

# Cytotoxicity and Genotoxicity of Polystyrene Micro- and Nanoplastics with Different Size and Surface Modification in A549 Cells

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**Background:** Micro- and nano-sized plastics (MPs and NPs) have become an environmental issue of global concern due to their small size, strong bio-permeability and high specific surface area. However, few studies have assessed the effect of polystyrene MPs and NPs on human lung cells. In this research, we evaluated the cytotoxicity and genotoxicity of polystyrene (PS) MPs and NPs with different sizes (2  $\mu$ m and 80 nm) and surface modification (carboxy and amino functionalized polystyrene, pristine polystyrene) in A549 cells.

**Methods:** The zeta potential and hydrodynamic particle size of five types of PS plastic solutions were measured by dynamic light scattering, and their morphology and degree of aggregation were observed by scanning electron microscopy. After incubation of the PS plastics with A549 cells, the uptake and toxicity of the cells were assessed by fluorescence microscopy, laser scanning confocal microscopy, flow cytometry, MTT, micronucleus formation assay, and reactive oxygen species.

**Results:** The cytotoxicity and genotoxicity of A549 cells caused by nano-level PS is more serious than that of micro-level. Compared with unmodified PS-NPs, more surface-functionalized PS-NPs were found inside the cells, especially the accumulation of PS-NH<sub>2</sub>. Cell viability and the induction of micronuclei (MN) are appreciably impacted in a dose-dependent way. Compared with pristine PS-NPs, functionalized PS-NPs showed stronger cell viability inhibitory ability, and induced more MN scores.

**Conclusion:** This study shows that the intrinsic size properties and surface modification of PS plastics, the interaction between PS plastics and the receiving medium, intracellular accumulation are critical factors for evaluating the toxicological influences of PS plastics on humans.

**Keywords:** microplastics, nanoplastics, surface functionalization, cytotoxicity, genotoxicity, oxidative damage

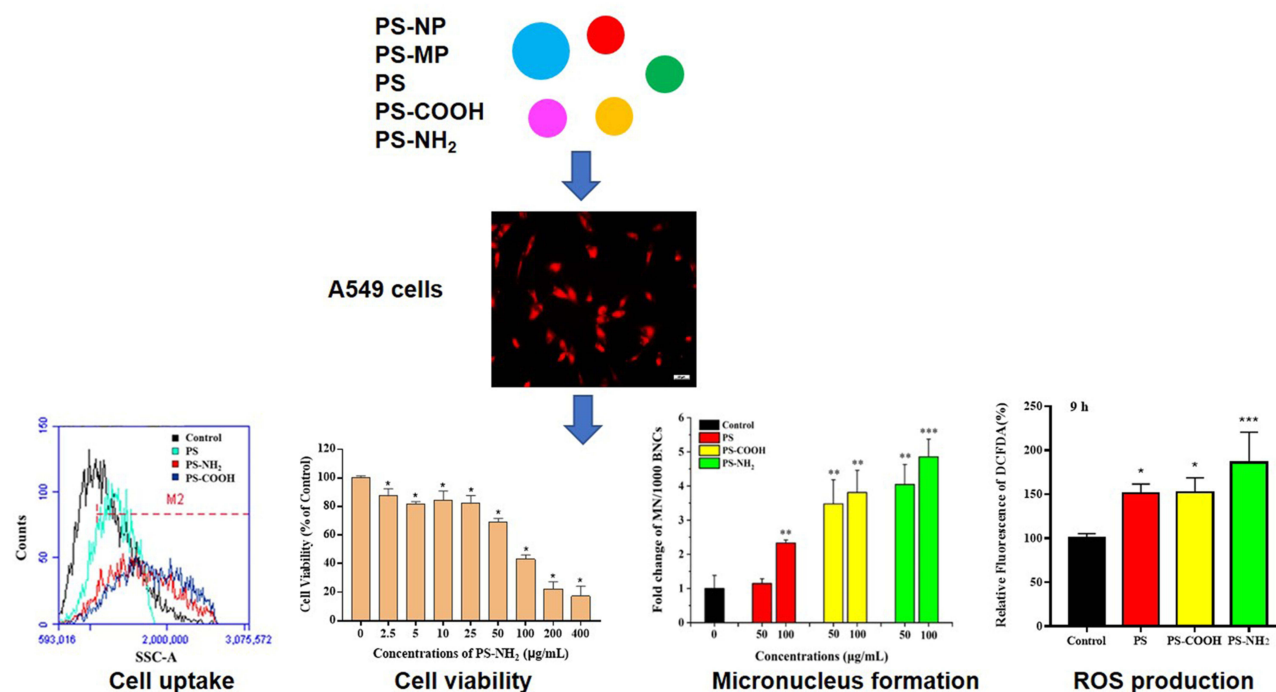
## Synopsis

This study provides evidences for the behavior and effect of micro- and nanoplastics on human lung cells, and may contribute to a better understanding of their potential harm to environmental organisms, so as to avoid the risk of some diseases.

## Introduction

Plastic, a high-molecular compound made of resin as the main component, can be made up of fillers, stabilizers, colorants, plasticizers and other additives through addition polymerization or polycondensation reaction. Common plastics include polypropylene (PP), polystyrene (PS) and polyethylene (PE).<sup>1</sup> Since 1950, plastics have made a significant contribution to the development of society, with production of synthetic polymers increasing from an initial 1.7 million tons in 1959 to 280 million tons in 2016. With the increasing use of plastics worldwide, the current annual output has exceeded 380 million tons.<sup>2,3</sup> However, most of the plastic waste has not been properly disposed of in time.

## Graphical Abstract



Due to economic and social factors the global recycling rate of plastic remains low, creating a severe environmental pollution problem for the global. Meanwhile, own to the nature of material, increased in production, processing and low biodegradation rates, resulting in plastics that are hardly decompose and stay in the atmosphere for a long period of time. When exposed to environmental conditions like ultraviolet radiation, wave action, physical, chemical and biological processes, the bulk plastic materials are prone to aging and disintegration, leading to the formation of plastic debris in large quantities, ranging from a few meters to micro- or nanometers.<sup>4</sup> Microplastics (MPs) refer to plastics with the size ranged from 1 μm to 5 mm, while nanoplastics (NPs) with size below 1 μm.<sup>5</sup> As emerging pollutants, MPs and NPs are easily absorbed and have serious effects on biological functions due to their large specific surface area, small size and powerful biological permeability.<sup>6</sup>

Although it is generally considered that environmental MPs and NPs are mainly concentrated in water pollution, such as serious marine pollution, they are actually everywhere.<sup>7</sup> For example, studies about terrestrial environments have found that microplastics can change the physical properties of the soil and be distributed and mobilized into the aquatic environment and air by wind.<sup>8,9</sup> Small plastic fragments can easily escape from the landfill with the wind dispersal of debris into the air, giving rise to quite a number of air pollution incidents. Observational researches reported that inhalation of plastic particles or fibers, especially through occupational exposure, caused breathing difficulties for workers due to respiratory and interstitial inflammatory reactions.<sup>10</sup> It has been confirmed in existing epidemiological studies that inhalation of particulate matter from air pollution, leads to a gradual increase in the morbidity and mortality of cardiovascular diseases and respiratory-related diseases in adults and children.<sup>11,12</sup> Studies have found that microplastic fibers taken from human lung samples may be inextricably linked to lung-related malignant tumors.<sup>13</sup> At present, microplastics have been found in human feces, colon specimens, placenta and even blood. It has been confirmed that microplastics in the feces of patients with inflammatory bowel disease are 1.5 folds higher than healthy people, and microplastics that entered the bloodstream will flow through the whole body with blood flow.<sup>14,15</sup> Recent evidence

indicated that humans constantly inhale and ingest microplastics by bottled water, food bags, cosmetics, and air exposure. However, whether these contaminants pose a substantial risk to human health is far from understood.<sup>16</sup>

As a common packaging thermoplastic, polystyrene (PS) is rarely recycled due to its light weight and low residual value. The resulting polystyrene micro- and nanoplastics (PS-MPs and PS-NPs) are also difficult biodegraded or photodegraded into the biogeochemical cycle. These plastics are now a major marine drift and cause damage to the digestive system and even the whole body of marine organisms that ingest them.<sup>17</sup> Up to now, there are few studies on the impact of polystyrene microplastics and nanoplastics on terrestrial organisms, especially toxicological studies on the respiratory system. The A549 cell line is a stable cell line originally established by lung cancer tissue transplantation. Some substances can be spread through the alveoli, so it is very similar to human alveolar type II epithelial cell. It is often used as an ideal representative for in vitro studies of pharmacology, toxicology, lung tissue metabolism and lung injury.<sup>18,19</sup>

In this study, the effects of micro- (2  $\mu$ m) and nano- (80 nm) plastics and different functionalized PS-NPs (PS, PS-NH<sub>2</sub> and PS-COOH) on internalization, genotoxicity and cytotoxicity of human alveolar epithelial cells in vitro were investigated and compared. Using A549 cells as a surrogate model for simulated alveolar cells, and the cellular oxidative response was also evaluated. The evaluation of these aims was achieved by flow cytometry, laser confocal microimaging, MTT, micronucleus formation, and reactive oxygen species detection. The outcomes of our study could provide argument regarding the size and surface functionalization effects on the fate and behavior of PS plastics in alveolar epithelial cells, which might offer new opinion on the health risk assessment of PS-MPs and PS-NPs. Our results also could provide evidence for the behavior and effect of PS-MPs and PS-NPs in human lung cells, and may contribute to a better understanding of their potential harm to organisms, so as to avoid the risk of some diseases.

## Materials and Methods

### Polystyrene Plastics and Chemicals

Polystyrene micro- (PS-MPs) and nanoplastics (PS-NPs) with different diameters of 2  $\mu$ m and 80 nm were purchased from Xi'an Ruixi Biological Technology Co., Ltd. Three types of red fluorescent polystyrene nanoplastics (PS-NPs) dispersions (excitation/emission wavelengths: 620 nm/680 nm) were obtained from the Tianjin Base Line Chrom Tech Research Centre (Tianjin, China). They were pristine polystyrene (PS), amino functionalized polystyrene (PS-NH<sub>2</sub>) and carboxy functionalized polystyrene (PS-COOH) nanoplastics with an average size of 80 nm. All micro- and nanoplastics mentioned were uniformly spherical. 3-(4, 5-Dimethylthiazol-2-yl)-2, 5-Diphenyltetrazolium Bromide (MTT) (T100896) was purchased from Aladdin Biochemical Technology Co., Ltd. (Shanghai, China). Reactive Oxygen Species (ROS) detection kit (The 6-carboxy-2',7' dichloro-dihydrofluorescein diacetate (DCFH-DA)) was obtained from Beyotime Biotechnology (Shanghai, China). Cytochalasin B (C6762) was purchased from Sigma (New Jersey, America).

### Physicochemical Characterization of PS-MPs/NPs Dispersions

As a non-invasive method, dynamic light scattering (DLS) has been widely used to measure the shape, size and particle size distribution of suspended particles or polymers in solution.<sup>20</sup> Five types of PS plastics were separately suspended in different media (double-distilled water (ddH<sub>2</sub>O), DMEM with serum or without) to get a final concentration of 50  $\mu$ g/mL. Subsequently, the solutions were rapid ultrasound (40 kHz) for 5 minutes, the zeta potential and hydrodynamic particle size of them were measured via DLS using a Zetasizer Nano-ZS (Malvern Instruments, Malvern, UK). PS-MPs (2  $\mu$ m) and PS-NPs (80 nm) separately suspended in ddH<sub>2</sub>O were sonicated for 5 minutes, a clean thin copper sheet was glued to the conductive adhesive, the solutions were dripped onto the copper sheet of a small amount by several times, waiting for the solvent to evaporate and dry completely, gold spraying was performed followed by scanning electron microscopy (SEM, Zeiss Sigma 300, Germany) to evaluate their morphology and degree of aggregation.

### Cell Culture

Adenocarcinomic human alveolar basal epithelial cells (A549 cells) were purchased from the National Collection of Authenticated Cell Cultures (Shanghai, China), and cultured in Dulbecco's Modified Eagle's Medium (DMEM, Hyclone,

USA) supplemented with 10% fetal bovine serum (FBS, Gibco, USA) and 100 U/mL penicillin/streptomycin (Hyclone, USA) at 37°C under a humidified atmosphere with 5% CO<sub>2</sub>.

## Cellular Uptake of PS-MPs/NPs Detection

A549 cells were cultured and incubated with three kinds of fluorescent PS-NPs (pristine PS, PS-COOH and PS-NH<sub>2</sub>) (200 µg/mL) separately for 24 hours, fluorescence microscope (LEICA DMI 4000B, Leica, Germany) and laser scanning confocal microscope (FV3000, Olympus, Japan) were used to observe the uptake of the above PS-NPs by A549 cells visually.<sup>21</sup> Based on the principle of flow cytometry, cell surface or internal structural characteristics like cellular granularity can be indicated by the side scattered (SSC) lights.<sup>22</sup> Thus, flow cytometry was used to reflect the uptake of particles through the increase in cellular granularity. A549 cells were exposed to five kinds of PS-MPs/NPs of increasing concentrations (0, 5, 25, 100 µg/mL) separately for 24 hours. After treatment, cells were rinsed three times with Phosphate buffer saline (PBS, Gibco, USA), detached enzymatically, subsequently resuspended in PBS (100 µL). The cellular granularity and cellular fluorescence intensity were determined by flow cytometry (BD Biosciences, New Jersey, USA) outfitted with an air-cooled laser providing 15mW power at 488 nm to reflect the accumulation of corresponding PS-MPs/NPs in A549 cells. For all experiments, signals from 10,000 particles were collected and data were analyzed with BD Accuri C6 software (BD Biosciences, New Jersey, USA).

## Cell Viability

The effects of PS-MPs/NPs on A549 cells viability was measured by the 3-(4, 5-dimethylthiazol-2-yl)-2, 5-diphenyltetrazolium bromide (MTT) colorimetric assay.<sup>23</sup> Working concentrations of five types of PS-MPs/NPs were compounded by diluting the original solutions (10 mg/mL) with DMEM (complete growth medium), then rapidly sonicated (40 kHz) for 10 minutes before use. Cells were cultured in 96-well plates and pre-cultured with 100 µL complete growth medium for 24 hours, treated with various concentrations of the above sonicated solutions (2.5, 5, 10, 25, 50, 100, 200 and 400 µg/mL) separately. After 24 hours incubation, the PS-MPs/NPs solutions were removed, cells were washed with PBS for three times, 100 µL of MTT working solution was added to each well, cells were incubated for 4 hours at 37 °C. MTT solution was replaced with 100 µL DMSO (MP Biomedicals, USA) for a further 30 mins incubation. The number of living cells was measured by the absorbance at 490 nm using a microplate reader (Molecular Devices, Sunnyvale, CA). Tests were performed in triplicate.

## Assays for Detecting the Genotoxicity

To verify the genotoxicity caused by five kinds of PS-MPs/NPs, the micronucleus (MN) formation assay was employed here.<sup>24</sup> Briefly, the A549 cells were separately incubated with different concentrations of PS-MPs/NPs (0, 50, 100 µg/mL) for 24 hours, washed with PBS three times, and re-seeded onto culture dishes (30mm diameter). The degree of micronuclei form was detected by the cytokinesis-block technique exploited by Michael and Alexander.<sup>25</sup> The MN in the binucleated cells were distinguished by the criterion of Fenech using a fluorescence microscope (Olympus). At least 1000 binuclear cells were selected to evaluate the formation of micronuclei in each group, and the number of MN in the untreated group was normalized, the final result was showed as fold increase compared with the untreated group.

## Reactive Oxygen Species Analysis

The production of intracellular reactive oxygen species (ROS) was measured by a ROS detection kit (DCFH-DA). Briefly, exponentially growing A549 cells were seeded in 96-well plate at a density of 10<sup>4</sup> cells per well and continuously incubated for 24 hours. After individual incubation with 80 nm PS-NPs and 2 µm PS-MPs (100, 200 and 400 µg/mL) for 6 hours, or with three kinds of 80 nm fluorescent PS-NPs (pristine PS, PS-COOH and PS-NH<sub>2</sub>) of 100 µg/mL for 6, 9 and 24 hours (100 mM H<sub>2</sub>O<sub>2</sub> treatment for 15 mins served as the positive control), all of the cells were washed thrice with D-Hank's buffer added with 1% fetal bovine serum (FDBS), stained with CM-H<sub>2</sub>DCFDA ROS probe of 20 µM for 1 hour at 37 °C, washed again with icy test medium to wipe off spare dye. Fluorescence intensity was measured using a fluorescence spectrophotometer (GLOMAX<sup>®</sup> NAVIGATOR, Promega, USA) with an



excitation/emission wavelength of 495/515 nm. The relative rise in ROS content were showed as fold increases related to control.

## Statistical Analysis

All data were expressed as mean  $\pm$  standard deviations (SDs). Using Origin 8.0 software for Student's *t*-test and one-way analysis of variance (ANOVA) between the different groups. The statistically significant differences indicate a *p*-value of less than 0.05.

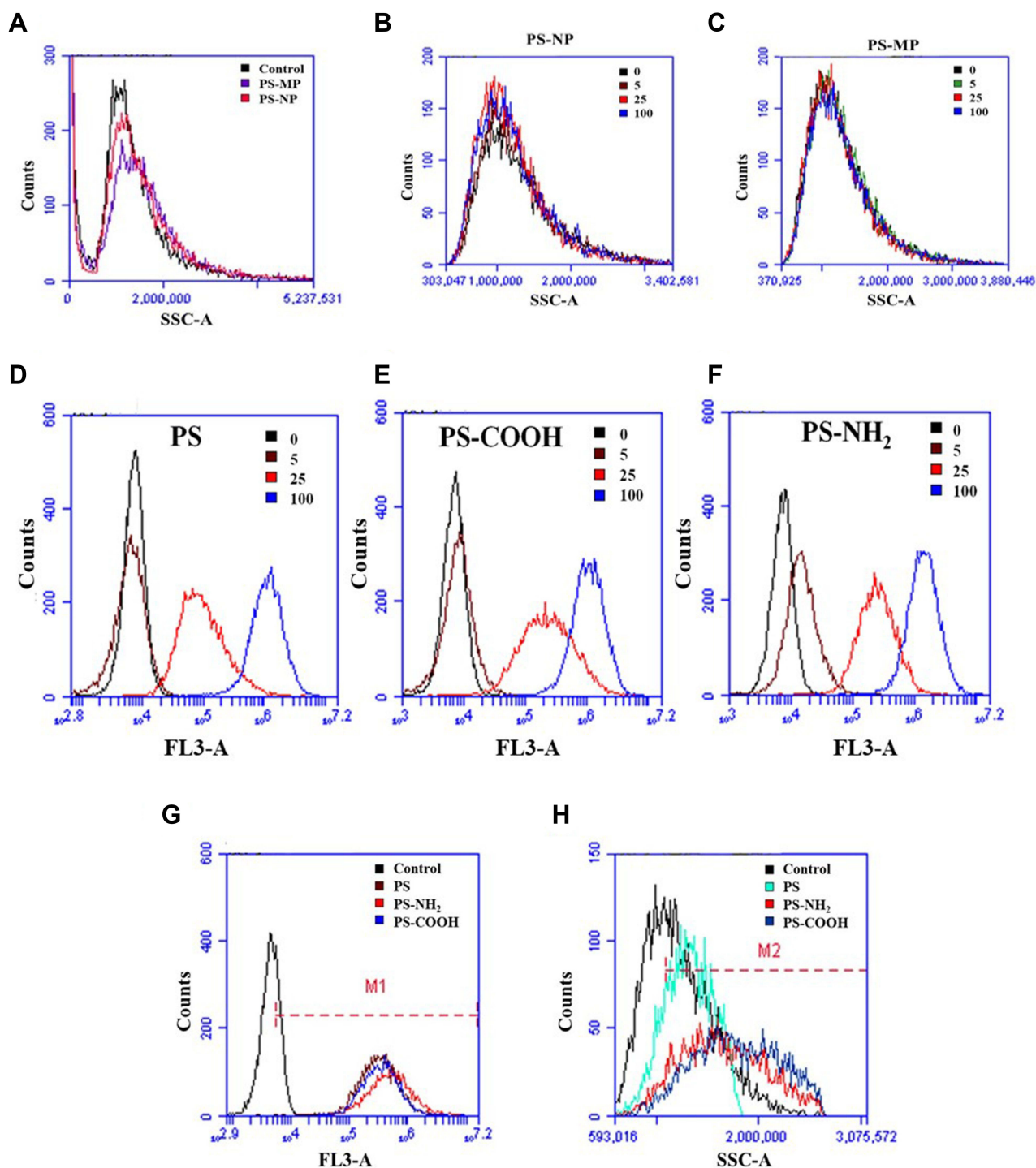
## Result

### Physicochemical Characterization of PS-MPs/NPs Aqueous Dispersions

The surrounding media of PS-MPs or PS-NPs affect the biological effects and bioavailability of particles to a large degree. We measured the zeta potential and hydrodynamic particle size of five kinds of PS plastics firstly. From [Supplementary Tables S1](#) and [S2](#), it was shown that all of the PS-MPs and PS-NPs suspended in different solutions were all negatively charged. The zeta potential values of 80 nm and 2  $\mu$ m PS plastics in distilled water were  $-38.50 \pm 10.929$  mV and  $-31.23 \pm 1.415$  mV, respectively, indicating good dispersibility of both PS plastics. This result was confirmed by the SEM images ([Figure S1](#)). However, when dispersed in DMEM medium, especially in the FBS-containing medium, the zeta potential values of five PS-MPs and PS-NPs increased in varying degrees, together with the increase of PDI values, which indicated that the dispersion of five kinds of plastics had become particularly unstable. The average hydrodynamic size determined by cumulant analysis also confirmed the above outcome by showing that all plastics average size increased dramatically in DMEM medium (with or without FBS) when compared with the data from distilled water. It is worth noting that with the presence of fetal bovine serum in DMEM medium, the average size of all plastics decreased to a certain extent. For example, with the addition of FBS, the average size of 80 nm PS-MPs decreased from  $203.0 \pm 3.959$  to  $123.9 \pm 1.852$  nm, while 2  $\mu$ m PS-MPs decreased from  $3494 \pm 406.8$  to  $2423 \pm 424.1$  nm.

### Cellular Uptake of PS-MPs/NPs

Flow cytometry analysis was performed to evaluate the internalization efficiency of PS-MPs and PS-NPs by A549 cells. The cellular granularity revealed the amounts of PS-MPs accumulated by cells. The intracellular localization and cellular amount always determine the biological responses of particles with low degradability and solubility (such as PS-NPs).<sup>26</sup> In the present study, although the intracellular granularity of A549 cells exposed to 80 nm PS-NPs was slightly higher than control group ([Figure 1A](#)), both of the 80nm PS-NPs and 2  $\mu$ m PS-MPs were not found to accumulate in the A549 cells by a dose-dependent manner ([Figure 1B and C](#)). As shown in [Figures 2](#) and [S2](#), laser scanning confocal microscope and fluorescence microscope were used to monitor the uptake of three types of red fluorescent PS-NPs by A549 cells. In comparison, regardless of the surface functionalization, widely distributed red fluorescence was uniformly observed when cells were co-incubated with PS, PS-NH<sub>2</sub> and PS-COOH NPs respectively, which indicated that the three kinds of PS-NPs had indeed entered the A549 cells and localized intracellularly, particularly in the cytoplasm. Flow cytometry analysis was also used to study the internalization potency of these PS-NPs by A549 cells. The average fluorescence intensity and cellular granularity pointed out the number of polystyrene nanoplastics internalized by cells. As shown in [Figure 1D–F](#), three PS-NPs with different modification were showed to accumulate in the A549 cells by a dose-dependent way. In addition, it has been proven that the biological behavior of NPs can be changed by their surface functionalization.<sup>27</sup> In the present study, it was found that the average fluorescence intensity and intracellular granularity of A549 cells incubated with PS-NH<sub>2</sub> or PS-COOH, showed obvious increase when compared with PS treated group ([Figure 1G and H](#)). In particular, the PS-NH<sub>2</sub> treated cells showed the maximum increase in cellular fluorescence intensity ( $7.03 \times 10^5$ ), which was nearly twice that of the PS group ( $4.11 \times 10^5$ ). It was indicated that surface functionalization of polystyrene nanoplastics promoted the internalization process of A549 cells to them.

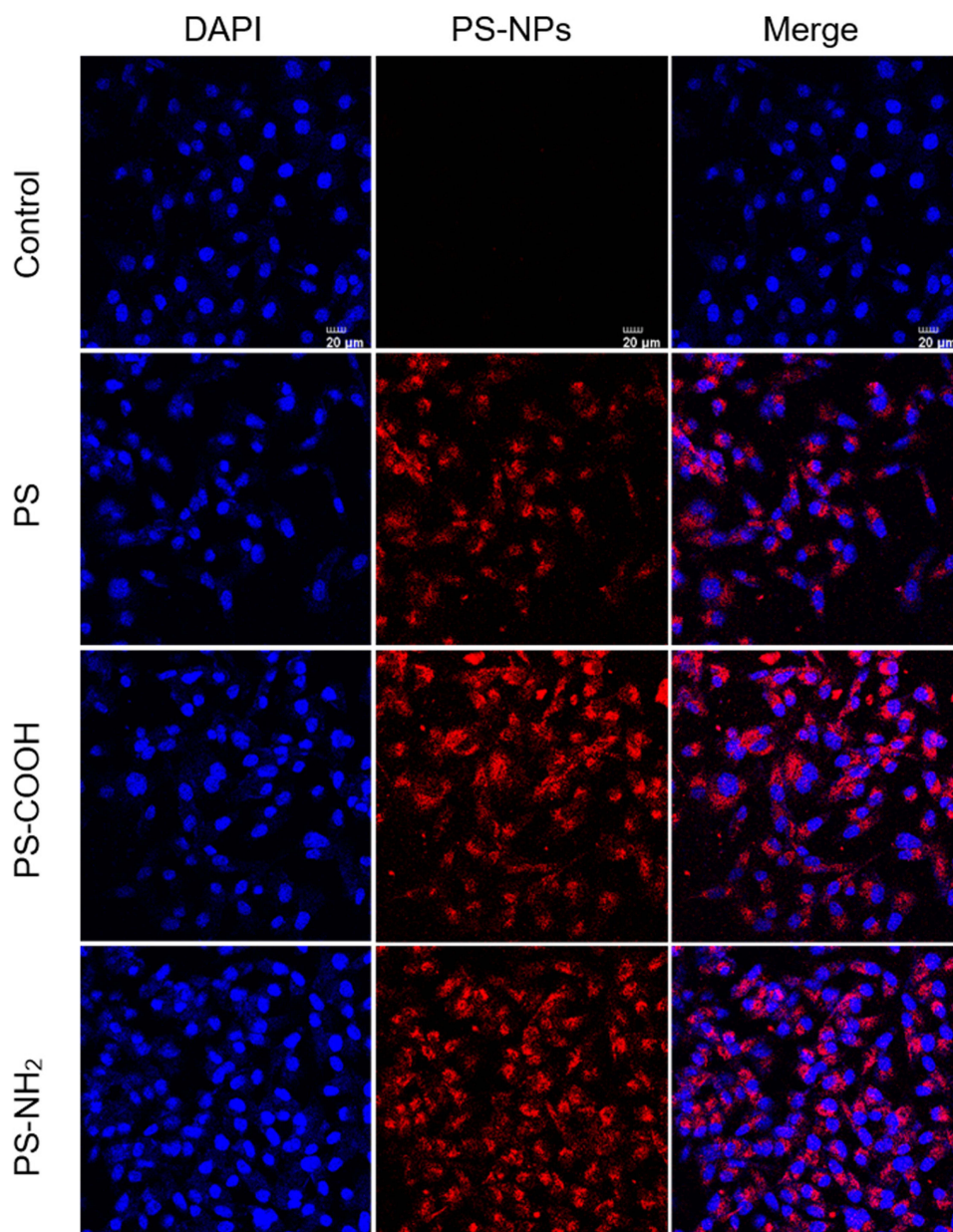


**Figure 1** Uptake of PS-NPs and PS-MPs by A549 cells was assessed using flow cytometry.

**Notes:** (A) For quantitative analysis, A549 cells were seeded in 6-well plates and incubated with two kinds of PS plastics (50 µg/mL) separately for 24 h. A comparison of the cellular granularity between 80 nm and 2 µm PS-MPs was determined by flow cytometry. (B) and (C) A549 cells were seeded in 6-well plates and treated with two kinds of PS plastics of increasing concentrations (0, 5, 25, 100 µg/mL) separately for 24 h. The correlation between cellular granularity and the concentrations of 80 nm PS-NPs or 2 µm PS-MPs was determined by flow cytometry. (D–F) For quantitative analysis, A549 cells were exposed to three kinds of red fluorescent PS-NPs (0, 5, 25 and 100 µg/mL) for 24 h. The cellular granularity and cellular fluorescence intensity were determined by flow cytometry. (G and H) Treatment groups for different types of red fluorescent PS-NPs.

## Cell Viability of PS-MPs/NPs on A549 Cells

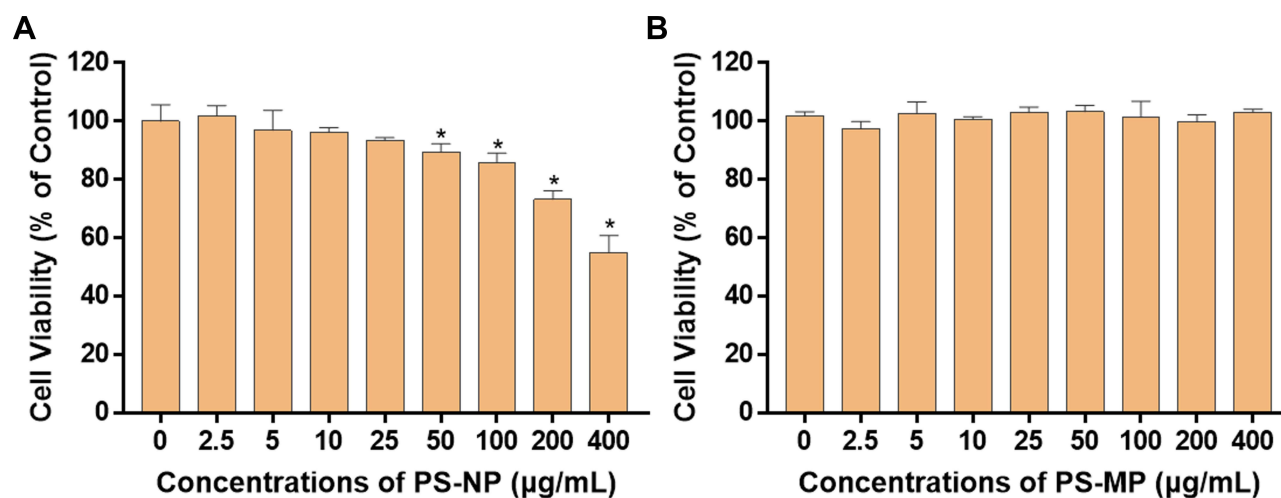
As shown in Figure 3, in comparison, 80 nm PS-NPs (2.5 to 400 µg/mL) reduced the cell viability in a dose-dependent way. A 80 nm PS-NPs at a concentration of 25 µg/mL decreased the cell viability to  $93.5 \pm 0.93\%$ , while at higher



**Figure 2** Uptake of three kinds of red fluorescent PS-NPs by A549 cells was assessed using laser scanning confocal microscope.

**Notes:** A549 cells were seeded in laser confocal culture dishes and incubated with three kinds of PS-NPs (200  $\mu\text{g/mL}$ ) separately for 24 h. Blue color indicates cell nucleus and red color indicates fluorescent PS-NPs. Scale bar, 20  $\mu\text{m}$  for all images.

exposure doses of 50 to 400  $\mu\text{g/mL}$ , the cell viability was reduced to  $89.3 \pm 2.91\%$ ,  $85.9 \pm 3.20\%$ ,  $73.2 \pm 3.00\%$  and  $55.0 \pm 5.77\%$ , respectively (Figure 3A). By contrast, there was no virtual difference on the cell viability between 2  $\mu\text{m}$  PS-MPs treated group and the control under all concentrations, indicating that 2  $\mu\text{m}$  PS-MPs had almost no cytotoxicity in A549 cells (Figure 3B). The results demonstrated that PS-NPs with smaller size (80 nm), triggered more cytotoxic effect than their larger ones. As shown in Figure 4, compared to the control, all three kinds of red fluorescent PS-NPs (2.5 to 400  $\mu\text{g/mL}$ ) decreased the cell viability via a dose-dependent manner. The cell viability of PS below 25  $\mu\text{g/mL}$  had almost no effects. A 100  $\mu\text{g/mL}$  PS decreased the cell viability to  $83.9 \pm 3.62\%$ , while at higher exposure doses (200 to 400  $\mu\text{g/mL}$ ), the cell viability was greatly reduced to  $71.4 \pm 7.48\%$  and  $48.7 \pm 9.59\%$ , respectively (Figure 4A). Compared to the PS, PS-NH<sub>2</sub> and PS-COOH demonstrated more serious cytotoxicity in A549 cells. When 50, 100 and 200  $\mu\text{g/mL}$  PS-COOH were used to co-incubate with the cells, the cytotoxicity was



**Figure 3** Effect of 80 nm PS-NPs or 2 μm PS-MPs on the A549 cell viability.

**Notes:** Cells were treated with graded doses of (A) 80 nm PS-NPs and (B) 2 μm PS-MPs (2.5 to 400 μg/mL) for 24 h. Data were pooled from at least three independent experiments and analyzed using Student's *t*-test. A *p*-value of less than 0.05 was considered statistically significant and marked with asterisk (\**P* < 0.05). Error bars indicate SD.

1.77, 1.67, and 1.33 folds higher than that of the same concentration of PS, respectively (Figure 4B). When 50, 100, 200 and 400 μg/mL PS-NH<sub>2</sub> cells were exposed to the cells, the reduction in cells viability was 2.49, 2.11, 1.62 and 1.30 folds superior than that of same concentration of PS (Figure 4C). The results demonstrated that surface functionalized nanoplastics, especially the PS-NH<sub>2</sub>, seemed to trigger the most severe cytotoxic effect among three of the PS-NPs.

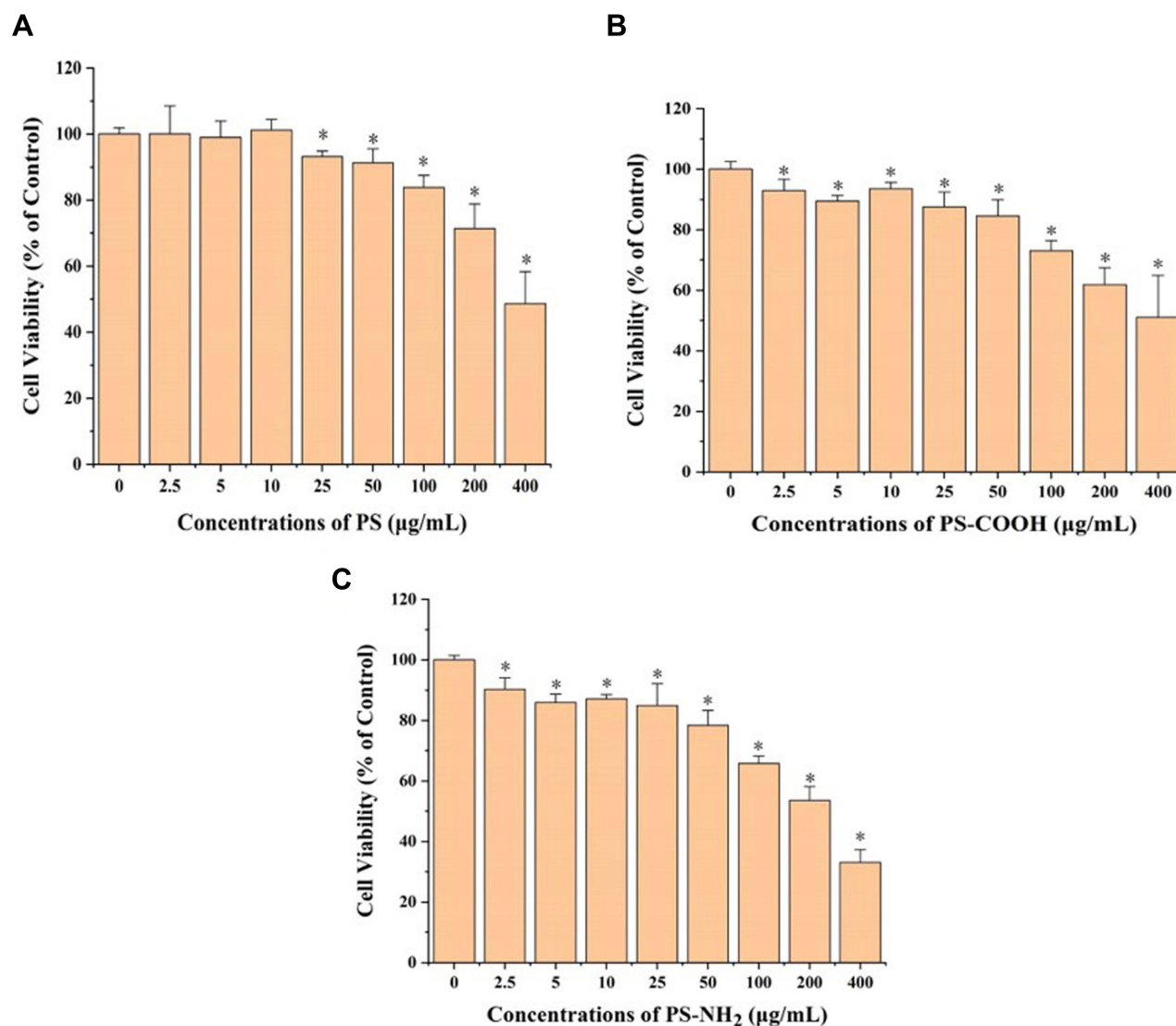
### Effect of PS-MPs/NPs on A549 Cells Genotoxicity

To furthermore research the effect of five kinds of PS plastics on the genotoxicity of A549 cells, the formation of micronuclei (MN) was determined. The average background value of MN proportion was  $7 \pm 2$  per  $10^3$  binucleated cells (Figure 5A and B). As shown in Figure 5C, in comparison with the control group, treatment of cells with 80 nm PS-NPs and 2 μm PS-MPs at a dose of 50 μg/mL led to the increase in MN fractions by  $1.33 \pm 0.269$  and  $1.38 \pm 0.243$  folds, respectively. When cells were exposed to 100 μg/mL PS-NPs (80 nm), compared with the control the folds of MN fractions were statistically increased to  $2.10 \pm 0.178$ . It is indicated that the induction of micronuclei in cells treated with 80 nm PS-NPs was dramatically enhanced by a dose-dependent way. Compared the effects of above two kinds of PS plastics, it was shown that 80 nm PS-NPs at higher concentration induced more MN production in A549 cells, suggesting that 80 nm PS-NPs were more genotoxic to human A549 cells than 2 μm PS-MPs, which was in line with the cell viability results. To further study the role of surface modification of PS-NPs on the genotoxicity of A549 cells, the formation of micronuclei (MN) was also used. As shown in Figure 5D, in comparison to control, treatment of cells with 50 μg/mL PS, PS-NH<sub>2</sub> and PS-COOH led to the increase in MN fractions by  $1.14 \pm 0.143$ ,  $3.48 \pm 0.705$  and  $4.05 \pm 0.577$  folds, respectively. When cells were treated by PS, PS-NH<sub>2</sub> and PS-COOH at 100 μg/mL, the folds of MN fractions were statistically increased to  $2.33 \pm 0.0825$ ,  $3.81 \pm 0.644$  and  $4.86 \pm 0.515$ , respectively. It is indicated that the induction of micronuclei in cells exposed to PS, PS-NH<sub>2</sub> and PS-COOH was significantly enhanced through a dose-dependent way. Meanwhile, compared the roles of the three kinds of PS-NPs, it was shown that PS-NH<sub>2</sub> and PS-COOH induced more MN production in A549 cells than PS. The above results demonstrated that surface functionalized nanoplastics, especially the PS-NH<sub>2</sub>, were more genotoxic to human A549 cells in comparison to the unfunctionalized PS, which was consistent with the conclusion of the cell viability experiment.

### PS-MPs/NPs Exposure Induce Oxidative Stress

The reactive oxygen species (ROS) play a vital function in mediating the cellular oxidative damage and toxic response to many xenobiotic substrates. Therefore, the content of intracellular ROS was detected. A fluorescent probe particular to



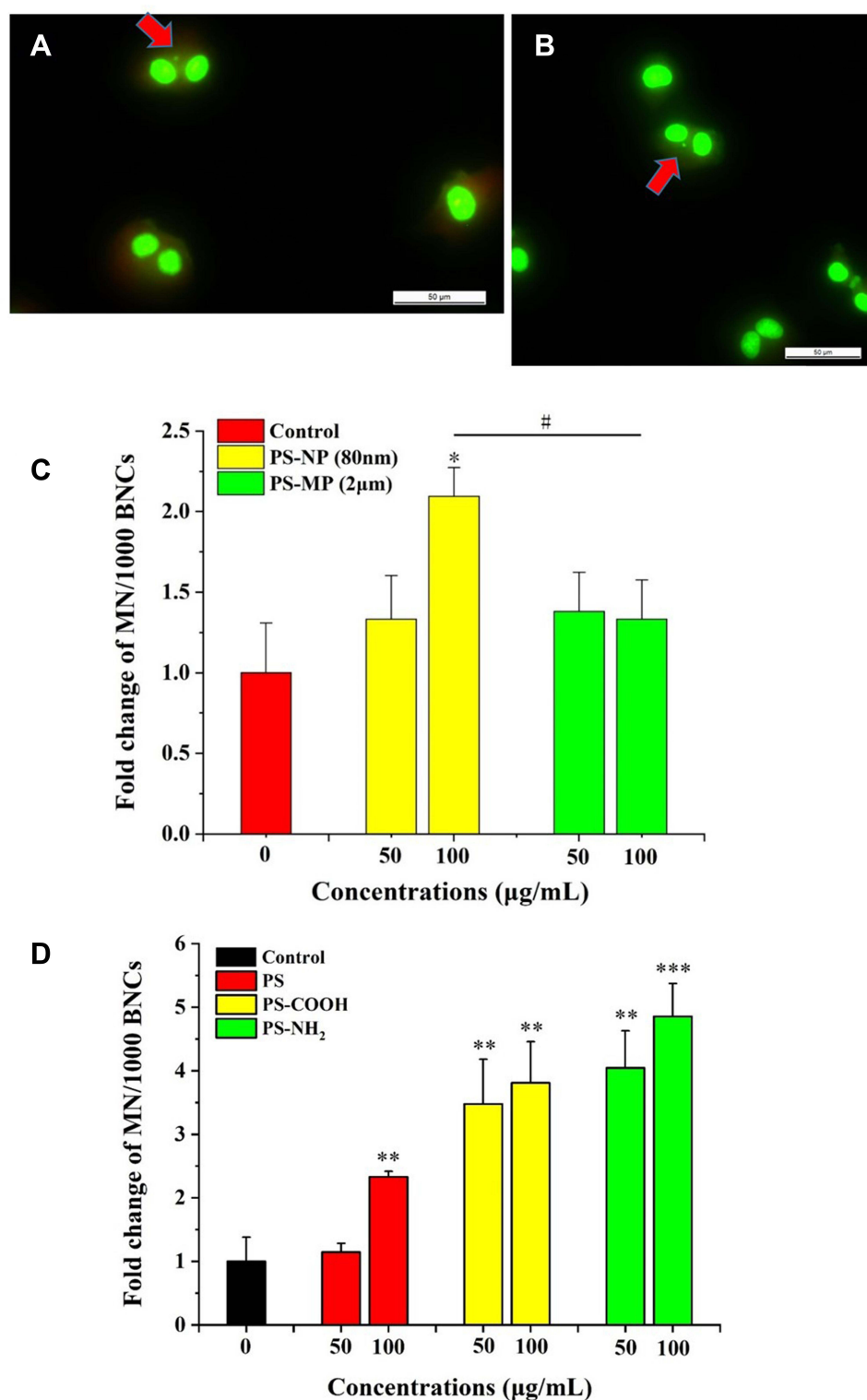


**Figure 4** Effect of three kinds of red fluorescent PS-NPs on the A549 cells viability.

**Notes:** Cells were treated with graded doses of PS (A), PS-COOH (B) and PS-NH<sub>2</sub> (C) (2.5 to 400 µg/mL) for 24 h. Data were pooled from at least three independent experiments and analyzed using Student's *t*-test. A *p*-value of less than 0.05 was considered statistically significant and marked with an asterisk. Error bars indicate SD.

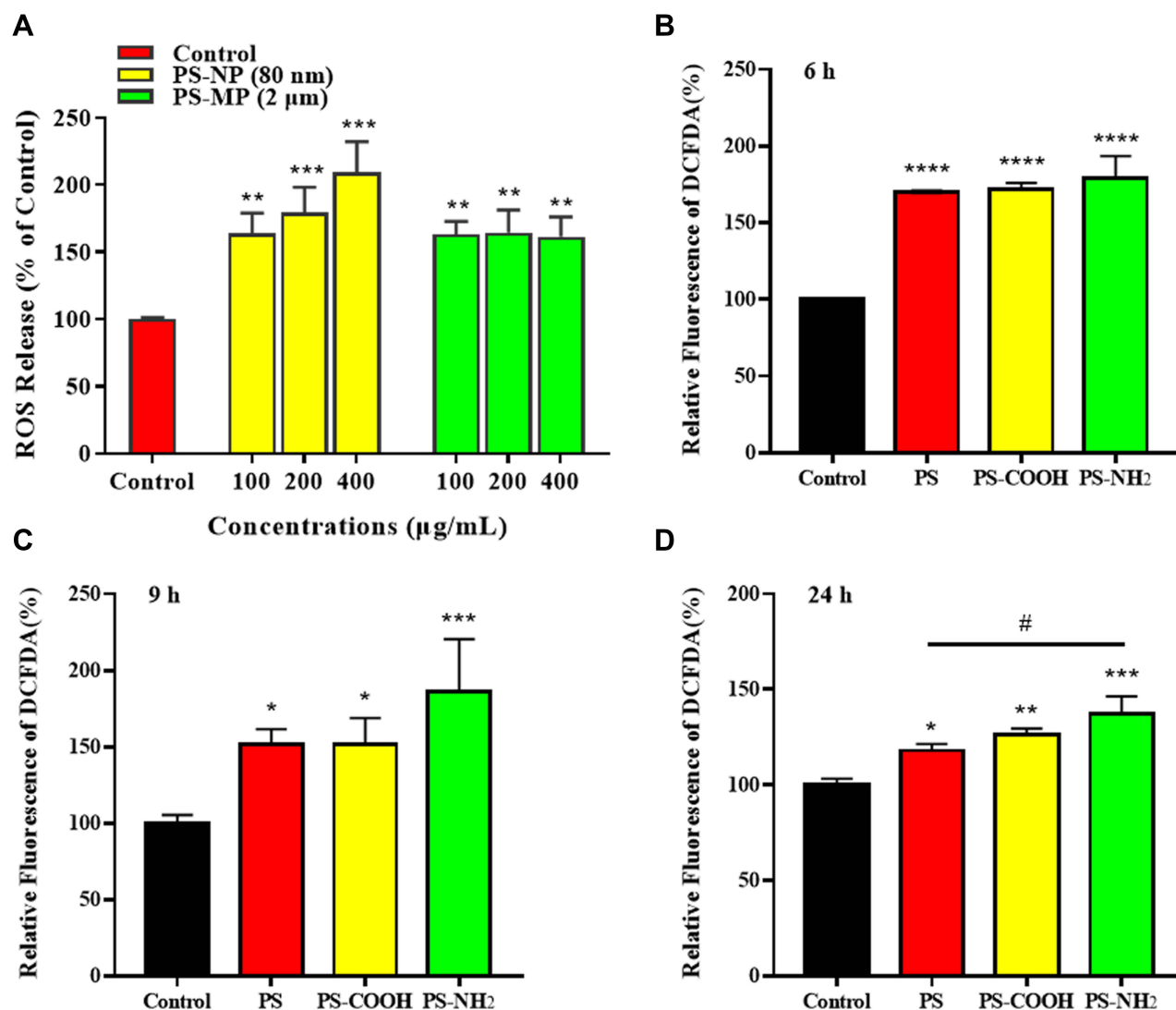
ROS revealed that individual incubation of cells with 80 nm PS-NPs and 2 µm PS-MPs produced different levels of ROS accumulation in A549 cells (Figure 6A). The increase in ROS content when cells were exposed to 80 nm PS-NPs at doses of 100, 200, 400 µg/mL for 6 hours were  $1.64 \pm 0.123$ ,  $1.79 \pm 0.154$  and  $2.10 \pm 0.159$  folds higher than that of the control, respectively. In comparison, 2 µm PS-MPs at 100, 200 and 400 µg/mL increased the ROS production of A549 cells by around 1.60 folds, not showing the similar dose-dependent effect as 80 nm PS-MPs. These results also revealed that 80 nm PS-MPs triggered greater oxidative damage than 2 µm PS-MPs, which was in accordance with the conclusion of cell viability and genotoxicity results. A fluorescence probe specific for ROS revealed that exposure to cells with three kinds of differently surface modified PS-NPs produced different levels of ROS accumulation in A549 cells, also showing a time-dependent manner. The increase in ROS content when cells were exposed to PS, PS-COOH and PS-NH<sub>2</sub> for 6 hours were 1.70, 1.72 and 1.79 folds upper than the control (Figure 6B). However, the degree of increase in ROS content was reduced with time extension (Figure 6C and D), indicating that enhancement of ROS production was negatively related to the treating time. Above all, our results revealed that at a higher incubation dose of 100 µg/mL, PS-NH<sub>2</sub> invited the most serious oxidative damage compare with PS or PS-COOH, which was in accordance with the cell viability and





**Figure 5** Effect of PS-NPs or PS-MPs on induction of micronucleus formation in A549 cells.

**Notes:** (A and B) Morphology of micronuclei. (C) Cells were treated with graded doses of 80 nm PS-NPs or 2  $\mu$ m PS-MPs (0, 50, 100  $\mu$ g/mL) for 24 h. (D) Cells were treated with graded doses of PS, PS-COOH and PS-NH<sub>2</sub> (0, 50, 100  $\mu$ g/mL) for 24 h. Data were pooled from at least three independent experiments and analyzed using ANOVA. A *p*-value of less than 0.05 was considered statistically significant (\*\*\**P* < 0.001, \*\**P* < 0.01, \**P* < 0.05, #*P* < 0.05). Error bars indicate SD.



**Figure 6** Relative ROS production in A549 cells treated with (A) PS-NPs and PS-MPs (80 nm and 2 μm) or 100 μg/mL PS, PS-COOH and PS-NH<sub>2</sub> for (B) 6, (C) 9 and (D) 24 h individually using the DCFH-DA assay.

**Notes:** Data were pooled from at least three independent experiments and analyzed using ANOVA. A *p*-value of less than 0.05 was considered statistically significant (\*\*\*\**p* < 0.0001, \*\*\**p* < 0.001, \*\**p* < 0.01, \**p* < 0.05, #*p* < 0.05). Error bars indicate SD.

genotoxicity results. What is more, ROS amount could have been generated in a relatively short period of time, but would decrease along with the time prolongation.

## Discussion

The transport, fate, and behavior of PS-MPs or PS-NPs are key factors influencing their bioavailability/uptake/toxicity consequence. This has been confirmed by previous studies that it is not only dependent on the intrinsic physicochemical properties and surface modifications of PS-MPs/PS-NPs (eg hydrophobicity, charge, functional groups and so on),<sup>28,29</sup> but also on the interactions between PS-MPs/PS-NPs and different substances present in the receiving medium.<sup>30</sup> Based on this, the size distribution and zeta potential of five kinds of PS plastics suspended in different solutions were measured. The zeta potential in ddH<sub>2</sub>O showed that all of the PS plastics were negatively charged, together with the low polydispersity index (PDI), indicating that PS plastic particles tended to repel each other and that aggregation would be prevented. Indeed, both PS-MPs and PS-NPs, especially 80 nm PS-NPs, only aggregated slightly into larger complexes in ddH<sub>2</sub>O, exhibiting relatively good monodispersity. This is consistent with the findings of Sun et al who found that microplastics were more stable in freshwater due to the structural

layer forces and strong Brownian motion, but preferentially aggregated while transport through eutrophication or brackish water.<sup>31</sup> The dispersion of PS-MPs or PS-NPs is dependent on numerous factors such as pH, ionic strength, the presence of organic substances, natural colloids, as well as particle size and surface chemistry.<sup>32–34</sup> The aggregation and instability of PS-MPs and PS-NPs in DMEM media may be attributed to the screening effect of particle surface charges due to the high ionic strength existed in the media, and also by other compounds or proteins in the surroundings.<sup>35</sup> Wang et al investigated the stability and aggregation of PS-NPs under different hydrochemical conditions and founded the rapid aggregate of PS-NPs in media with high ionic strength or high natural organic matter.<sup>36</sup> Interestingly, we also found that the presence of FBS remarkably reduced the average size of five PS plastics to a certain extent in the cell culture medium. It is well known that in biological fluids, particles can adsorb proteins and other biomolecules on their surface, forming a so-called protein corona.<sup>37</sup> The decrease in plastic particle size was probably a consequence of the electrostatic repulsion between the protein corona.<sup>38</sup> In conclusion, when PS-MPs and PS-NPs characterization is performed in complex media, the media selection and related parameters should always be considered, since parameters like ionic strength, pH value, salt and the existence of other proteins play important roles in studying the plastic particle behavior and their potential toxicity.

The physicochemical properties of PS-MPs or PS-NPs and their large surface area provide convenience for their interaction with cell membranes, which subsequently leads to the internalization of PS-MPs or PS-NPs by the cells. The uptake process is closely related to the fate of internalized plastic particles, also responsible for their consequent cytotoxicity.<sup>39</sup> Therefore, it is crucial to determine the routes of uptake and further intracellular trafficking as well as the fate of the PS-MPs and PS-NPs. In this study, only 80 nm PS-MPs were observed to slightly accumulate in A549 cells, suggesting that nano-sized PS-NPs were absorbed more easily than micro-sized PS-MPs in A549 cells. It was confirmed by Xu's study that smaller particle size is more conducive to the uptake of NPs by cells.<sup>40</sup> While 2  $\mu$ m PS-MPs showed almost no intracellular accumulation due to their large size and severe aggregation. In addition, the difference in uptake between larger and smaller particles may be due to the opportunity of the particle contacts with the cell, since the particle number per unit volume of 80 nm PS-NPs is much higher than 2  $\mu$ m PS-MPs.<sup>41</sup> However, both of the PS plastics were not observed to accumulate in the A549 cells in a dose-dependent way. With the increasing of the concentrations, the intracellular accumulation of both PS plastics was not increased gradually, however, showed some decrease probably as a result of particle release process. A series of time points need to be further considered to study the internalization process of PS-MPs and PS-NPs. For further study, three kinds of functionalized PS-NPs were found to be taken up by A549 cells with different efficiencies. Cells showed significantly higher efficiencies in internalizing amino-modified and carboxy-modified polystyrene nanoparticles than the plain PS-NPs. This observation was consistent with the conclusion from He's study that more intracellular accumulation of PS-NH<sub>2</sub> and PS-COOH than PS in HepG2 cells.<sup>42</sup> Surface functionalization may enhance the ionic interactions between the PS-NPs and the cell membrane.<sup>43</sup> It was reported that the PS-NH<sub>2</sub> beads combined the cells tightly due to electrostatic attraction, inducing higher accumulation and toxicity than other PS beads that contacted the cells loosely by the Van der Waals forces, acid-base interactions and electrostatic forces.<sup>44</sup> The study of Kimiko et al suggested that the preference of cells to ingest charged particles could also be due to the greater softness of amine and carboxyl-functionalized particles compared to plain ones.<sup>45</sup> Therefore, the surface functionalization facilitated the internalization process of PS-NPs by A549 cells in our study. Above all, it is suggested that the size and aggregation of PS-MPs and PS-NPs, their interaction with the cell membrane, and the different surface functionalization might affect the cellular uptake of PS-MPs and PS-NPs particles and even the consequent toxicity.

Uptake, localization and toxicity were the main assessed targets, however so far, the potential genotoxicity of PS-NPs has rarely been evaluated. Genotoxicity is a very important toxicological endpoint mainly used as a surrogate biomarker of carcinogenesis, and other genetic associated pathologies.<sup>46</sup> Accordingly, genotoxicity should also be considered a piece of relevant information in the evaluation of the adverse effects associated with PS-MPs or PS-NPs exposure. Regarding the use of the MN assay (measuring chromosome breaks and/or chromosome loss) to detect the genotoxic potential of polystyrene micro- and nanoparticles, both the studies of Avio and Magni showed that exposure to PS resulted in negative MN induction in the hemocytes of marine mussels.<sup>47,48</sup> Similarly, by evaluating the effects of polystyrene nanoparticles on human intestinal epithelial Caco-2 cells, Cortés's study showed the absence of MN induction and intracellular presence of ROS after PS exposure, confirming that PS was unable to induce significant effects on DNA integrity.<sup>49</sup> However, in the present study, exposure to all kinds of the 80 nm PS-NPs led to the increase

in cytotoxicity and genotoxicity of A549 cells in a dose-dependent manner. The reasons for the results should probably due to the intrinsic properties of NPs, specific cell types and different experimental systems. It is worth noting that the surface functionalized nanoplastics, especially the PS-NH<sub>2</sub> triggered more severe toxicity in human A549 cells in comparison to PS. A lot of researches have proved that surface-modified polystyrene nanoparticles can mediate cell death through an apoptotic mechanism, which is mediated by damage to the mitochondria.<sup>40</sup> Since mitochondria are the main organelles that control the generation of ROS, destruction of mitochondria potential will trigger and increase ROS production.<sup>50</sup> Excess ROS may cause oxidative damage to lipids, protein and DNA.<sup>51</sup> It has been documented that the induction of ROS is closely related to the toxicity mechanism of inhaled MPs.<sup>52</sup> In the present study, different levels of ROS accumulation were observed when A549 cells were exposed to 80 nm PS-NPs and 2 µm PS-MPs for 6 hours. Similarly, 80 nm PS-NPs triggered greater oxidative damage than 2 µm PS-MPs, corresponding with the conclusion of cell viability and genotoxicity results. Actually, PS-NPs with smaller size and larger surface area have been reported to induce excessive ROS production.<sup>53,54</sup> What is more, different levels of ROS accumulation were also observed in a time-dependent manner when A549 cells were separately exposed to three kinds of functionalized PS, PS-COOH and PS-NH<sub>2</sub>. The production of intracellular ROS could be very fast, reaching the maximum by the timepoint of 6 h. PS-NH<sub>2</sub> still triggered the greatest oxidative damage among all PS-NPs, which was in line with the cell viability and genotoxicity results. Therefore, our study indicates size- and surface modification-dependent effect of PS-MPs and PS-NPs on oxidative stress related toxic response of A549 cells.

In conclusion, our study suggests that the size and aggregation state, the surface modification of PS-MPs and PS-NPs, internalization efficiency and the cellular accumulation are key factors for evaluating the toxicological effects of PS-MPs and PS-NPs on alveolar epithelial cells. The enhanced cytotoxicity and genotoxicity might be due to the increased intracellular accumulation and oxidative stress as a result of mitochondrial damage. More in-depth research is needed to further elucidate the toxicity mechanisms.

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## Disclosure

The authors report no conflicts of interest in this work.

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