-15.672, p=0.000), Foxp3 (t=-15.616, p=0.000; t=-14.261, p=0.000) and Smad3 (t=-11.513, p=0.000; t=-10.122, p=0.000) was increased in CRC tissues compared with normal and paracancerous tissues. However, the expression of

the above three kinds of proteins between normal and paracancerous tissues had no statistically significant difference (t=-1.959, p=0.051; t=-1.842, p=0.066; t=-1.957, p=0.051) (Figure 2).

# The Relation of CD163 and Foxp3 with Clinicopathological Characteristics in CRC

To further explore the possible role of M2-type macrophages and Tregs in CRC, we used the  $\chi^2$  test to compare

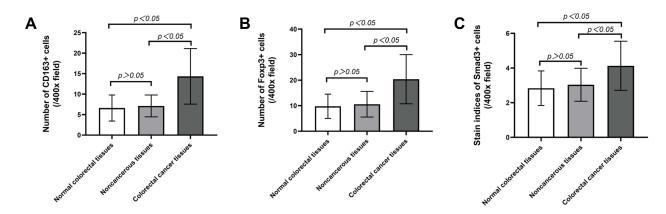


Figure 2 The expression levels of CD163, Foxp3 and Smad3 are different in normal, paracancerous and cancer tissues. (A) The number of CD163 in CRC tissues, their matched normal and paracancerous tissues. (B) The number of Foxp3 in CRC tissues, their matched normal and paracancerous tissues. (C) The staining indices of Smad3 in CRC tissues, their matched normal and paracancerous tissues.

CD163 and Foxp3 with the clinicopathological characteristics. The results showed that CD163 in CRC tissues was related to the patient's tumour TNM staging ( $\chi^2$ =19.397, p=0.000), number of lymph node metastases ( $\chi^2$ =9.862, p=0.019), depth of infiltration ( $\chi^2$ =9.881, p=0.019) and vascular invasion ( $\chi^2$ =4.993, p=0.026). The expression level of Foxp3 in CRC tissues was related to the patient's tumour TNM staging ( $\chi^2$ =9.056, p=0.029), number of lymph node metastases ( $\chi^2$ =9.177,  $\chi^2$ =0.027) and vascular invasion ( $\chi^2$ =4.278,  $\chi^2$ =0.039) (Table 2).

# The Correlation of CD163, Foxp3 and Smad3 with Different Tumour TNM Staging in CRC

By analysing the expression of CD163 and Foxp3 in CRC tissues, we found that they are most closely related to tumour TNM staging. Comparing the expression levels of CD163, Foxp3 and Smad3 in CRC stages I, II, III and IV, we found that the above three proteins were different in CRC patients with different TNM staging (Figure 3A–L). With the increase of tumour TNM staging, the expression of CD163, Foxp3 and Smad3 in CRC also gradually increased ( $\chi^2$ =19.397, p=0.000;  $\chi^2$ =9.056, p=0.029;  $\chi^2$ =14.281, p=0.003) (Figure 3M–O).

# The Correlation Among M2-Type Macrophages, Tregs and TGF-β/Smad Signalling Pathway in CRC

To explore the relationship among M2-type macrophages, Tregs and TGF- $\beta$ /Smad signalling pathway in CRC, Spearman correlation analysis and linear regression

equation were used to compare the expression of CD163, Foxp3 and Smad3. The results showed that the number of M2-type macrophages and Tregs was significantly positively correlated (r=0.565, Y=0.8634\*X+7.988, p=0.000) (Table 3, Figure 4A). Additionally, the number of M2-type macrophages and Tregs was significantly positively correlated with the staining indices of Smad3 (r=0.657, Y=0.1432\*X+2.074, p=0.000; r=0.510, Y=0.08366\*X+2.425, p=0.000) (Table 4, Figure 4B and C).

# The Correlation of the Number of M2-Type Macrophages and Tregs with Tumour Markers in CRC

Spearman correlation analysis was used to evaluate the correlation of the number of M2-type macrophages and Tregs in CRC with preoperative CEA, CA19-9 and CA72-4 (Table 5). The number of M2-type macrophages was positively correlated with preoperative CEA, CA19-9 and CA72-4 (r=0.466, p=0.000; r=0.218, p=0.001; r=0.178, p=0.005). The number of Tregs was positively correlated with preoperative CEA and CA19-9 (r=0.386, p=0.000; r=0.144, p=0.023), but it had no significant correlation with preoperative CA72-4 (r=0.121, p=0.056).

#### **Discussion**

TME plays an important role in the development, therapeutic outcomes and clinical regression of malignant tumours.<sup>5</sup> Both M2-type macrophages and Tregs are important components of TME. It has been found that M2-type macrophages and Tregs are closely related to various malignant tumours, such as ovarian cancer, laryngeal cancer, prostate cancer and nasopharyngeal

 Table 2
 Relationship Between CD163 and Foxp3 and Clinicopathological Characteristics

Cliniconathological Characteristics	n (%) =250		CDI63				Foxn3		
	227 (az) ::						advo -		
		Low Expression	High Expression	$\chi^2$	p-value	Low Expression	High Expression	$\chi^2$	p-value
Age (years) <65 ≥65	105 (42) 145 (58)	29 34	76	0.562	0.453	28 28	77 711	1.896	0.169
Gender Male Female	153 (61) 97 (39)	44 19	109 78	2.649	0.104	39 17	114	2.166	0.141
Hospitalization time (days) <16 ≥16	125 (50) 125 (50)	31 32	94 93	0.021	0.884	30 26	66 66	0.368	0.544
Tumour maximum diameter (cm) <5 ≥5	145 (58) 105 (42)	35 28	110	0.207	0.649	32 24	113	0.022	0.883
BMI <18.9 18.5–23.9 >23.9	11 (4) 135 (54) 104 (42)	5 32 26	6 103 78	2.557	0.279	3 33 20	8 102 84	1.076	0.584
Preoperative blood glucose <3.89 3.89–6.11	4 (2) 186 (74) 60 (24)	- - - - - - - - - - - - - - - - - - -	3 138 46	0.147	0.929	1 37 18	3 149 42	2.682	0.267
Preoperative Intestinal obstruction Absent Present	225 (90) 25 (10)	55 8	170	0.681	0.409	49	176 18	0.501	0.479
Preoperative fecal blood Absent Present	102 (41) 148 (59)	24 39	78 109	0.255	0.614	22 34	80 114	690.0	0.794
Anatomic tumour region Cecum Ascending colon Transverse colon	8 (3) 46 (18) 3 (1)	2 12 0	6 34 3	3.315	0.652	2 11 0	6 35 3	3.751	0.585

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us type us types sypes adenocarcinoma rpes idenocarcinoma sypes idenocarcinoma rpes idenocarcinoma reference idenocarcinoma reference idenocarcinoma reference referen	Descending colon	10 (4)	4	9			2	<b>&amp;</b> !		
114 (46)   31   83   91   91   92   93   93   94   95   95   95   95   95   95   95	Sigmoid	(88)	4	22			20	49		
15 (2)   1	Rectum	114 (46)	31	83			21	93		
155 (78)   45   150   45   150   42   153   41	Pathological type				2.119	0.145			0.379	0.538
Marie	Exogenous type	195 (78)	45	150			42	153		
ma	Ulcer type	55 (22)	18	37			14	41		
ma	Histologic types				2.007	0.367			091.0	0.923
12 (5)   5   7   7   9   9   9   9   9   9   9   9	Tubular adenocarcinoma	232 (93)	57	175			52	180		
6 (2) 1 1 5 6 (24) 1 6 (25) 1 1 7 (21) 1 7 (21) 1 7 (21) 1 2 (24) 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Mucinous carcinoma	12 (5)	5	7			3	6		
1	Other types	6 (2)	_	5			_	5		
56 (22)         12         44         9         47         47           17 (7)         46         131         9         47         134           17 (7)         5         12         9.881         0.019         4         134           11 (4)         5         5         9         4         6         4           34 (14)         14         20         9         25         116         4         6           aritoneum         55 (22)         10         45         20         9         25         116         4         6         4         111         8         4         6         6         111         8         4         6         6         111         8         4         11         11         8         4         4         111         4         11         8         4         4         11         11         8         4         11         11         11         8         11         8         11         11         11         11         11         11         11         11         11         11         11         11         11         11         11         11         11         <	Differentiation degree				0.641	0.726			1.668	0.434
17771   46   131   43   44   134   134   134   134   134   147   10 (4)   5   12   12   14   12   14   14   14   14	Low	56 (22)	12	4			6	47		
17 (7)   5   12   12   13   13   14   14   15   15   15   16   16   17   14   14   14   17   17   18   18   18   18   18   18	Moderate	177 (71)	46	131			43	134		
10 (4)   5   5   5   6   6   6   6   6   6   6	High	17 (7)	2	12			4	13		
10 (4)   5   5   6   7   6   6   7   7   7   7   7   7	Depth of infiltration				188.6	0.019			4.111	0.249
al layer         151 (60)         34 (14)         14         20         9         25         116           eritoneum         55 (22)         10         45         9.862         0.019         8         47         116           150 (60)         48         102         9.862         0.019         43         107         9.177           64 (25)         8         56         19         2         2         2         2           24 (10)         5         19         1         1         1         11	Infiltrate submucosa	10 (4)	25	5			4	9		
al layer         15 (60)         34         117         9862         0.019         8         47         9.177           extroneum         150 (60)         48         102         9.862         0.019         43         107         9.177           64 (25)         8         56         19         2         22         22         22           24 (10)         5         19         1         1         1         1         11	Infiltrate muscular	34 (14)	4	20			6	25		
sritoneum         55 (22)         10         45         9         8         47         9           150 (60)         48         102         9.862         0.019         43         107         9.177           64 (25)         8         56         19         2         10         54         107         54           24 (10)         5         19         10         1         1         1         11	Infiltrate the subserosal layer	151 (60)	34	117			35	911		
150 (60)   48   102   9.862   0.019   43   107   107   106 (4.05)   8   5.6   19   102   100	Penetrating visceral peritoneum	55 (22)	10	45			8	47		
150 (60)     48     102     43     107       64 (25)     8     56     10     54       24 (10)     5     19     2     22       12 (5)     2     19     1     1     11       11 (5)     2     10     1     1     11       12 (5)     2     10     0.061     1     11     11       12 (8)     60     162     3     3     169     169       18 (11)     3     25     3     25     169       108 (43)     28     18     12     25     25       108 (43)     28     80     78     78       10 (11)     3     25     11     66       12 (11)     3     25     25	Lymph node metastases				9.862	0.019			9.177	0.027
trases 64 (25) 8 56 19 7 10 5 22 22 24 (10) 5 19 10 5 4 22 22 24 (10) 5 19 10 12 (5) 2 2 10 10 12 (5) 2 2 10 10 10 10 10 10 10 10 10 10 10 10 10	0	150 (60)	48	102			43	107		
trases  22 (10) 5 19	<u> </u>	64 (25)	80	56			01	54		
tases  222 (89)	9+	24 (10)	5	61			2	22		
tases         222 (89)         60         162         3.510         0.061         53         169         2.477           28 (11)         3         25         19         19.397         0.000         12         25         169           37 (15)         19         18         18         12         25         25         16           108 (43)         28         80         11         66         78         78         78           77 (30)         13         64         11         66         75         16         66         16           28 (11)         3         25         3         25         25         16         16         66         16         <	>7	12 (5)	2	01			ı	Ш		
222 (89)         60         162         53         169         169           28 (11)         3         25         3         15         3         25         9.056           37 (15)         19         18         18         12         25         9.056           108 (43)         28         80         78         78         78         78           77 (30)         13         64         11         66         75         8           28 (11)         3         25         3         25         8	Distant metastases				3.510	190.0			2.477	0.115
28 (11)         3         25         3         25         25           37 (15)         19         18         19.397         0.000         12         25           108 (43)         28         80         78         78           77 (30)         13         64         11         66           28 (11)         3         25         33         25	Absent	222 (89)	09	162			53	691		
37 (15)         19         18         12         25         10         12         25         10         12         25         12         25         12         25         12         25         12         25         12         25         12         25         12         25         12         25         12         25         12         25 <t< td=""><td>Present</td><td>28 (11)</td><td>3</td><td>25</td><td></td><td></td><td>3</td><td>25</td><td></td><td></td></t<>	Present	28 (11)	3	25			3	25		
37 (15)     19     18     12       108 (43)     28     80     30       77 (30)     13     64     11       28 (11)     3     25     3	TNM staging				19.397	0.000			9:026	0.029
108 (43)         28         80         30           77 (30)         13         64         11           28 (11)         3         25         3	_	37 (15)	61	81			12	25		
77 (30)     13     64     11       28 (11)     3     25     3	=	108 (43)	28	80			30	78		
28 (11) 3 25 3	<b>=</b>	77 (30)	13	64			=	99		
	≥	28 (11)	æ	25			3	25		

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p-value 0.039 0.289 4.278 High Expression Foxp3 6 7 **½** 5 Low Expression 8 % & ∞ p-value 0.026 0.252 <u>..</u> 4.993 High Expression 39 34 Low Expression 9 5 9 2 n (%) =250 202 (81) 48 (19) 188 (75) 62 (25) Clinicopathological Characteristics Vascular invasion Nerve invasion Present Present Absent Absent

cancer.  $^{6,12-14}$  Therefore, exploring the relationship between M2-type macrophages and Tregs has important implications for therapies in CRC. In our study, we found that M2-type macrophages were highly expressed in CRC, and significantly positively correlated with infiltrating Foxp3+ Tregs, which is possibly through activation of the TGF- $\beta$ /Smad signalling pathway, promoting the development of CRC. The above finding has important clinical value for the diagnosis, treatment and prognosis of CRC patients.

Tumour cells can secrete cytokines such as IL-4 and IL-13 to induce the conversion of macrophages to the M2type, which can promote their growth. 22,23 Thus, tumourassociated macrophages (TAMs) are mainly expressed as M2-type. High infiltration of M2-type macrophages is associated with poor prognosis in almost all malignant tumours. Its main tumour-promoting mechanism is the production of cytokines, such as IL-10 and TGF-β, which activate tumour cells in relevant signalling pathways, inducing genes associated with cells survival, proliferation and migration, thereby playing an important role in immunosuppression. <sup>6,23,24</sup> We found that the expression of M2-type macrophages was upregulated in CRC and significantly correlated with tumour TNM staging, number of lymph node metastases, depth of infiltration and vascular invasion. The above results indicate that M2-type macrophages are involved in the formation of immunosuppressive TME in malignant tumours. Studies<sup>19</sup> have found that in CRC lung metastases, M2-type macrophages activate the TGF-\(\beta\)/Smad signalling pathway by secreting TGF-β, which is involved in the process of EMT, and the use of TGF-β receptor inhibitors can block this signalling pathway to inhibit tumour metastases. Additionally, it has been found that M2-type macrophages promote glioma cell metastases by secreting TGF-β, activating the Smad2/3 signalling pathway. 18 We found that the number of M2-type macrophages was significantly positively correlated with the expression of Smad3 in CRC. Thus, it can be hypothesised that M2-type macrophages promote the development of CRC by activating the TGF-\(\beta\)/Smad signalling pathway, which is consistent with the previous findings.

In malignant tumours, Tregs inhibit the tumour-killing effects of CD8+ T and NK cells through an intercellular contact mechanism or secretion of related cytokines, which in turn affects the immune response of effector T cells to tumours.<sup>9,10,25</sup> We found that the expression of Tregs were upregulated with increasing

Table 2 (Continued).

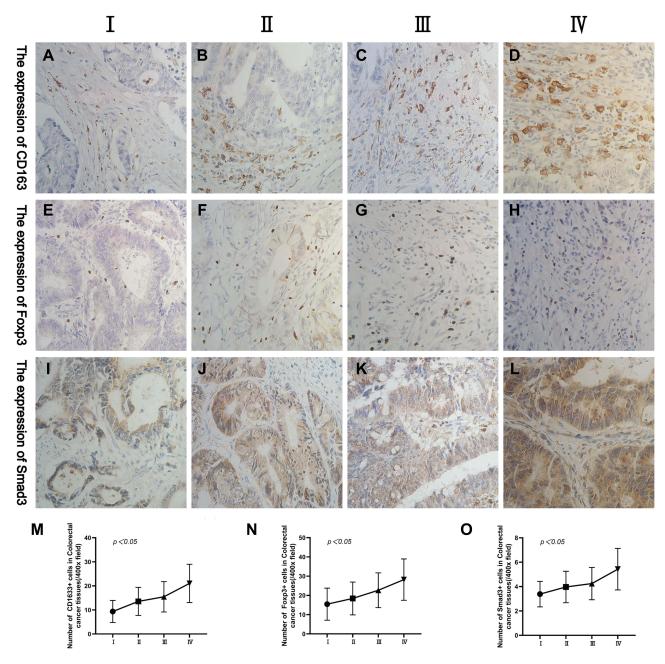


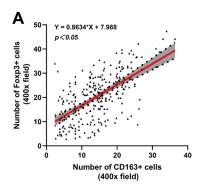
Figure 3 Representative IHC staining of CD163, Foxp3 and Smad3 in different tumour TNM staging (400× field). (A–D) The expression of CD163 in CRC at stages I, II, III and IV. (I–L) The expression levels of Smad3 in CRC at stages I, II, III and IV. (M–O) The changing trend of CD163, Foxp3 and Smad3 in CRC in different tumour TNM staging.

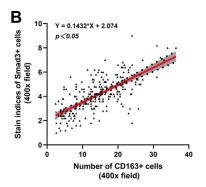
tumour TNM staging, increasing number of lymph node metastases and increasing vascular invasion, suggesting that Tregs play an important role in the development of

**Table 3** Correlation Analysis of CD163 and Foxp3 Expression in Colorectal Cancer

		<b>F</b> oxp3
CD163	r	0.565
	Þ	0.000

malignant tumours. Tone et al<sup>21</sup> found in vitro studies that the relatively conserved enhancer binding site of Foxp3 plays a decisive role in the induction of Foxp3+ Tregs by binding to Smad3 and nuclear factor of activated T cells (NFAT). Anthoni et al<sup>20</sup> used the mouse model with knockout Smad3 gene to study Smad3 in the contact hypersensitivity response and found that Smad3 signalling deficiency can lead to decreased mRNA expression of Foxp3. We found that the expression of Foxp3+ Tregs was upregulated in CRC and significantly





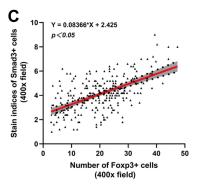


Figure 4 Correlation analysis among CD163, Foxp3 and Smad3 in CRC. The linear correlation model is shown with a red line. Corresponding 95% confidence intervals (CI) are shown with the gray area. (A) Correlation between the number of CD163+ cells and Foxp3+ cells. (B) Correlation between the number of CD163+ cells and the staining indices of Smad3+ cells. (C) Correlation between the number of Foxp3+ cells and the staining indices of Smad3+ cells.

positively correlated with the expression of Smad3. Combining with previous studies, we can infer that increasing the expression of Smad3 can promote the differentiation of naive T cells to Foxp3+ Tregs. The increase of Tregs can suppress the immune response of effector T cells to tumours, which in turn promotes CRC development.

The TGF- $\beta$ /Smad signalling pathway is involved in many normal cellular processes, including cell proliferation, differentiation, early development, angiogenesis and wound healing. <sup>26,27</sup> TGF- $\beta$  has tumour suppressive effects in normal epithelial cells, but affects promoting

**Table 4** Correlation Analysis of Smad3 with CD163 and Foxp3 in Colorectal Cancer

		Smad3
CD163	r	0.657
	Þ	0.000
Foxp3	r	0.510
	Þ	0.000

**Table 5** Correlation Analysis of the Number of M2-Type Macrophages and Tregs with Preoperative CEA, CA19-9 and CA72-4

Tumour Markers	Nun M2	Mean hber of Type ophages	Nun	Mean nber of regs
	r	p-value	r	p-value
Preoperative CEA (μg/L)	0.466	0.000	0.386	0.000
Preoperative CA19-9 (U/mL)	0.218	0.001	0.144	0.023
Preoperative CA72-4 (U/mL)	0.178	0.005	0.121	0.056

tumourigenic development in malignant tumours cells.<sup>28</sup> It is a potent inducer of EMT. 16,27 Tumour metastases is one of the major causes of death in patients with malignant tumours. EMT is one of the classical modes of tumourigenic invasion and metastases. 17,27 Many studies 29,30 have shown that Smad2/3 plays a central role in TGF-β-induced EMT. At the amino acid level, the most important difference between Smad2 and Smad3 is that the MH1 region of Smad2 has 2 more amino acid fragments than Smad3. Due to the specificity of these 2 amino acid fragments, Smad3 can directly bind to DNA and has transcriptional activity, while Smad2 lacks transcriptional activity.31 Smad2/3 has been reported to be mutated in CRC. These mutations have been associated with clinical metastases and low survival rates.<sup>32</sup> By comparing the expression of Smad3 in normal, paracancerous and cancer tissues, we found that it was significantly increased in CRC. We can speculate that the TGF-β/Smad signalling pathway plays an important role in the development of CRC.

Tumour markers have been widely used in CRC research. They play an important role in monitoring recurrence and metastases. <sup>33</sup> Previous studies <sup>4</sup> in our laboratory showed that the expression levels of CEA in CRC correlated with the number of M2-type macrophages. Moreover, preoperative CEA, CA19-9 and CA72-4 were also closely associated with CRC lymph node metastases. Combining our above results with previous research, we hypothesised that the expression of M2-type macrophages and Tregs was associated with poor prognosis in CRC.

Previous studies have reported the interaction between M2-type macrophages and Tregs in malignant tumours. We found that the number of M2-type macrophages and Tregs were significantly elevated in CRC tissues compared with normal and paracancerous tissues, indicating that M2-type

macrophages and Tregs play an important role in tumourigenesis and development. Furthermore, the expression levels of M2-type macrophages and Tregs was significantly positively correlated in CRC. We can speculate that the interaction between M2-type macrophages and Tregs can promote the occurrence and development of CRC, which is consistent with previous studies in other malignant tumours. Sun et al<sup>34</sup> found that in the co-culture of naive T cells with M2-type macrophages in lung disease, M2-type macrophages could promote naive T cells differentiation toward Foxp3+ Tregs. Furthermore, the addition of the TGF-β/ Smad signalling pathway inhibitor LY2109761 resulted in a reduced proportion of Tregs comparing to the previous ones. These results suggest that the TGF-β/Smad signalling pathway is involved in the differentiation process of Tregs induced by M2-type macrophages. Our study found that the expression of Smad3 was significantly elevated in CRC, suggesting that the TGF-β/Smad signalling pathway is aberrantly activated during tumourigenesis and development, promoting EMT and tumour metastases. Smad3 was significantly positively correlated with the expression levels of M2-type macrophages and Tregs, respectively, suggesting that M2-type macrophages play a synergistic role with Tregs in CRC, and the TGF-β/Smad signalling pathway may play a pivotal role. The exact relationship between M2type macrophages and Tregs needs further study. In the future, we plan to further investigate the correlation between M2 macrophages and Tregs by blocking the TGF-β/Smad signalling pathway and observe the difference in expression between M2-type macrophages and Tregs after blocking, which can clarify their potential role as immunotherapeutic targets for CRC.

#### **Conclusions**

M2-type macrophages may activate the TGF-β/Smad signalling pathway by secreting TGF-β, which leads to increased expression of Foxp3+ Tregs and further promotes the tumourigenesis and development of CRC. M2-type macrophages, Tregs and TGF-β/Smad signalling pathway may become potential targets for novel immunotherapy of CRC, which has important clinical value for the diagnosis, treatment and prognosis of CRC patients.

#### **Abbreviations**

Tregs, regulatory T cells; CRC, colorectal cancer; CD163, cluster of differentiation 163; Foxp3, forkhead transcription factor p 3; Smad3, drosophila mothers against

decapentaplegic protein 3; TME, tumour microenvironment; EMT, epithelial-mesenchymal-transition; TGF-β, transforming growth factor-β; IHC, immunohistochemical; DAB, 3,3'-diaminobenzidine; PBS, phosphate buffered saline; mRNA, messenger ribonucleic acid.

### **Data Sharing Statement**

The data that support the findings of this study are available on request from the corresponding author (Dr. Qiao).

## Ethics Approval and Informed Consent

The study was conducted in accordance with the Ethics Committee of the First Affiliated Hospital of Jinzhou Medical University (KYLL 202089) and the 1964 Helsinki Declaration. Informed consent was obtained from all participants included in the study.

### **Acknowledgments**

The authors sincerely appreciate the assistance of The First Affiliated Hospital of Jinzhou Medical University.

#### **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

## **Funding**

There is no funding to report.

#### **Disclosure**

The authors have declared that no competing interest exists.

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