


# Effect of Patient-Empowerment Interaction Model on Self-Management Ability of Peritoneal Dialysis Patients: A Randomized Controlled Trial

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**Objective:** Patient empowerment can be achieved through the PBL model. The purpose of the present study was to evaluate the effectiveness and feasibility of patient empowerment through the PBL model in health continuing education of peritoneal dialysis (PD) patients.

**Methods:** From March 2017 to April 2017, a total of 94 participants were randomly assigned to the PBL group and the traditional group, with 47 patients in each group. Patients of the PBL group were divided into 5 study groups; and six PBL health education activities were held. The basic knowledge, self-management behavior, quality of life, anxiety, and depression were assessed among the traditional group and the PBL group. The average follow-up duration was 10.6±1.5 months.

**Results:** Compared with patients in the traditional group, patients in the PBL group had higher scores of basic knowledges for PD (84.33±3.55 vs 91.19±3.07;  $P < 0.001$ ), higher scores of self-management (61.19±3.71 vs 71.47±2.89;  $P < 0.001$ ), better scores of quality of life (85.99±14.33 vs 102.64±9.43;  $P < 0.001$ ), and better scores of satisfaction (90.78±1.32 vs 98.21±1.25;  $P < 0.001$ ). Furthermore, the scores of anxieties (52.39±4.55 vs 46.46±4.63;  $P < 0.001$ ) and the scores of depressions were lower (49.95±6.76 vs 45.80±8.77;  $P = 0.01$ ) in patients who participated in the PBL mode of education than in the traditional educational group.

**Conclusion:** The empowerment model of PBL health education can effectively improve the knowledge, skills and quality of life of PD patients.

**Relevance to Clinical Practice:** The findings identified in this study will help to improve the quality of nursing care and health education for PD patients.

**Patient or Public Contribution:** The study design involved patients on PD training. The knowledge and skills of PD and quality of life will improve after they participate the PBL health education activities.

**Keywords:** patient empowerment, PBL, peritoneal dialysis, patient education, quality of life, chronic kidney disease

## Introduction

Continuous ambulatory peritoneal dialysis (CAPD) began to be used in clinical practice in China since the 1970s.<sup>1</sup> Approximately 11% of people with kidney failure are treated with peritoneal dialysis (PD) globally.<sup>2</sup> It is estimated that more than 272,000 patients receive PD treatment.<sup>3</sup> PD is a home-based therapy which requires self-management. A comprehensive safety and training program for patient could be important for patients who initiate PD therapy.<sup>4</sup> Patients' knowledge and psychosocial factors will affect quality life and survival. Therefore, effective continuing health education for PD patients is critical to guarantee improvements in patients' quality of life and long-term survival rates.<sup>5</sup>

The traditional health education program usually includes distribution of health education materials and giving training courses. The dialysis nurse will perform patient education based on their own experience without considering the context of their interaction with patients or the diversities in patients' personalities. As most dialysis nurses have little or no formal background in principles of education, the specific needs of PD patients who must learn both procedures and problem solving could be often overlooked.<sup>6</sup>

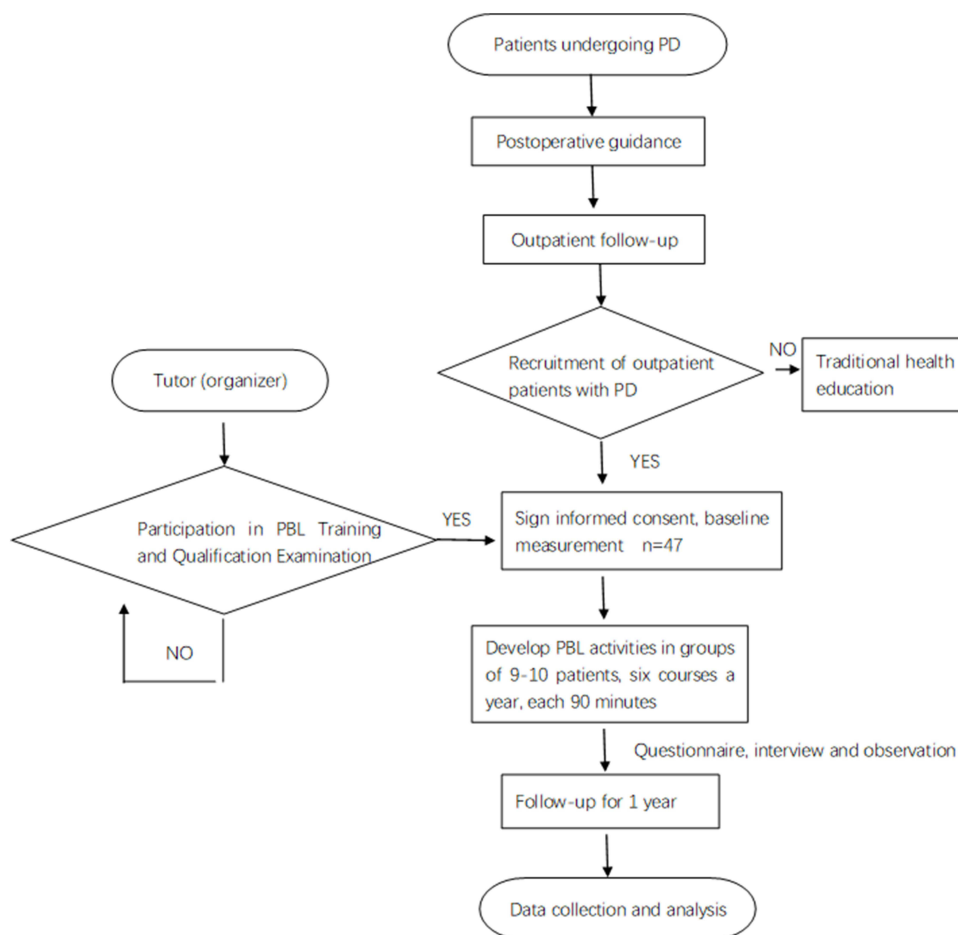
Problem-based learning (PBL) is a problem-oriented teaching method in which self-study and discussion is the main mode of learning.<sup>7</sup> PBL can help patients be more actively involved in disease self-management, and improve their skills, thereby enhancing their self-care abilities.<sup>8</sup> Some systematic studies have examined the effectiveness of PBL educational models in health education, such as coronary artery disease and sleep apnea.<sup>9</sup> These studies found that the knowledge obtained from PBL patient-education may contribute to improving patients' abilities to handle self-care.<sup>10,11</sup> Whether PBL could be an effective mode of learning for PD patients remains unknown.

The purpose of the present study was to evaluate the effectiveness and feasibility of patient empowerment through the PBL model in health education of patients on PD.

## Methods

### Study Design

Figure 1 shows the algorithm delineating the study design. The organizers have been trained in unified PBL teaching courses and passed the examination. All participants received health education guidance and assessment before and after peritoneal dialysis. The patients were randomly assigned to PBL group or traditional health education group. For the PBL group, a 90-minute activity in study groups of 9–10 patients was held every 2 months. Patients in the traditional group attended 4 classes of PD training in the first month, and then were followed up in the peritoneal clinic every two months with 30 minutes each time. The assessment tools were questionnaire, interview and observation. There was no difference regarding the opportunities and times for organizers to contact patients in the PBL group and the control group. This study was approved by the local institutional ethic committee of Fujian Provincial Hospital and complies with the Declaration of Helsinki. The permission to distribute the questionnaires for the



**Figure 1** Algorithm delineating the study design.

**Abbreviations:** PD, peritoneal dialysis; PBL, problem-based learning.

study was obtained from hospital review board. Informed consent was obtained from all study participants. All the participants were invited voluntarily to be in this study.

## Participants

From March 2017 to April 2017, a total of 94 participants who began PD therapy and were regularly followed up from July 2008 to March 2016 in PD clinic were included in this study. The participants were randomly assigned to the PBL group and the traditional group, with 47 patients in each group. A random number generator was used to generate singular and even numbers (<https://www.random.org/>). These random numbers were placed in envelopes and kept by researchers, who selected patients according to inclusion and exclusion criteria and then opened the envelopes to randomly group the subjects. The inclusion criteria were as follows: (1) Patients who received PD therapy due to end stage renal disease (ESRD) more than 3 months; (2) patients older than 18 years of age; (3) patients with the ability to communicate in oral and written form. Exclusion criteria included: (1) patients with hearing impairment; (2) patients with malignancy; (3) life expectancy not exceeding one year; (4) patients with severe cardiovascular complications; (5) patients with the inability to provide written informed consent; (6) patients who received assisted peritoneal dialysis. All the patients completed the follow up.

## Data Collection

The baseline data including age, marital status, educational and occupational status, duration of dialysis, complication score and dialysis dosages were collected before the study. The 2nd version of Charlson Comorbidity Index (CCI) was used to measure co-existing diseases.<sup>12</sup> After the study, data were collected by questionnaires and interviews. All the questionnaires but the questionnaire of PD basic knowledge had been validated for Chinese population.

## Questionnaires

### Questionnaire of PD Basic Knowledge

The assessment questionnaire was constructed in accordance with the seven-day training standard of PD patients and common problems identified in the PD process. The 2nd version of questionnaire was used to assess the degree of mastery of basic knowledge of PD.<sup>13</sup>

### Patient's Self-Management Scale

To evaluate the behavior and attitudes of PD patients on peritoneal dialysis, we used the Chinese version of the self-management scale for PD patients created by Pang et al<sup>14</sup>. The content validity index of the scale was 0.963, Cronbach's  $\alpha$  was 0.926.

### KDQOL-36™ (Kidney Disease Quality of Life)

To evaluate the burden of kidney disease, symptoms/problems of kidney disease, and effects of kidney disease scales, the mandarin version KDQOL-36™1.2 was used in this study.<sup>15</sup> The reliability and validity of the questionnaire in Chinese population had been validated previously. The questionnaire consists of 36 questions covering 5 dimensions, including physical health (6 problems), mental health (6 problems), kidney burden (4 problems), symptoms and discomforts (12 problems), and effects of kidney disease (8 problems). The higher scores indicated the better quality of life.

### Self-Rating Anxiety Scale (SAS) (Zung 1971)

The scale focused on the most common general anxiety disorders, which has been validated in Chinese patients on dialysis.<sup>16,17</sup>

### Self-Rating Depression Scale (SDS) (Zung 1965)

A short self-administered survey was applied to quantify the depressed status of a patient.<sup>18</sup>

### Patients' Satisfaction Score

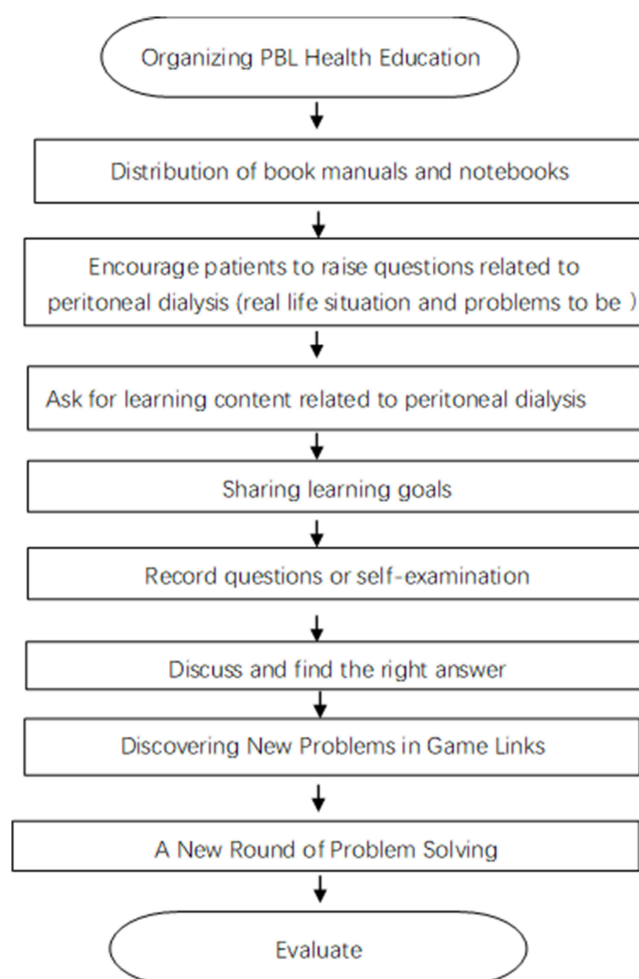
We investigated the satisfaction of the patients using a standardized questionnaire developed by the service center of the Fujian Provincial Hospital. This questionnaire was slightly modified from well-validated instruments for measuring patients' overall satisfaction, and had been used in our previous studies.<sup>19,20</sup>

## Intervention

Figure 2 summarizes the process of PBL health education method. The team of education and follow-up included one head nurse, two nephrologist and two specialist nurses. The head nurse was the organizer. According to PBL concept, patients in the PBL group were assigned in 5 study groups with 9–10 patients in each. During one year, 6 PBL health education activities were held. There were four sessions in a 90-minute activity, including 40 minutes of multimedia teaching, 30 minutes of discussion, 10 minutes of peer education, and 10 minutes of games. The tutor encouraged patients to think freely, ask questions and share learning experiences. Dialysis nurses distributed learning materials to the participants. The patients recorded their questions and brought them to the class for discussion. The content was based on the knowledge of PD, and problems they identified during self-management. The games were suitable for the physical abilities of PD patients, including playing table tennis, playing health puzzles, and quizzes with prizes. A sample of the materials used in PBL sessions had been showed in the [Supplemental Data](#).

## Data Analysis

All data were analyzed using the SPSS 18.0 software package (Chicago, IL). Continuous variables were expressed as means  $\pm$  standard deviations, and the paired *t*-test or Wilcoxon signed-rank test was used. The categorical variables were expressed as frequencies.  $P < 0.05$  was considered statistically significant.



**Figure 2** The process of PBL health education method.  
**Abbreviation:** PBL, problem-based learning.

## Results

As shown in the [Table 1](#), there were no significant differences in age, education level, gender and occupation between the two groups. For baseline clinical parameters, there were no significant differences in baseline levels of dialysis dosages and complication score. The scores of basic knowledge, self-management behavior ability, anxiety and depression, QoL, and satisfaction were no significantly different between the two groups ([Supplemental Table 1](#)). The average follow-up duration was 10.6±1.5 months.

[Table 2](#) shows the comparison of basic knowledge of patients between the traditional group and the PBL group at the end of the study. Although the scores of environmental requirements were unchanged, the scores for PD fluid exchange, PD catheter and exit-site care, complication, diet, and volume control were significantly improved after the PBL module. Compared with patients in the traditional group, patients in the PBL group have higher PD fluid exchange scores (27.22±2.63 vs 28.34±2.01;  $P=0.032$ ), better scores of complications (15.34±1.765 vs. 18.04±1.4;  $P<0.001$ ), higher dietetic scores (4.75±1.46 vs. 5.94±0.44;  $P<0.001$ ), and better volume control (9.01±1.64 vs 10.15±1.47;  $P<0.001$ ). Total scores of the two groups were 84.33±3.55 and 91.19±3.07 ( $P<0.001$ ), respectively.

[Table 3](#) shows the comparison of patient's Self-management Behavior Ability scores between the traditional group and the PBL group. The empowerment model of PBL health education improved self-management behavior of patients. The total scores were 61.19±3.71 and 71.47±2.89 ( $P<0.001$ ), respectively. Compared with the traditional group, patients in the PBL group had better skills for PD fluid exchange (16.46±1.35 vs. 18.60±1.80;  $P<0.001$ ), better abilities to handle actual problems in (9.06±1.07 vs. 10.09±0.83;  $P<0.001$ ), and higher scores for diet management (10.43±1.60 vs. 12.22±1.53;  $P<0.001$ ). The scores of complication monitoring score were higher (17.65±2.26 vs 20.31±1.08;  $P<0.001$ ) than the traditional group. Patients in the PBL group had better abilities of emotion control, and were more willing to return to their daily life (7.61±1.14 vs 10.26±0.71;  $P<0.001$ ).

[Table 4](#) shows the comparison of anxiety and depression scores between the traditional group and the PBL group. The empowerment model of PBL health education significantly improved anxiety and depression of the patients. Anxiety score among the traditional group and the PBL group were 52.39±4.56 vs 46.46±4.63 ( $P<0.001$ ); depression score were 49.95±6.76 vs. 45.80±8.77 ( $P=0.01$ ).

[Table 5](#) shows the comparison of quality of life and satisfaction scores of the patients between the two groups. The model of PBL health education improved the quality of life of PD patients. Both scores of physical and mental health were significantly improved after the PBL education. Burden on the kidney score for traditional group was 10.02±3.60, and 8.47±3.32 in the PBL group ( $P=0.014$ ). Furthermore, scores for symptoms/discomfort and impact of kidney disease were also significantly improved ( $P<0.001$ ). Additionally, the satisfaction degree scores were 90.78±1.32 in the traditional group, and 98.21±1.25 in the PBL group ( $P<0.001$ ).

**Table 1** Baseline Characteristics of Study Patients

Content	Dividing Value	Traditional Group	PBL Group	p value
Case number	Male	26	32	0.203
	Female	21	15	
Marital status	Married	46	45	0.557
	Unmarried	1	2	
Educational	Lower primary	12	9	0.211
	Middle school	34	33	
	University	1	5	
Occupation	No occupation	30	32	0.42
	Profession	4	6	
	Other	13	9	
Dialysis duration		2.81±1.10	3.02±2.13	0.456
Age		47.28±7.54	44.04±14.95	0.559
Complication score		2.62±0.64	2.61±0.67	0.367
Dialysis dosage		6936.17±1240.69	7063.83±1308.90	0.629

**Abbreviation:** PBL, problem-based learning.

**Table 2** Basic Knowledge of Patients Between the Two Groups (n=47)

Examination Content	Score	Traditional Group	PBL Group	P
Environmental requirements	6	6	6	—
Attention in PD fluid exchange	32	27.22±2.63	28.34±2.01	0.032
PD catheter and exit site	24	21.86±0.75	22.89±1.01	<0.001
Complications of PD	20	15.34±1.75	18.04±1.41	<0.001
Dietetic control	6	4.75±1.46	5.94±0.44	<0.001
Volume control	12	9.01±1.64	10.15±1.47	<0.001
Total score	100	84.33±3.55	91.19±3.07	<0.001

**Abbreviations:** PBL, problem-based learning; PD, peritoneal dialysis.

**Table 3** Patient's Self-Management Ability Between the Two Groups n=47)

Examination Content	Score	Traditional Group	PBL Group	P
PD fluid exchange technique	21	16.46±1.35	18.60±1.80	<0.001
Abilities to handle actual problems in practice	12	9.06±1.07	10.09±0.83	<0.001
Diet management	15	10.43±1.60	12.26±1.53	<0.001
Complication monitoring	24	17.61±2.22	20.31±1.08	<0.001
Emotion control and return to normal life	12	7.61±1.14	10.26±0.71	<0.001
Total score	84	61.19±3.71	71.47±2.89	<0.001

**Abbreviation:** PBL, problem-based learning.

**Table 4** Comparison of Anxiety and Depression in Patients Between the Two Groups (n=47)

Content	Traditional Group	PBL Group	P
Anxiety	52.39±4.55	46.46±4.63	<0.001
Depression	49.95±6.76	45.80±8.77	0.01

**Abbreviation:** PBL, problem-based learning.

**Table 5** Quality of Life and Satisfaction of Patients Between the Two Groups (n=47)

Content	Traditional Group	PBL Group	P
Physical health	12.72±1.02	14.21±1.22	<0.001
Mental health	16.68±3.54	20.15±2.75	<0.001
Burden on the kidney	10.04±3.57	8.47±3.32	0.014
Symptoms and discomfort	24.76±8.64	33.77±5.64	<0.001
Impact of kidney disease	21.98±6.73	26.04±4.33	<0.001
Degree of satisfaction	90.78±1.32	98.21±1.25	<0.001

**Abbreviation:** PBL, problem-based learning.

## Discussion and Conclusion

### Discussion

Patient education is an important component of chronic disease management and a key factor in the treatment of peritoneal dialysis. Patient empowerment can strengthen capacity for self-management and improve treatment outcomes.<sup>21</sup> In this study, we showed that the empowerment model of PBL health education could effectively improve the self-management knowledge, skills, anxiety, depression, and quality of life of PD patients. In addition, PBL health education significantly improved PD patients' satisfaction with the hospital.

The empowerment model of PBL health education can consolidate the basic knowledge of PD patients. It has been indicated that the health education model is useful in experiential learning, coping with problems, problem solving and learning goal setting.<sup>22</sup> PD learner education and training program are crucial to ensuring positive outcomes of PD therapy.<sup>23</sup> The patients should be taught self-management skills as well as the concepts. Attention will be particularly paid to the communication skills between PD educator and patients to avoid losing participants' enthusiasm for learning. Some patients may require individualized PD education, such as face-to-face support, telemedicine and re-training. PBL could be used as the vehicle to not only promote learning of concepts, but also the development of problem-solving abilities, and communication skills. Our findings were consistent with a previous study in cardiac rehabilitation in which the PBL intervention exhibited positive effects on risk factors for compared to home-sent patient information.<sup>24</sup>

Promoting self-management has been found to be effective in improving the health of patients with diabetes and other chronic diseases.<sup>25</sup> Studies have shown that psycho-social and educational interventions should be considered as effective strategies to improve dialysis compliance in adults with end-stage renal disease. Similarly, in our study, the PBL health education improved self-management behavior of patients.

Anxiety and depression are common in dialysis patients, which may predict technique and patient survival.<sup>26</sup> The PBL model of health education could provide a relaxed, harmonious and active learning environment between doctors and patients. After the empowerment of patients, learning can effectively avoid the increase of psychological burden due to poor knowledge. Previous studies showed that PD at home aided preservation of pre-illness identity, but resulted in the feelings of isolation and being misunderstood.<sup>27</sup> After intervention such as telemedicine, patients felt a greater sense of control, and achieved positive attitude.<sup>28</sup> In our study, the empowerment model of PBL health education could be another effective intervention to improve anxiety among PD patients.

Moreover, the empowerment model of PBL health education improved PD patients' satisfaction with the hospital. Patient-centred care has become the dominant paradigm in modern health care. Shared decision making is the essence of the process and needs to be a standard principle of care, which requires patient engagement, education and empowerment.<sup>28</sup> When patients are empowered, they may feel they are co-decision makers, which may promote care individualization, improve their trust and loyalty to the hospital and medical providers.

## Principal Findings in Comparison to the Existing Literature

Although the field of PBL is developing, there are few systematic studies on the use and effect of PBL education technology in patient health education. The research is a well randomized controlled, providing benefit to the participants both in terms of knowledge and psychosocial quality of life measures.

## Strengths and Limitations of the Study

Our strength of this study was its focus on effectiveness within a model of chronic disease management with a standardized collected data. However, there were several limitations. First, the relatively small sample size might contribute to lower statistical efficiency than a study with a larger sample size. Second, the follow-up duration was relatively short. The long term effects of this model on the outcomes of PD patients should be investigated further. Third, the age group was relatively young in this study because we only included the patients who were able to communicate in oral and written form. Those who were on assisted peritoneal dialysis had been ruled out which may have led to selection bias. Fourth, the single-centre design was useful for standardized implementation of our study intervention, but it limits the generalizability of findings. Finally, a lack of blinding may lead to possible performance bias.

## Conclusion

The empowerment model of PBL health education can improve the quality of life of PD patients. This study's findings point to the need for more effective management procedures to improve health-related quality of life in patients at all stages of chronic kidney diseases.



## Relevance to Clinical Practice

The results of this study show that the empowerment model of PBL health education in health education of patients with continuous peritoneal dialysis is effective. As the basis of learning process, PBL can be used to assess the impact of various types of health education models on patients' ability. This new model of health education can consolidate the basic knowledge of peritoneal dialysis, improve the ability of self-management behavior, improve the state of anxiety and mental illness, and improve the quality of life of peritoneal dialysis patients.

## Abbreviations

PBL, Problem-based learning; PD, peritoneal dialysis; CAPD, continuous ambulatory peritoneal dialysis; ESRD, end stage renal disease; KDQOL<sup>TM</sup> (Kidney Disease Quality of Life); SAS, Self-rating Anxiety Scale; SDS, Self-rating Depression Scale.

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Part of the results was presented in abstract form at the World Congress of Nephrology, April 12 to 15, 2019, in Melbourne, Australia.

## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work. Fang Cao is the first author.

## Disclosure

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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