#### ORIGINAL RESEARCH

# Emergence of Ceftazidime–Avibactam Resistance and Decreased Virulence in Carbapenem-Resistant STII Klebsiella pneumoniae During Antibiotics Treatment

Mengxin Xu<sup>1</sup>, Changrui Qian<sup>2</sup>, Huaiyu Jia<sup>1</sup>, Luozhu Feng<sup>3</sup>, Shiyi Shi<sup>1</sup>, Ying Zhang<sup>3</sup>, Lingbo Wang<sup>1</sup>, Jianming Cao<sup>3</sup>, Tieli Zhou<sup>1</sup>, Cui Zhou<sup>1</sup>

<sup>1</sup>Department of Clinical Laboratory, the First Affiliated Hospital of Wenzhou Medical University, Key Laboratory of Clinical Laboratory Diagnosis and Translational Research of Zhejiang Province, Wenzhou, People's Republic of China; <sup>2</sup>School of Basic Medical Sciences, Wenzhou Medical University, Wenzhou, People's Republic of China; <sup>3</sup>Department of Medical Laboratory Science, School of Laboratory Medicine and Life Science, Wenzhou Medical University, Wenzhou, People's Republic of China

Correspondence: Tieli Zhou; Cui Zhou, Department of Clinical Laboratory, the First Affiliated Hospital of Wenzhou Medical University; Key Laboratory of Clinical Laboratory Diagnosis and Translational Research of Zhejiang Province, Wenzhou, 325035, People's Republic of China, Tel +86 0577 8668 9885, Email wyztli@163.com; ZHOUCUI0826@163.com

**Introduction:** Carbapenem-resistant *Klebsiella pneumoniae* (CRKP) poses a serious threat to human public health. Ceftazidime-avibactam (CZA) is currently one of the few effective antibiotics for carbapenem-resistant *Enterobacteriaceae* (CRE).

**Methods and Results:** Here, we analyzed two longitudinal *Klebsiella pneumoniae* clinical isolates (FK8578, FK8695) that were isolated from an ICU patient during antimicrobial treatment. Broth microdilution method, whole-genome sequencing (WGS) and comparative genomic analysis were used to elucidate the dynamics and mechanisms of antibiotic resistance. String test, quantification of capsule, biofilm inhibition test and *Galleria mellonella* (*G. mellonella*) infection model were used to explore the changes in virulence of the two clinical isolates. During antibiotic treatment, CRKP FK8578 underwent a series of drug resistance and virulence changes, including CZA resistance, carbapenem susceptibility and virulence attenuation. The results of WGS showed that mutation of  $bla_{KPC-2}$  to  $bla_{KPC-3}$  was responsible for the change of drug resistance phenotype between FK8578 and FK8695. pLVPK-like virulence plasmid without siderophore synthesis operon was identified in the two strains. On the other hand, the loss of hypermucoviscosity phenotype in the FK8695 strain may be related to a single nucleotide deletion of the *rmpA* gene, which would further lead to a decrease in virulence. Virulence results showed that compared with FK8578, FK8695 was negative in the string test, with decreased capsular production, smaller amounts of biofilm formation and higher survival rate of *G. mellonella*.

**Conclusion:** This is the first report of CZA resistance and decreased virulence in ST11 CRKP strains during antimicrobial treatment. It is urgent to monitor CZA resistance and timely adjust anti-infective treatment strategies.

**Keywords:** ceftazidime–avibactam, carbapenem-resistant*Klebsiella pneumoniae*, whole-genome sequencing, hypermucoviscosity, pLVPK-like plasmid, virulence attenuation

#### Introduction

Owing to the extensive use of carbapenems in clinical practice, the prevalence of carbapenem-resistant *Klebsiella pneumoniae* (CRKP) increased globally, which poses a serious challenge to clinical treatment.<sup>1</sup> CZA is a novel  $\beta$ -lactam and  $\beta$ -lactamase inhibitor combination with the ability to inhibit the activity of AmpC, extended-spectrum  $\beta$ -lactamases (ESBLs), class A carbapenemases such as KPC and class D carbapenemases such as OXA-48 but not class B carbapenemases such as NDM, IMP and VIM<sup>2,3</sup> and has been considered as a last-resort treatment for severe infections caused by carbapenem-resistant *Enterobacterales* (CRE). Since the use of CZA was approved by the United States in

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In addition to CRKP, hypervirulent *K. pneumoniae* (hvKp) is another threat that causes severe community-acquired infections. The hypervirulence of *K. pneumoniae* was contributed to a virulence plasmid pLVPK which harbors capsular polysaccharides regulator genes *rmpA/rmpA2* and some siderophore determinants.<sup>6</sup> Hypermucoviscosity (Hmv) phenotype is usually associated with hvKp, which was caused by the production of capsular polysaccharide (CPS) and the presence of virulence genes such as *rmpACD/rmpA2* and *magA*.<sup>7–9</sup> Recently, the convergence of virulence and carbapenem resistance in *K. pneumoniae* has been reported, especially in China.<sup>10</sup> Carbapenem-resistant hvKp (CR-hvKp) strains mainly belong to the sequence type 11 (ST11) and capsular serotype K47/K64, which originated from the ST11 CRKP obtaining a pLVPK-like virulence plasmid and majority of HvKP belonged to capsular serotype K1 such as ST23, and capsular serotype K2 including ST86, ST65, ST25, and ST375.<sup>11</sup> The superbug has the potential to trigger the next clinical crisis and cause severe, untreatable infections in previously healthy people.

Ceftazidime–avibactam (CZA) has been used as a last-resort option for the treatment of serious infections caused by carbapenem-resistant *Enterobacterales* producing either KPC or oxacillinase 48 (OXA-48)-like carbapenemases, being ineffective against producers of metallo- $\beta$ -lactamases.<sup>12</sup> However, clinical isolates of ST11 CRKP resistant to CZA have been rarely described.<sup>13</sup> In this study, we elucidated the antimicrobial resistance and virulence changes of ST11-CRKP using whole-genome sequencing (WGS) and bioinformatics analysis. The ST11-CRKP strain was longitudinally isolated from ascites samples obtained from patients during 14 days course of CZA-containing antibiotic therapy. In this process, ST11-CRKP underwent a series of phenotypic variations, including resistance to CZA, susceptibility to carbapenems, decreased production of capsular polysaccharides (CPS), decreased number of biofilm formation, and improved survival rate of *G. mellonella*. In this study, we report for the first time the emergence of CZA resistance in ST11 CRKP during CZA treatment. Importantly, we observed attenuation of virulence accompanied by acquisition of antibiotic resistance.

# **Materials and Methods**

#### Bacterial Isolates and Identification

In 2020, a patient was hospitalized in the ICU for septic shock, head trauma, hydrocephalus (after surgery) and other diseases. He underwent a lumbar puncture on the day of admission and was temporarily given meropenem to antiinfective. On the second day, the patient had difficulty breathing suddenly, and emergency endotracheal intubation and ventilator-assisted ventilation were performed, while gram-positive bacteria were covered with linezolid. On day 5, the patient's blood culture revealed *K. pneumoniae* infection. CZA was used instead of meropenem and linezolid for antiinfection. On day 10, the patient underwent peritoneal puncture. The first strain of CRKP (FK8578,  $bla_{KPC-2}$  positive) was isolated from ascitic fluid. The patient's condition was stable and transferred to the hepatobiliary surgery ward. After the patient was treated with CZA for 14 days, FK8695 was isolated from the patient's ascitic fluid, during which time *K. pneumoniae* was isolated from the patient repeatedly. FK8578 and FK8695 were isolated from the patients before and after the drug sensitivity of CZA and carbapenems was changed. The CZA-resistant strain FK8695 and the progenitor strain FK8578 were identified at the species level using a VITEK®MS automated system (BioMérieux, Hazelwood, MO, USA).

## Antimicrobial Susceptibility Testing

The minimum inhibitory concentrations (MICs) of clinical routine antimicrobial agents were performed by broth microdilution method.<sup>14</sup> Clinical routine antimicrobial agents such as ceftazidime–avibactam, ertapenem, meropenem, imipenem, ceftazidime, cefepime, ceftriaxone, levofloxacin, amikacin, gentamicin, colistin, tigecycline. *Escherichia coli* ATCC 25922 were employed as reference strains. The results were interpreted according to 2020 CLSI breakpoints,<sup>15</sup> except for tigecycline and colistin, which were interpreted according to the European Committee on Antimicrobial Susceptibility Testing (EUCAST) guidelines.<sup>16</sup> All experiments are repeated three times.

# String Test

The FK8578 and FK8695 were inoculated on 5% sheep blood plates and cultured overnight at  $37^{\circ}$ C. The colony was pulled up with an inoculation loop to form a line. Hmv was defined as the formation of viscous strings >5 mm in length (positive string test) when the colony was stretched on the plate with a ring.<sup>17</sup>

## Extraction and Quantification of Capsule

The extraction and quantification of uronic acid were performed as previously described, with some modifications.<sup>18,19</sup> Briefly, the culture was grown to an  $OD_{600}$  of 0.2 in fresh media. After 6 h, the adjusted  $OD_{600}$  of the culture was  $1.0 \text{ mL}^{-1}$ . Five hundred microliters of the bacterial suspension was mixed with 100 µL 1% zwittergent 3–14 detergent in citric acid, then incubated for 20 min at 50°C. After centrifugation (14,000 rpm for 2 min). Next, 300 µL of supernatant were added to 1.2 mL of absolute ethanol and then were centrifuged (14,000 rpm, 5min). After resuspension of the pellet in 200 µL of distilled water, to which 1.2 mL sodium tetraborate in sulfuric acid was mixed, the solution was boiled for 5 min and stored on ice for 10 min. 3-Phenylphenol in 0.5% NaOH was added. After 5 minutes, the absorbance was measured at 520 nm. The glucuronic acid content was analyzed from a standard curve of glucuronic acid and expressed as  $\mu g/10^8$ CFU.

## **Biofilm Formation Assays**

The biofilm biomass was analyzed using crystal violet staining, and some modifications were made.<sup>20</sup> Briefly, the culture was grown to 0.5 McFarland, diluted with sterile saline in LB broth, and placed in 96-well microtiter plates. After incubation at 37°C for 24 h, the floating and non-adherent cells were removed by washing them twice with phosphate-buffered saline (PBS). Then, let it dry at room temperature. Next, 200  $\mu$ L of 1% crystal violet (CV) solution (Beijing Solarbio Biotechnology Co., Ltd., China) was added for each well, incubated for 15 minutes, removed CV after staining, and washed 2–3 times with 1× PBS. Two hundred microliters of 95% v/v ethanol (95% absolute ethanol and 5% glacial acetic acid) was dissolved and stained the biofilm at 37°C for 10 min. The absorbance was measured in a microplate reader at 595 nm (Multiskan FC). The experiments were repeated three times.

## Galleria mellonella Infection Model

The changes in virulence were evaluated by the *G. mellonella* infection in vivo model with minor modification.<sup>21</sup> Milkywhite *G. mellonella* larvae with a body weight of 250–300 mg were selected for the experiment. We used 10 *G. mellonella* in each group. We used 70% alcohol to surface sterilize *Galleria mellonella* larvae before the bacteria were injected. Firstly, bacteria were inoculated on blood plates and cultured overnight for 18 to 24h. A single colony was selected from the blood plate and adjusted to 0.5 McFarland with sterile NS. Then, the bacterial suspension was further diluted to  $1.5 \times 10^7$  CFU/mL. Next, *G. mellonella* was injected with 10 µL of bacterial suspension into the last left proleg using a microsyringe. The negative control group received only sterile saline. The experimental group was injected with the corresponding concentration of bacterial suspension. The larvae were placed at 37°C and their survival rate was recorded for 7 days. Larval death was mainly determined by changes in skin color and lack of response to stimuli. Kaplan–Meier method and Log rank test were used to analyze the larval survival rate. All experiments were repeated three times.

# Whole-Genome Sequencing (WGS) and Bioinformatics Analysis

The genomic DNA of FK8578 and FK8695 was extracted using an AxyPrep bacterial genomic DNA miniprep kit (Axygen Scientific, Union City, CA, USA), and subsequently sequenced using a standard run of Illumina HiSeq 2500. FK8695 was further sequenced by Pacific Bioscience (PacBio) systems (Shanghai Personal Biotechnology Co., Ltd. Shanghai, China). Complete genomes were assembled by Canu v2.0<sup>22</sup> and corrected by Pilon v1.24<sup>23</sup> with default parameters. Genome sequences were annotated using Prokka v1.14.5<sup>24</sup> combined with ISfinder<sup>23</sup> (<u>https://www-is.biotoul.</u> fr/), ResFinder<sup>25</sup> (<u>https://cge.cbs.dtu.dk/services/ResFinder/</u>) and Kleborate v2.0.4<sup>26</sup> with default parameters. Variants calling between FK8578 and FK8695 were performed using Snippy v4.6 against the complete FK8578 genome using

default parameters. Plasmid circular map was generated using CGview v2.02.<sup>27</sup> Gene organization diagrams were generated using Python script and edited with Inkscape v1.2 (<u>https://inkscape.org/en/</u>).

## **Conjugation Experiments**

The conjugation experiments were used with rifampicin-resistant *E. coli* EC600 as a recipient strain to explore the transferability of CZA resistance. Briefly, the donor and recipient strain were cultured in Luria broth medium and incubated overnight at 37°C with shaking. Then, a dilution of 1/50 of each donor and recipient strain was made on fresh Luria broth medium (10 mL) and further incubated for 4h at 37°C with shaking. A volume of 1 mL of donor cells and 4 mL of recipient cells was mixed together and incubated for 2h at 37°C without shaking. Transconjugants were screened on Luria–Bertani agar plates containing ceftazidime–avibactam (16 mg/L) and rifampicin (600 mg/L). The plates were incubated overnight at 37°C for 16–18 h. PCR and drug susceptibility testing were performed to verify the presence of  $bla_{KPC}$ . Primers: (KPC-F: 5'-AAGATCTACAACCAAGCATTC- 3'; KPC-R: 5'- CAGACTCCTAGCCTAAATGTGA- 3').

## Access to Data

The genome data of FK8578 and FK8695 have been submitted to NCBI under BioProject accession number PRJNA774952.

## Statistical Analysis

The results were expressed as mean  $\pm$  standard deviation. The significance was determined using Student *t*-test and Log rank test. For all analyses: \**P* < 0.05, \*\**P* < 0.01, and \*\*\**P* < 0.001. Statistical analysis was performed with Prism 8.

# Results

#### Isolate Characterizations

The results of antimicrobial susceptible testing showed that *K. pneumoniae* FK8578 and FK8695 were resistant to ceftazidime, cefepime, ceftriaxone, and levofloxacin; however, it was sensitive to amikacin, gentamicin, colistin, and tigecycline (Table 1). In addition, *K. pneumoniae* FK8695 showed increased CZA-resistance but reduced carbapenem resistance compared with *K. pneumoniae* FK8578. Clinical and microbiological details, timelines, and antibiotic treatments used are shown in Figure 1.

# Decreased Hmv Phenotype and CPS Formation in CZA-Resistant Strain

The Hmv phenotype was observed in the two *K. pneumoniae* strains by string test. The CZA-susceptible strain FK8578 showed a positive string test, whereas the clinical CZA-resistant strain FK8695 showed a negative string test (Figure 2A). Consistent with these results, CPS production by the CZA-resistant FK8695 strain decreased compared with that of the CZA-susceptible FK8578 strain (Figure 2B).

# Reduced Biofilm Formation and Improved G. mellonella Survival Rate

To assess the difference in virulence more closely, Figure 3A depicts the biofilm formation assay results of the two *K. pneumoniae* strains. CZA-susceptible strain FK8578 produced relatively robust biofilms (OD<sub>595</sub>, 3.63). However,

MIC (mg/L) <sup>b</sup>												
<b>S</b> trains <sup>a</sup>	CZA	ETP	MEM	IMP	CAZ	FEP	CRO	LVX	АМК	GEN	COL	TGC
FK8578 FK8695	8 >128	>32 16	>32 2	>32 0.5	>32 >32	>32 >32	>32 >32	>32 >32	4 2	l 2	0.03 0.06	0.5 0.5

 Table I MIC Values of Commonly Used Clinical Antibiotics Against FK8578 and FK8695

Note: <sup>a</sup>Both FK8578 and FK8695 belonged to STII type.

Abbreviations: <sup>b</sup>CZA, ceftazidime-avibactam; ETP, ertapenem; MEM, meropenem; IMP, imipenem; CAZ, ceftazidime; FEP, cefepime; CRO, ceftriaxone; LVX, levofloxacin; AMK, amikacin; GEN, gentamicin; COL, colistin; TGC, tigecycline.



Figure I Time courses of infection and treatment among a patient in whom CZA-resistant K. pneumoniae emerged.



Figure 2 Mucoviscosity and capsular polysaccharide (CPS) production. (A) Mucoviscosity. The string test was used to assess the hypermucoviscosity of K. pneumoniae strains. A string of 5 mm or longer is defined as positive. (B) CPS production of FK8578 and FK8695. \*\*\*P < 0.001.



Figure 3 Biofilm formation ability and Galleria mellonella infection model in K. pneumoniae FK8578 and FK8695 strains. (A) Biofilm formation analysis of K. pneumoniae FK8578 and FK8695. (B) The survival rate of G. mellonella after 7 days on K. pneumoniae FK8578 and FK8695. \*\*\*P < 0.001.

CZA-resistant strain FK8695 had less biofilm formation. Consistent with these results, the virulence levels of FK8578 and FK8695 were further examined in the *G. mellonella* infection model. After *G. mellonella* infected with FK8578  $(1 \times 10^7 \text{ CFU/mL})$  for 24 h, the survival rate of larvae had reached to 30%. However, 70% survival was recorded for FK8695 (Figure 3B), suggesting that FK8578 was phenotypically highly virulent. These results indicated that the CZA-resistant strain FK8695 loss of Hmv and exhibited lower virulence compared with FK8578.

# Whole-Genome Sequencing and Analysis of Antibiotic Resistome

In order to explore the difference of drug resistance and virulence of FK8578 and FK8695. WGS was performed on FK8578 and FK8695 to genetically characterize and explain their resistant phenotype. The complete genome of FK8695 consists of a 5.4 Mbp chromosome and four circular plasmids. The genomic features of FK8695 are presented in Table 2. FK8578 and FK8695 belonged to sequence type ST11 with capsular type KL64 based on in silico analysis. The sequence reads of FK8578 were mapped to the complete genome of the FK8695, and four mutations were identified between them (Table S1). Moreover, by mapping the assembled contigs of FK8578 to the complete plasmid sequences of FK8695, we found that the matching coverage of the two reached 100% (Figure S1). These results indicated that FK8695 was evolved from FK8578 and the plasmids sequences of the two strains were nearly identical.

Twelve antimicrobial resistance genes (ARGs) were identified on FK8578 conferring resistance to aminoglycoside (*aadA2b*),  $\beta$ -lactam (*bla*<sub>LAP-2</sub>, *bla*<sub>KPC-2</sub>, *bla*<sub>CTX-M-65</sub>, *bla*<sub>SHV-11</sub>, *bla*<sub>SHV-12</sub>), fosfomycin (*fosA*), phenicol (*catA2*), fluor-oquinolone (*qnrS1*), sulphonamide (*sul2*), tetracycline (*tetA*), and trimethoprim (*dfrA14*). The resistance genotype between FK8578 and FK8695 was nearly identical except that a *bla*<sub>KPC-33</sub> rather than *bla*<sub>KPC-2</sub> was identified in FK8695. KPC-33 was a KPC-2 variant with D179Y mutation in the omega loop, which can elevate CZA resistance, restore susceptibility to imipenem and low-level resistance to meropenem. In addition, *bla*<sub>KPC-33</sub> gene was successfully transferred into *E. coli* EC600 and confirmed by PCR. Antimicrobial susceptibility testing results showed that the transconjugant was resistant to CZA, ertapenem, quinolone, and some cephalosporins (Table 3). Moreover, we examined the mutation of porin genes (*ompK35, ompK36*, and *ompK37*). We found that *ompK36* was absent in two strains, while *ompK35* and *ompK37* of two strains were truncated by nonsense mutation (data not shown). These results indicated that the mutation of KPC-2 was responsible for the resistance of FK8695 to CZA.

# Genetic Context of *bla*<sub>KPC-33</sub> Gene

The  $bla_{\text{KPC-33}}$ -harboring plasmid of FK8695, designated pFK8695-KPC-33, was 143,980 bp in length with an average G +C content of 53.76% (Figure 4). The plasmid contained two replicons, IncFII (pHN7A8) and IncR, and consisted of replication, maintenance, conjugation transfer and variable regions. Two ARGs  $bla_{\text{CTX-M-65}}$  and  $bla_{\text{SHV-12}}$  were identified in addition to  $bla_{\text{KPC-33}}$ . Comparative genomic analysis of pFK8695-KPC-33 with other three  $bla_{\text{KPC-33}}$ -harboring plasmid retrieved from GenBank revealed that they were belong to different incompatibility group (Figure 4). Among these  $bla_{\text{KPC-33}}$ -harboring plasmid, pFK8695-KPC-33 shared the highest similarity (99.99% identity and 60% coverage) with the plasmid CP050351. The genetic context of  $bla_{\text{KPC-33}}$  on pFK8695-KPC-33 was a typical structure IS26-IS

	Chromosome	р <b>FK8695-КРС</b> -33	р <b>FK8695</b> - <i>rm</i> pA	pFK8695-tetA	р4
Size (bp)	5,480,714	143,980	150,052	87,106	23,957
GC content (%)	57.35	53.76	50.04	53.95	55.59
Predicted ORFs	5126	192	172	107	32
Average ORF	940	613	704	693	544
length (bp)					
Hypothetical	1394	115	94	74	25
protein					
Protein coding	87.92	81.82	80.78	85.23	72.79
(%)					
tRNAs	85	1	0	0	0
rRNAs	25	0	0	0	0
Antibiotic	aadA2b; fosA; qacE; bla <sub>SHV-11</sub> ; sul1	bla <sub>CTX-M-65</sub> ;		bla <sub>LAP-2</sub> ; catA2; dfrA14;	
resistance genes		bla <sub>SHV-12</sub> ; bla <sub>KPC-33</sub>		qnrS1; sul2; tet (A)	
Virulence genes	Enterobactin ( <i>entABCEF</i> ); yersiniabactin ( <i>irp1</i> ;		∆rmpA		
	irp2; fyuA; ybtAEPQSTUX)				

Table	2	General	Features	of	FK8695
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MIC (mg/L)								
Antimicrobial Agents	FK8578 (bla <sub>KPC-2</sub> )	FK8695 (bla <sub>KPC-33</sub> )	Transconjugant E. coli EC600-8695 (bla <sub>KPC-33</sub> )	E. coli EC600				
Ceftazidime–avibactam	8	>128	>32	0.5				
Ertapenem	>32	16	32	0.03				
Meropenem	>32	2	4	0.03				
Imipenem	>32	0.5	I	0.5				
Ceftazidime	>32	>32	>32	4				
Cefepime	>32	>32	>32	0.25				
Ceftriaxone	>32	>32	>32	0.125				
Levofloxacin	>32	>32	>32	0.5				
Amikacin	4	2	2	4				
Gentamicin	I	2	2	0.5				
Colistin	0.03	0.06	0.125	0.03				
Tigecycline	0.5	0.5	I	0.25				

Table 3 Minimal Inhibitory Concentrations	(MICs	) of FK8578, FK8695	and the <i>bla</i> KPC-33-Positive	E. coli	Transconjugant of FK8695
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*Kpn27-bla*<sub>KPC-33</sub>-Tn*As1*, which was identical to that of plasmid MT550691 (Figure 4) but was different with plasmid CP050351 (IS26-IS*Kpn27-bla*<sub>KPC-33</sub>-IS26) and plasmid CP025144 (Tn4401).

#### Comparative Analysis of Virulence Determinants

As the ST11 CRKP strain, we were surprised to find that FK8578 and FK8695 also carried a virulence plasmid. The virulence plasmid of FK8695, designated pFK8695-*rmpA*, was 150,052 bp in length with an average G+C content of 50.04%. The plasmid belonged to IncHI1B /*repB* group and shared the highest similarity (99.99% identity and 99.97% coverage) with plasmid pVir-CR-hvKP-C789 (CP034416) from a KPC-2-producing K64-ST11 CR-hvKP strains in GenBank. In general, these virulence plasmids carried putative virulence factors such as aerobactin synthesis operon (*iucABCD*), the outer membrane ferric aerobactin receptor (*iutA*), a gene cluster of salmochelin production (*iroBCDN*), and regulator of mucoid phenotype A (*rmpACD* and/or *rmpA2*).<sup>6</sup> Notably, the gene clusters *iucABCD-iutA* and *iroBCDN* were absent in pFK8695-*rmpA* (Figure 5). These two clusters were located on typical virulence plasmid pLVPK (AY378100) and encoded for siderophore aerobactin and salmochelin, respectively. Loss of *iucABCD-iutA* gene clusters resulted in a truncated *iroN* gene in pFK8695-*rmpA* and pVir-CR-hvKP-C789.

Virulence factors were detected of FK8578 and FK8695 to explain the hypermucoviscosity and virulence phenotype, but no genotypic differences were observed. We found that two strains have the same number and type of virulence determinants both in chromosome and plasmids. However, a frameshift mutation that deleted one nucleotide at the poly (G) region of *rmpA* gene was observed in FK8695 (<u>Table S1</u>). Since *rmpA2* was not identified both in FK8578 and FK8695, inactivation of the *rmpA* gene in FK8695 might be responsible for the altered mucoviscosity; this further leads to a reduction in virulence.

#### Discussion

The emergence of CZA resistance in CRKP has resulted in limited effective treatment strategies and poses a great challenge to public health security. What is worse, the convergence of carbapenem-resistant and hypervirulent in *K. pneumoniae* cause severe and untreatable invasive infections. In this study, we report the emergence of resistance to CZA and the loss of Hmv in ST11 CR-hvKp during antimicrobial therapy.

It has been reported that CZA resistance is associated with specific mutations of  $bla_{KPC}$ ,  $bla_{CTX-M}$ , and outer membrane protein (*omp*) genes, increased copy number of  $bla_{KPC}$  variants ( $bla_{KPC-3}$ ), overexpression of  $bla_{KPC}$  and *omp* genes, and acquisition of metallo-beta-lactamase (MBL) genes.<sup>28,29</sup> In vivo mutation D179Y of  $bla_{KPC-2}$  was firstly reported in ST307 *K. pneumoniae* isolated from a patient following only 12 days of CZA exposure in Puerto Rico.<sup>5</sup> Subsequently, it occurred in the predominant CRKP clone ST11 *K. pneumoniae* in China, designated  $bla_{KPC-33}$ 



**Figure 4** Alignment of  $bl_{d_{KPC-33}}$ -carrying plasmids. Circles 1–5 (from inside to outside) represent the information as follows: (1) GC skew, (2) GC content, (3) MT550691, (4) CP050351, (5) CP025144, (6) negative-strand CDSs of pFK8695-KPC-33, (7) positive-strand CDSs of pFK8695-KPC-33. Functional features of pFK8695-KPC-33 are highlighted in different colors. The genetic context of  $bl_{d_{KPC-33}}$  gene among these plasmids is shown in the upper right corner of the map.



Figure 5 Comparative genetic analysis of *rmpA1*-carrying plasmids. The arrows represent sequence units or genes and are colored based on the gene function classification. Orthologous regions are connected and color coded.

which associated with the emergence and recovery of CZA resistance.<sup>30,31</sup> Herein, we noticed an in vivo mutation of *K. pneumoniae*  $bla_{KPC-2}$  into  $bla_{KPC-33}$  led to CZA drug resistance 14 days after CZA treatment, which restored the sensitivity of imipenem and meropenem and reduced MIC values of ertapenem. The result of conjugation assay further demonstrated the transmissibility of the plasmid carrying KPC-33. Of note, we also observed the elevated MIC for quinolone of the transconjugant strain, which indicated the co-conjugation of pFK8695-*tetA* plasmid carrying fluoroquinolone-resistant *qnrS1* gene.

Acquisition of CZA resistance has been reported after CZA therapy in recent years. Resistance to CZA has been observed in strains with mutations in AmpC,  $bla_{KPC-2}$  and  $bla_{KPC-3}$ , and the mutation point was mostly in  $\Omega$ -loop in  $bla_{KPC}$  genes.<sup>32,33</sup> CZA resistance could appear as early as 6 days after administration, which poses major challenges to the clinical application and limits the therapeutic effect of CZA<sup>31</sup>. Fortunately, the resistant phenotype of KPC-33-producing *K. pneumoniae* could not be maintained without CZA selection pressure and would return to sensitive phenotype under the further selection pressure of imipenem.<sup>31</sup> However, the emergence and prevalence of  $bla_{KPC-33}$  still need more attention and early detection of  $bla_{KPC-33}$  is important for the choice of medication.

Convergence of hypervirulence and carbapenem resistance in ST11 *K. pneumoniae* was mainly caused by acquisition of pLVPK-like virulence plasmid, which poses a consequential public health challenge.<sup>34</sup> In FK8695, we identified a pLVPK-like plasmid pFK8695-*rmpA* with high similarity to the previously reported plasmid pVir-CR-hvKP-C789. The two plasmids were all from ST11-KL64 CRKP strains. However, pFK8695-*rmpA* misses siderophore synthesis operon *iucABCD-iutA* and *iroBCDN*, leaving only *rmpA* and a truncated *iroN*. It has been reported that aerobactin encoded by the *iucABCD* operon accounts for >90% of the siderophore activity and plays a crucial role in the growth and survival of hvKP in vivo and in vitro.<sup>35</sup> However, our results showed that FK8578 still has a high fatality rate in *G. mellonella* infection model, which might contribute to the chromosome-encoded siderophore enterobactin and versiniabactin or other virulence factors.

Furthermore, we noticed a mutation of the *rmpA* gene in CZA-resistant FK8695 compared to CZA-susceptible FK8578. The mutation was on the poly (G) region and might be mediated by DNA slip-strand synthesis of *rmpA*.<sup>36</sup> Yu et al thought that mutation of *rmpA* systems contributes to the loss of the Hmv phenotype in the *K. pneumoniae* isolates positive for *rmpA* systems.<sup>37</sup> This was in accordance with our result that FK8578 possessed Hmv phenotype but FK8695 was not. It has been reported that acquisition of colistin resistance in vivo or tigecycline resistance in vitro changed the Hmv phenotype.<sup>38,39</sup> A recent study also showed that ST11 CR-hvKp underwent adaptive evolution during antibiotic treatment, including tigecycline resistance and virulence attenuation through mutations of *ompK26* and acyltransferase (*act*) genes. Thus, we speculate that mutation of *rmpA* might be a compensatory mechanism of CR-hvKp counteracts the reduced fitness caused by the acquisition of CZA resistance. But further research is needed to confirm the relationship. In addition, whether this adaptive compensation will contribute to the further emergence of successful CZA-resistant clonal lineages also needs to be further evaluated.

#### Conclusion

In summary, this study describes the within-host acquisition of CZA resistance and virulence attenuation in ST11 CRKP during CZA treatment, and illuminate the mechanism of its resistance and virulence changes. Our study highlights the risk of mutations of  $bla_{KPC-2}$  gene in acquiring resistance to CZA and emphasizes the necessity to monitor the CZA resistance among CRKP.

#### **Date Availability Statement**

The datasets generated are available from the corresponding author on reasonable request.

#### **Ethical Approval**

This study was approved by the First Affiliated Hospital of Wenzhou Medical University Ethics Committee (Issuing No. 2022R140). Written informed consent for publication of their details was obtained from the patient.

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## **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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# Disclosure

The authors report no conflicts of interest in this work.

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