

# Demonstration of Safety in Wild Type Mice of npFOXF1, a Novel Nanoparticle-Based Gene Therapy for Alveolar Capillary Dysplasia with Misaligned Pulmonary Veins

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**Introduction:** Alveolar Capillary Dysplasia with Misaligned Pulmonary Veins (ACDMPV) is a fatal congenital disease resulting from a pulmonary vascular endothelial deficiency of FOXF1, producing abnormal morphogenesis of alveolar capillaries, malpositioned pulmonary veins and disordered development of lung lobes. Affected neonates suffer from cyanosis, severe breathing insufficiency, pulmonary hypertension, and death typically within days to weeks after birth. Currently, no treatment exists for ACDMPV, although recent murine research in the Kalinichenko lab demonstrates nanoparticle delivery improves survival and reconstitutes normal alveolar-capillary architecture. The aim of the present study is to investigate the safety of intravenous administration of FOXF1-expressing PEI-PEG nanoparticles (npFOXF1), our pioneering treatment for ACDMPV.

**Methods:** npFOXF1 was constructed, validated, and subsequently administered in a single dose to postnatal day 14 (P14) mice via retro-orbital injection. Biochemical, serologic, and histologic safety were monitored at postnatal day 16 (P16) and postnatal day 21 (P21).

**Results:** With treatment we observed no lethality, and the general condition of mice revealed no obvious abnormalities. Serum chemistry, whole blood, and histologic toxicity was assayed on P16 and P21 and revealed no abnormality.

**Discussion:** In conclusion, npFOXF1 has a very good safety profile and combined with preceding studies showing therapeutic efficacy, npFOXF1 can be considered as a good candidate therapy for ACDMPV in human neonates.

**Keywords:** nanoparticle, FOXF1, ACDMPV, mouse, toxicity, safety

## Introduction

ACDMPV is a deadly neonatal condition, typified by defects in coordinated development of alveolar capillaries, malpositioning of lung veins and abnormal formation of lung lobules, causing profound hypoxemia, catastrophic breathing insufficiency and pulmonary hypertension ensuing rapidly after delivery. Due to the severity of the anomalies and breathing failure in ACDMPV newborns, death comes less than one month postnatally despite maximal support.<sup>1</sup> Despite incomplete genetic characterization, heterozygous copy-number variant (CNV) deletions and point mutations involving the Forkhead Box F1 (*FOXF1*) gene locus account for the majority of ACDMPV cases.<sup>2</sup> To date, over 70 unique *FOXF1* point mutations in *FOXF1* are associated with ACDMPV.<sup>2</sup>

FOX proteins constitute a grand family of winged helix transcription factors that mediate multiple molecular signaling pathways, (eg, VEGF pathway).<sup>3,4</sup> *Foxf1*-null mice have reduced lung endothelial cell number both in lung

formation and post-damage pulmonary repair.<sup>5</sup> FOXF1 induces VEGF receptor 2, stimulating vascular endothelial growth factor signals in model organisms and systems.<sup>6</sup>

Research has shown nanoparticles can serve therapeutic purposes to deliver both biologic (DNA, mRNA, *et cet*. For gene expression) and inorganic compounds to the cytoplasm. They can be utilized as vaccines and to treat cancer, immune disorders, and diabetes. They have tremendous potential for use in tissue regeneration.<sup>7</sup>

Recent research in the Kalinichenko lab used newly developed polyethylenimine-(5) myristic acid/ poly(ethylene glycol)-oleic acid/cholesterol (PEI600-MA5/PEG-OA/Cho) nanoparticle<sup>8</sup> to deliver non-integrating angiogenic cDNA-expressing plasmids into the neonatal pulmonary bloodstream in order to improve pulmonary capillary formation and alveolarization in diseases like ACDMPV. The Kalinichenko laboratory also recently generated *Foxf1*<sup>WT/S52F</sup> mice containing the *S52F FOXF1* mutation in the conserved *serine-52*. This mutation was generated in the endogenous mouse *Foxf1* locus via CRISPR/Cas9 genome editing.<sup>9</sup> *Foxf1*<sup>WT/S52F</sup> mutant mice exhibited all the key features of alveolar capillary dysplasia, including fused lung lobes, misalignment of pulmonary veins and increased perinatal mortality.<sup>1,9</sup> These mice were phenotypically rescued with the lab's aforementioned PEI-PEG nanoparticle therapy carrying STAT3, a key downstream target of FOXF1.

Nanoparticles containing *Foxf1*-expressing plasmids have been used to treat another much more common severe respiratory failure disease, bronchopulmonary dysplasia, in mouse models.<sup>10</sup> Unfortunately, the plasmid used in this research is less than ideal for human use as it retains all sequence necessary for plasmid replication in bacteria. Furthermore, an untested hypothesis in ACDMPV research is whether *FoxF1* delivered to affected mice causes the same or better rescue previously observed with pCMV-STAT3 expression plasmid. Therefore, we designed npFOXF1, a Minicircle FOXF1-expressing plasmid driven by an EF1 $\alpha$  promoter which is designed for therapeutic use in humans.<sup>11</sup> This MiniCircle plasmid removes all extraneous plasmid sequence leaving only the transcription promoting sequence for FOXF1. Furthermore, the PEI-PEG nanoparticle used in this work was produced using FDA synthesis and purification guidelines.

Here we demonstrate the safety of PEI-PEG nanoparticles delivering non-integrating FOXF1 minicircle expression plasmid into neonatal mice. We report here that npFOXF1 was well tolerated in mice which demonstrating normal serum chemistry and blood cell indices as well as normal histology. With the safety of npFOX proven through this research, we hope to test the safety of this therapy in higher vertebrates including rhesus monkeys with the ultimate goal of offering as a therapy for ACDMPV in human subjects on a compassionate use basis. 45

## Methods

### Ethics Statement

The data, analysis methods, and study reagents will be made available on request from the article's corresponding author to other researchers for purposes of repeating our procedures and results.<sup>9,10</sup> All animal studies were based on American Association for Accreditation of Laboratory Animal Care recommendations and accepted by the Institutional Animal Care and Use Committee of Cincinnati Children's Hospital Medical Center. Studies with MFLM-91U cell line were reviewed and approved for use by Cincinnati Children's Medical Center Institutional Review Board (IBC2022-0045).<sup>12</sup>

### Mice

Wild-type C57BL/6 mice were used for all studies. On P14, mice were injected intravenously with 25  $\mu$ L of nanoparticles carrying Minicircle plasmid expressing human *FOXF1* cDNA (npFOXF1), empty Minicircle vector (npEmpty), or RNA (npRNA) through retro-orbital injection. Mice were analyzed at P16 and P21.

### Nanoparticles

Highly purified PEI600-MA5/PEG-OA/Cho nanoparticles were synthesized by ZY Therapeutics Inc. (Durham, NC, USA) following the protocol in our previous publication.<sup>8</sup> The chemical structure of the PEI600-MA5/PEG-OA/Cho were confirmed by ZY Therapeutics Inc. via <sup>1</sup>H NMR. Minicircle (MC) DNA (*Foxf1* or Empty or mCherry-*Foxf1*) or RNA (siGENOME Non-Targeting Control siRNAs) were encapsulated into the NP at a mass ratio of 1:16. DyLight 650-

NHS ester fluorescent dye was label on the nanoparticles at a mass ratio of 1:100 (dye to nanoparticle) as described before to track the nanoparticle in vivo.<sup>8</sup> Nanoparticle-DNA polyplexes were injected intravenously into P14 pups through retro-orbital injection (5 µg of plasmid DNA or RNA per injection) as described.<sup>13</sup> The sizes and surface charges of the PEI600-MA5/PEG-OA/Cho nanoparticles were established using dynamic light scattering (DLS) using a Zetasizer Nano-ZS (Malvern, Malvern, UK).

## FOXF1, mCherry-FOXF1, and Empty Minicircle (MC) Plasmid Production

Human *FOXF1* gene or human *FOXF1* and *mCherry* (connected via P2A) were cloned into Multiple Cloning Site (MCS) of pMC.EF1α-MCS-SV40polyA Parental Plasmid (PP) to produce FOXF1, mCherry-FOXF1, and Empty (no insertion in MCS of PP and used as control) Minicircle plasmids (Minicircle DNA technology, System Biosciences). Briefly, FOXF1, mCherry-FOXF1, and Empty PP were transfected into ZYCY10P3S2T *E.coli* Minicircle producer competent cells (MPCs) which harbor an arabinose-inducible system to express ΦC31 integrase and I-SceI endonuclease simultaneously. Following propagation of PPs in MPCs, arabinose was added to the media to induce ΦC31 integrase and I-SceI endonuclease expression. In this process, ΦC31 integrase catalyzes an intramolecular recombination between *cis*-positioned attP and attB sites on PPs creating the MC and a bacterial backbone, and I-SceI endonuclease digests the bacterial backbone through the 32 copies of I-SceI restriction sites incorporated in the DNA, thus yielding clean MC DNA (Figures 1A and B).

## qRT-PCR, Western Blotting, Luciferase Assay, and Immunostaining

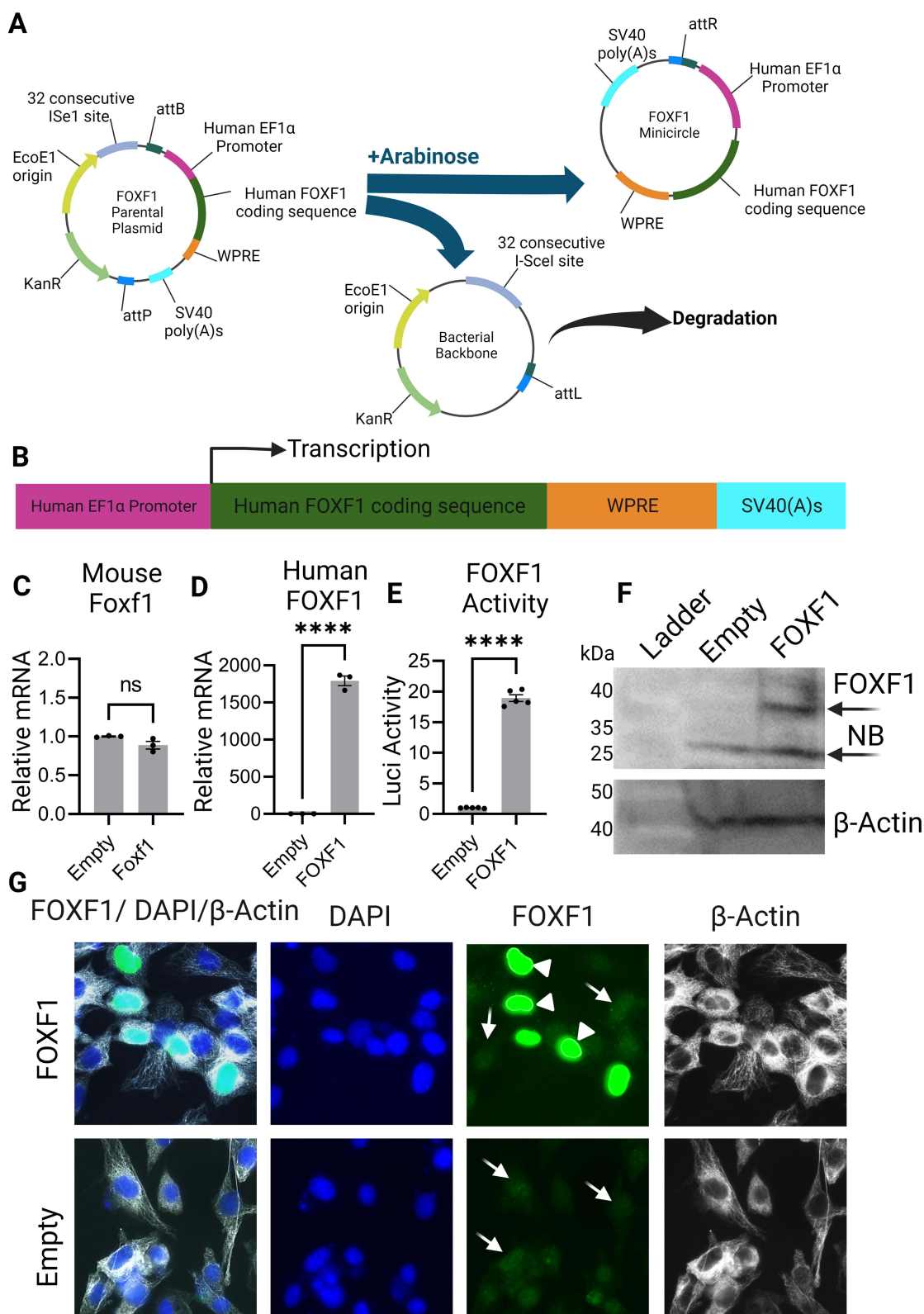
Mouse MFLM-91U cells were transfected with FOXF1 or Empty MC plasmids using Lipofectamine 3000 reagent (Thermo Fisher Scientific). Total RNA isolations, reverse transcriptions, and qRT-PCR analyses were performed as previously described<sup>14–17</sup> using human and mouse *FoxF1* and *β-actin* probes (Thermo Fisher Scientific). Western blot analyses was performed on protein from cellular lysate as reported elsewhere.<sup>18,19</sup> MFLM-91U cells were immunostained with FOXF1 (R&D Biosystems) and *β-actin* (Santa Cruz) as described.<sup>14,16,20,21</sup> Luciferase reporter assay was used to analyze the function of FOXF1 protein in endothelial MFLM-91U cells as previously described.<sup>22</sup>

## Flow Cytometry Assay

Single suspensions of enzyme-digested lung tissue were used to perform flow cytometry as previously described 24 hours after retro-orbital injection of adult mice with np-mCherry-FOXF1 (labeled with DyLight) or npEmpty polyplexes (control mice).<sup>23,24</sup> Live cells were identified with Zombie UV™ (BioLegend). Cells were evaluated for presence of CD45 (clone 30-F11; eBioscience) and CD31 (clone 390; eBioscience) for identification of immune cells (CD45<sup>+</sup>CD31<sup>−</sup>) and endothelial cells (CD31<sup>+</sup>CD45<sup>−</sup>). Cells not expressing any of these markers (CD45<sup>−</sup>CD31<sup>−</sup>) were then evaluated for epithelial cells using CD326 (clone G8.8; eBioscience). Cells not expressing any of these markers (CD45<sup>−</sup>CD31<sup>−</sup>CD326<sup>−</sup>) were then evaluated for pericytes (NG2<sup>+</sup>CD45<sup>−</sup>CD31<sup>−</sup>CD326<sup>−</sup>) using NG2 antibody (clone132.39; Millipore). Fibroblasts (CD140a<sup>+</sup>CD45<sup>−</sup>CD31<sup>−</sup>CD326<sup>−</sup> NG2<sup>−</sup>) were identified using CD140a (clone APA5; BD Biosciences). Stained cells were analyzed using 5 laser Cytek® Aurora (spectral system).

## Blood Sample Collection and Testing

P16 and P21 pups were anesthetized through injecting pentobarbital and blood was then collected through cardiac puncture. Fifty microliters of blood were collected into MiniCollect K2EDTA tubes (VACUETTE) for hematological analysis, and about 200 µL of blood was collected in Eppendorf tubes for biochemical and metabolic analysis. For plasma separation, Eppendorf tubes were centrifuged at 3000 rpm for 10 min at 4°C within two hours of sample collection. Hemolysis of samples was avoided and samples with excessive hemolysis were discarded. Samples were immediately submitted to IDEXX BioAnalytics for Biochemical and hematological analysis following sample collection. Results were compared to data from published norms and physiological data from The Jackson and Charles River Laboratories.<sup>25–33</sup>



**Figure 1** Function of FOXF1 Minicircle plasmid. **(A)** FOXF1 Minicircle plasmid produced and bacterial backbone degraded by Arabinose induction of a parental plasmid. **(B)** Gene structure of the FOXF1 Minicircle plasmid. **(C and D)** qRT-PCR showing relative mRNA levels of mouse Foxf1 did not change while human FOXF1 levels increased in MFLM-91U cells transfected with FOXF1 compared to Empty Minicircle plasmid. **(E)** Luciferase reporter assay showing the FOXF1 Minicircle plasmid activates the luciferase activity of the reporter construct in MFLM-91U cells. **(F)** Western blot showing increased expression of FOXF1 protein in MFLM-91U cells transfected with FOXF1 compared to Empty Minicircle plasmid. **(G)** MFLM-91U cells transfected with FOXF1 or Empty Minicircle plasmid stained with DAPI, FOXF1, and  $\beta$ -actin showing hyperfluorescence with overexpression of FOXF1 (cells shown by white arrowhead) compared to endogenous mouse Foxf1 expression (cells shown with white arrow). \*\*\*\* $P < 0.0001$ . Created with BioRender.com.

**Abbreviation:** NB, nonspecific band.



## Histology

Lung, kidney, liver, and intestinal tissues were collected from P21 mice following blood collection, and paraffin sections (5µm) were stained with hematoxylin and eosin for histologic analysis.

## Statistical Analysis

Mean or median and standard deviations (SD) were calculated for each parameter. One-Way ANOVA test determined statistical significance and P values <0.05 were deemed significant.

## Results

### FOXF1 MC Plasmid Transcribes and Translates FOXF1 in vitro

To test the function of the MC plasmid harboring human *FOXF1* gene, MFLM-91U cells were transfected with either the FOXF1 MC or Empty MC plasmid. RNA and protein were purified from these cells to perform qRT-PCR and Western blot analysis. qRT-PCR results showed significantly increased mRNA transcription levels of human FOXF1 in FOXF1 transfected cells compared to Empty (Figures 1C and D) while mouse *Foxf1* mRNA levels were not different between the two groups showing FOXF1 MC plasmid effectively increases human FOXF1 mRNA levels in cells. The function of the FOXF1 MC plasmid was further confirmed by Western blot analysis where FOXF1 protein translation increased in FOXF1 transfected cells compared to the Empty group (Figure 1F). The function of the FOXF1 protein in the MFLM-91U cells was tested using a luciferase assay, where FOXF1 activated a transcription reporter containing the S1pr1 promoter fusion with luciferase (Figure 1E). Staining of MFLM-91U cells with FOXF1 antibody further demonstrates FOXF1 overexpression in cells transfected with FOXF1 MC plasmid which appear hyperfluorescent (shown by white arrowhead), compared to endogenous expressed mouse *Foxf1* (shown by white arrow) in both FOXF1 and Empty MC transfected groups (Figure 1G).

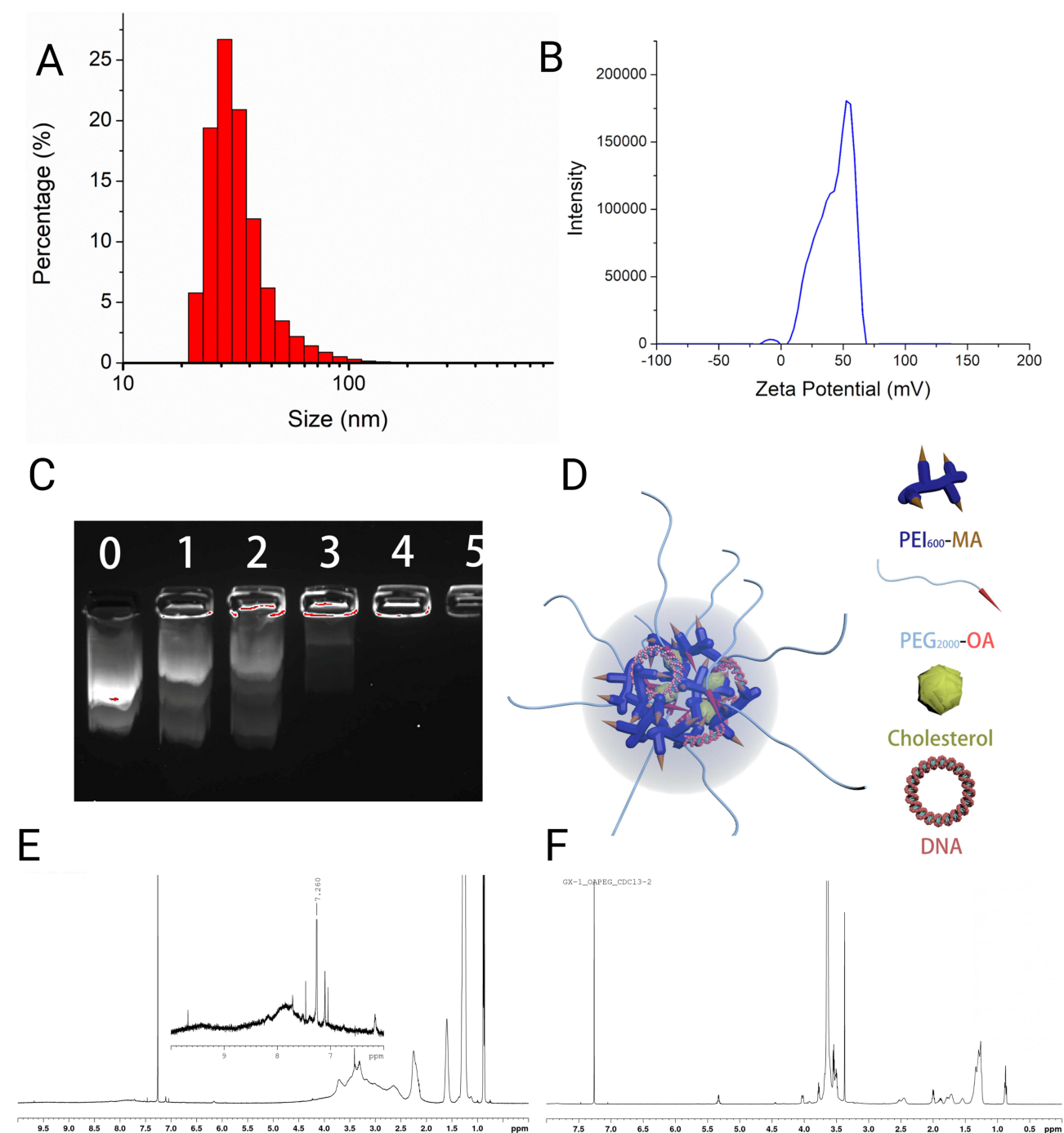
The PEI600-MA5/PEG-OA/Cho nanoparticles target endothelial cells in polyplex with MC plasmids.

The size distribution and the surface potential of the nanoparticle is shown in Figures 2A and B. The hydrodynamic diameter of the nanoparticle was calculated by Stokes–Einstein equation (Table 1). The gene capacity of the nanoparticle was quantified by a gel electrophoresis analysis (Figure 2C). At the mass ratio of 4:1 (NP: DNA ratio), DNA migration was fully restricted, which was considered as the DNA was fully encapsulated into the NPs forming DNA-nanoparticle complexes (Figure 2C and D). The chemical structure of the synthetic polymers (PEI600-MA5 and PEG-OA) were confirmed by <sup>1</sup>H NMR (Figure 2E and F). The broad peaks in the region around 3.5 ppm corresponding to the proton signal from the PEI, where the characteristic peaks of myristic acid can be found at 1.6 ppm, 1.3 ppm and 0.9 ppm (Figure 2E). Similarly, the carbon double bond from the oleic acid shows a peak at around 5.3 ppm where the characteristic peaks from PEG can be found at 3.4 ppm and 3.6 ppm (Figure 2F).

To identify pulmonary cells targeted by NP-DNA polyplexes, the polymer-DNA was labeled with DyLight 650 quantum dots and mCherry sequence was added to the FOXF1 MC plasmid, and injected into adult mice via retro-orbital injection. Twenty-four hours later, flow cytometry analysis was performed for cell surface markers using single-cell suspensions derived from enzymatically digested lung tissue. DyLight 650 fluorescence was present in 99.4% (Figure 3A and C and Table 3) and mCherry in 81.2% (Figure 3B and C and Table 2) of lung endothelial cells (CD31<sup>+</sup>CD45<sup>+</sup>CD326<sup>+</sup>). Other cell types were also analyzed showing significantly lower mCherry levels in all other analyzed cell types compared to endothelial cells (Table 2, Supplementary Figure 1), and less DyLight compared to endothelial cells (Table 3, Supplementary Figure 1), which demonstrated the NP-DNA polyplexes effectively targets lung endothelial cells and translation occurs in vivo.

### Hematological, Biochemical, and Histopathological Analysis

In total, 102 C57BL/6 mice were tested to analyze the toxicity of the Minicircle plasmid encapsulated by nanoparticles. Mice were injected intravenously with npFOXF1, npEmpty, or npRNA at P14 and blood and plasma samples were collected at P16 and P21 to analyze biochemical (ALP, AST, ALT, creatine kinase, albumin, total protein, globulin, bilirubin, BUN, creatinine, cholesterol, glucose, calcium, phosphorus, bicarbonate) and hematological



**Figure 2** Structure of PEI600-MA5/PEG-OA/Cho nanoparticles. **(A)** Size distribution of the PEI600-MA5/PEG-OA/Cho nanoparticles. **(B)** Zeta potential distribution of the PEI600-MA5/PEG-OA/Cho nanoparticles. **(C)** Gel electrophoresis analysis of plasmids bound to the PEI600-MA5/PEG-OA/Cho nanoparticles at different nanoparticle to DNA mass ratio. Number on lane 1 is 0, which is control, with 1 ug of DNA but 0 ug of NP; the number on Lane 2 is 1, which means 1 ug of DNA mixed with 1 ug NP (NP: DNA mass ratio is 1); the number on Lane 3 is 2, which means 1 ug of DNA mixed with 2 ug NP (NP: DNA mass ratio is 2). When the NP: DNA mass ratio reaches 4, all the DNA has been encapsulated in the Nanoparticles. **(D)** Schematic representation of nanoparticle structure shows PEI600-MA5/PEG-OA/Cho nanoparticles. **(E)**  $^1\text{H}$  NMR spectrum of PEI600-MA5. **(F)**  $^1\text{H}$  NMR spectrum of PEG-OA. Created with BioRender.com.

(neutrophil, lymphocyte, monocyte, eosinophil, basophil, WBC, reticulocyte, platelet, RBC, MCV, MCH, HGB, MCHC) parameters.

With regards to biochemical analysis, while the vast majority of the parameters did not show a significant change (Table 4 and Table 5), ALP was elevated in npFOX1 and npEmpty treated groups, and ALT, AST, creatine kinase, and

**Table I** Hydrodynamic Size and Zeta Potential of Polyplex in Normal Glucose

	Z-Average [d.nm]	Zeta Potential [mV]
PEI <sub>600</sub> -MA/PEG-OA/Cho nanoparticle	153.6 ± 1.2	41.0 ± 1.6

cholesterol were elevated in all treated groups compared to the control untreated group at P16 (Figure 4). These differences returned to levels resembling the untreated group by P21 (Figure 5).

Histopathological analysis showed no significant change in lung, kidney, liver, and intestine at P21 as shown in Figure 6.

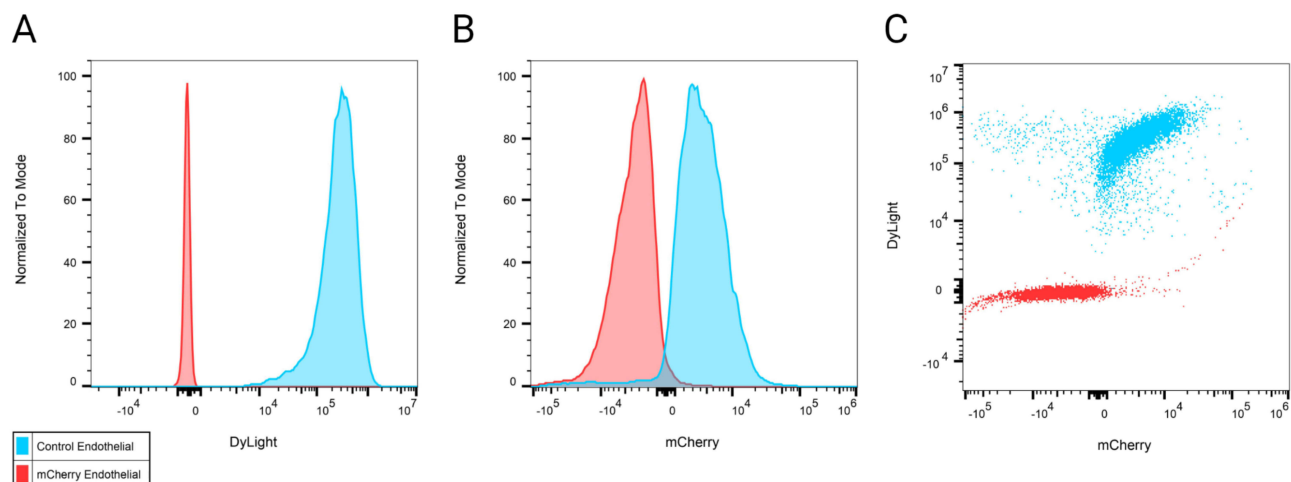
The hematological analysis of toxicity showed most parameters did not change with treatment (Table 6 and Table 7). At P14, neutrophil and WBC levels were elevated in npRNA and npFOXF1 treated groups, and lymphocyte, monocyte, and platelet counts were elevated in all treatment groups compared to untreated groups (Figure 7). Most parameters dropped to levels seen in the untreated group at P21, while neutrophil levels in npFOXF1 and lymphocyte and monocyte levels in the npRNA treated group remained higher than the untreated group (Figure 8) (although these differences were neither clinically significant nor very different from historical controls from other references) (Table 6 and Table 7).

## Discussion

Here we report the production and validation of an innovative Minicircle plasmid using an Efl $\alpha$ -promoter to drive expression of human FOXF1 in pulmonary vascular endothelium. We have demonstrated that it faithfully and robustly expresses human FOXF1 that functions to upregulate FOXF1 promoters in mouse fetal lung cell lines. Thus, we report a Minicircle expression plasmid tailored for human safety and efficacy trials.

We showed that when injected into P14 mice, npFOXF1 causes only minor changes in a minority of serum chemistries in P16 mice which normalize to control levels in P21 mice. We further show no significant differences in serum blood indices. Finally, organ histology shows no tissue differences between treated and control tissue specimens. Therefore, we conclude that no significant toxicity was observed in neonatal mice treated with npFOXF1. We plan to extend this work to higher vertebrates, specifically in rhesus monkeys to further confirm safety.

No current therapy is available for ACDMPV. Our previous research has shown that nanoparticles delivering STAT3-expressing plasmid (npSTAT3) specifically restores the deficient STAT3 expression (a downstream target upregulated by



**Figure 3** Nanoparticle-DNA polyplexes efficiently target endothelial cells in mouse lung. Mice were injected with DyLight 650-labeled np-mCherry-FOXF1 (mCherry) or npEmpty (Control) polyplexes. Endothelial cells (CD31<sup>+</sup>CD45<sup>+</sup>), DyLight 650, and mCherry were identified using 5 laser Cytek® Aurora (spectral system) 24 hours post injection. (A and B) Figure shows increased DyLight 650 (A) and mCherry (B) in endothelial cells. (C) Endothelial cells are positive for both DyLight 650 and mCherry. Created with BioRender.com.

**Table 2** Statistics of mCherry Flow Cytometry Analysis

Cell type	Treatment Group	Number of mCherry Positive Cells	Frequency of mCherry Positive Cells	Mean Fluorescent Intensity
Endothelial	mCherry	8894	81.2	3196
	Control	533	1.80	2485
Immune	mCherry	495	5.64	3749
	Control	230	1.30	4504
Epithelial	mCherry	9	3.75	8176
	Control	12	3.20	9534
Fibroblast	mCherry	5	2.08	3669
	Control	0	0	n/a
Pericytes	mCherry	10	2.72	6015
	Control	10	1.57	9108

**Table 3** Statistics of DyLight Flow Cytometry Analysis

Cell type	Treatment Group	Number of DyLight Positive Cells	Frequency of DyLight Positive Cells	Mean Fluorescent Intensity
Endothelial	mCherry	10,883	99.4	247,955
	Control	0	0	n/a
Immune	mCherry	4508	51.4	19,982
	Control	0	0	n/a
Epithelial	mCherry	179	74.6	51,208
	Control	0	0	n/a
Fibroblast	mCherry	198	82.5	32,471
	Control	0	0	n/a
Pericytes	mCherry	230	62.7	37,580
	Control	0	0	n/a

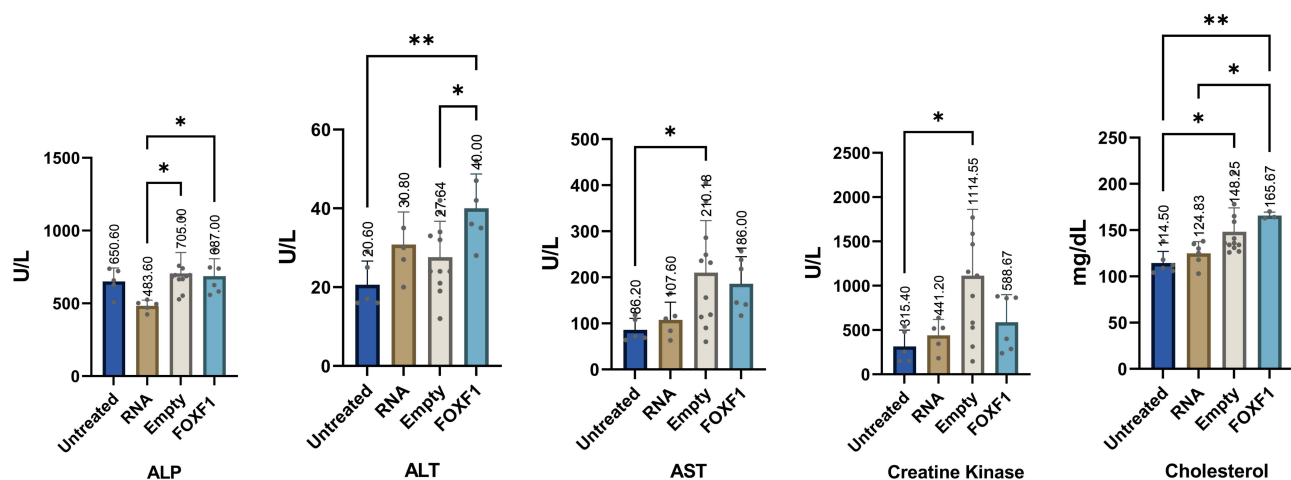
**Table 4** Serum Biochemistry Values for P16 Mice

Parameters (Unit)	Mean $\pm$ SD				Median (Range)
	Untreated	npRNA	npEmpty	npFOXF1	Reference Values
ALP (U/L)	650.6 $\pm$ 92.09	483.6 $\pm$ 38.82	705 $\pm$ 144.68	687 $\pm$ 119.31	137.5 (57–238)
AST (U/L)	86.2 $\pm$ 24.88	107.6 $\pm$ 38.46	210.18 $\pm$ 113.06	186 $\pm$ 58.71	87 (31.15–293.4)
ALT (U/L)	20.6 $\pm$ 6.02	30.8 $\pm$ 8.29	27.64 $\pm$ 9.09	40 $\pm$ 8.74	37.5 (13.15–110)
Creatine Kinase (U/L)	315.4 $\pm$ 184.55	441.2 $\pm$ 178.4	1114.55 $\pm$ 746.89	588.67 $\pm$ 311.3	323 (92–626)
Albumin (g/dL)	2.18 $\pm$ 0.22	2.44 $\pm$ 0.11	2.04 $\pm$ 0.14	2.28 $\pm$ 0.12	5.35 (4.35–8.03)
Total Protein (g/dL)	0.14 $\pm$ 0.05	0.18 $\pm$ 0.04	0.15 $\pm$ 0.05	0.15 $\pm$ 0.05	5.8 (2.31–5.94)
Globulin (g/dL)	3.52 $\pm$ 0.29	4.18 $\pm$ 0.18	3.46 $\pm$ 0.23	3.97 $\pm$ 0.23	0.2211 (0.1296–0.3)
Total Bilirubin (mg/dL)	1.34 $\pm$ 0.09	1.74 $\pm$ 0.09	1.43 $\pm$ 0.12	1.68 $\pm$ 0.12	n/a
Bilirubin – Conjugated (mg/dL)	0.06 $\pm$ 0.05	0.04 $\pm$ 0.05	0.02 $\pm$ 0.04	0.05 $\pm$ 0.05	26.5 (14–162)
BUN (mg/dL)	20 $\pm$ 5.57	15.4 $\pm$ 2.88	19 $\pm$ 2.83	20 $\pm$ 2.61	0.325 (0.1836–0.666)
Creatinine (mg/dL)	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	46.44 (20.88–114)
Cholesterol (mg/dL)	113.8 $\pm$ 13.86	118.8 $\pm$ 10.18	136.09 $\pm$ 8.79	175.33 $\pm$ 18.8	151.2 (88.2–374.94)
Glucose (mg/dL)	140 $\pm$ 94.23	229.6 $\pm$ 40.86	150.45 $\pm$ 24.52	146.33 $\pm$ 27.32	40.5 (7.6–45)
Calcium (mg/dL)	8.38 $\pm$ 0.98	6.56 $\pm$ 0.43	7.25 $\pm$ 1.2	6.45 $\pm$ 0.45	37.26 (5.6–74.7)
Phosphorus (mg/dL)	10.18 $\pm$ 1.64	10.82 $\pm$ 0.29	10.4 $\pm$ 0.92	10.3 $\pm$ 0.65	n/a
Bilirubin – Unconjugated (mg/dL)	13.4 $\pm$ 2.41	9.8 $\pm$ 0.45	13.55 $\pm$ 2.38	13.17 $\pm$ 1.47	16.5 (14–19)
Bicarbonate (mmol/L)	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	n/a

**Table 5** Serum Biochemistry Values for P21 Mice

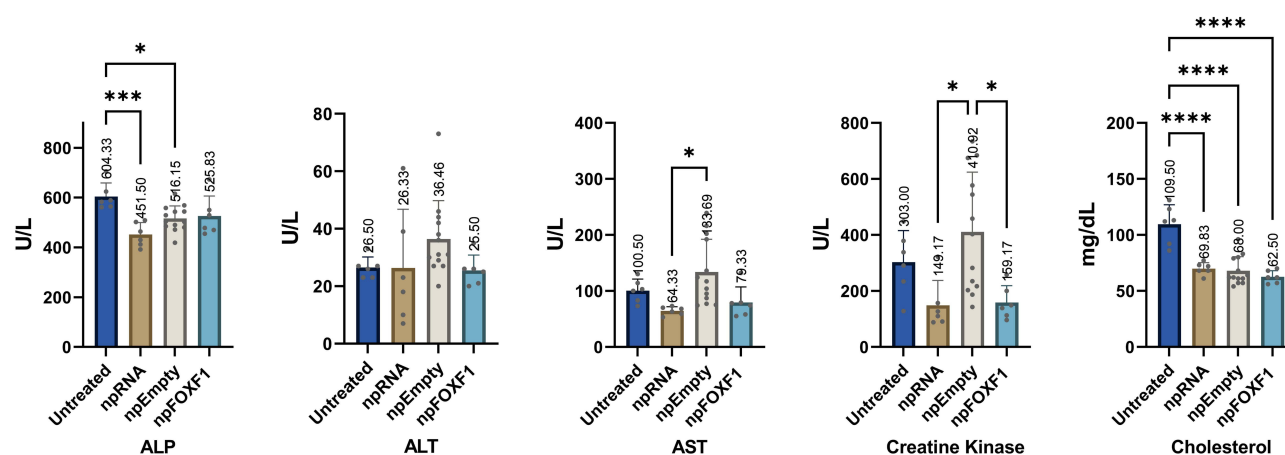
Parameters (Unit)	Mean $\pm$ SD				Median (Range)
	Untreated	npRNA	npEmpty	npFOXF1	Reference Values
ALP (U/L)	604.33 $\pm$ 54.25	451.5 $\pm$ 48.12	516.15 $\pm$ 50.61	525.83 $\pm$ 80.84	137.5 (57–238)
AST (U/L)	100.5 $\pm$ 20.6	64.33 $\pm$ 7.47	133.69 $\pm$ 58.52	79.33 $\pm$ 27.75	87 (31.15–293.4)
ALT (U/L)	26.5 $\pm$ 3.67	26.33 $\pm$ 20.41	36.46 $\pm$ 13.3	25.5 $\pm$ 5.32	37.5 (13.15–110)
Creatine Kinase (U/L)	303 $\pm$ 112.74	149.17 $\pm$ 88.41	410.92 $\pm$ 213.42	159.17 $\pm$ 60.11	323 (92–626)
Albumin (g/dL)	2.47 $\pm$ 0.08	2.48 $\pm$ 0.16	2.48 $\pm$ 0.19	2.47 $\pm$ 0.08	5.35 (4.35–8.03)
Total Protein (g/dL)	0.15 $\pm$ 0.05	0.1 $\pm$ 0	0.1 $\pm$ 0	0.17 $\pm$ 0.05	5.8 (2.31–5.94)
Globulin (g/dL)	3.95 $\pm$ 0.08	4.02 $\pm$ 0.26	4.01 $\pm$ 0.26	4.02 $\pm$ 0.15	0.2211 (0.1296–0.3)
Total Bilirubin (mg/dL)	1.48 $\pm$ 0.04	1.53 $\pm$ 0.12	1.53 $\pm$ 0.15	1.55 $\pm$ 0.08	n/a
Bilirubin – Conjugated (mg/dL)	0 $\pm$ 0	0.05 $\pm$ 0.05	0.02 $\pm$ 0.04	0.03 $\pm$ 0.05	26.5 (14–162)
BUN (mg/dL)	43.83 $\pm$ 27.2	23.5 $\pm$ 4.59	26.23 $\pm$ 4.9	27.67 $\pm$ 3.93	0.325 (0.1836–0.666)
Creatinine (mg/dL)	0.42 $\pm$ 0.57	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	46.44 (20.88–114)
Cholesterol (mg/dL)	109.5 $\pm$ 17.44	69.83 $\pm$ 5.49	68 $\pm$ 12.58	62.5 $\pm$ 5.65	151.2 (88.2–374.94)
Glucose (mg/dL)	202.17 $\pm$ 37.22	203.17 $\pm$ 47.47	185.92 $\pm$ 36.3	163.83 $\pm$ 40.31	40.5 (7.6–45)
Calcium (mg/dL)	9.48 $\pm$ 0.73	9.15 $\pm$ 0.31	8.63 $\pm$ 1.12	9.02 $\pm$ 0.41	37.26 (5.6–74.7)
Phosphorus (mg/dL)	11.23 $\pm$ 1.85	9.5 $\pm$ 0.51	10.62 $\pm$ 0.92	10.32 $\pm$ 0.55	n/a
Bilirubin – Unconjugated (mg/dL)	12.83 $\pm$ 1.17	14.33 $\pm$ 1.37	13.15 $\pm$ 1.63	14.5 $\pm$ 1.97	16.5 (14–19)
Bicarbonate (mmol/L)	0.15 $\pm$ 0.05	0.05 $\pm$ 0.05	0.08 $\pm$ 0.04	0.13 $\pm$ 0.08	n/a

FOXF1) in the transgenic mouse model of ACDMPV, which is caused by the S52F mutation in FOXF1.<sup>9</sup> In the npSTAT3-treated mice, survival is significantly increased, and pulmonary capillary vasculature is significantly and substantially restored with a single dose. We hope this work with our newly designed npFOXF1 biologic will ultimately offer safe and therapeutic FOXF1 gene expression delivery to pulmonary endothelial cells in human neonates suffering from ACDMPV, significantly ameliorating their disease course.

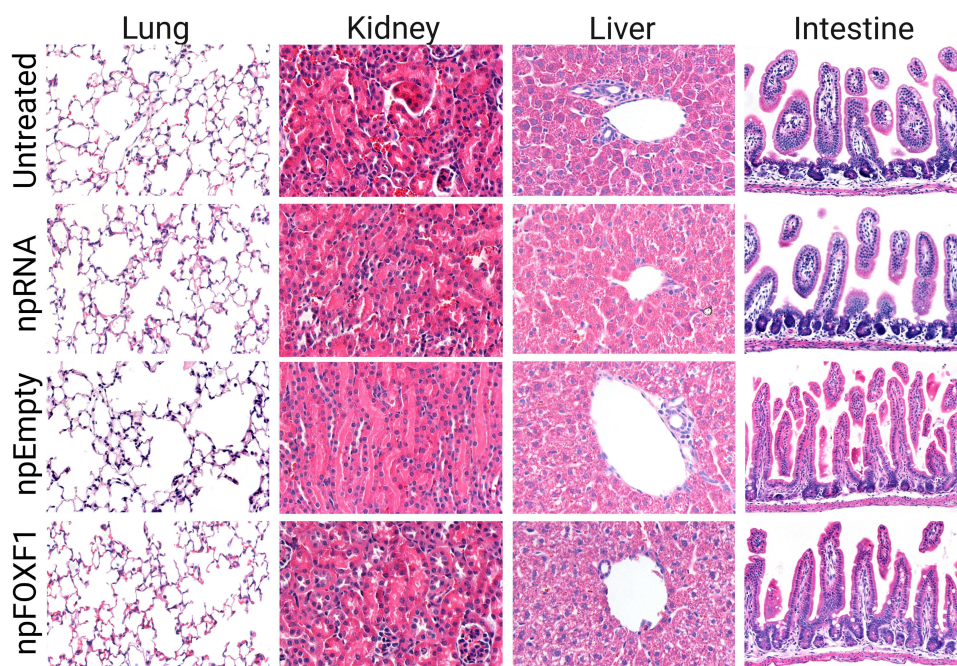


**Figure 4** Serum biochemical analysis at P16 shows significant changes in some parameters. Mice were injected with npRNA, npEmpty, or npFOXF1 at P14 and serum was collected at P16 for biochemical analysis. Elevation was shown in ALP in npFOXF1 and npEmpty treated groups when compared with npRNA group. ALT was significantly increased in npFOXF1 compared to both untreated as well as npEmpty controls. AST was significantly increased in npEmpty compared to untreated controls. Creatine kinase was significantly increased in npEmpty compared to untreated controls. Cholesterol was elevated in npFOXF1 compared with both untreated and npRNA controls. Additionally cholesterol was significantly increased in npEmpty compared to the control untreated group. \*P<0.05; \*\*P<0.01. Created with BioRender.com.





**Figure 5** Serum biochemical analysis at P21 shows similar levels in treated groups compared to untreated mice. Mice were injected with npRNA, npEmpty, and npFOXF1 at P14 and serum was collected at P21 for biochemical analysis. Results showed elevation in ALP, ALT, AST, creatine kinase, and cholesterol seen in P16 mice goes down to untreated group levels or lower by P21. \* $P < 0.05$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$ . Created with BioRender.com.



**Figure 6** npDNA and npRNA treated groups show no histological difference compare to untreated mice. Mice were injected with npFOXF1, npEmpty, or npRNA at P14 and tissue was collected at P21 for histopathological analysis. Paraffin section of lung, kidney, liver, and intestine tissues were stained with hematoxylin and eosin. Tissue sections obtained from treated mice shows no change compared to untreated mice. Created with BioRender.com.

## Acknowledgments

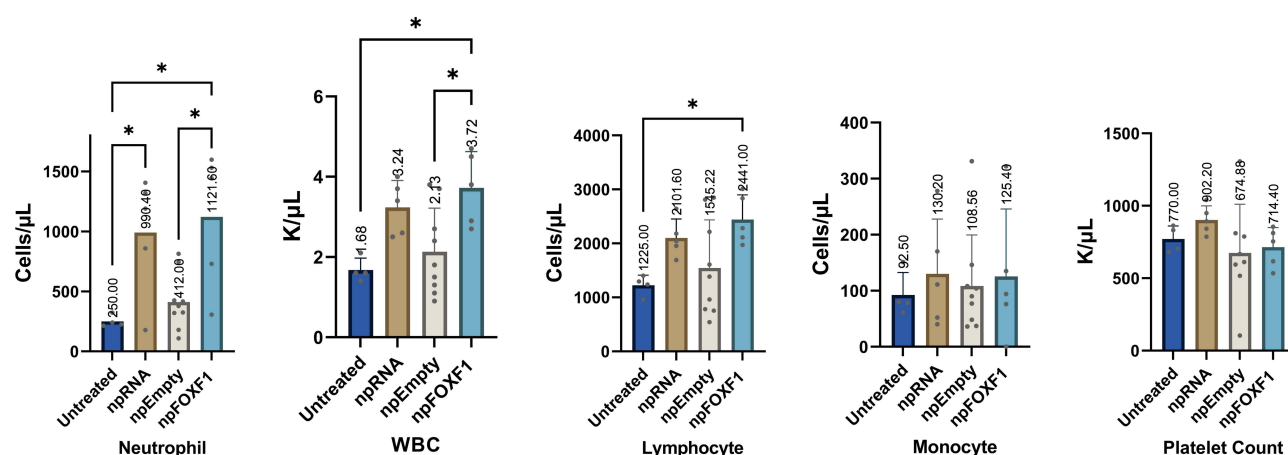
All flow cytometric data were acquired using equipment maintained by the Research Flow Cytometry Core in the Division of Rheumatology at Cincinnati Children's Hospital Medical Center supported by NIH S10OD025045 grant. We thank Alan Jobe, Henry Akinbi, and Debora Sinner for critical review and for helpful discussions relating to this manuscript. All images have been created with BioRender.com. This work was supported by a grant from the Alveolar Capillary Dysplasia Association (to APK) and by NIH Grants HL141174 (to VVK), HL149631 (to VVK), and HL152973 (to VVK).

**Table 6** Hematological Values for P16 Mice

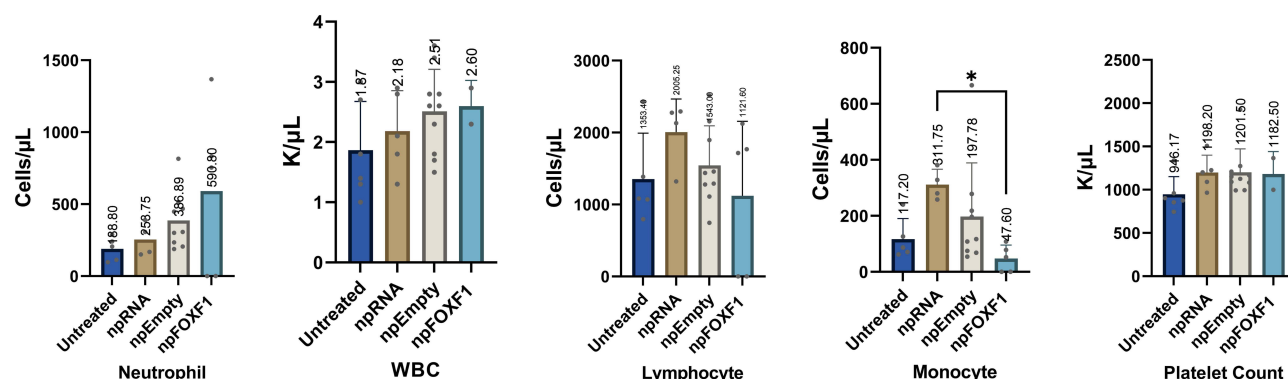
Parameters (Unit)	Mean $\pm$ SD				Median (Range)
	Untreated	npRNA	npEmpty	npFOXF1	Reference Values
Neutrophil (%)	14.9 $\pm$ 0.62	29.22 $\pm$ 12.53	24.98 $\pm$ 10.16	28.72 $\pm$ 10.88	14.3 (12–22)
Neutrophil ( $\mu$ L)	250 $\pm$ 49.91	990.4 $\pm$ 499.68	412 $\pm$ 233.47	1121.6 $\pm$ 573.45	335 (150–1440)
Reticulocyte (%)	15.2 $\pm$ 3.34	13.32 $\pm$ 3.19	9.74 $\pm$ 2.46	11.92 $\pm$ 2.44	3.8 (3.2–6.7)
WBC (K/ $\mu$ L)	1.68 $\pm$ 0.3	3.24 $\pm$ 0.67	2.13 $\pm$ 1.09	3.72 $\pm$ 0.91	6.4 (2.2–8.9)
Absolute Reticulocyte (K/ $\mu$ L)	788.75 $\pm$ 197.04	794.2 $\pm$ 205	472.25 $\pm$ 140.96	661.8 $\pm$ 115.77	n/a
RBC (M/ $\mu$ L)	5.17 $\pm$ 0.25	5.95 $\pm$ 0.35	4.82 $\pm$ 0.52	5.58 $\pm$ 0.34	9.47 (8.2–10.3)
HGB (g/dL)	8.68 $\pm$ 0.43	8.66 $\pm$ 0.88	10.2 $\pm$ 7.18	7.98 $\pm$ 0.52	14.2 (11.7–16)
Lymphocyte ( $\mu$ L)	1225 $\pm$ 187.56	2101.6 $\pm$ 350.43	1545.22 $\pm$ 890.24	2441 $\pm$ 456.19	728 (386–6870)
Lymphocytes (%)	73.5 $\pm$ 6.79	65.64 $\pm$ 7.65	68.24 $\pm$ 13.32	66.56 $\pm$ 5.97	79.1 (71.1–86.7)
Nucleated RBC (/100 WBC)	0 $\pm$ 0	0 $\pm$ 0	0.22 $\pm$ 0.67	0 $\pm$ 0	n/a
HCT (%)	32.78 $\pm$ 1.24	35.36 $\pm$ 2.75	30.18 $\pm$ 3.04	31.56 $\pm$ 1.71	42 (37.14–46.6)
Monocyte ( $\mu$ L)	92.5 $\pm$ 39.92	130.2 $\pm$ 97.53	108.56 $\pm$ 91.03	125.4 $\pm$ 120.31	230 (21.5–410)
Monocytes (%)	5.4 $\pm$ 1.41	4.42 $\pm$ 4.02	4.74 $\pm$ 3.6	3.62 $\pm$ 4.32	4.37 (0.9–5)
Eosinophil ( $\mu$ L)	95 $\pm$ 80.84	10 $\pm$ 22.36	39 $\pm$ 88.39	22 $\pm$ 49.19	140 (13.7–419)
Eosinophils (%)	5.55 $\pm$ 4.51	0.4 $\pm$ 0.89	1.26 $\pm$ 1.74	0.76 $\pm$ 1.7	1.55 (0.6–1.7)
MCV (fL)	63.5 $\pm$ 1.29	59.4 $\pm$ 2.61	62.5 $\pm$ 2.73	58 $\pm$ 0	46.8 (42.69–50.74)
Basophil ( $\mu$ L)	12.5 $\pm$ 18.93	8 $\pm$ 17.89	29 $\pm$ 68.5	9.8 $\pm$ 21.91	10 (0–30)
Basophils (%)	0.65 $\pm$ 0.9	0.32 $\pm$ 0.72	0.78 $\pm$ 1.07	0.34 $\pm$ 0.76	0.3 (0–0.38)
MCH (pg)	16.8 $\pm$ 0.41	14.56 $\pm$ 1.25	15.75 $\pm$ 0.65	14.28 $\pm$ 0.24	15 (14–16.23)
MCHC (g/dL)	26.48 $\pm$ 0.38	24.48 $\pm$ 1.25	25.13 $\pm$ 0.56	25.3 $\pm$ 0.51	31.1 (28.94–35)
Platelet Count (K/ $\mu$ L)	770 $\pm$ 90.87	902.2 $\pm$ 97.67	674.88 $\pm$ 336.37	714.4 $\pm$ 134.99	1167 (616–1613)

**Table 7** Hematological Values for P21 Mice

Parameters (Unit)	Mean $\pm$ SD				Median (Range)
	Untreated	npRNA	npEmpty	npFOXF1	Reference Values
Neutrophil (%)	11.42 $\pm$ 3.67	10.18 $\pm$ 6	18.2 $\pm$ 6.58	19.8 $\pm$ 18.36	14.3 (12–22)
Neutrophil ( $\mu$ L)	188.8 $\pm$ 82.05	253.75 $\pm$ 114.07	386.89 $\pm$ 200.14	590.8 $\pm$ 588.84	335 (150–1440)
Reticulocyte (%)	11.86 $\pm$ 1.11	10.88 $\pm$ 1.63	12.3 $\pm$ 4.01	14.02 $\pm$ 2.08	3.8 (3.2–6.7)
WBC (K/ $\mu$ L)	1.7 $\pm$ 0.78	2.63 $\pm$ 0.36	2.16 $\pm$ 0.71	2.8 $\pm$ 0.49	6.4 (2.2–8.9)
Absolute Reticulocyte (K/ $\mu$ L)	765.2 $\pm$ 38.45	626 $\pm$ 62.33	791.89 $\pm$ 250.46	830.4 $\pm$ 138.22	n/a
RBC (M/ $\mu$ L)	6.48 $\pm$ 0.47	5.81 $\pm$ 0.58	6.47 $\pm$ 0.47	5.92 $\pm$ 0.31	9.47 (8.2–10.3)
HGB (g/dL)	8.94 $\pm$ 0.58	7.38 $\pm$ 1.93	8.5 $\pm$ 1.32	6.78 $\pm$ 0.6	14.2 (11.7–16)
Lymphocyte ( $\mu$ L)	1353.4 $\pm$ 637.01	2005.25 $\pm$ 462.03	1543 $\pm$ 550.44	1121.6 $\pm$ 1035.85	728 (386–6870)
Lymphocytes (%)	79.51 $\pm$ 2.83	75.55 $\pm$ 8.57	71.16 $\pm$ 7.49	38.6 $\pm$ 35.39	79.1 (71.1–86.7)
Nucleated RBC (/100 WBC)	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	n/a
HCT (%)	34.52 $\pm$ 3.17	31.6 $\pm$ 6.81	34.38 $\pm$ 4.5	28.96 $\pm$ 2.72	42 (37.14–46.6)
Monocyte ( $\mu$ L)	117.2 $\pm$ 72.89	311.75 $\pm$ 54.64	197.78 $\pm$ 191.46	47.6 $\pm$ 47.76	230 (21.5–410)
Monocytes (%)	6.72 $\pm$ 1.73	12.15 $\pm$ 3.21	9.18 $\pm$ 7.14	1.6 $\pm$ 1.52	4.37 (0.9–5)
Eosinophil ( $\mu$ L)	34.4 $\pm$ 35.09	34.75 $\pm$ 18.79	16 $\pm$ 19.88	0 $\pm$ 0	140 (13.7–419)
Eosinophils (%)	1.86 $\pm$ 1.31	1.35 $\pm$ 0.75	0.83 $\pm$ 0.94	0 $\pm$ 0	1.55 (0.6–1.7)
MCV (fL)	53.2 $\pm$ 1.3	54.25 $\pm$ 5.85	53 $\pm$ 4.69	48.8 $\pm$ 3.03	46.8 (42.69–50.74)
Basophil ( $\mu$ L)	6 $\pm$ 5.48	19.75 $\pm$ 15.95	12 $\pm$ 20.03	0 $\pm$ 0	10 (0–30)
Basophils (%)	0.5 $\pm$ 0.47	0.78 $\pm$ 0.59	0.63 $\pm$ 1.12	0 $\pm$ 0	0.3 (0–0.38)
MCH (pg)	13.8 $\pm$ 0.26	12.58 $\pm$ 1.9	13.09 $\pm$ 1.4	11.46 $\pm$ 0.74	15 (14–16.23)
MCHC (g/dL)	25.94 $\pm$ 0.74	23.18 $\pm$ 0.95	24.67 $\pm$ 1.11	23.42 $\pm$ 0.16	31.1 (28.94–35)
Platelet Count (K/ $\mu$ L)	867.8 $\pm$ 79.14	1155.25 $\pm$ 161.88	1150.67 $\pm$ 154.57	1346.4 $\pm$ 351.86	1167 (616–1613)



**Figure 7** Whole blood hematological analysis shows a number of parameters significantly differ from untreated group at P16. Mice were injected with npRNA, npEmpty, and npFOXF1 at P14 and whole blood was collected at P16 for hematological analysis. Levels of neutrophil were significantly increased in npRNA and npFOXF1 compared to untreated controls. Neutrophils were also significantly increased in npFOXF1 compared with NP-Empty. WBC was increased in npFOXF1 groups compared with npEmpty and Untreated controls. Lymphocytes are significantly increased in npFOXF1 compared with untreated controls. Monocytes and platelet counts were not significantly different among treated and control groups at P16. Even with significant quantitative differences, no clinically significant differences were observed between groups for all of the parameters measured. \* $P < 0.05$ . Created with BioRender.com.



**Figure 8** Whole blood hematological analysis shows parameters adjusted to untreated group levels at P21. Mice were injected with npRNA, npEmpty, and npFOXF1 at P14 and whole blood was collected at P21 for hematological analysis. Increased levels of neutrophil, WBC, lymphocyte, monocyte, and platelet count observed at p16 were adjusted to levels comparable to untreated mice at P21 in most treated groups, with exception of monocytes in npRNA increased significantly compared with npFOXF1-treated groups. No significant clinical difference resulted from this noted quantitative difference. \* $P < 0.05$ . Created with BioRender.com.

## Disclosure

The authors report no conflicts of interest in this work.

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