

Autoimmunity and Frontotemporal Lobar Degeneration: From Laboratory Study to Clinical Practice

Yan Sun, Lumi Zhang, Ping Liu, Guoping Peng

Department of Neurology, The First Affiliated Hospital, Zhejiang University School of Medicine, Hangzhou, People's Republic of China

Correspondence: Guoping Peng, Department of Neurology, The First Affiliated Hospital, Zhejiang University School of Medicine, #79 Qingchun Road, Hangzhou, Zhejiang Province, 310003, People's Republic of China, Tel +86 13588150613, Email guopingpeng@zju.edu.cn

Abstract: Frontotemporal lobar degeneration (FTLD) is a group of neurodegenerative diseases with heterogeneous clinical, genetic, and pathological characteristics that show similar impairment of areas in the frontal and/or temporal lobes. Prime doctors' lack of awareness of this complex disease makes early identification and accurate intervention difficult. Autoimmune diseases and autoantibodies are manifestations of different levels of autoimmune reactions. This review presents research findings examining the relationship between autoimmunity and FTLD in terms of autoimmune diseases and autoantibodies with a focus on identifying potential diagnosis and treatment approaches. The findings indicate that the same or similar pathophysiological mechanisms may exist from clinical, genetic, and pathological perspectives. However, the existing evidence is not sufficient to extract substantial conclusions. On the basis of the current situation, we propose future research patterns using prospective studies on large populations and combined clinical and experimental research. Autoimmune reactions or, more generally, inflammatory reactions should receive increased attention from doctors and scientists of all disciplines.

Keywords: frontotemporal lobar degeneration, autoimmunity, autoimmune disorders, autoantibodies, diagnosis, treatment

Introduction

Epidemiology of Frontotemporal Lobar Degeneration (FTLD)

FTLD is a spectrum of diseases that specifically exhibit signs of neurodegeneration in the frontal and/or temporal lobes. This disease continuum possesses different genetic backgrounds, various pathologic hallmarks, and multifarious clinical manifestations.¹ FTLD ranks third among the causes of all-age-group dementia, after only Alzheimer's disease (AD) and Lewy body dementia, and ranks second or third for early onset dementia.² The point prevalence of FTLD ranges from 0.01 to 4.6 per 1000 persons in different studies.³ However, FTLD patients may impose greater stress and burden on their caregivers than patients with AD or other types of dementia.⁴ Furthermore, we have reason to believe that the actual number of patients could be greater because of the relatively poor awareness of FTLD in clinical practice worldwide.

Genetic Background of FTLD

In up to 43% of FTLD cases, a positive family history (at least one first-degree family member diagnosed with dementia) has been identified.⁵ The percentage of FTLD patients with evidence of autosomal dominant inheritance ranges from 10.2% to 27%.^{6–8} Three types of genetic mutations mainly occur, chromosome 9 open reading frame 72 (C9orf72), microtubule-associated protein tau (MAPT), and granulin (GRN) mutations, which collectively account for approximately 60% of familial FTLD cases.⁹ Mutations in MAPT mainly lead to impaired axonal and mitochondrial function via tau protein hyperphosphorylation and aggregation and neuroinflammation;^{10,11} mutations in GRN are closely related to the oxidative stress response and neuroinflammation;¹² and mutations in C9orf72 usually cause haploinsufficiency and metabolic abnormalities in DNA/RNA.¹³ Rarer causal genes include TANK-binding kinase 1 (TBK1),¹⁴ valosin

containing protein (VCP),¹⁵ charged multivesicular body protein 2B (CHMP2B),¹⁶ sequestosome 1 (SQSTM1),¹⁷ ubiquilin 2 (UBQLN2),¹⁸ and coiled-coil-helix-coiled-coil-helix domain containing 10 (CHCHD10).¹⁹

Pathological Background of FTLN

The neuropathological classification of FTLN relies on the components of protein inclusions found in degenerative neurons, including two main types, ie, TAR DNA-binding protein 43 (TDP43) and tau, as well as three minor types, ie, FUS, EWS or TATA-binding protein-associated factor 2N (FET), ubiquitin-proteasome system (UPS), and negative for any protein inclusion. The intricate relationship among phenotypes, genotypes, and pathotypes of FTLN has been extensively described in previous reviews.^{1,20,21}

Clinical Manifestations of FTLN

Based on clinical characteristics including patient behavior, language skill, and executive function impairment, “classical” FTLN (also known as frontotemporal dementia (FTD) as a clinical syndrome) can be divided into three main subtypes, including behavioral-variant FTD (bvFTD), progressive non-fluent aphasia (also known as non-fluent-variant primary progressive aphasia), and semantic dementia (also known as semantic-variant primary progressive aphasia).¹ The commonly accepted diagnostic standards for bvFTD are the FTDC (International Behavioral Variant FTD Criteria Consortium) recommendations updated by Rascovsky et al,²² and the diagnostic standards for the other two subtypes are the criteria developed by Gorno-Tempini et al.²³ Recently, an overlap between motor neuron disease, atypical parkinsonian syndromes, and FTD has been revealed. As the largest portion of motor neuron disease, approximately 10–15% of amyotrophic lateral sclerosis (ALS) patients meet the diagnostic standard for FTD, and ALS patients can also show the same pathological background of TDP43 as FTD patients do.^{24,25} For atypical parkinsonian syndromes, some cortical basal syndrome and progressive supranuclear palsy cases can also be clinically classified as FTD.²⁶ However, the underlying correlation requires further investigation.

Autoimmunity in Nervous System Diseases

Autoimmunity is the process by which the immune system identifies the body’s own tissues as antigens and responds to them, leading to tissue damage and function impairment. Autoantibodies and/or sensitized lymphocytes are typically the causes of autoimmunity onset. With advances in testing technology, there is increasing evidence that autoimmune responses are involved in or directly contribute to a variety of central and peripheral nervous system disorders. Among diseases with acute to subacute onset, autoimmune encephalitis could be associated with N-methyl-D-aspartate (NMDA) and α -amino-3-hydroxy-5-methyl-4-isoxazole-propionic acid (AMPA) receptor autoantibodies²⁷ and leucine-rich glioma-inactivated protein 1 (LGI1)²⁸ and myelin oligodendrocyte glycoprotein antibodies;²⁹ neuromyelitis optica spectrum disorders could be related to aquaporin 4 (AQP4) autoantibodies.³⁰ As a neurodegenerative disease with a chronic course, antibodies targeting melanin,³¹ α -synuclein,³² and GM1 ganglioside³³ have been found to be responsible for Parkinson’s disease, while in AD, autoantibodies against glial fibrillary acidic protein and S100b could affect astrocytes.³⁴

Recently, an overlap between FTLN and autoimmune disease has been discovered, generating a new line of inquiry into the causes and potential treatments for FTLN. This review mainly presents evidence of a link between FTLN and autoimmune diseases or autoantibodies, describes active attempts to identify therapies targeting autoimmunity, and offers suggestions for future research.

FTLN and Autoimmune Disorders

Autoimmune disorders either specifically influence one organ or system (eg, Hashimoto thyroiditis, autoimmune encephalitis) or systematically influence the whole human body (eg, systemic lupus erythematosus (SLE), rheumatoid arthritis (RA)). The commonly accepted view is that immune responses triggered by genetic predisposition and environmental factors can cause autoimmune diseases.³⁵ Two classic mechanisms of environmental factors are molecular mimicry³⁶ and epitope spreading,³⁷ both of which result in the loss of immune tolerance. Because FTLN is influenced by

genetic background and could be affected by the environment, the same immune-induced pathways might lead to both disease continuums.

Clinical Comorbidity of FTLD and Autoimmune Disease

In FTLD-TDP43 patients, the prevalence of overall autoimmune disease varies from 18% to 23%.^{38,39} A case report and a small-scale study^{40,41} reported an increased prevalence of primary progressive aphasia in men with a history of vasectomy. These results suggest that autoimmune mechanisms are involved in the pathogenesis of FTLD because constant resorption of sperm provides a sufficient supply of the antigens responsible for many immune events.⁴² Over the past 10 years, studies examining the clinical correlation between FTLD and autoimmune diseases based on a broader population have been performed (Table 1). The influence of autoimmune thyroid diseases (including Graves' disease and Hashimoto disease), as the most frequently diagnosed autoimmune diseases in the entire population,⁴³ on the incidence of FTLD has not been clarified.^{38,44} In contrast, some relatively uncommon autoimmune diseases have shown a strong relationship with FTD, including inflammatory arthritis, cutaneous conditions, and gastrointestinal disorders.^{38,39} Therefore, non-thyroid disease "clusters" might serve as a more accurate indicator for FTLD.

Clinicians should enhance the awareness of comorbidities between FTLD and autoimmune diseases, not only waiting for the occurrence passively, but also monitoring relative markers actively. For example, neurologists could pay more attention to FTLD patients' complaint on joint pain, abdominal pain or hematochezia, and test blood markers as antinuclear antibody more frequently. Also, rheumatologists could also concern more about their patients' cognitive function by means of neuropsychological scales like MMSE and MoCA. With more information gathered on this area, the goal of early recognition and intervention might be achieved earlier.

Genetic Factors Related to FTLD and Autoimmune Disease

FTLD and autoimmune diseases might share some genetic risk factors. A genome-wide association study helped reveal two novel loci associated with the human leukocyte antigen and the lysosomal and autophagy pathways, separately, at 6p21.3 and 11q14, providing strong evidence for the involvement of autoimmune responses at the genetic level.⁴⁵ Another genome-wide association study including 192,886 cases and controls showed evident genetic enrichment between FTLD and autoimmune diseases (including RA, ulcerative colitis, type 1 diabetes, and celiac disease), ranging from 160-fold to 270-fold. Furthermore, the human leukocyte antigen region on chromosome 6, which is crucial for modulation of microglial function, was found to exhibit genetic enrichment in FTD and the

Table 1 Available Data of Cohort Studies on FTLD and Autoimmunity Diseases

Reference	Target Autoimmune Disease	Subjects (Group (n))	Results
Miller ZA et al ³⁸	Broad screening of 35 types	svPPA (129) GRN-mutation (39) AD (158) HC (186)	Higher risk of inflammatory arthritides, cutaneous disorders and gastrointestinal disorders in svPPA (p=0.004) and GRN-mutation (p=0.0002) vs AD and HC
Miller ZA et al ³⁹	Broad screening of 39 types	FTD/MND (66) symptomatic C9orf72 carriers (57) AD (158) PSP (107) HC (186)	Higher risk of nonthyroid autoimmune diseases like inflammatory arthritides, cutaneous disorders and gastrointestinal disorders in FTD/MND (p=0.02), C9orf72 groups (p=0.02) vs AD, PSP and HC
Katisko K et al ⁵²	Broad screening of 54 types	bvFTD (132) FTLD/MND (19) nfvPPA (37) svPPA (8) C9orf72 carriers (56) non-C9orf72 carriers (117) AD or probable AD (193) HC (92)	No significant difference was found in FTLD, AD and HC groups. But the non-C9orf72 carrier group had the highest prevalence of immunological illness, whereas the C9orf72 carrier group had the lowest frequency.

Abbreviations: svPPA, semantic-variant primary progressive aphasia; AD, Alzheimer's disease; HC, healthy control; MND, motor neuron disease; PSP, progressive supranuclear palsy; nfvPPA, non-fluent-variant primary progressive aphasia.

autoimmune diseases mentioned above.⁴⁶ Phospholipase C gamma 2 (PLCG2) plays a potential role in inflammation-related pathways.⁴⁷ However, a gain-of-function or deletion mutation in the PLCG2 gene was associated with autoimmune disease occurrence.^{48–50} Furthermore, rs72824905-G, a rare PLCG2 mutation, was protective against FTD.⁵¹ Although the precise mechanism remains unknown, PLCG2 could be a connection between autoimmune disease and FTD.

As the most common genetic background associated with FTLT, C9orf72 also contributes to the incidence of autoimmune diseases. However, whether C9orf72 mutation is a protective factor or a risk factor for autoimmune disease remains unclear. Some results support that FTLT patients without C9orf72 mutation have a greater likelihood of suffering from autoimmune diseases than FTLT patients with C9orf72 mutation,^{52,53} while another study suggests the opposite.³⁹ In animal experiments, the C9orf72^{-/-} genotype could induce myeloid expansion, T cell activation, and plasma cell proliferation in mice, and also an elevated autoantibody level.⁵⁴ Although hundreds of thousands of repeated expansion units are common in C9orf72-related FTLT, new evidence has illustrated that intermediate expansion, defined as hexanucleotide units ranging from 9 to 30, is closely related to autoimmune diseases, such as SLE, RA, and kidney-involved diseases.^{55,56}

Environmental Factors Related to FTLT and Autoimmune Disease

Studies of familial FTLT have shown that the GGGCCC hexanucleotide repeat amplification sequence of C9orf72 has incomplete penetrance,^{57,58} which indicates that other factors (such as environmental factors) can change an individual's disease risk. Therefore, for people who have a genetic susceptibility, early intervention against hazardous factors may help prevent the occurrence of FTLT.

Infection, as a common and well-studied factor that can induce autoimmune diseases, also acts as an environmental factor that could modify the risk of FTLT. Burberry et al showed that reducing the abundance of bacteria that can produce immune stimulation in the environment can reduce the risk of premature death in mice with C9orf72 gene mutations.⁵⁹ This study also provided evidence supporting intestinal microbe regulation therapy.

Environmental pollutants such as planar polychlorinated biphenyls and polycyclic aromatic hydrocarbons, which have been shown to be risk factors for autoimmune disease, could cause the pathologic accumulation of TDP43 in mice by activating the aryl hydrocarbon receptor.⁶⁰ Occupational exposure to aluminum, pesticides, dyes, paints, or thinners was found to be related to an increased incidence of FTD in a case-control study in Northern Italy.⁶¹ Exposure to these substances also leads to an increased risk of autoimmune diseases such as SLE,⁶² Graves' disease,⁶³ and multiple sclerosis.⁶⁴ In contrast to common views, smoking and alcohol consumption, which act as risk factors for most autoimmune diseases,^{65,66} seem to be protective factors against FTLT.⁶⁷

FTLT and Autoantibodies

Autoantibodies refer to antibodies against an organism's own tissues, organs, cells, and/or cellular components. Autoantibodies exist at low levels in healthy individuals without causing any disease. However, when the titer of some autoantibodies exceeds a given level, they may cause direct damage to the body. In other cases, elevation of the levels of some autoantibodies is a secondary result of an autoimmune reaction.⁶⁸ FTLT patients without clinical diagnostic autoimmune diseases can also present an abnormal autoantibody titer (Table 2).

Anti-Glutamatergic Receptor Autoantibodies Related to FTLT

The glutamate neurotransmitter system plays an important part in the pathogenesis of FTLT, which has been thoroughly discussed in previous reviews.⁶⁹ Briefly, there are two forms of glutamatergic receptors at the synaptic level, ionotropic and metabotropic glutamate receptors. The former consists of three subtypes, NMDA receptors (NMDARs), AMPA receptors (AMPA) and kainic acid receptors, while the latter is a receptor family that is coupled with G proteins. Peripheral autoantibodies against NMDARs have been detected in serum samples from patients with FTD as well as in patients with other neurodegenerative diseases and healthy older adults.⁷⁰ Among these antibodies, IgA and IgG anti-NMDAR antibodies are responsible for decreasing the NMDAR density. However, no measurement of the anti-NMDAR

Table 2 Available Data of Cohort Studies on FTLD and Autoantibodies

Reference	Target Autoantibodies	Target Body Fluid	Subjects (Group (n))	Results (Mean±SD or Positive Rate)
Borroni B et al ⁷³	Anti-GluA3 peptide A antibody	Serum	sFTD (175) HC (60)	0.41±0.03 (OD 450nm)* 0.22±0.14 (OD 450nm)
		CSF	sFTD (69) HC (25)	NA 0.004±0.005
Busse S et al ⁷⁰	Anti-NMDAR antibodies (IgA, IgG and IgM)	Serum	AD (46)	2.2%, 2.2%, 6.5%
			SIVD (26)	15.4%, 0.0%, 9.5%
			FTD (18)	11.1%, 0.0%, 0.0%
			DLB (11)	9.1%, 0.0%, 0.0%
			MCI (33)	6.1%, 0.0%, 9.1%
			HC (21)	0.0%, 0.0%, 9.5%
Cavazzana I et al ⁷⁷	Antinuclear antibody (ANA) other autoantibodies (not significant)	Serum	sFTD (100) HC (100)	60%* 13%
				(>1:160 as positive)
Arshad F et al ⁷⁶	ANA and 15 disease-specific autoantibodies	Serum	FTD (114) AD (53) DLB (7)	22.8% 11.3% 14.7%
				(>the reference range of an antibody as positive)
Katisko K et al ⁵³	BP180 autoantibodies	Serum	FTLD (70) HC (61)	10% 4.9%
	BP230 autoantibodies		FTLD (70) HC (61)	4.3% 7.5%
				(>9U/mL as positive)

Note: *P < 0.001.

Abbreviations: CSF, cerebrospinal fluid; sFTLD, sporadic FTLT, ie FTLT with no mutation on MAPT, C9orf72 and GRN; HC, healthy control; NA, not available; AD, Alzheimer's disease; DLB, dementia with Lewy bodies; SIVD, subcortical ischemic vascular dementia; MND-m, motor neuron disease-mimic.

level in the cerebral spinal fluid (CSF) of FTLT patients is available. Therefore, the existence of anti-NMDAR antibodies in serum can only be treated as a warning of possible future development of FTLT.

AMPA receptors consist of four types of subunits referred to as GluA1–A4, among which GluA3 has been the focus in the study of FTLT.⁷¹ The first case was reported in 2017; a man developed clinically diagnosed FTLT after a vasectomy and had detectable serum levels of anti-AMPA antibodies.⁷² Later, Borroni et al⁷³ estimated the positive anti-GluA3 dosage to be 0.64 (optical density 450 nm, unit unmentioned) in serum and 0.019 (optical density 450 nm, unit unmentioned) in CSF, which could distinguish 29% and 21.7% of patients among healthy controls. The serum and CSF levels of anti-GluA3 antibodies are closely related. An increased anti-GluA3 level indicates a younger age of onset in FTLT cases. In vitro, rat primary hippocampal neurons processed with anti-GluA3 antibodies showed a significant decrease in GluA3 subunit levels at postsynaptic sites. Similar results were found in human-induced pluripotent stem cells,^{73,74} which were later supported by findings from post-mortem specimens.⁵² In vivo, a decreased level of intracortical facilitation in humans via transcranial magnetic stimulation was reported.⁷⁵ To compensate for dysregulation of the glutamatergic system, D-Ser, L-Ser, and L-Glu levels in the CSF could increase, which could also serve as an indicator of this disequilibrium.⁷⁵

Other Autoantibodies Related to FTLT

Because a wide spectrum of autoantibodies has been found in FTLT patients, the connection of autoimmunity with FTLT seems to be more substantial than that for other neurodegenerative diseases causing dementia.⁷⁶ The presence of antinuclear antibody might be the initial disruptive event in the immune system. Then, changes in other autoantibodies with more directivity (eg, anti-dsDNA, anti-Sm) indicating a particular autoimmune disease could occur.⁷⁷

GRN acts as a key modulator for immune regulation and development of inflammatory reactions, probably by partly blocking binding of tumor necrosis factor to its receptor.^{78,79} Despite the absence of direct evidence linking FTLT with

GRN mutation and autoimmunity, an increased anti-progranulin level was detected in patients with autoimmune diseases including SLE, RA, and vasculitis compared with that in healthy controls.⁸⁰

Additional sporadic studies without received adequate validation have been conducted. Anti-TDP43 antibodies were significantly higher in an ALS cohort than in FTLD, AD, and healthy control cohorts,⁸¹ but a decreased level of high-affinity anti-TDP43 NABs and IgM antibodies was reported in another ALS cohort.⁸² More FTLD patients than healthy controls tested positive for the autoantibodies BP180 and BP230, which are responsible for bullous pemphigoid, especially in the C9orf72-carrier subgroup.⁵³ An elevated level of antibodies against neuronal voltage-gated calcium channels, such as P/Q- and N-type calcium channel antibodies, which are usually found in paraneoplastic autoimmune encephalitis, could result in subacute onset of bvFTD-like clinical symptoms and neuroimaging results.⁸³ Likewise, autoantibody-related encephalitis, which mimics the manifestations and imaging alterations of FTD, could also be induced by voltage-gated potassium channel antibodies.^{84,85} The connections among these factors are still unknown.

Autoimmune-Mediated Therapies for FTLD

There is still no precise evidence to support any efficient therapy against the impairment of social function and cognitive capacity in FTLD patients. Although the evidence mentioned above suggests a relationship between FTLD and NMDARs, the NMDAR antagonist memantine has not shown efficacy against FTLD.⁸⁶ Currently, antipsychotics are still the most frequently prescribed medications used to treat abnormalities in mood or behavior.

Plasma exchange, glucocorticoids, and intravenous immunoglobulin are classic treatments widely used for acute or subacute neurological diseases related to immune dysregulation, such as autoimmune encephalitis and Guillain-Barre syndrome. Although the deterioration of FTLD patients is chronic in most cases, one patient had sudden onset of an FTD-like disorder that was cured using the treatments mentioned above.⁸³ Rituximab worked well as a second-line treatment in a case of severe delayed NMDAR encephalitis.⁸⁷ If long-term autoimmune encephalitis and the chronic course of FTLD are somewhat comparable, monoclonal antibody therapy might offer a new direction for exploration.

Conclusions

On the basis of the available findings, the association between FTLD and autoimmunity has not been thoroughly clarified. The existence of autoimmune diseases or elevated autoantibody levels can only provide information but cannot act as diagnostic criteria. In addition, unlike the C9orf72 and GRN genotypes (usually showing TDP43 pathology), FTLD with mutation of MAPT (usually showing tau pathology) and other genes has been rarely discussed.

Because most studies were designed to review participants' histories of autoimmune disease or detect their autoantibody level rather than track their immune function during a prospective course, there are still many questions that need to be addressed. It is unknown whether autoimmune disease or FTLD occurs first or whether they are both expressions of a genetic mutation or pathologic change. Because of the variety of the FTLD disease spectrum, determination of a superficial relationship between clinically diagnosed FTLD and the existence of autoimmune disease or autoantibodies may not be satisfying. More promising pathological or genetic targets for diagnosis or treatment could be hidden. Moreover, some results could be presented incorrectly as a trend or discrepancy without precise diagnosis of the FTLD subtype.

With the increasing burden of FTLD worldwide, deficits in its early identification and medical treatment urgently need to be amended. We believe that autoimmunity is a promising and worthy target for improved understanding and management of FTLD after more thorough clinical and laboratory investigations have been performed to obtain more substantial results. Clinical studies based on large-scale and long-term tracked cohorts will be necessary in the future. Multi-center databases such as the Longitudinal Evaluation of Familial Frontotemporal Dementia Subjects (LEFFTDS) and the Advancing Research and Treatment for Frontotemporal Lobar Degeneration (ARTFL) databases will also offer convenience for further investigation. Experiments *in vivo* and *in vitro*, along with corresponding pathological evidence from brain autopsy or biopsy, might provide a more comprehensive protocol for research.

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Disclosure

The authors declare no competing interests in this work.

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