ORIGINAL RESEARCH Adapting, Implementing, and Maintaining a Group Cognitive Behavioral Therapy Program at an Inpatient Addiction Treatment Facility

Jessica L Bourdon (1), Sidney Judson¹, Gabriella Caporaso¹, Monica F Wright², Taylor Fields¹, Nehal P Vadhan³, Jon Morgenstern ¹

¹Center for Addiction Science, Wellbridge Addiction Treatment and Research, Calverton, NY, USA; ²Zucker Hillside Hospital, Northwell Health, Glen Oaks, NY, USA; ³Institute of Behavioral Science, Feinstein Institutes for Medical Research, Department of Psychiatry, Donald and Barbara Zucker School of Medicine at Hofstra/Northwell, Hempsted, NY, USA; ⁴Department of Psychiatry, Donald and Barbara Zucker School of Medicine at Hofstra/Northwell, Hempsted, NY, USA

Correspondence: Jessica L Bourdon, Center for Addiction Science, Wellbridge Addiction Treatment and Research, 525 Jan Way, Calverton, NY, 11933, USA, Email jbourdon@wellbridge.org

Background: Quality training is an oft-cited barrier to effective implementation and ongoing delivery of high-quality evidence-based practice (EBP) across fields. This is especially true in the addiction field, but there is little cited evidence for optimal methods to improve EBP in inpatient addiction facilities with minimal resources.

Objective: The current paper focuses on evaluating the state of our facility's group CBT manual and clinical training on the manual in a "realistic" (ie, non-RCT, non-grant-funded) inpatient addiction treatment setting.

Methods: Five full-time clinicians volunteered to take part in the study (woman = 60%; M_{age} = 36.20 years). The study involved a mix of semi-structured interviews and surveys designed to measure seven outcomes (barriers, feasibility, useability, appropriateness, acceptability, burden, trialability).

Results: Three themes emerged from the data that impacted the group CBT manual: training, timing, and functionality. Addressing these themes allowed for a new, optimal manual and training procedure to be put into place.

Conclusion: The current study highlights that under-resourced inpatient addiction facilities can still methodically utilize implementation approaches to study their EBP, namely CBT. Such an approach will ensure that the highest quality care is being delivered to patients and actively addresses known training barriers that prevent proper EBP delivery.

Plain Language Summary:

- Quality training is a barrier when implementing evidenced-based practice to treat substance use disorders.
- CBT is a manualized evidence-based practice that is performed at inpatient addiction facilities.
- An evaluation of the CBT manual was made by an inpatient addiction facility to identify barriers of implementation of EBPs.
- Identified barriers included training, timing, and functionality.

Keywords: cognitive behavioral therapy, addiction, evidence-based practice, inpatient, substance use disorders

Introduction

Dissemination and implementation science is an active, ongoing process that allows evidence-based practice (EBP) to be delivered at the highest quality possible.^{1,2} Research confirms, however, that there has been low dissemination of sciencebased knowledge, practice, and capacity into community-based practice settings across fields and content areas.^{3–6} It is suggested that one of the greatest contributors to this low level of uptake is the lack of proper training and education among healthcare professionals to administer EBP within healthcare facilities.^{5,7-12} Therefore, implementation alone is simply not enough to ensure that new practices are being adequately adopted into routine care.¹³ Consequently, dynamic,

multi-pronged, multi-stakeholder implementation strategies are necessary to enhance adaptation and sustainment of a certain innovation.¹⁴

There is a need for sustained high-quality EBP in the field of addiction science.^{9,15,16} This field faces the aforementioned barriers of training and education of healthcare professionals to a heightened degree.^{9,11,17,18} Further, little information exists about how well various facilities manage the uptake and dissemination involved in implementation science, resulting in many known barriers but little documented information about the processes for identifying and overcoming said barriers.⁴ The current study is additionally necessary due to the high morbidity and mortality among those with substance use disorders (SUDs).¹⁵ Developing simple, implementation-focused methods that can be used by small inpatient facilities will help increase utilization of EBP, increase fidelity tracking of EBP, and result in overall better care for patients.⁴ Cognitive behavioral therapy (CBT) is a "gold standard" EBP for SUDs across various practice settings (eg, inpatient, outpatient).^{15,19–22} To date, most published studies examining the implementation of CBT into SUD practice settings are controlled experiments (eg, randomized controlled trials (RCT)) funded by grants and are rarely the result of the internal efforts of a community-based inpatient rehabilitation center.^{23–28} This is not to say that such efforts do not occur, but they do not appear to be widely published. Therefore, there is little extant literature on the implementation and fidelity of EBP into community-based facilities, specifically inpatient addiction treatment facilities.¹⁶

Study Purpose and Aims

Most extant literature involves structured research with time and resources dedicated to ideal training, fidelity monitoring, and EBP maintenances.^{16,21,23–27} The purpose of the current study was to examine the process, barriers, and reality of this process for a commonly used EBP, CBT for SUD, by outlining the process for optimizing an inpatient addiction center's group CBT manual and training. In doing so, this study will highlight some of the external factors that naturally occur at an inpatient facility that affect implementation that are thus far rarely discussed in the literature. This study utilized both the Practical, Robust Implementation and Sustainability (PRISM) and Proctor models to measure outcomes of implementation as well as identify factors needed for successful implementation.^{29,30} This is the first part of a multistage project; later stages will focus on fidelity, the experiential aspect of delivering the group from a clinical and patient stakeholder perspective, and patient knowledge gained from the group. The sole aim of this paper was to evaluate and optimize the group CBT manual and make updates to it as well as improve the training process for the clinical team.

Methods

Participants

A total of 5 full-time clinicians agreed to take part in the study (woman = 60%; M_{age} = 36.20 years). All clinicians had worked at the facility for less than 2 years (6–11 months = 60%; < 6 months = 20%; 1–2 years = 20%), most had at least 3 years' CBT training (3 years' training = 60%; 1 year = 20%; 2 years = 20%), and all had varied practical experience with CBT (2 years' experience = 40%; 1 year = 20%; 3 years = 20%; 5–7 years = 20%). No other demographic information was collected to protect participants' identities due to the fact that this was not human subject research and also because this project was done in a small work environment.

Approach

A mixed-methods approach was utilized whereby participants engaged in a structured interview that lasted approximately 50 minutes and included a series of quantitative questions at the end that lasted approximately 5 minutes. Qualitative data were weighed more than quantitative data, following an embedded design.³¹ The same person interviewed each participant separately, and attempts were made to go in the same order for the qualitative questions. However, as participants took the answers in their own directions, the order of questions changed accordingly to improve flow of the interview. All data were collected via REDCap.^{32,33} The interviewer typed qualitative responses as they occurred on a laptop into the REDCap survey, and participants used the same laptop and survey to select their responses to the quantitative questions. The interviews lasted for 1 hour in total and were voluntary. All clinicians understood that the information that they shared would remain confidential, was for quality improvement purposes, would be summarized

and shared with leadership in order to improve the CBT manual and group structure, and may be published. In total, there were 11 qualitative questions and 31 quantitative questions. Due to the internal education and quality control nature of the project, it did not constitute human subject research; ethics approval was not required.

Measures

There were seven outcomes, as outlined below. See Table 1 for the full list of measures and questions. The semistructured interview was driven by seven outcomes (barriers, feasibility, useability, appropriateness, acceptability, burden, trialability) per Proctor et al and the PRISM model.^{29,30} *Burden* and *useability* fell under a "development" umbrella while *feasibility, barriers, acceptability,* and *trialability* fell under an "implementation" umbrella.

Outcome	Questions	Data Type
Barriers: Processes that are hindering the CBT manual and groups.	 Three things working best in the clinical program Three things not working well in the clinical program Three things working the best with the CBT manual Three things not working well with the CBT manual 	Qualitative
Feasibility: How easily the manual is used.	 Tell me about how you use the manual What was your first exposure to the manual? How does it fit into your CBT style? Which of these sessions do you use the manual for? How much of the manual are you delivering for each session? How helpful do you find the manual? How does it fit into the clinical flow of this organization? 	Qualitative
Useability: How easily the CBT manual can be used, from access to visual design. ³⁴	 Content: The CBT manual makes its purpose completely evident The CBT manual does not include information or content that distracts from its purpose Word choice and style: The CBT manual uses common, everyday language Medical terms are used only to familiarize audience with the terms. When used, medical terms are defined in the CBT manual. Use of numbers: Numbers appearing in the CBT manual are clear and easy to understand Organization: The CBT manual preaks or "chunks" information into short sections The CBT manual presents information headers The CBT manual provides a summary Layout & design: The CBT manual uses cues (eg, arrows, boxes, bullets, bold, larger font, highlighting) to draw attention to key points Use of visual aids: The CBT manual uses visual aids whenever possible to make content more easily understood (eg, illustration of a healthy portion size) 	Quantitative

Table I Measures and Related Outcomes Included in This Project

(Continued)

Table I (Continued).

Outcome	Questions	Data Type
Appropriateness: Whether the CBT manual fits in at the facility. ³⁵	 This CBT manual seems right This CBT manual seems suitable This CBT manual seems applicable This CBT manual seems like a good match between research and clinical goals This CBT manual seems well aligned with the goals of this organization 	Quantitative
Acceptability: The ease with which clinicians incorporate the CBT manual into their workflow. ³⁵	 This CBT manual meets my approval I have no objection to this CBT manual This CBT manual is appealing I like this CBT manual I welcome the use of this CBT manual 	Quantitative
Burden: Complexity and cost of creating, maintaining, and monitoring the CBT manual. ³⁶	ring I. The CBT manual is clear and understandable. 2. The CBT manual is easy to use.	
Trialability: How well the CBT manual can be tested and altered without disruption. ³⁶	 The CBT manual can be tested out with patients without disrupting the flow of the group. It is easy to try out the CBT manual and see how it performs. 	Quantitative

Barriers are processes that hinder the implementation and use of the CBT manual and groups, and they were part of the qualitative section of the interview. These questions were created specifically for the project and included open-ended questions such as "Discuss three things working the best/worst within the CBT manual."

Feasibility is how easily the manual is used and made up the majority of the qualitative section of the interview. These questions were also created for the project because an existing survey that was specific enough to this topic could not be found. Questions included asking clinicians how they used the manual, how much of the manual they delivered, and how helpful they found the manual.

Useability is how easily the CBT manual can be used, from access to design, and was part of the quantitative survey. Questions came from Shoemaker et al's 2014 Patient Education Materials Assessment Tool (PEMAT) model.³⁴ All questions were on a 2-point agree/disagree scale and covered content, word choice and style, use of numbers, organization, layout and design, and use of visual aids.

Appropriateness is whether the manual fits at the facility and was part of the quantitative survey, and *acceptability* is the ease with which clinicians incorporate the manual into their workflow. Questions for both outcomes came from Weiner et al's 2017 model.³⁵ There were five questions on a 5-point scale for each outcome.

Burden is the complexity of creating, maintaining, and monitoring the manual while *trialability* is how easily the manual can be tested and altered without disruption. Each outcome had 2 questions on a 5-point scale from Cook et al's 2015 PCIS model.³⁶

Analyses

Due to how few responses there were, Microsoft Excel was used to analyze both the quantitative survey responses and the qualitative data for simplicity.^{37–39} For the qualitative data, a content analysis approach was utilized whereby themes emerged from the data.⁴⁰ Analytical steps involved open coding, categorizing codes, and conceptually ordering codes into themes. Multiple codes per response were allowed. Study staff engaged in constant comparison whereby codes and categories were checked throughout analysis to ensure appropriateness, and staff met frequently to ensure consensus on codes and categories. Quantitative data, including demographic breakdown, were analyzed separately in Excel via averages, sum scores, and histograms. The method depended on the type of question.

Results Barriers

Across the 4 questions related to *barriers*, 15 categories were identified. Overall, reported strengths of the clinical program and CBT manual include: 1) the fact that evidence-based practice is a focus of the facility, 2) the manual is structured in a logical manner and includes the most essential topics for long-term recovery, 3) there is a well-rounded nature to those who work at the facility as well as groups that are offered, and 4) the manual does a good job identifying the most important take-home points from each topic.

Reported weaknesses of the clinical program and CBT manual include: 1) widespread program inconsistency, 2) a schedule that largely limits clinicians from conducting CBT with their own patients, 3) large group sizes, 4) a reportedly variable management of CBT groups. Further, there is a lack of clarity around the concept of CBT as well as specific topics, and take-home messages are often missing from the manual. Finally, clinical under-staffing affects the delivery of CBT and many of the aforementioned weaknesses.

Feasibility

Thirty-one categories were identified from these 7 questions. Overall, the *feasibility* (ie, useability) of the manual varies depending on the group. All participants reported creating their own activities and worksheets for at least one group, either because that resource did not exist in the manual or the one provided was insufficient. Even with such variability across groups, participants noted that it is usually easy to re-interpret the manual for patients and they usually find their own voice during this process. The manual provides a good foundation with useful rationale. They reported that their specific use percentage of the manual varies by topic, but three of the five participants reported 75% or higher compliance (note this is self-reported compliance). Thus, a major take-home point was to add more resources within the manual and organize certain sessions (see below).

Many participants were not trained on how to use the manual, and those who were trained were simply told to review the manual on their own with no follow-up. Only one participant reported having enough time at the facility to observe others' CBT groups and reflect upon their own approach to CBT; this was a self-directed endeavor.

Useability

Useability was broken into several sections: total, content, word, numerical, organizational, layout, and visual. Total useability was in the moderate range, indicating more areas of strength than weakness. Specifically, sections where participants disagreed the most were, in order: visual, layout, organization, content, word, and numerical, with the latter having 100% agreement that it met relevant criteria. These responses indicate that the manual could improve specifically in the following (ordered by need): 1) using visual aids that reinforce content with clear titles; 2) using cues such as arrows, boxes, bullets, bold font, etc. to draw attention to key points; 3) breaking material into short sections or "chunks", presenting information in a logical manner, utilizing headers, and providing a summary at the end of sections; 4) having a clearly stated purpose; and 5) using common, everyday language.

Appropriateness

Appropriateness had a single section, and participants rated it as a 4 out of 5, ie, "agreeable." Areas that were rated the lowest and where the manual can improve include how "right" the CBT manual appears as well as how aligned it is with the goals of the facility.

Acceptability

Acceptability had a single section, and participants rated it as a 3.7 out of 5, ie, neutral-bordering-agreeable. Areas that were rated the lowest and where the manual can improve include participants approving of, liking, and not objecting to the manual as well as its general appeal.

Burden

Burden had a single section, and participants rated it as 3.75 out of 5, ie, neutral-bordering-agreeable. Areas that were rated the lowest and where the manual can improve include the ease of using the manual.

Trialability

Trialability had a single section, and participants rated it as a 4 out of 5, ie, "agreeable." There were no clear areas for improvement in this section.

Manual Changes

The group CBT manual initially included 12 sessions (two of which were review), and there was no standardized training for new clinical staff. Staff were told to read the existing CBT manual and to observe colleagues' groups as often as possible. Clinical supervision was not designed to discuss or train clinicians on CBT unless specific problems arose for which the clinicians needed guidance. Following the results from this project, the manual was changed to still include 12 sessions, but the review sessions were omitted and two sessions (Anger Management and Assertiveness and Communication Skills Training) were broken into two parts each. The manual was changed to address the specific needs noted above. An initial training and period refresher supervisions were also added to the clinical schedule. See Tables 2 and 3 for more details.

Section	Changes	
Introduction	 Add a section of "how to use this manual" with clear instructions of what is for the clinician's use, what is to be printed for patients, etc. Pull in principles of CBT that are currently buried in the individual CBT section Clarify that MI is done to support CBT principles 	
Overall (in each session)	 Each session was structured to have the same sections, as outlined below: Pre-group needs Clinician flow and script Check-in Background Key concepts and skills to be learned Activity to do in the group and/or discussions to have Assign homework (that will be discussed in the next Process Group) Recap handout for patients that includes a brief summary of: title, purpose, key definitions / topics, skills learned A PowerPoint was created to assist with flow and focus General restructuring and organization including use of colors, spacing, bold, etc. Ensured language was inclusive and non-stigmatizing (eg, more non-alcohol examples, not using words such as "addict") 	

Table 2 Changes Made to the CBT Manual per Results in Aim I (Evaluation)

Notes: Without having access to the manual, specific changes beyond what is listed is devoid of context and is unhelpful to readers. Specific changes made to each session of the manual are available by request.

Table 3 Overview of the Updated Group CBT Manual

Session	Key Topics	Key Skills
I: Coping with cravings and urges	 Urge surfing Triggers Self-monitoring Getting through cravings 	 Recognizing triggers Mindfulness for cravings How to identify evidence for urge-related thoughts

(Continued)

Table 3 (Continued).

Session	Key Topics	Key Skills
2: Managing thoughts about drug and alcohol use	 Connection between urges and negative/positive outcomes Cognitive analysis / restructuring "Negative tapes" "Stinkin' thinkin'" 	 Identify ways to change the situation Identify ways to change thoughts Identify ways to change behavior
3: Problem solving	 Problem recognition Appropriate situations to use problem solving skills 	 Learn appropriate ways to respond to a problem Consider various approaches Select best approach Assess effectiveness
4: Refusal skills	 Refusal skill definition Situations where refusal skills may be necessary Risk of decreased social network 	 Learn the difference between avoidance and refusal Say no Suggest alternative Request behavior change Avoid excuses
5: Planning for emergencies and coping with a lapse	 Rationale for coping with a lapse Awareness around a lapse and major life events 	 Create an emergency plan (remind self of recovery goals; remind self of tools / strategies; call emergency contacts; keep self accountable) Identify events that could lead to a lapse
6: Seemingly irrelevant decisions	• Definition of seemingly irrelevant decisions	 Review thoughts, behaviors, and decision that may lead to a high-risk situation Learn tools to articulate and think through decisions
7: Anger management – part I	Clarify what anger isOutcomes of anger	 Distinction between feeling an emotion and behavioral consequences Behavioral reactions can be constructive or destructive Concept of a behavior chain
8: Anger management – part 2	 How to change thoughts How to change behaviors 	 Reinforce refusal skills learned previously Role play strategies (leave the situation; take time to cool down; assertiveness; analyze how to cope; talk rationally) Practice changing a negative thought into a positive thought
9: Managing negative thinking	 How negative moods relate to use Consequences of negative thinking Categories of negative thoughts Positive thoughts 	 Identifying negative thoughts and cognitive distortions (unrealistic goals; catastrophizing; overgeneralization; expecting the worst; self-put-downs; black-and-white thinking) Learn options for catching and stopping a negative thought pattern (recall good things; challenge irrational beliefs; de-catastrophize; relabel; hopefulness state- ments; blame the event; stay on task; self- reinforcement) Behavior chain refresher
10: Assertiveness and communication skills training – part 1	 Why assertiveness is helpful People's rights Interpersonal styles 	 Identify types of interpersonal styles Learn to recognize different interpersonal styles Introduce ways to control reactions and communication in an upsetting situation

(Continued)

Table 3 (Continued).

Session	Key Topics	Key Skills
II: Assertive and communication skills training – part 2	 Practice assertiveness interpersonal style Connect communication to cognition and behavior 	 Learn how to be respectfully assertive (think before you speak; be specific and direct; body language; give full attention; compromise; restate the request) Role play being assertive
12: Increasing social and recreational activities	 Importance of a strong social network Relationship between social support and recovery / relapse 	 Steps to creating a strong network (who; what; where; how) Identify how social network has been impacted by substance use Learn how to feel comfortable in social situations

Discussion

The goal of the current study was to evaluate the group CBT manual for an inpatient addiction treatment facility and make appropriate updates to the manual. This will allow for future investigations of fidelity, clinicians' delivery experiences, and patients' knowledge gained from the group, all stages of this project that are planned for the near future. Overall, three themes emerged from the data that impacted the group CBT manual (training, timing, and functionality), and each will be discussed in turn. Finally, several changes have already been made to the CBT manual and clinical training which can be implemented by similar facilities if appropriate to improve the quality of their own clinical programming. This was all done in a real-world setting using established implementation models as guidelines with no internal or external funding and thus may be able to provide insight to other similar facilities.^{29,30}

First, every participant mentioned the lack of training that they received on the CBT manual. This finding is supported by extant literature that yields similar results within facilities that treat SUDs^{21,41,42} as well as gaps in wider mental health and psychiatric training and education.^{7–11} It should be noted that some participants had more prior experience with group CBT manuals than others before leading groups, either at the current or past facilities, which led to a deeper understanding of CBT principles. Nevertheless, the lack of training caused difficulties adapting the needs and requirements of the manual. Following findings from this internal quality review, all new clinicians are given two weeks before being assigned patients or skills-based groups. The first two weeks are spent observing fellow clinicians, reading group manuals, and having an onboarding meeting designed to discuss group CBT. CBT trainings were also added to the clinical supervision time, first at a high intensity to carefully review the core concepts of CBT and group CBT manual changes, and more recently on a semi-monthly basis to maintain skill and knowledge among the clinical staff.

Timing is a multi-faceted theme. Participants commented on the lack of time to prepare for CBT sessions as well as not having enough time to thoroughly review certain topics within the allotted group time. This finding is not limited to this study, as lack of time has been recorded as one of the greatest barriers to implementation in prior research outside of SUD treatment.^{43–45} However, this is a theme not often published within the inpatient addition field. More research needs to be done on how to organize clinicians' time in residential facilities, taking both the clinical stakeholder and organizational stakeholder levels into consideration. Options such as in-house time management trainings are one answer that may provide skills to clinicians on an individual level, but systematically examining the wider work culture must also be done. Another option is to be mindful to clinician burnout, as not having enough time to complete necessary tasks for patient care (ie, prep for CBT or complete the manual in full) is related to burnout and lack of control over one's work culture.^{45–48} While the current study did not examine burnout, it is clear how the theme of timing relates to the ever-growing problem of burnout in clinical settings - inpatient, addiction, and otherwise. Much of this research has been conducted in addiction medical staff,^{48–51} and further work should examine the unique inpatient addiction setting among clinical staff as well.

The third repeated comment centered around the functionality of the manual itself. It is clear that the manual must be improved to be user-friendly for clinicians. For example, participants reported commonly having to interpret the meaning

of topics themselves with little guidance, having to help patients understand worksheets / activities, and having to make their own handouts for CBT groups. The updated manual changed this by adding in a standard format to each session (pre-group needs, clinician flow and script, activity, homework), and the "flow / script" itself was made more consistent (check-in, background, key concepts and skills to be learned, activity and/or discussion, wrap up and assign homework). The activity for each session was redesigned to foster discussion and is now the guiding force behind the session. Additionally, an optional (but encouraged) PowerPoint was created with 2–4 slides per session. The slides are minimal with key words or definitions to guide clinicians and help them stay focused during the group.

Limitations

This study presented itself with several limitations. First, the facility in which the study took place is a small facility with limited staff members and high turnover. This reality meant that the potential sample size was limited to a few clinicians, and few clinicians were employed throughout the entire study. It also meant relying on an unpaid undergraduate summer intern for help with the qualitative analyses instead of more highly trained professionals. In addition, while this project had no internal or external funding, it was led by a non-clinician researcher. While the processes employed in this study could be done by any management-level staff, clinical or otherwise, we recognize that few other inpatient facilities employ a full-time researcher. Second, this study lacks the controllability that other studies focusing on implementation of EBP have obtained from formats such as randomized controlled trials. However, we argue that the real-world nature of this study is important and highlights struggles to adapt implementation principles to clinical settings. Relatedly, despite the amount of structure that was infused into this process, there are informal aspects of optimizing the CBT manual and training that were difficult to capture, such as a review of the manual by a co-author. Third, despite immense efforts of standardization within group CBT, there are natural occurrences within groups that cause patients to stray away from the topic. The current CBT manual offers little on behavior management or general group management techniques.

Future Directions

Studies such as the current one are important, as they further the conversation about how to carry out EBP and implementation science in a "real world" environment, without grant funding, a large team of researchers, or other factors that are common in peer-reviewed studies of EBP and implementation. The immediate next step with this line of inquiry is to do a full fidelity study on the updated CBT manual. We also plan to study the clinicians' experience delivering the CBT manual as well as query patient experience before entering an "ongoing maintenance" phase of this project. Other similar facilities can utilize these findings and experiences, and they can hopefully expand upon this work.

Conclusions

The purpose of this study was to highlight an internal quality control effort that aimed to improve the group CBT training and manual of a small, inpatient addiction facility that treats SUDs. The process and changes made in this study may hold value to other similar facilities, as typically such studies are done with well-funded research grants. We wanted to highlight that it does not take significant resources to address the quality of group CBT. Being able to monitor the quality of EBP is important, and all facilities should do what is within their capacity. For our setting, the primary barriers were training, timing, and functionality, which we did our best to address following this study.

Statement on Informed Consent

Based on the Health and Human Services' Common Rule (45 CFR 46), this study does not constitute human subject research. Ethics approval was not required, and informed consent was not acquired. This project was done with the intent of internal education and quality control. The data collected were minimal and non-identifiable. Further, the purpose of publishing the results of this study is to focus on the process such that other facilities may benefit; we are not significantly contributing to the field of addiction science from a prospective, hypothesis-driven perspective.

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Author Contributions

All authors contributed to data analysis, drafting, or revising of the article, have agreed on the journal to which the article will be submitted, gave final approval for the final version to be published, and agree to be accountable for all aspects of the work.

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