

# Influence of surface charge on the potential toxicity of PLGA nanoparticles towards Calu-3 cells

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**Background:** Because of the described hazards related to inhalation of manufactured nanoparticles, we investigated the lung toxicity of biodegradable poly (lactide-co-glycolide) (PLGA) nanoparticles displaying various surface properties on human bronchial Calu-3 cells.

**Methods:** Positively and negatively charged as well as neutral nanoparticles were tailored by coating their surface with chitosan, Poloxamer, or poly (vinyl alcohol), respectively. Nanoparticles were characterized in terms of size, zeta potential, and surface chemical composition, confirming modifications provided by hydrophilic polymers.

**Results:** Although nanoparticle internalization by lung cells was clearly demonstrated, the cytotoxicity of the nanoparticles was very limited, with an absence of inflammatory response, regardless of the surface properties of the PLGA nanoparticles.

**Conclusion:** These in vitro results highlight the safety of biodegradable PLGA nanoparticles in the bronchial epithelium and provide initial data on their potential effects and the risks associated with their use as nanomedicines.

**Keywords:** nanoparticles, PLGA, surface properties, Calu-3, toxicity, inflammation

## Introduction

Most of the studies that have investigated the toxic effects of nanoparticles on the lungs have focused on inorganic nanoparticles, such as carbon nanotubes, fullerenes, and silica and metal nanoparticles, as well as urban particulate matter.<sup>1-3</sup> The harmful effects observed for these nanoparticles were correlated with common biological mechanisms, eg, inflammation and oxidative stress. Indeed, both carbon nanotubes and urban particulate matter are responsible for the activation of proinflammatory pathways in macrophages, with robust acute inflammatory responses leading to a rapid onset of chronic lung fibrosis, extensive granulomas, and other pathologies.<sup>3-6</sup> Moreover, these systems, as well as metal and silica nanoparticles, induce oxidative stress associated with an increase in reactive oxygen species production and the expression of antioxidant enzymes.<sup>7,8</sup> It has been further shown that the toxicological profile and biological response to the different nanoparticles are closely correlated with their physicochemical properties because, for instance, amorphous silica nanoparticles have a different toxicity profile compared with the crystalline ones.<sup>9</sup> Finally, it has been reported that simple addition of a thin silica layer on different stable, inert, and nontoxic crystalline polymorphs of titanium oxide leads to an increase in cytotoxicity.<sup>10</sup>

Although these results have raised suspicions about the potential lung toxicity of nanoparticles, various nanoscale systems made of biodegradable polymers or lipids have been applied to the formulation of nanomedicines designed for the pulmonary

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route of administration. Lung delivery of nanomedicines represents a suitable alternative to parenteral administration since it allows the administration of fragile and poorly absorbed molecules.<sup>11–14</sup> Nanoparticles are highly bioavailable after lung administration since they are well retained in situ and only weakly taken up by alveolar macrophages, providing their diameter is around 250 nm.<sup>15</sup> Recent studies have demonstrated the impressive potential of biodegradable nanoparticles for lung delivery of salbutamol.<sup>16</sup> However, in contrast with inorganic nanoparticles, the lung toxicity of biodegradable nanoparticles has not been extensively investigated in the literature. Most studies have assumed that due to their biodegradability, these nanoparticles do not lead to side effects or toxicity. However, previous results from our group contrast with this general view. Indeed, despite their biodegradability, specific risks might arise from the nanoparticle form itself. It has been demonstrated that, after intravenous administration, biodegradable polyalkylcyanoacrylate or poly (lactide-co-glycolide) (PLGA) nanoparticles can trigger inflammation and, in the case of poly (alkylcyanoacrylate), oxidative stress during both acute and chronic treatments.<sup>17–19</sup> However, these effects were reversible after interruption of the treatment, which was not the case for non-biodegradable polystyrene nanoparticles. Nevertheless, the question about a similar deleterious effect on the lung remains open, and the goal of the present paper is to answer this question.

Several in vitro models have been developed to study the potential toxicity of inhaled materials, and most studies have been carried out on the A549 cell line which is representative of the alveolar epithelial barrier.<sup>20,21</sup> Surprisingly, only a small number of studies has been performed on the Calu-3 cell line which is representative of the bronchial epithelial barrier. Calu-3 cells derive from a human bronchial adenocarcinoma and maintain the properties of the original submucosal glands, which are involved in the secretion of airway mucus components and mediators of the inflammatory response.<sup>22,23</sup> Given that bronchial epithelial cells will be the first to be met by inhaled nanoparticles, we considered the Calu-3 cell line to be an interesting model to investigate the potential toxicity of nanomedicines.

Basic toxicological evaluation of PLGA nanoparticles loaded with various drugs has been carried out<sup>24,25</sup> and, in a recent study, the genotoxicity of such nanoparticles has been investigated. In that report, no adverse effect was observed.<sup>26</sup> However, since the genotoxic potential of nanoparticles is strongly dependent on their surface properties,<sup>27</sup> the role of nanoparticle surface chemistry and surface charge on their in vitro toxicity needed to be investigated thoroughly. In the

present work, we have designed three types of surface-modified nanoparticles, ie, positively and negatively charged as well as neutral, and performed a direct comparison of the various surface coatings. Among the wide variety of available biodegradable polymers, we have chosen to formulate nanoparticles with PLGA, which represents one of the most commonly used biodegradable and biocompatible polymers involved in the formulation of nanomedicines.<sup>28,29</sup> Nanoparticle surface chemistry and surface charge were then tuned by varying the nature of the stabilizer in the formulation. The in vitro cytotoxicity, cellular uptake, and inflammatory response induced by the different nanoparticles were all assessed using the Calu-3-based model of lung epithelium.

## Material and methods

### Chemicals

PLGA (75:25 Resomer<sup>®</sup> RG756 and 50:50 Resomer RG503H) were purchased from Boehringer-Ingelheim (Ingelheim, Germany). Polyvinyl alcohol (PVA, 87%–89% hydrolyzed, molecular weight 30–70 kDa), and 4-dimethylaminopyridine (99%) were obtained from Sigma Aldrich (Lyon, France). *N,N'*-dicyclohexylcarbodiimide (>99%) was purchased from Fluka (Paris, France). Rhodamine B alcohol was synthesized as described elsewhere.<sup>30</sup> Mowiol<sup>®</sup> 4-88 PVA (molecular weight 30 kDa) was a gift from Kuraray Specialities Europe GmbH (Frankfurt, Germany). Ultrapure chitosan chloride (CS, Protasan<sup>®</sup> UP CL113, 75%–90% deacetylation, molecular weight 50–150 kDa) was purchased from NovaMatrix (FMC BioPolymer, Drammen, Norway). Poloxamer 188 (commercially named Pluronic F68 [PF68]) was purchased from BASF (Levallois Perret, France). All the solvents were provided at the highest grade by Carlo Erba (Milan, Italy). Water was purified using a Synergy (Millipore, Molsheim, France).

### Synthesis of rhodamine-tagged poly (lactide-co-glycolide)

A rhodamine B tertiary amide bearing a hydroxyl group<sup>30</sup> was linked to PLGA (Resomer RG503H) by a *N,N'*-dicyclohexylcarbodiimide-assisted coupling reaction. The rhodamine B coupling reaction was performed as follows. In a round-bottomed flask, rhodamine B alcohol (105 mg,  $0.17 \times 10^{-3}$  mol) and PLGA (500 mg,  $4.2 \times 10^{-5}$  mol) were dissolved in dichloromethane (50 mL). The resulting solution was bubbled for 30 minutes with  $N_2$  while cooling to 0°C in an iced water bath. A solution of *N,N'*-dicyclohexylcarbodiimide (36 mg,  $0.17 \times 10^{-3}$  mol) and a catalytic amount of 4-dimethylaminopyridine

in dichloromethane (10 mL) was added dropwise over 20 minutes at 0°C under N<sub>2</sub>. The reaction mixture was allowed to warm to room temperature and then stirred for 72 hours in the dark. The mother liquors were then concentrated, dissolved in a minimal amount of dichloromethane, and precipitated first in a large volume of cold diethyl ether and then in water. The precipitate of rhodamine B (Rhod)-PLGA was filtered and dried under high vacuum, leading to 425 mg of pure product as purple crystals (85% yield).

The coupling reaction yield was determined by ultraviolet-visible spectroscopy. A precisely weighed amount of rhodamine B alcohol was dissolved in 10 mL of CHCl<sub>3</sub>. From this mother solution, dilutions were performed in the concentration range of 0.4 to 8 µM. The calibration curve was obtained by recording the absorbance of these different solutions at 563 nm. Finally, 10 mg of the Rhod-PLGA was dissolved into 5 mL of CHCl<sub>3</sub>, and the concentration of the solution was adjusted to an accurate precise value. The absorbance at 563 nm of this Rhod-PLGA solution was measured by ultraviolet-visible spectroscopy and the value was compared with the theoretical one obtained from the calibration curve. The coupling yield was determined to be 25%.

## Preparation of nanoparticles

Nanoparticles with different surface properties were prepared by the solvent emulsion evaporation technique.<sup>31</sup> Neutral nanoparticles (PLGA/PVA nanoparticles) were prepared by dissolving 100 mg of PLGA (Resomer RG756) in 5 mL of a dichloromethane/acetone (1/1 v/v) mixture. This organic solution was pre-emulsified with 20 mL of a 0.25% (w/v) PVA (molecular weight 30–70 kDa) aqueous solution by vortexing for one minute. The pre-emulsion was kept on ice and sonicated for one minute using a VibraCell sonicator (Fisher Scientific, Illkirch, France) at 40% power. As for the positively-charged nanoparticles (PLGA/CS nanoparticles), the organic solution of PLGA was pre-emulsified with a 0.6% (w/v) aqueous CS solution containing 0.5% (w/v) of Mowiol 4-88 PVA by vortexing for one minute. This pre-emulsion was kept on ice and sonicated for 2 minutes using a VibraCell sonicator at 40% power. Then, for both the neutral and positively charged nanoparticles, the organic phase was allowed to evaporate at room temperature with magnetic stirring (600 rpm). Nanoparticle dispersion was then completed to 20 mL. The excess of stabilizers was removed by centrifugation of nanoparticles at 37,000 × g for one hour at 4°C, and the pellet of nanoparticles was resuspended in ultrapure water. Negatively charged nanoparticles (PLGA/PF68 nanoparticles) were prepared using PF68 as stabilizer.

PLGA was dissolved in 10 mL of ethyl acetate and added drop wise into 20 mL of a 1% (w/v) aqueous solution of PF68 under vigorous magnetic stirring. The pre-emulsion was vortexed for one minute and then sonicated for another minute using a VibraCell sonicator at 40% power. Water (20 mL) was added to the emulsion, in order to promote diffusion of the organic solvent into the external phase, leading to formation of the nanoparticles. The organic phase was allowed to evaporate at room temperature with magnetic stirring (600 rpm). Rhodamine-tagged nanoparticles were prepared as described earlier by dissolving a 70/30% (w/w) mixture of PLGA (Resomer RG756) and Rhod-PLGA, in the organic solvent.

## Nanoparticle size and zeta potential measurements

Nanoparticle average diameter was measured by dynamic light scattering with a Nano ZS (Malvern Instruments, Worcester-shire, UK) with a 173° scattering angle at a temperature of 25°C. Measurements were performed in triplicate following dilution of the nanoparticle dispersion in water. The surface charge of the nanoparticles was investigated by zeta potential measurement at 25°C, after dilution with NaCl 1 mM, using the Smoluchowski equation. The stability of the nanoparticles was investigated in water Ca<sup>2+</sup> and Mg<sup>2+</sup> free phosphate buffer (PBS, Lonza, Levallois-Perret, France) and cell culture medium (DMEM, Lonza) containing 10% (v/v) of fetal bovine serum (Lonza). Particle size distribution was measured at 0, 4, 24, 48, 72, and 96 hours after incubation at 37°C. Zeta potential in cell culture medium was measured according to the manufacturer's instructions for measurement in high ionic strength media. Stability of the fluorescent labeling of nanoparticles was investigated by incubating Rhod-PLGA nanoparticles at 1 mg/mL in phosphate buffer at 37°C. At predetermined time intervals (8, 24, and 48 hours), an aliquot of the phosphate buffer medium was withdrawn and ultrafiltered (Nanosep Centrifugal Devices 3 kDa, Pall Corporation, Port Washington, NY) at 14,000 × g over 60 minutes, after which the soluble degradation products were collected in the bottom chamber. The absorbance of the filtered solution was measured by ultraviolet-visible spectroscopy, and the results were expressed as a percentage of the initial rhodamine concentration in the nanoparticle formulations.

## Quantification of stabilizers associated to nanoparticles

The amount of PVA and chitosan associated to the nanoparticles was indirectly measured by determining the concentration

in the supernatant after centrifugation of the nanoparticles. The amount of PVA was determined by a spectroscopic method based on the formation of a green-colored complex between two adjacent hydroxyl groups of PVA and iodine in the presence of boric acid.<sup>32</sup> After centrifugation of the PLGA/PVA nanoparticles, appropriate volumes of the supernatant were diluted with water to 5 mL. A further 3 mL of boric acid solution (3.8% w/v) and 0.6 mL of a 0.1 M iodine solution were added and the volume was completed to 10 mL with water. The absorbance of the final solution was measured at 640 nm using boric acid and aqueous iodine solution as a blank. A calibration curve was prepared using PVA concentrations in the 5–50 µg/mL range. Absorbance was not influenced by the molecular weight of PVA. The presence of chitosan affected the colorimetric reaction. Therefore, to avoid any interference, chitosan was precipitated at pH 10 using 1 M NaOH. Samples were centrifuged at 12,100 × g for 5 minutes, and the supernatants were then analyzed to determine the PVA content. The formation

The amount of PF68 adsorbed onto the nanoparticles was determined according to equation 1:

$$N_{\text{mg/g}} = \frac{M_{\text{PF68}} \times I_{\text{PF68}}/695}{0.75 (M_{\text{lact}} \times I_{\text{lact}}) + 0.25 (M_{\text{glyc}} \times I_{\text{glyc}}/2)} \times 1000 \quad (1)$$

in which  $I_{\text{lact}}$  is the peak integral of the —CH groups of the lactide unit ( $\delta = 5.2$  ppm) corresponding to one proton;  $I_{\text{glyc}}$  is the peak integral of the —CH groups of the glycolide unit ( $\delta = 4.8$  ppm) corresponding to two protons; and  $I_{\text{PF68}}$  is the peak integral of the —CH and CH<sub>2</sub> groups of the PF68 ( $\delta = 3.4 - 3.7$  ppm) corresponding to 695 protons.  $M_{\text{lact}}$ ,  $M_{\text{glyc}}$ , and  $M_{\text{PF68}}$  are the molecular weights of the lactide unit (72 g/mol), the glycolide unit (58 g/mol), and the PF68 (8350 g/mol) unit, respectively; 0.75 and 0.25 represent the percentage weight of the lactide and glycolide units in the PLGA used.

For the Rhod-PLGA nanoparticles, equation 1 was modified as follows:

$$N_{\text{mg/g}} = \frac{M_{\text{PF68}} \times I_{\text{PF68}}/695}{0.363 [0.75 (M_{\text{lact}} \times I_{\text{lact}}) + 0.25 (M_{\text{glyc}} \times I_{\text{glyc}}/2)] + 0.637 [0.75 (M_{\text{lact}} \times I_{\text{lact}}) + 0.25 (M_{\text{glyc}} \times I_{\text{glyc}}/2)]} \times 1000 \quad (2)$$

of an ionic complex between the protonated chitosan amino groups and the sulfonic acid groups of Cibacron Brilliant Red<sup>®</sup> was used to measure the concentration of chitosan in the supernatant after centrifugation of the PLGA/CS nanoparticles.<sup>33</sup> In this assay, 0.1 M glycine buffer was prepared by dissolving glycine (1.87 g) and sodium chloride (1.46 g) in water and adding HCl to obtain a final pH value of 3.2. The dye solution was prepared by diluting an aqueous dye solution (0.15% w/v) with glycine buffer up to a final concentration of 0.075 mg/mL. Thereafter, 20 µL of each sample was diluted to 300 µL with glycine buffer, and 3 mL of dye solution was then added. The absorbance values were measured at 576 nm, using the buffer and dye solution as a blank. The calibration curve was prepared in the 0–38 µg/mL range. The presence of PVA in the supernatant did not affect the reaction.

The amount of Pluronic adsorbed onto the PLGA/PF68 nanoparticles was determined by <sup>1</sup>H NMR following the protocol described by Trimaille et al,<sup>34</sup> with some modifications according to the different polymer used. PLGA/PF68 nanoparticles were centrifuged at 37,000 × g for one hour at 4°C and the pellet was freeze-dried. The powder was then dissolved into deuterated chloroform, and <sup>1</sup>H NMR analysis was carried out using a 300 MHz Bruker Avance (Lyon, France).

In this equation, the use of PLGAs with different lactide/glycolide ratios (PLGA 75/25 and Rhod-PLGA 50/50) and the molar percentage of the two polymers (0.363 and 0.637, respectively) has been considered.

## Transmission electron microscopy

Transmission electron microscopy was performed using a Philips EM208 (Philips, Eindhoven, The Netherlands) operating at 60 kV. The suspension of nanoparticles (1 mg/mL) was deposited onto copper grids covered with a formvar film (400 mesh) for 2 minutes. Negative staining (30 seconds) with phosphotungstic acid 1% or uranyl acetate 0.5% was performed to observe the PLGA/PVA and PLGA/PF68 nanoparticles or the PLGA/CS nanoparticles, respectively. The excess solution was blotted off using filter paper, and the grids were air dried before observation. Image acquisition was performed using a high-resolution camera, Advantage HR3/12GO4 (AMT-Hamamatsu).

## Surface analysis of particles

X-ray photoelectron spectroscopy was used to determine the surface composition of the nanoparticles. A Thermo Electron Escalab 250 spectrometer with monochromated



AlK $\alpha$  radiation (1486.6 eV) was used. The analyzer pass energy was 100 eV for survey spectra and 20 eV for high resolution spectra. The spectrometer was calibrated against Au 4f<sub>7/2</sub> at 84.1 eV. O1 s and C1 s core levels were analyzed (Table S1). The photoelectron take-off angle (angle of the surface with the direction in which the photoelectrons are analyzed) was 90°. Curve fitting of the spectra was performed using Thermo Electron software. For calculation of the surface composition, the inelastic mean free paths calculated by Tanuma et al<sup>35</sup> and photoemission cross-sections calculated by Scofield were used.<sup>36</sup>

## Calu-3 cell line culture

The Calu-3 cell line was obtained from the American Tissue Type Collection (catalog number HTB-55) and maintained at 37°C and 5% CO<sub>2</sub> in a humidified atmosphere. Cells were cultured in Dulbecco's modified Eagle's medium (DMEM, Lonza) supplemented with 50 U/mL penicillin, 50 U/mL streptomycin, and 10% fetal bovine serum (Lonza). The medium was changed every four days and cells were passed weekly at a 1/3 split ratio using Trypsine-EDTA (Lonza).

## Cell viability assay

The in vitro cytotoxicity of the nanoparticles was evaluated using the 3-[4,5-dimethylthiazol-2-yl]-3,5 diphenyl tetrazolium bromide (MTT) test. This assay depends on the cellular reductive capacity to metabolize the MTT to a highly colored formazan product. Cells were seeded in 200  $\mu$ L of growth medium ( $1.25 \times 10^5$  cells/mL) in 96-well plates (TPP, Zurich, Switzerland) and preincubated for 24 hours to recover. Then, 100  $\mu$ L of freshly prepared nanoparticle dispersions in fetal bovine serum-containing cell culture medium were added immediately after dilution to an appropriate concentration (0.03–5 mg/mL). The nanoparticle formulations were assayed for toxicity over 4, 24, and 72 hours of incubation. After the incubation period, 20  $\mu$ L of a 5 mg/mL MTT solution in phosphate-buffered saline was added to each well. After 2 hours, the culture medium was gently aspirated and replaced by 200  $\mu$ L of dimethyl sulfoxide (American Chemical Society grade, BioBasic Inc, Paris, France) in order to dissolve the formazan crystals. The absorbance of the solubilized dye, which correlates with the number of living cells, was measured with a microplate reader (LAB Systems Original Multiscan MS, Helsinki, Finland) at 570 nm. The percentage of viable cells in each well was calculated as the absorbance ratio between nanoparticle-treated and untreated control cells. Acrolein was used as the positive control at concentrations varying from  $10^{-3}$  to 1 mM. The viability assay was used to

assess the cytotoxicity of the different stabilizers used for the preparation of the nanoparticles. Stabilizer solutions in cell culture medium were appropriately diluted to a concentration corresponding to the amount present in the nanoparticle dispersion at a 1–5 mg/mL concentration range and incubated on the cells. The test was performed as described above.

## Confocal laser scanning microscopy

Confluent cells grown on six-well dishes were incubated for 24 hours with Rhod-PLGA nanoparticles at 200  $\mu$ g/mL. The monolayers were then washed with phosphate buffer. In vitro imaging acquisition was performed on living cells using a confocal laser scanning microscope LSM 510 META (Zeiss, Oberkochen, Germany) equipped with a 1 mW helium neon laser and a Plan-Apochromat 63 $\times$  objective lens (numerical aperture 1.40, oil immersion). Red fluorescence was collected with a long-pass 560 nm emission filter under a 543 nm excitation wavelength. The pinhole diameter was set at 104  $\mu$ m. Stacks of images were collected every 0.8  $\mu$ m along the z axis. Prior to observations, it was checked that the autofluorescence of Calu-3 cells was negligible under the acquisition settings and did not interfere with the fluorescence coming from the nanoparticles.

## Nanoparticle uptake kinetics in Calu-3

For the uptake studies, confluent cells were incubated with Rhod-PLGA nanoparticles at 200  $\mu$ g/mL. At different time points (4, 12, and 24 hours) monolayers were washed and the cells were incubated with Trypsine-EDTA for 10 minutes. Cell dispersion was centrifuged at  $100 \times g$  for 10 minutes and the pellet was recovered in an appropriate volume of phosphate buffer. The amount of Rhod-PLGA nanoparticles taken up by the Calu-3 cells was measured using a FACSCalibur<sup>®</sup> cell analyzer (Becton Dickinson, Franklin Lakes, NJ). The fluorescence emission was collected in the fluorescence-2 channel. Cellular debris were eliminated from the analysis using a gate on forward and side scatter. For each sample,  $10^4$  cells were analyzed. Experiments were performed in triplicate. Data acquisition and analysis were performed using the software CellQuest Pro version 4.02 (BD Biosciences, San Diego, CA).

## Cytokine secretion from Calu-3 exposed to nanoparticles

Calu-3 cells were seeded in six-well dishes at a density of  $10^6$  cells per well in 1 mL culture medium and grown until confluence. Confluent cells were refreshed with culture medium for 24 hours. The cells were then exposed

to nanoparticles at 200 µg/mL or lipopolysaccharide at 10 µg/mL as a positive control. This concentration was found to be the minimal one ensuring a significant cytokine release after 24 hours of incubation. Unexposed cells were used as a negative control. Supernatants were collected at different intervals in time. Commercial enzyme-linked immunosorbent assay kits for interleukin-6 (IL-6), interleukin-8 (IL-8), and tumor necrosis factor alpha (TNFα) were used following the indications of the manufacturer (R&D Systems Europe Ltd, France).

## Statistical analysis

The differences between cells exposed to nanoparticles or the positive control and untreated cells were evaluated using a Student's *t*-test. Statistical significance was indicated as  $P < 0.05$  or  $P < 0.01$ . The symbols used are reported in the legend for each figure.

## Results

### Design and characterization of PLGA nanoparticles

The nanoparticles were prepared according to an emulsion evaporation technique, as described in the Materials and methods section. As shown in Table 1, introduction of different stabilizers allowed modification of the nanoparticle surface charge. One cationic stabilizer, ie, CS, was used as well as two neutral stabilizers, ie, partially hydrolyzed PVA and PF68. PLGA/PF68 nanoparticles had a mean diameter of 100 nm, while both PLGA/PVA and PLGA/CS nanoparticles were around 200 nm (Table 1). All formulations had a narrow size distribution, with a polydispersity index of 0.1–0.2 (Table 1). These results are in agreement with the transmission electron microscopic images (Figure 1) that

show spherical nanoparticles with a smooth surface. Zeta potential measurements confirmed that the stabilizers influence nanoparticle surface charge. PLGA/CS nanoparticles exhibited a positive zeta potential ( $+32 \pm 3$  mV), whereas PLGA/PVA nanoparticles were almost neutral ( $-5 \pm 1$  mV), and PLGA/PF68 nanoparticles exhibited a negative zeta potential ( $-24 \pm 1$  mV), as shown in Table 1.

The presence of stabilizers on the nanoparticle surface was confirmed by x-ray photoelectron spectroscopy, where the spectra associated with each nanoparticle differed from the pure PLGA spectrum. Indeed, the fits of the C1 s and O1 s envelopes of PLGA nanoparticles showed peaks which were due to the simultaneous presence of both PLGA and stabilizer (supporting information, Table S1). The amount of stabilizers associated with the nanoparticle surface were quantified by spectrophotometric methods or <sup>1</sup>H NMR spectroscopy. Between 10 mg and 30 mg of stabilizer (per 100 mg of PLGA) was found to be associated with the nanoparticles (Table 1).

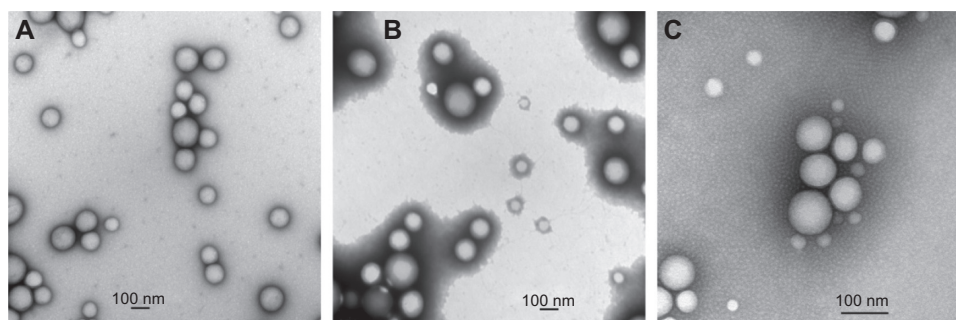
A hydroxyl derivative of rhodamine B (Rhod-OH) was covalently linked to PLGA, and the resulting tagged polymer (Rhod-PLGA) was used for the preparation of fluorescent nanoparticles by simply blending it with unmodified PLGA. Use of Rhod-PLGA did not influence either the size distribution or the surface charge of the nanoparticles. The only exception was the Rhod-PLGA/CS nanoparticles, the size and zeta potential of which decreased slightly (Table 1). Therefore, x-ray photoelectron spectroscopy was used to investigate the presence of rhodamine on the nanoparticle surface. The O1 s peak-fitted envelopes for the PLGA/CS nanoparticles and Rhod-PLGA/CS nanoparticles is reported in Figure S1. The two main components of the O1 s peak of the PLGA/CS nanoparticles are centered at binding energies of 533.1 eV and 534.7 eV, and assigned to  $O_{C-QH}$  and  $O_{C-Q-C}$ , respectively, while for the Rhod-PLGA/CS nanoparticles, the O1 s envelope shows three major peaks which correspond to  $O_{C=O}$  (532.4 eV),  $O_{C-QH}$  (534.1 eV), and  $O_{C-Q-C}$  (535.8 eV) environments. The presence of a third signal, the binding energy of which can be assigned to carbonyl groups, confirms the presence of rhodamine moieties on the surface of the Rhod-PLGA/CS nanoparticles. However, x-ray photoelectron spectroscopy analysis of the PLGA/PVA nanoparticles and PLGA/PF68 nanoparticles did not reveal any modification due to the presence of rhodamine (Table S1).

The stability of the linkage between rhodamine and nanoparticles was investigated over 48 hours in Dulbecco's phosphate-buffered saline at 37°C. The results confirm that the covalent bond between the dye and the PLGA was highly

**Table 1** Physicochemical properties of unlabeled and rhodamine-labeled nanoparticles

Nanoparticle type	Mean diameter (nm)	PDI	Zeta potential (mV)	Associated stabilizers (mg/100 mg PLGA)
PLGA/CS	230 ± 6	0.20	+32 ± 3	15.3 ± 3.3 (CS) 30.4 ± 1.8 (PVA)
PLGA/PVA	230 ± 2	0.12	-5 ± 1	11.5 ± 1.1 (PVA)
PLGA/PF68	105 ± 2	0.13	-24 ± 1	10.7 ± 3.7 (PF68)
Rhod-PLGA/CS	174 ± 3	0.20	+13 ± 1	20.5 ± 4.8 (CS) 31.8 ± 2.6 (PVA)
Rhod-PLGA/PVA	226 ± 4	0.09	-5 ± 1	12.4 ± 1.0 (PVA)
Rhod-PLGA/PF68	100 ± 2	0.13	-20 ± 2	10.6 ± 2.1 (PF68)

**Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); CS, chitosan; Rhod, rhodamine B; PF68, Pluronic® F68; PDI, polydispersity index.



**Figure 1** Transmission electron microscopic images of (A) PLGA/CS nanoparticles, (B) PLGA/PVA nanoparticles, and (C) PLGA/PF68 nanoparticles. **Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); PF68, Pluronic® F68; CS chitosan.

stable, because only a small amount of free rhodamine was recovered. After 24 hours, a maximum of 7.5% of the dye leaked out from the nanoparticles without any significant further release during the following 24 hours of incubation.

To monitor for any possible size and zeta potential variation upon exposure to the different experimental environments, the nanoparticles were incubated for 96 hours at 37°C in three different media, ie, water, cell culture medium (DMEM) containing 10% fetal bovine serum, and phosphate buffer. In

each medium, the size distribution was followed as a function of incubation time (Table 2). Interestingly, regardless of their surface charge, the size of the nanoparticles was not significantly different in water and in cell culture medium containing fetal bovine serum. A size increase was observed only for PLGA/CS nanoparticles after incubation in phosphate buffer. Moreover, the zeta potential values for the nanoparticles did not show significant modification in cell culture medium containing fetal bovine serum either ( $+8 \pm 3$ ,  $-2 \pm 1$ , and  $-18 \pm 3$  mV for PLGA/CS, PLGA/PVA, and PLGA/PF68, respectively). On the basis of these results, cell culture medium containing fetal bovine serum was selected for dilution of nanoparticles to appropriate concentrations for the in vitro studies.

## Effect of nanoparticle concentration and surface chemistry on cell viability, uptake, and inflammatory response

Calu-3 cell viability was investigated as a function of nanoparticle concentration (0.03–5 mg/mL) using the MTT assay. This quantitative colorimetric test is based on the ability of viable cells to metabolize the water-soluble dye (MTT) into a colored formazan salt. After 72 hours of incubation, cell viability was always higher than 50%, even at the highest concentration tested (Figure 2). Cell viability initially diminished as the nanoparticle concentration increased up to 0.3 mg/mL, and then reached a plateau. Only after exposure to the PLGA/PF68 nanoparticles did cell viability progressively decrease and drop to  $57\% \pm 2\%$  at 5 mg/mL. Furthermore, the contribution of stabilizers to cytotoxicity was assessed, revealing that cell viability was higher in the presence of the stabilizer solutions than after incubation with nanoparticle suspensions containing the same amount of stabilizers (Figure 3).

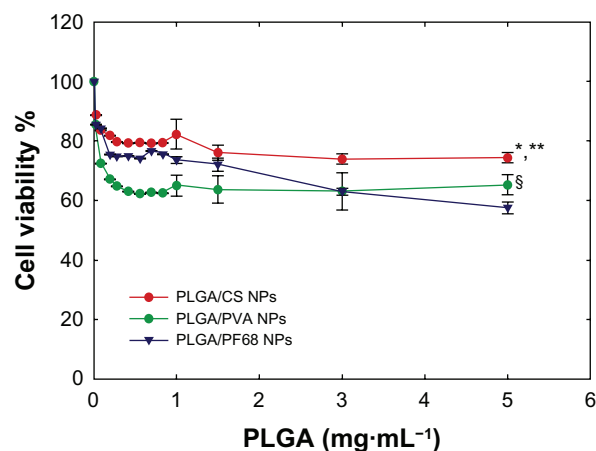
Neither the nanoparticle formulations nor the different stabilizers, when assayed separately, led to a reduction in cell viability below 50%. A wide range of concentrations was tested to screen the toxicity of the nanoparticles accurately

**Table 2** Evolution of mean diameter of PLGA/CS, PLGA/PVA, and PLGA/PF68 nanoparticles at 37°C as a function of time (size is expressed in nm unless otherwise stated). Nanoparticles were incubated and measured in water, cell culture medium containing 10% fetal bovine serum, and  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  free phosphate buffer

Experimental medium	Time (h)	Nanoparticle type		
		PLGA/CS	PLGA/PVA	PLGA/PF68
$\text{H}_2\text{O}$	0	$230 \pm 8$	$210 \pm 6$	$103 \pm 2$
	4	$218 \pm 6$	$205 \pm 5$	$102 \pm 5$
	24	$227 \pm 7$	$203 \pm 5$	$101 \pm 2$
	48	$212 \pm 3$	$201 \pm 6$	$98 \pm 4$
	72	$225 \pm 8$	$201 \pm 4$	$103 \pm 2$
	96	$215 \pm 3$	$204 \pm 3$	$102 \pm 3$
DMEM + 10% FBS	4	$217 \pm 36$	$159 \pm 12$	$113 \pm 4$
	24	$166 \pm 13$	$141 \pm 6$	$123 \pm 8$
	48	$173 \pm 10$	$157 \pm 5$	$131 \pm 9$
	72	$216 \pm 26$	$156 \pm 5$	$128 \pm 5$
	96	$188 \pm 12$	$156 \pm 6$	$134 \pm 9$
PBS	4	$688 \pm 86$	$198 \pm 9$	$114 \pm 4$
	24	$851 \pm 113$	$197 \pm 5$	$102 \pm 3$
	48	$>1 \mu\text{m}$	$200 \pm 4$	$115 \pm 5$
	72	$>1 \mu\text{m}$	$202 \pm 4$	$112 \pm 2$
	96	$>1 \mu\text{m}$	$201 \pm 3$	$111 \pm 3$

**Note:** Data represent the mean  $\pm$  standard deviation ( $n = 3$ ).

**Abbreviations:** FBS, fetal bovine serum; PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); PF68, Pluronic® F68; CS, chitosan; PBS, phosphate-buffered solution; DMEM, Dulbecco's modified Eagle's medium.



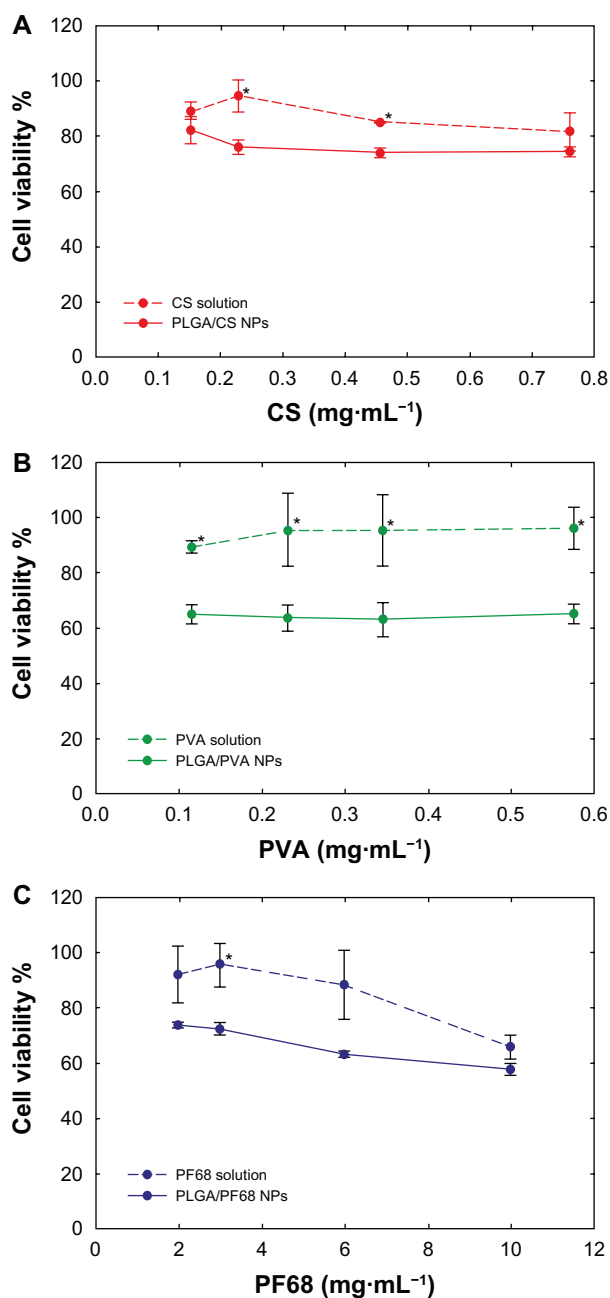
**Figure 2** Calu-3 cell viability (MTT assay) after 72 hours of exposure to PLGA/CS, PLGA/PVA, and PLGA/PF68 nanoparticles (0.030–5 mg/mL). Each experiment was repeated eight times from three independent incubation preparations. Results are expressed as percentages of absorption for treated cells ( $\pm$  standard deviation) in comparison with untreated control cells.

**Notes:** Statistical significance was indicated as  $P < 0.05$ . \*PLGA/CS nanoparticles versus PLGA/PVA nanoparticles (0.030–5 mg/mL); \*\*PLGA/CS versus PLGA/PF68 nanoparticles (3–5 mg/mL); §PLGA/PVA nanoparticles versus PLGA/PF68 nanoparticles (0.030–1 mg/mL).

**Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); CS, chitosan; NPs, nanoparticles; PF68, Pluronic® F68.

over an incubation time that allowed cells to remain in exponential growth and undergo doubling. To confirm the absence of any acute toxicity, cell viability was investigated after 4 and 24 hours of incubation (Figure 4). Exposure of Calu-3 cells to PLGA/PVA and PLGA/CS nanoparticles for 4 hours did not affect their viability as compared with untreated cells. At 4 hours, following exposure to PLGA/PF68 nanoparticles, an increase in cell viability was found. No significant differences were observed as a function of nanoparticle concentration. A mild adverse effect was evident after 24 hours, especially with PLGA/PVA nanoparticles, which caused a decrease in cell viability down to 80% at a concentration of 5 mg/mL. In subsequent studies, 0.2 mg/mL was used to ensure at least 80% cell viability. By contrast, incubation of acrolein, used as control with the same Calu-3 cells, caused a 50% reduction in viability at a concentration of 17  $\mu$ g/mL (data not shown).

The influence of surface chemistry and surface charge on the ability of Calu-3 cells to internalize nanoparticles was then investigated. After 24 hours of incubation with rhodamine-tagged nanoparticles, the cells were washed with fresh medium to remove the membrane-bound nanoparticles. The cellular uptake of nanoparticles was then observed in living cells by confocal laser scanning microscopy. No morphological alteration of the cells was detected on Nomarski images, confirming the results obtained by MTT. Fluorescence images and their superimposition on Nomarski images show that



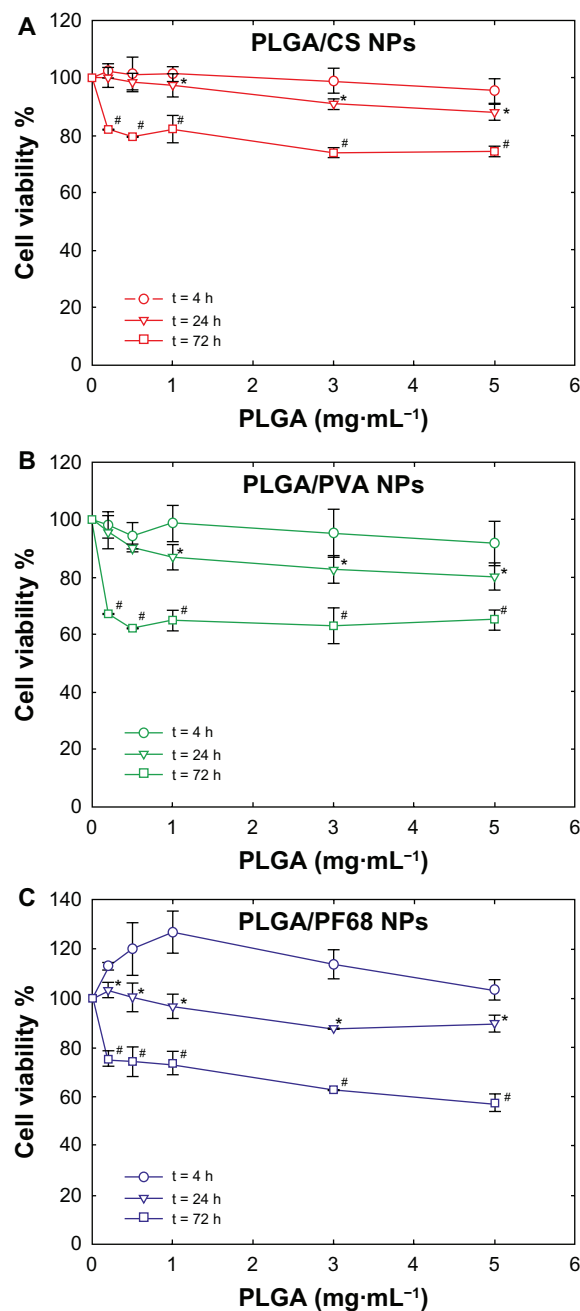
**Figure 3** Calu-3 cell viability (MTT assay) after 72 hours of exposure to (A) PLGA/CS, (B) PLGA/PVA, and (C) PLGA/PF68 nanoparticles or (A) CS, (B) PVA, and (C) PF68 solutions as a function of stabilizer concentration. Each experiment was repeated eight times from three independent incubation preparations. Results are expressed as percentages of absorption for treated cells ( $\pm$  standard deviation) in comparison with untreated control cells.

**Note:** Statistical significance was indicated as  $*P < 0.05$  (stabilizer solution versus PLGA nanoparticles).

**Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); PF68, Pluronic® F68; CS, chitosan; NPs, nanoparticles.

fluorescent spots accumulate within the cells and especially around the nuclei, demonstrating the interaction of the nanoparticles with cells and their intracellular accumulation, most probably into intracellular vacuoles (Figure 5). In addition, no difference could be observed between the



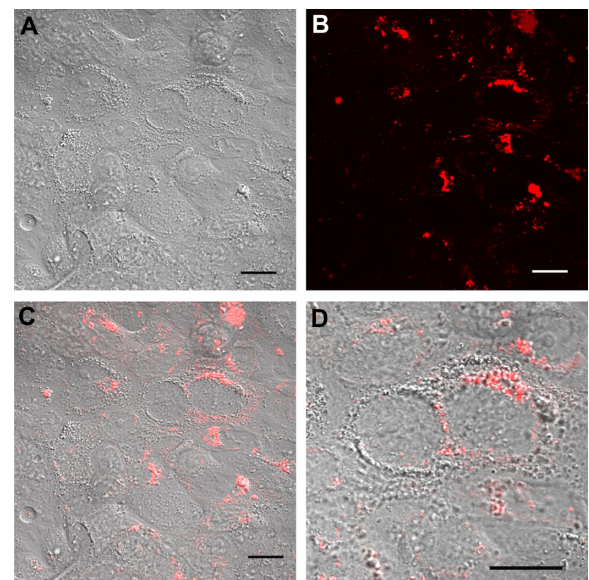


**Figure 4** Evolution of Calu-3 cell viability (MTT assay) after exposure to (A) PLGA/CS, (B) PLGA/PVA, and (C) PLGA/PF68 nanoparticles as a function of time. Each experiment was repeated eight times from three independent incubation preparations. Results are expressed as percentages of absorption for treated cells ( $\pm$  standard deviation) in comparison with untreated control cells.

**Notes:** The significance was indicated as \* $P < 0.05$  (24 hours versus 4 hours) and # $P < 0.05$  (72 hours versus 24 hours).

**Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); PF68, Pluronic® F68; CS, chitosan; NPs, nanoparticles.

different nanoparticles (Figures S2 and S3). These results were confirmed also by flow cytometry measurement of cell-associated levels of Rhod-PLGA nanoparticles. Despite their different surface properties, the internalization profiles of the PLGA nanoparticles were similar (Table S2).



**Figure 5** Real time confocal laser scanner microscopy images of Calu-3 cells exposed to Rhod-PLGA/PVA nanoparticles for 24 hours and subsequent washing of the medium. (A) Nomarski image, (B) fluorescent image, and (C) superimposition of Nomarski and fluorescence images, and (D) enlarged region of (C).

**Note:** Scale bars = 20  $\mu$ m.

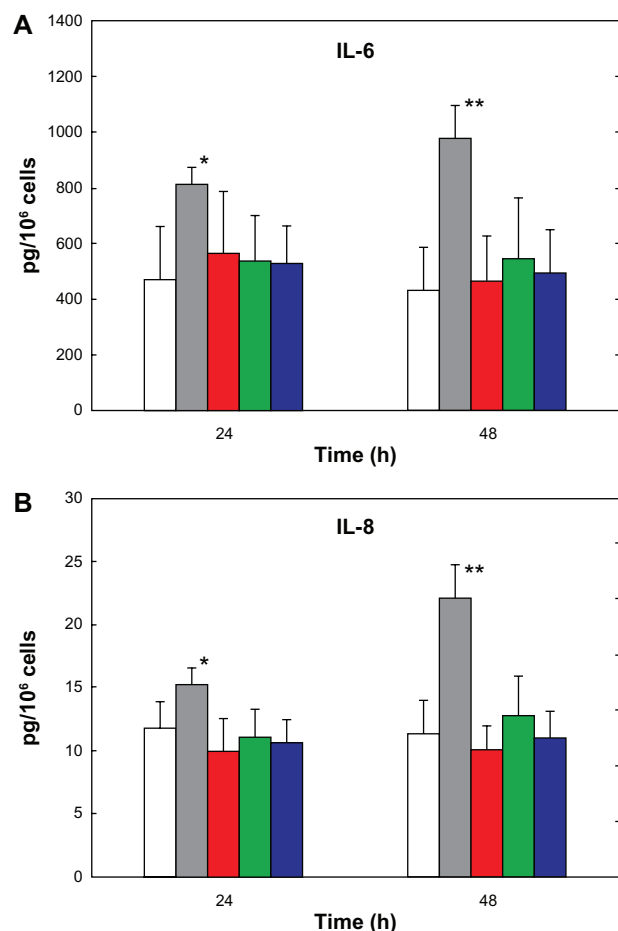
**Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); Rhod, rhodamine B alcohol.

To assess whether biodegradable nanoparticles induced an inflammatory phenotype in the Calu-3 cell line as a function of their surface properties, we investigated their effect on different markers of inflammation, ie,  $\text{TNF}\alpha$ , IL-6, and IL-8. The release of cytokines was investigated over 48 hours of exposure to nanoparticles (0.2 mg/mL) using lipopolysaccharide as a positive control (10  $\mu$ g/mL, Figure 6A and B). The concentration of lipopolysaccharide chosen was consistent with previous work.<sup>37,38</sup>

As expected, a basal concentration of cytokines in the supernatant was detected in control cells.<sup>39</sup> Remarkably, no effect of treatment with nanoparticles, regardless of their physicochemical surface properties, was observed, with IL-8 and IL-6 concentrations in the supernatant not being different between the treatment groups. IL-8 was secreted at a higher concentration compared with IL-6, and a significant increase in IL-8 release over time was found only for the positive control ( $P < 0.05$  and  $P < 0.01$  for cells exposed to lipopolysaccharide compared with control or nanoparticle-exposed cells at 24 hours and 48 hours, respectively).  $\text{TNF}\alpha$  was not detected in any of the samples, thus indicating an absence of response after incubation with nanoparticles.

## Discussion

Nanoparticles were modified by three different stabilizing agents, ie, CS, PVA, or PF68, in order to study the influence



**Figure 6** (A) IL-6 and (B) IL-8 secretion by Calu-3 cells exposed for 24 hours and 48 hours to lipopolysaccharide (gray bars), PLGA/CS (red bars), PLGA/PVA (green bars), and PLGA/PF68 nanoparticles (blue bars). White bars represent cytokine secretion from untreated control cells.

**Notes:** Data represent the mean  $\pm$  standard deviation ( $n = 3$ ). \* $P < 0.05$ , \*\* $P < 0.01$  (lipopolysaccharide-exposed versus control and nanoparticle-treated cells).

**Abbreviations:** PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); PF68, Pluronic® F68; CS, chitosan; IL, interleukin.

of surface properties on their toxicity. It was observed that nanoparticles made of PLGA/CS displayed a positive charge that could have arisen from electrostatic interactions between the negatively charged groups of PLGA and the positively charged CS that induce adsorption of CS onto the nanoparticle surface. Only a fraction of the amino groups were required to neutralize the negative charges of PLGA, whereas the remaining free amino groups were responsible for the resulting positive zeta potential. Similarly, the surface properties of PLGA/PVA nanoparticles were the result of the adsorption of PVA (which is a partially hydrolyzed poly(vinyl acetate), containing vinyl alcohol and vinyl acetate monomer units). The hydrophobic acetate moieties confer amphiphilic properties to PVA that may adsorb at the organic phase-water interface during particle formation, leading to its entrapment within the PLGA matrix on the nanoparticle surface.<sup>40</sup>

Given its high molecular weight (30–70 kDa), the PVA layer was able to screen PLGA charges, leading to an almost neutral zeta potential value. PF68, a polyoxyethylene-*block*-polyoxypropylene-*block*-polyoxyethylene (PEO-*b*-PPO-*b*-PEO) triblock polymer, is also neutral but with a lower molecular weight (8.4 kDa). Therefore, its adsorption on the nanoparticle surface may cause only a partial screening of PLGA charges,<sup>41</sup> resulting in negatively charged nanoparticles. It was also important to verify that rhodamine used to label the nanoparticles for in vitro tracking did not change these surface properties significantly. Rhodamine was selected because its emission wavelengths are higher than those commonly associated with autofluorescence in cells.<sup>42</sup> Formulation of nanoparticles with a chemically modified polymer was chosen instead of using physically entrapped dyes due to possible desorption and release of the fluorescent molecule and consequent misinterpretation of the imaging results.<sup>43,44</sup> The fluorescent dye did not affect the nanoparticle size; indeed, the slight size decrease from 230 nm to 170 nm observed for the Rhod-PLGA/CS nanoparticles was not due to the presence of rhodamine but to the use of a mixture of different molecular weight PLGAs. This was confirmed by a control formulation obtained with unlabelled PLGAs. Electrostatic interactions between CS (the only charged stabilizer used in this study) and the fluorescent probe led to a surface distribution of positively charged rhodamine moieties that might explain the decreased zeta potential. Indeed, the zeta potential represents the overall charge of the slipping plane, ie, the cloud of ions surrounding the surface of the particles, which is influenced by the composition of the nanoparticle surface.<sup>45</sup> The stabilizers did not carry any charge in these formulations, confirming that the presence of rhodamine on the Rhod-PLGA/CS nanoparticle surface arises from electrostatic interactions with CS. Importantly, the covalent bond between the dye and the PLGA was shown to be highly stable, with only a small amount of rhodamine being detected in the release medium. These results, which are in good agreement with those obtained with rhodamine-tagged poly (alkyl cyanoacrylate) nanoparticles,<sup>46</sup> may also be correlated with the initial formation of small amounts of soluble fluorescent degradation products and their diffusion into the medium.<sup>47</sup>

The stability of the nanoparticles in biological medium was tested, and they were mostly stable after incubation in culture medium containing fetal bovine serum. Such good stability could be explained by the fact that nanoparticle aggregation was probably prevented by serum proteins which adsorb onto their surface, thus taking part in their stabilization

via steric repulsion. A size increase was obvious only for PLGA/CS nanoparticles after incubation in phosphate buffer, which may be due to the screening of electrostatic repulsion as a result of the ionic strength of the buffer. The results recorded for PLGA/PVA and PLGA/PF68 nanoparticles confirm their stabilization by steric repulsion due to stabilizer adsorption at their surface, as long as they are not affected by the electrolyte concentration in the experimental medium.<sup>48</sup>

Within the airways, the epithelial cells are the first interface encountered by inhaled materials. Although alveolar epithelial cells have often been used as *in vitro* models to investigate the lung toxicity of various nanoparticles, local bronchial deposition, due to the impact of the inhaled nanoparticles on the bifurcations of the airways, has to be considered due to their important role in the development of lung cancer.<sup>49</sup> While the most important function of alveolar epithelial cells is gas exchange, bronchial cells play a critical role in defense mechanisms against xenobiotics due to the production of mucus and the presence of cilia which allow deposited material to be swept out of the lung. Furthermore, bronchial epithelial cells are able to express cytokines, chemokines, and adhesion molecules.<sup>50–52</sup> To assess the *in vitro* toxicity of the nanoparticles, we chose the Calu-3 cell line previously used to assess the toxicological profiles of environmental matter and colloidal systems.<sup>6</sup> The Calu-3 cell line derives from a human adenocarcinoma, and constitutes a well established *in vitro* model of lung epithelium.<sup>21</sup> Calu-3 cells secrete mucus and have apically located cilia mimicking the physiological conditions of the upper airways.<sup>53</sup>

The results for the viability of Calu-3 after exposure to nanoparticles showed that viability starts to decrease only at very high concentrations, highlighting the safety of nanoparticles, independently of their chemical composition and surface properties. After short exposure (4 hours) to PLGA/PF68 nanoparticles, an increase in cell viability was found. This result may be explained by a nonspecific response causing rapid and transient activation of cell metabolism, probably leading to an increase in the cellular capacity to reduce MTT. The absence of differences as a function of nanoparticle concentration and the high value of the standard deviations support the hypothesis of a nonspecific response to applied stress, which did not have a homogeneous effect. Moreover, the different behavior of the nanoparticles observed at 24 hours compared with 4 hours suggests a rapid response which takes place only during the first hours of exposure to the PLGA/PF68 nanoparticles. Only acrolein, which is the strongest irritant component among the various constituents

of cigarette smoke, caused a 50% reduction in cell viability, confirming that PLGA nanoparticles lack toxicity. The PLGA nanoparticles only affected the viability of Calu-3 cells at concentrations that are too high for clinical use. The low cytotoxicity is confirmed by other studies of lung cells, such as the A549 cell line, where concentrations of 5 mg/mL were reached without cytotoxicity.<sup>54</sup> The absence of inflammation using PLGA nanoparticles has been described previously.<sup>55</sup>

However, stimulation of epithelial cells by non-biodegradable nanoparticles exhibiting different physicochemical properties led to lung inflammation characterized by secretion of different mediators that can cause differentiation, chemotaxis, or activation of inflammatory cells.<sup>56,57</sup> This is why we investigated the effect of surface-modified nanoparticles on the production of TNF $\alpha$ , an acute inflammatory response cytokine; IL-6, which is responsible for neutrophil activation; and IL-8 that exerts a chemotactic action on inflammatory cells. Lipopolysaccharide was used as a positive control. In contrast with lipopolysaccharide, no significant increase in these inflammation markers was observed. These results are of great importance considering the role of these cytokines in the inflammatory response and their ability to activate almost any type of cell. Moreover, the absence of TNF $\alpha$  suggests a lack of biological effect on other cells of the airway epithelium. Altogether, these results show that biodegradable nanoparticles composed of PLGA did not cause any inflammatory activation in this *in vitro* model of lung epithelium and exclude the influence of different physicochemical surface properties of the nanoparticles in the cellular inflammatory response.

## Conclusion

This study is among the first attempts to investigate systematically the fundamental role of physicochemical surface properties on the potential *in vitro* lung toxicity of biodegradable PLGA nanoparticles. Nanoparticles are internalized by Calu-3 cells and induce low toxicity even at high concentrations, independently of their surface chemistry and charge. Furthermore, exposure to nanoparticles does not promote the release of TNF $\alpha$ , IL-6, and IL-8, confirming the absence of inflammatory activation potential. These *in vitro* results highlight the safety of biodegradable PLGA nanoparticles on the bronchial epithelium and provide the first data on their potential effects and the risks associated with their use as colloidal nanomedicines.

## Acknowledgments

The authors would like to acknowledge D Jaillard for transmission electron microscopy (Univ Paris-Sud, Orsay), V

Nicolas (Univ Paris-Sud, Châtenay Malabry) for confocal microscopy experiments, and Véronique Marsaud for technical assistance with the cell culture experiments. This study was supported by the AFSSET “Emerging risks” program, by ANR (under reference 2009 CESA 011), and by a postdoctoral grant from the Univ Paris-Sud.

## Disclosure

The authors report no conflicts of interest with this work.

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## Supplementary material

**Table S1** X-ray photoelectron spectroscopic analysis of the different C1s and O1s peak fitting intensities of the polymers before and after particle formulation

Sample	XPS C1s envelope ratio (%)			XPS O1s envelope ratio (%)			
	C <sub>C-C</sub>	C <sub>C-O</sub>	C <sub>C=O</sub>	O <sub>C=O</sub>	O <sub>O-C=O</sub>	O <sub>C-OH</sub>	O <sub>C-O-C</sub>
PLGA	24.8	35.0	40.2	62.4	37.6	—	—
CS	14.0	69.0 <sup>(2)</sup>	17.0	—	—	39.7	60.3
PVA	47.9	45.1	7.0	36.4	—	63.6	—
PF68	100.0 <sup>(1)</sup>	—	—	—	—	24.1	75.9
Rhod-OH	48.4	45.2	6.4	8.9	—	75	16.1
Rhod-PLGA	49.9	43.4	6.7	10.1	—	71.8	18.1
PLGA/CS nanoparticles	25.6	54.0 <sup>(2)</sup>	20.4	—	—	76.1	23.9
PLGA/PVA nanoparticles	37.7	35.7	26.6	42.3	14.0	43.7	—
PLGA/PF68 nanoparticles	35.1	41.9	23.0	30.2	19.3	—	50.5
Rhod-PLGA/CS nanoparticles	28.0	45.0 <sup>(2)</sup>	27.0	41.2	—	42.3	16.5
Rhod-PLGA/PVA nanoparticles	35.0	36.4	28.6	41.3	12.8	45.9	—
Rhod-PLGA/PF68 nanoparticles	31.0	51.0	18.0	83.0 <sup>(3)</sup>	17.0	—	—

**Notes:** (1) Peak corresponds to C<sub>C=O</sub> and C<sub>C-N</sub> environments; (2) Peak corresponds to C<sub>C=O</sub> and C<sub>C-N</sub> environments; (3) Peak corresponds to O<sub>C=O</sub> and C<sub>C-O-C</sub> environments.

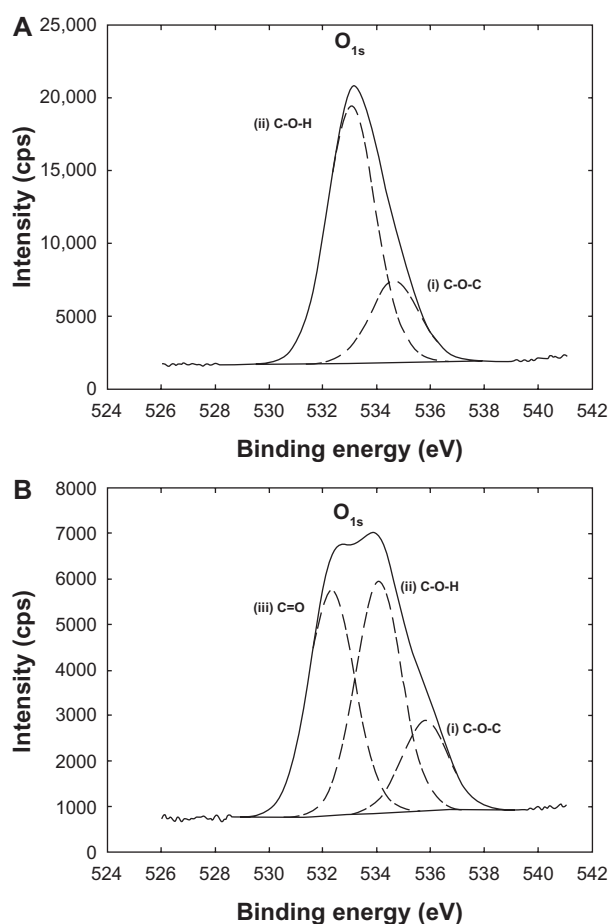
**Abbreviations:** Rhod, rhodamine B; PLGA, polylactide-co-glycolide; PVA, polyvinyl alcohol; PF68, Pluronic® F68; CS, chitosan; XPS, x-ray photoelectron spectroscopy.

**Table S2** Fluorescence variation with Calu-3 cells after exposure to Rhod-PLGA/CS, Rhod-PLGA/PVA, and Rhod-PLGA/PF68 nanoparticles. Red fluorescence was measured at 4, 12, and 24 hours

	Percentage of cells above fluorescence threshold		
	4 hours	12 hours	24 hours
Rhod-PLGA/CS	9.1 ± 1.1	13.4 ± 1.8	21.5 ± 4.4
Rhod-PLGA/PVA	7.6 ± 2.3	14.4 ± 4.7	20.3 ± 3.8
Rhod-PLGA/PF68	9.0 ± 1.0	15.8 ± 1.6	28.4 ± 4.3

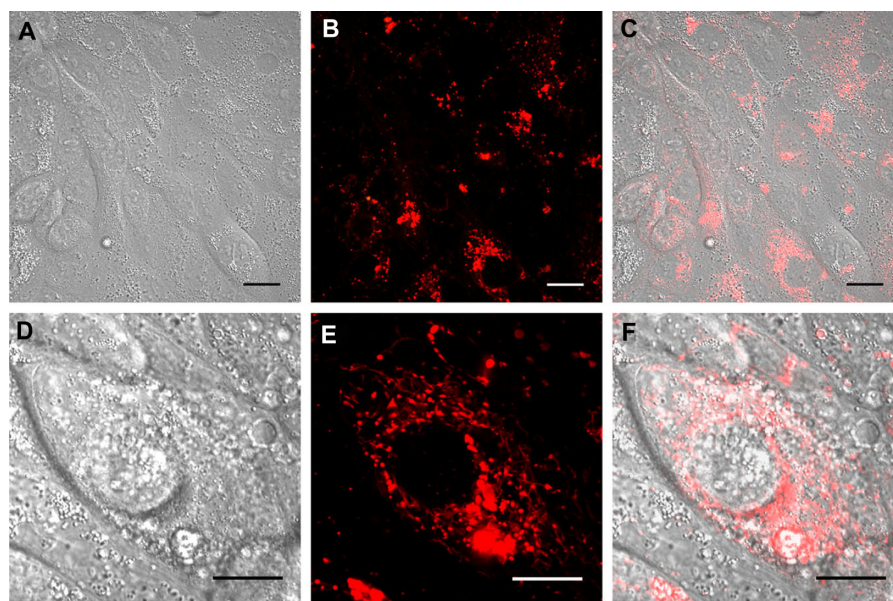
**Notes:** The results were obtained after gating and selection of a fluorescence threshold. 10,000 cells were counted per sample. Data are expressed as the mean ± standard deviation (n = 3).

**Abbreviations:** Rhod, rhodamine B; PLGA, poly (lactide-co-glycolide); PVA, poly (vinyl alcohol); PF68, Pluronic® F68; CS, chitosan.



**Figure S1** Oxygen O1s envelopes of x-ray photon spectroscopic analysis from (A) PLGA/CS nanoparticles and (B) Rhod-PLGA/CS nanoparticles.

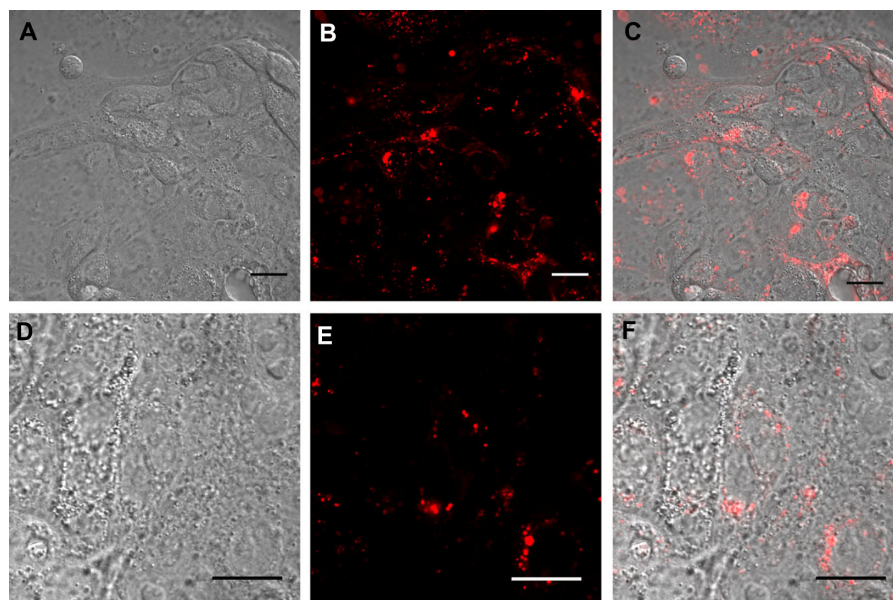
**Abbreviations:** Rhod, rhodamine B; PLGA, poly (lactide-co-glycolide); CS, chitosan.



**Figure S2** Real time confocal laser scanner microscopy images of Calu-3 cells exposed to Rhod-PLGA/CS nanoparticles for 24 hours and subsequent washing of the medium. (A) Nomarski image, (B) fluorescent image, and (C) superimposition of Nomarski and fluorescence images. (D, E, and F) show enlarged pictures.

**Note:** Scale bars = 20  $\mu$ m.

**Abbreviations:** Rhod, rhodamine B; PLGA, poly (lactide-co-glycolide); CS, chitosan.



**Figure S3** Real time confocal laser scanner microscopy images of Calu-3 cells exposed to Rhod-PLGA/PF68 nanoparticles for 24 hours and subsequent washing of the medium. (A) Nomarski image, (B) fluorescent image, and (C) superimposition of Normaski and fluorescence images. (D, E, and F) show enlarged pictures.

**Note:** Scale bars = 20  $\mu$ m.

**Abbreviations:** Rhod, rhodamine B; PLGA, poly (lactide-co-glycolide); PF68, Pluronic® F68.

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