

# Comparison of Half-Effective Concentration of Propofol in Patients with Parkinson's Disease and Non-Parkinson's Disease

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**Objective:** This study aimed to compare the half-effective concentration (EC<sub>50</sub>) of propofol required for the bispectral index (BIS) 50 in patients with Parkinson's disease (PD) and non-PD (NPD) during induction by the Dixon's improved sequential method.

**Methods:** This prospective study recruited 20 patients with PD undergoing deep brain stimulation and 20 patients with NPD accompanied by meningioma or glioma undergoing intracranial surgery from March 2018 to March 2019. The patients were induced by propofol via target-controlled infusion. The target effect-site concentration of propofol was determined by the Dixon's improved sequential method. The results of the pilot experiment showed that the target effect-site concentration for the first patient with PD and NPD was 3.5 µg/mL and 2.8 µg/mL, respectively. BIS values were recorded after achieving a constant effect-site concentration of propofol. The increment or decrement of the target effect-site concentration of the next patient was 0.1 µg/mL.

**Results:** Demographic data, general physical condition, and hemodynamic values were similar between the PD and the NPD groups. The target effect-site concentration of propofol induction doses was significantly higher in the PD group than in the NPD group. The EC<sub>50</sub> of propofol required for BIS 50 was 3.213 µg/mL [95% confidence interval (CI), 3.085–3.287 µg/mL] in the PD group and 2.77 µg/mL (95% CI, 2.568–2.977 µg/mL) in the NPD group.

**Conclusion:** The EC<sub>50</sub> of propofol required for BIS 50 was higher in patients with PD than in patients with NPD.

**Keywords:** BIS, effect-site concentration, Parkinson's disease, propofol, sequential method, TCI

## Introduction

Parkinson's disease (PD) is the second most common neurodegenerative disease next to Alzheimer's disease. It is common in elderly people. The incidence of PD has increased every year, with the aging of the population.<sup>1</sup> For decades, the clinical diagnosis of PD was based on a set of motor symptoms such as rigidity, bradykinesia, akinesia, abnormal posture, and resting tremor.<sup>2</sup> The etiology of PD is still unclear. It is thought to be caused by the degeneration of dopaminergic neurons in the striatum of the substantia nigra.<sup>3</sup>

Studies have reported that the midbrain dopaminergic neurons release not only dopamine but also gamma-aminobutyric acid (GABA), which may explain why dopamine recovery can lead to an initial improvement in patients with PD but eventually lose effect, suggesting that GABA and PD are closely linked.<sup>4,5</sup> Researchers show different opinions on the changes in GABA in patients with PD.<sup>6–8</sup> The balance between glutamate and GABA is crucial for brain physiological functioning, and neurotransmitter abnormality is believed to cause several movement disorders, including PD.<sup>9</sup> Some studies have reported decreased brain GABA levels in PD.<sup>10–12</sup> Other studies are inconsistent with decreased GABA levels in PD.<sup>13–15</sup> Previous MRS (Magnetic Resonance Spectroscopy) studies on PD have reported an increased GABA level in the pons, putamen, and thalamus.<sup>6,16</sup> Besides, studies have reported that effective subthalamic nucleus (STN) deep brain stimulation (DBS) or following levodopa administration promoted a similar decreased GABA level in the ventralis anterior thalamus, together with a significant clinical improvement.<sup>7,8</sup> However, inconsistent with this

finding, other studies have demonstrated a significant decrease in the GABA level in the cerebrospinal fluid (CSF) during the DBS.<sup>17</sup> That said, the GABA level is still unknown in patients with PD.

Propofol is a common intravenous sedative drug with rapid distribution and metabolism. It can be quickly recovered and subjected to neurological examination after surgery. Studies have found that propofol can protect the brain by inhibiting oxidative stress while the shortage of natural antioxidants is observed in PD.<sup>18,19</sup> These characteristics account for the frequent use of propofol for sedation of patients with PD undergoing DBS. Propofol acts on GABA<sub>A</sub> receptors as most anesthetics do<sup>20,21</sup> and retards the inactivation and desensitization of GABA receptors.<sup>22</sup> However, it is speculated that a difference exists in propofol sensitivity between patients with PD and NPD.

The target-controlled infusion (TCI) system enables the automatic dose control of sedative drugs, reduces hemodynamic fluctuation, and ensures a better quality of anesthesia.<sup>23–26</sup> Propofol infused with Cp (concentration in plasma) or Ce (concentration at the site of effect) can be safely and effectively used for general anesthesia and can reach sufficient sedation depth. Some research results show that compared with Ce, Cp is expected to have a large difference due to different administration rates. Ce is more closely related to the clinical sedation level, which can rapidly and accurately reach the expected sedation effect.<sup>27</sup> Moreover, Ce has shorter induction time and seldom causes a severe cardiovascular response.

Gajraj found that the mean of BIS was 89.5 in conscious states and 48.8 in unconscious states during anesthesia induction with propofol via TCI. Yue Yun showed that implicit memory disappeared when the BIS threshold was below 50. Anderson et al found that the BIS value had an obvious lag behind the disappearance of consciousness, and the BIS was 85 (73–98) when patients were awake and 48 (10–83) when consciousness disappeared. Kuizenga et al found that, under the condition of the unstable concentration of propofol, the relationship between the plasma concentration of propofol and BIS was still uncertain. Therefore, the target of sedation depth was maintained at BIS 50, and BIS was recorded 3 min after the effect-site concentration reached the target concentration in the present study.

EC50 refers to the concentration of the drug that causes 50% of participants to have a positive qualitative reaction. The Dixon's improved sequential method, also known as the up-down method, is a simple and rapid method for determining EC50. It applies to the drug that is effective and fast in endpoint determination.<sup>28</sup>

Few studies examined the requirement of propofol in patients with PD.<sup>29</sup> This study aimed to compare the EC50 of the target effect-site concentration of propofol induction doses required for BIS 50 in patients with PD and NPD by the sequential method, so as to explore a safer and more effective anesthesia management, rather than just being induced by weight.

## Materials and Methods

### Participants

This prospective study was performed from March 2018 to March 2019 in the Xuanwu Hospital of the Capital Medical University (Beijing, China). It was approved by the ethics committee of the Xuanwu Hospital ([2018]051) and registered at the [clinicaltrials.gov](https://clinicaltrials.gov) (registration number: ChiCTR1800014542, principal investigator's name: Lei Zhao, date of registration: 20th January 2018). A total of 20 patients with PD undergoing DBS and 20 patients with NPD undergoing intracranial surgery, aged 45–65 years with the body mass index (BMI) of 18.5–28 kg/m<sup>2</sup>, were recruited. Before enrollment, written informed consent was obtained. The exclusion criteria were as follows: (1) American Society of Anesthesiologists (ASA) III and above; (2) patients allergic to propofol and dependent on alcohol or opioids; (3) patients with severe respiratory and circulatory diseases; (4) patients with the bispectral index (BIS) less than 80 before induction; (5) patients whose mean arterial pressure decreased by more than 20% even after treatment with vasoactive drugs; and (6) patients who rejected the study. This study involved no blinding.

### Methods

All patients with PD underwent DBS electrode placement under local anesthesia. Then, the pulse generator was placed under general anesthesia, and the study was started when anesthesia induction begins. Only under local anesthesia to insert the electrodes. No sedative medication is given to avoid affecting our study. All patients fasted for 8 h before the

surgery. Anti-PD medications were suspended at the night before the surgery. After entering the room, the noninvasive blood pressure (BP), heart rate (HR), pulse oximetry, and BIS (using the Aspect BIS sensor 186–1100, Mansfield, MA) were monitored. A 16 G intravenous catheter was inserted. Further, 100% oxygen was given for 2 min through the mask. Anesthesia was induced using propofol (Fresenius Kabi, 1711170), sufentanil, and rocuronium to facilitate endotracheal intubation.

The target effect-site concentration of propofol was determined using the Dixon's improved sequential method: according to the commonly used clinical dose of propofol combined with the pilot experiment results, the target effect-site concentration of propofol for the first patient in the PD group was set at 3.5 µg/mL and the concentration in the NPD group was set at 2.8 µg/mL with the TCI pump (INJECTOMAT TIVA AGILIA, Fresenius, Germany) using the Schnider model. Referring to the results of the pilot experiment and similar studies using the sequential method to measure the EC<sub>50</sub> of propofol,<sup>29</sup> the gradient between adjacent target concentrations was set to 0.1 µg/mL. The BIS was recorded 3 min after the effect-site concentration reached the set target. If the BIS of the first patient reached 50 (positive), the target effect-site concentration of the next patient was decreased by 0.1 µg/mL. If the BIS of the first patient did not reach 50 (negative), the target concentration of the next patient was increased by 0.1 µg/mL, and so on. The target effect-site concentration of propofol and BIS value were recorded. Then, 0.3 µg/kg sufentanil and 0.6 mg/kg rocuronium were given.

After tracheal intubation, the tidal volume was set to 6–8 mL/kg, the fresh gas flow was adjusted to 2L/min, the inhaled oxygen concentration was adjusted to 50%, the SpO<sub>2</sub> was maintained at 98–100%, and the partial pressure of end-tidal carbon dioxide was maintained at 35–45 mm Hg. Patients with PD might have different sensitivity to propofol. Therefore, the dose of propofol should be adjusted in time to avoid intraoperative awareness and maintain the BIS at 40–60. Remifentanyl was infused at a rate of 0.1–0.4 µg/(kg · min) for analgesia. The infusion rate was adjusted to keep the BP and HR within 20% of the normal value. Noradrenaline bitartrate pump injection or phenylephrine single administration was used to control the BP if necessary. During the surgery, the pulse oxygen saturation was kept at 98–100% and the body temperature was kept at 36–37°C 5 min before the end of the surgery. The infusion of all drugs was stopped. Extubation was performed after the patients met extubation criteria.

## Indicators

The general data of patients were recorded, including age, sex, BMI, and ASA classification. HR, systolic blood pressure (SBP), diastolic blood pressure (DBP), and BIS were recorded before induction (T1) and 3 min after the target effect-site concentration of propofol was approached (T2).

## Statistical Analysis

Although Dixon et al suggested that 40 patients should be included in an up-down sequential study, it is now generally accepted that 20–40 patients would provide a stable estimation of the target dose in most situations.<sup>30</sup> Hence, 20 patients in the PD group and 20 patients in the NPD group were recruited in the present study. All statistical analyses were performed using SPSS version 24.0 (International Business Machines Corp). EC<sub>50</sub> values were analyzed with the Probit Review. Continuous data were presented as mean and standard deviation, whereas EC<sub>50</sub> values were presented as an estimated value [95% confidence interval (CI)]. Demographics between the two groups were compared using the Student *t*-test or  $\chi^2$  test. Categorical data were expressed as percentages. A *P* value of 0.05 or less was considered statistically significant.

## Results

### General Demographics

Thirty patients in PD group and 33 patients in NPD group were assessed for eligibility based on inclusion and exclusion criteria. Among them, 10 patients in PD group were excluded for not meeting inclusion criteria due to ASA physical status III or above (*n* = 4) and patients' refusal (*n* = 6). Thirteen patients in NPD group were excluded for not meeting inclusion criteria due to ASA physical status III or above (*n* = 7), dependent on alcohol

(n = 2) and patients' refusal (n = 4). Arrhythmia and hypotension did not occur in either group during induction. The SpO<sub>2</sub> was maintained above 95%. No intraoperative awareness occurred in both groups during the post-operative follow-up. Finally, 20 patients in PD group and 20 patients in NPD group were included in present analysis (Figure 1).

The general demographic data were comparable between the PD and NPD groups, including age, sex, BMI, ASA classification, and HR, SBP, DBP, and BIS before induction (T1) ( $P > 0.05$ , Table 1).

## Hemodynamics

The hemodynamic changes are shown in Table 2. Anesthesia induction led to slight changes in the HR and BP in the two groups.

$$Mean_{E,change} = Mean_{E,final} - Mean_{E,baseline}$$

$$SD_{E,change} = \sqrt{SD_{E,final}^2 + SD_{E,baseline}^2 - 2 * Corr * SD_{E,final} * SD_{E,baseline}}, Corr = 0.50$$

According to two equations above, no significant difference in the HR, SBP, and DBP was observed in the PD group compared with the NPD group at the time before induction (T1) and 3 min after the target effect-site concentration of propofol was approached (T2) ( $P > 0.05$ , Table 2).

## EC50

Figures 2 and 3 show the individual responses to induction by propofol via TCI according to the up-down sequence. The average propofol target effect-site concentration required for BIS 50 was higher in the PD group than in the NPD group. EC50 was 3.213 µg/mL (95% CI, 3.085–3.287 µg/mL) in the PD group, versus 2.77 µg/mL (95% CI, 2.568–2.977 µg/mL) in the NPD group.

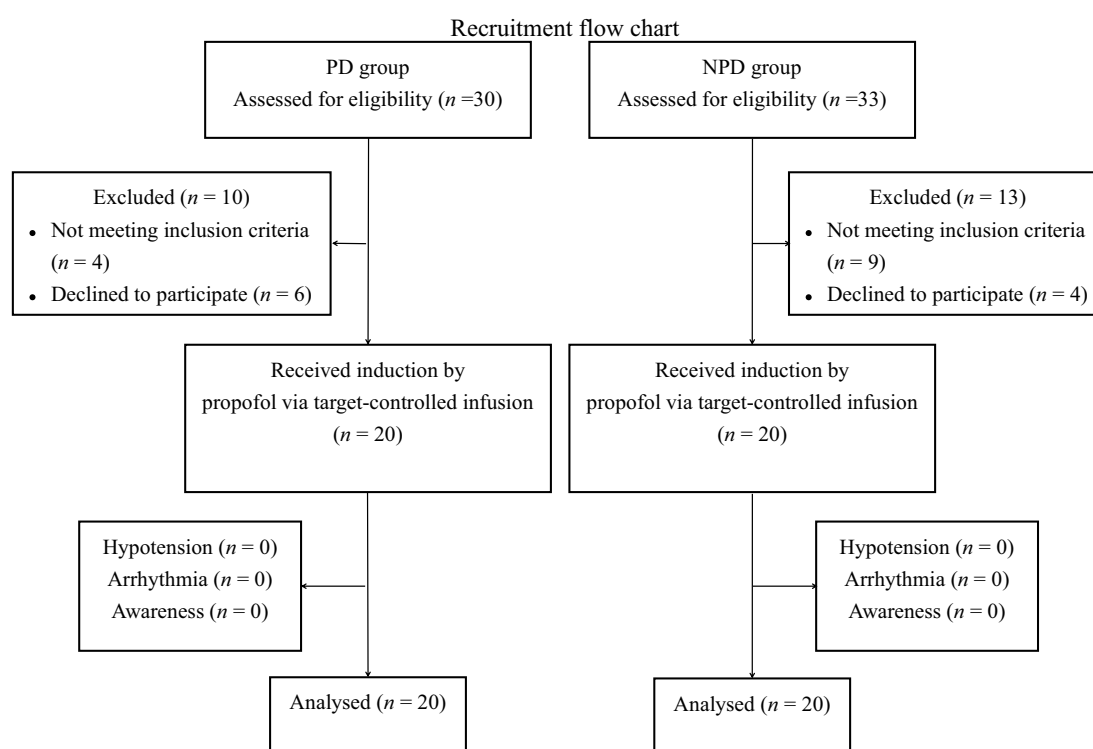


Figure 1 Recruitment flow chart.

**Table 1** Demographic Data of Patients in the Two Groups

Characteristic	PD (n = 20)	NPD (n = 20)	P value
Age (year)	59.0 ± 4.1	57.0 ± 4.7	0.1
Male/female	12/8 (60%/40%)	9/11 (45%/55%)	0.5
BMI (kg/m <sup>2</sup> )	23.8 ± 2.4	23.6 ± 2.6	0.8
ASA I/II	12/8	9/11	0.5
HR (bpm)	78.8 ± 10.6	77.7 ± 12.7	0.8
SBP (mmHg)	124.6 ± 13.0	131.3 ± 14.1	0.1
DBP (mmHg)	78.8 ± 9.3	74.1 ± 9.6	0.1
BIS	96.9 ± 1.1	97.2 ± 0.7	0.3

**Abbreviations:** ASA, American Society of Anesthesiologists; BIS, bispectral index; BMI, body mass index; DBP, diastolic blood pressure; HR, heart rate; NPD, non-PD patients with meningioma or glioma undergoing intracranial surgery; PD, PD patients undergoing DBS; SBP, systolic blood pressure.

**Table 2** Hemodynamic Comparison in Patients of the Two Groups

Characteristic	T1	T2	T2-T1	P value
HR (bpm)				
PD	78.8 ± 10.6	67 ± 9.3	11.8 ± 10.0	P>0.1
NPD	77.7 ± 12.7	63.9 ± 11.0	13.8 ± 11.9	
SBP (mmHg)				
PD	124.6 ± 13.0	106.4 ± 17.7	18.2 ± 15.9	P>0.1
NPD	131.3 ± 14.1	119 ± 15.2	12.2 ± 14.7	
DBP (mmHg)				
PD	78.8 ± 9.3	66.4 ± 11.7	12.4 ± 10.7	P>0.05
NPD	74.1 ± 9.6	67.2 ± 9.8	6.9 ± 9.7	

**Abbreviations:** DBP, Diastolic blood pressure; HR, heart rate; NPD, patients with non-PD accompanied by meningioma or glioma undergoing intracranial surgery; PD, patients with PD undergoing DBS; SBP, systolic blood pressure; T1, before induction; T2, 3 min after target effect-site concentration of propofol was approached.

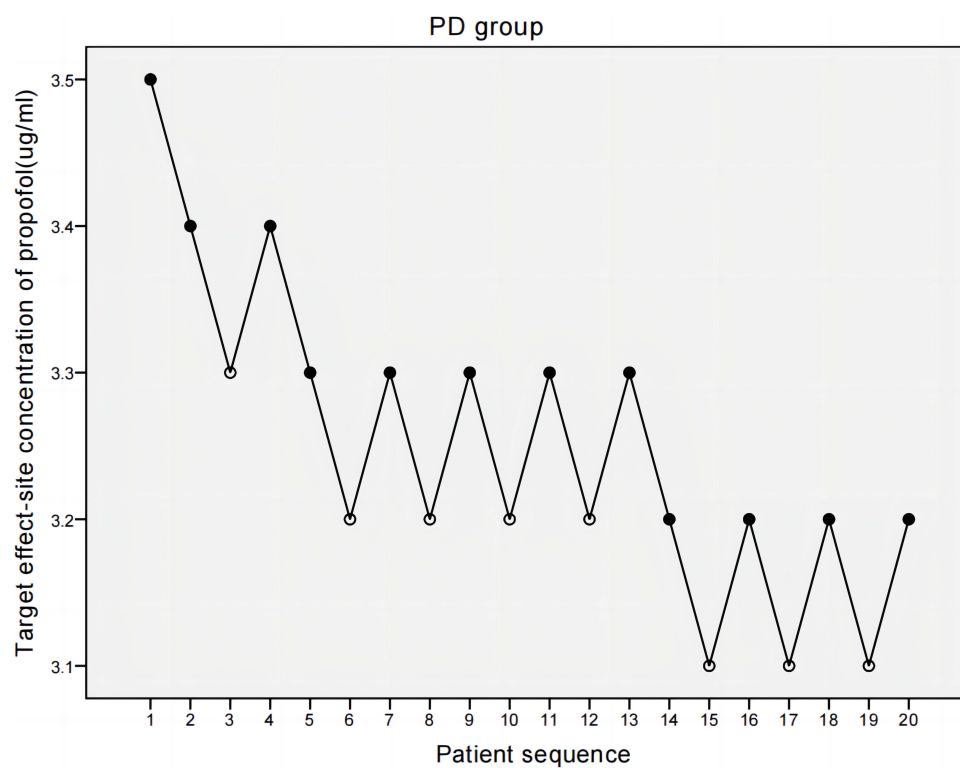
## Discussion

This study found the average propofol effect-site concentration required for BIS 50 was higher in the PD group than in the NPD group, which might be related to the high demand for general anesthesia drugs in patients with PD. Further, patients with PD wake up slowly probably because of the slow metabolism of general anesthesia drugs.

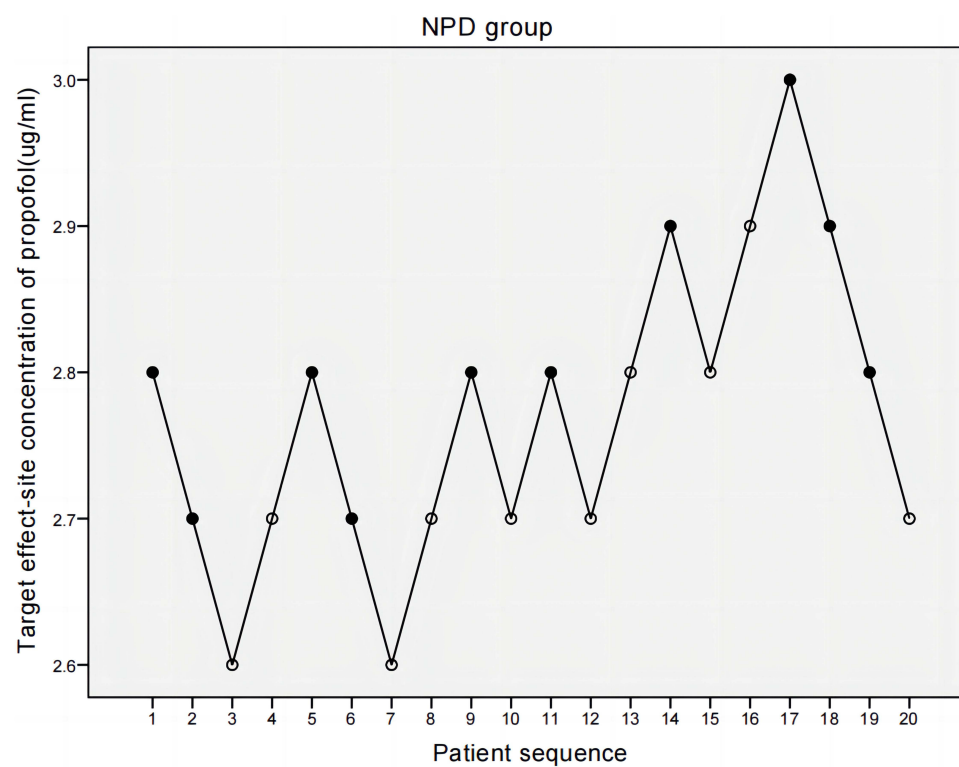
A multicenter, large-sample clinical study showed that the effective-site EC50 of propofol via TCI required for subconscious disappearance was 2.2 µg/mL, and the BIS of 50% patients losing consciousness was 58.4.<sup>31</sup> In this study, the EC50 of propofol required for BIS 50 was 2.77 µg/mL in the NPD group (95% CI, 2.568–2.977 µg/mL). It was consistent with the clinical results of previous multicenter large-sample studies.

This study found that the dose of propofol for induction was more in patients with PD than in patients with NPD. While propofol acted on the GABA<sub>A</sub> receptor, it was speculated that the GABA<sub>A</sub> receptor might be insensitive in patients with PD and GABA levels might increase compensatively. This was consistent with previous findings. These studies suggested that the loss of nigrostriatal neurons in PD led to the increased activity of GABA neurons,<sup>32,33</sup> resulting in increased GABA levels in pons and further inhibiting the activity of dopaminergic neurons. The decrease in dopaminergic activity led to the enhancement of STN activity,<sup>34</sup> which eventually caused disease progression in PD.

However, Xu et al found that the EC 50 of propofol was lower in the PD group than in the NPD group. They judged the consciousness of patients based on the observer's assessment of alertness and sedation score (OAA/S), whereas in the present study, unconsciousness was defined as the BIS value below 50.<sup>29</sup> For anesthetized individuals, the concentration of anesthetic drugs as a group pharmacodynamic index had large differences. The traditional sedation depth (OAA/S),<sup>35</sup> which was judged according to clinical signs, had the disadvantage of requiring frequent stimulation for evaluation,



**Figure 2** Individual responses to induction by propofol via TCI according to the up-down sequence in PD group.



**Figure 3** Individual responses to induction by propofol via TCI according to the up-down sequence in NPD group.



besides its subjectivity and variability. Some studies showed a negative correlation between the propofol effect-site concentration via TCI and BIS.<sup>36,37</sup> Many studies found that using propofol via TCI with BIS monitoring reduced the total required dose of propofol and the recovery time in patients under sedation, preventing the adverse events of overdose.<sup>38–40</sup>

This study had few limitations. First, the sequential method was used to reduce the number of patients. A total of 20 patients were recruited in both groups. The sample size was small, but the PD group had eight alternating waveforms and the NPD group had six alternating waveforms, which met the requirement of the sequential method. Second, the effect of DBS implantation under local anesthesia on propofol requirement could not be ruled out. At this stage, pain mainly occurred during drilling. Local anesthesia was used to ensure the VAS (Visual Analogue Score) pain score, which the patients reported as 0. Third, the sedation depth of propofol depended on not only blood concentration but also stimulation, age, and BMI. In this study, no one-to-one pairing might have caused some deviation of the results. Only patients aged 45–65 years with the BMI of 18.5–28 kg/m<sup>2</sup> were selected for this study. Propofol was used before the start of the surgery to eliminate the influence of surgical noxious stimulation and other narcotic drugs on the sedation depth.

## Conclusions

The etiology of PD is still not completely clear. Few studies explored the requirement of propofol in patients with PD. An accurate use of anesthetics under general anesthesia is worth investigating. This study filled the gap in this aspect and had better innovation and clinical significance. The EC<sub>50</sub> of propofol required for BIS 50 was found to be higher in patients with PD than in patients with NPD, thus providing a reference for the rational use of anesthetics in clinic.

## Data Sharing Statement

All data in the manuscript are accessible if requested (contact e-mail address zhaoalei@sina.com). The authors verify that all data intended for sharing are deidentified.

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## Disclosure

The authors report no conflicts of interest in this work.

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