# Predictive Significance of Systemic Immune-Inflammation Index in Patients with Breast Cancer: A Retrospective Cohort Study

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**Background:** Peripheral blood inflammation indices, including the neutrophil-to-lymphocyte ratio (NLR), platelet-to-lymphocyte ratio (PLR), and systemic immune-inflammation index (SII), have become research hotspots in the diagnosis, treatment, and prognosis prediction of breast cancer, whereas existing research findings remain controversial.

**Methods:** Data pertaining to 1808 breast cancer patients were collected retrospectively to analyze the predictive value of NLR/PLR/SII for breast cancer clinicopathological characteristics, chemotherapy response, and relapse. 1489, 258, and 53 eligible breast cancer patients entered into the three analyses, respectively. Logistic regression analyses were used to assess the correlation between these indices and poor response to chemotherapy. A predictive scoring model was established to predict chemotherapeutic responses based upon the odds ratio values of significant variables identified in logistic regression analyses.

**Results:** Higher pretherapeutic NLR/PLR/SII values were significantly correlated with higher tumor stage, triple-negative breast cancer, premenopausal status, and younger age. Logistic regression analyses indicated that pretherapeutic high SII (as a continuous variable or with a cut-off value of 586.40) and HER2-negative status were independent predictors of poor response to neoadjuvant chemotherapy. A first-in-class SII-based predictive scoring model well distinguished patients who might not benefit from neoadjuvant chemotherapy, with an area under the curve of 0.751. In HR-positive cancers, SII was more strongly associated with clinicopathological features and chemotherapy response. In addition, a receiver operating characteristic curve analysis indicated that the specificity of follow-up SII in identifying cancer relapse was greater than 98.0% at a cut-off value of 900.

**Conclusion:** As a predictor of breast cancer, especially in the HR-positive subtype, SII may eclipse NLR/PLR. SII-high patients are more likely to have a worse chemotherapy response and a higher risk of recurrence.

**Keywords:** breast cancer, neutrophil-to-lymphocyte ratio, platelet-to-lymphocyte ratio, systemic immune-inflammation index, chemotherapy response, predictor

#### Introduction

Breast cancer has overtaken lung cancer as the global most popular cancer, and the mortality rate also ranks first among all female malignancies.<sup>1</sup> Although the survival rate of breast cancer has improved with the progress, standardization, and individualization of breast cancer treatment, the prognosis of breast cancer patients could be improved still further.<sup>2</sup> Early prediction of the clinicopathological characteristics and treatment response of patients has the potential to provide precise treatment and ameliorate the overall prognosis of breast cancer.

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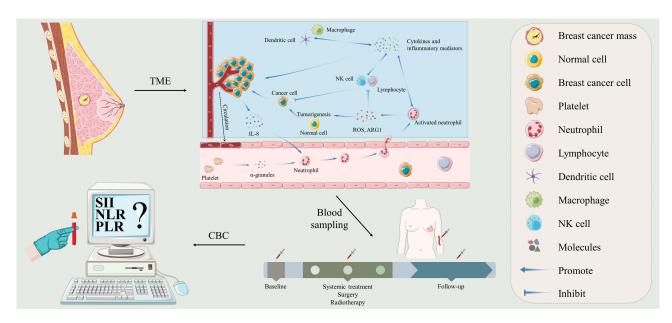


Figure 1 Conceptual framework of the study. Immuno-inflammatory cells play pivotal roles in tumorigenesis and progression. α-granules released by platelets in the TME promote tumor angiogenesis and boost metastasis of breast cancer cells. Through an intimate cellular interaction with platelets and endothelial cells, neutrophils are tethered to the vascular endothelial cells and migrate from the vascular compartment to the tissue. Subsequently, activated neutrophils produce a large amount of ROS, which together with ARGI suppress the antitumor function of lymphocytes and NK cells. Peripheral blood inflammation indices reflect the level of local immune inflammation in the TME. These parameters collected before, during, and after initial treatment may aid in precision medicine in patients with breast cancer.

Abbreviations: NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index; TME, tumor microenvironment; CBC, complete blood count; ROS, reactive oxygen species; ARGI, arginase I; NK, natural killer; IL, interleukin.

Immuno-inflammatory cells play pivotal roles in tumorigenesis and progression.<sup>3</sup> Increasing evidence demonstrates that peripheral blood inflammation indices reflect the level of local immune inflammation in the tumor microenvironment.<sup>4,5</sup> These parameters may aid in precision medicine in patients with cancer (Figure 1). Correspondingly, neutrophil-to-lymphocyte ratio (NLR) and platelet-to-lymphocyte ratio (PLR) have become popular topics in the diagnosis, treatment, follow-up management, and prognosis prediction of various solid tumors, including colon cancer,<sup>6</sup> gastric cancer,<sup>7</sup> lung cancer,<sup>8</sup> ovarian cancer,<sup>9</sup> bladder cancer,<sup>10</sup> as well as breast cancer,<sup>11,12</sup> due to their accessibility, reproducibility, non-invasiveness, and low cost. In addition, the systemic immune-inflammation index (SII), despite the rare research in breast cancer, may better reflect the immune-inflammatory state of the body and provide superior predictive information, considering the integration of the three cells.<sup>10,13</sup> Unfortunately, the current predictive and prognostic value of these peripheral blood inflammation indices in breast cancer remains controversial.<sup>14–18</sup> Additionally, few studies have attempted to compare their differences in value across subtypes of breast cancer.

This study investigated the value of NLR, PLR, and SII on diagnosis, prognosis, and follow-up monitoring of breast cancer patients by analyzing the baseline levels and dynamic changes of these indicators before, during, and after initial treatment. This study is a large one to explore the predictive value of NLR, PLR, and SII in breast cancer, as well as the first to propose a predictive scoring model for breast cancer chemotherapy efficacy based on SII.

# **Patients and Methods**

# Patients and Study Design

We retrospectively collected data from 1808 primary breast cancer patients who were admitted to the Department of Breast Surgery of the Second Affiliated Hospital of Zhejiang University School of Medicine between June 2010 and October 2020. A patient flowchart was shown in Figure 2. Detailed eligibility criteria for clinicopathological characteristic, neoadjuvant chemotherapy response, and relapse analyses are described in <u>Supplementary Table 1</u>. Eventually, 1489, 258, and 53 eligible breast cancer patients entered into the three analyses, respectively.

The study was approved by the Ethics Review Board of the Second Affiliated Hospital of Zhejiang University School of Medicine, which waived the informed consent requirement due to the retrospective design of the study.

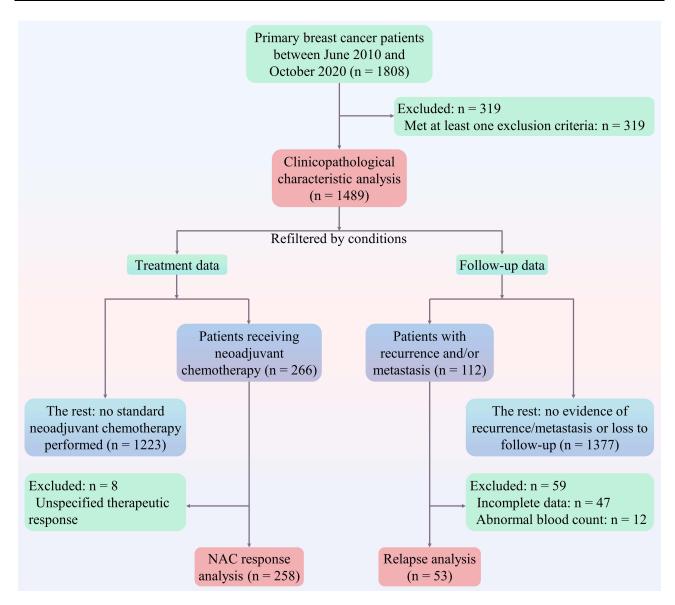


Figure 2 Patient flowchart.

Abbreviation: NAC, neoadjuvant chemotherapy.

#### Data Collection

Clinicopathological and laboratory data were collected retrospectively from patient medical records and entered into a dedicated anonymized database.

Peripheral complete blood count was performed before any treatment modality was initiated and during the follow-up period. NLR was calculated by dividing the neutrophil count by the lymphocyte count; PLR was the platelet to lymphocyte count ratio. SII was calculated as the product of the neutrophil and platelet counts divided by the lymphocyte count.

# Pathological Assessment

Tumor staging was defined as per the 8th edition of staging criteria issued by the American Joint Committee on Cancer (AJCC). Response evaluation of neoadjuvant chemotherapy was determined according to the postoperative pathological MP grading system by pathologists. MP grade 1–2 referred to poor chemotherapy response, while Miller-Payne (MP) grade 3–5 was identified as a good chemotherapy response. HER2 was considered positive when scored 3 via immunohistochemistry or confirmed amplification via fluorescent in situ hybridization. Tumors were considered hormone

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receptor (HR)-positive when they were positive for either or both estrogen receptor (ER) and progesterone receptor (PR) (determined by an immunohistochemistry score ≥ 1%). Based upon HR and HER2 status, breast cancers were separated into four subtypes: HR+HER2-, triple-negative breast cancer (TNBC), HR-HER2+, or HR+HER2+. Pathological complete response (pCR) was defined as the absence of invasive cancer cells in the breast and axilla (ypT0/is ypN0).

#### Statistical Analysis

Kolmogorov-Smirnov test was utilized to distinguish the distribution pattern. Continuous variables with normal distributions were expressed as mean ± standard deviation, while other continuous variables were shown as median [interquartile range (IQR)]. Categorical variables were presented as numbers (proportion). When assessing the associations between NLR/PLR/SII and clinicopathological characteristics, Student's t-test or Mann-Whitney U-test was used for continuous variables, while Pearson's chi-square or Fisher's exact test was used for categorical variables. Friedman rank-sum test and paired Wilcoxon signed-rank test were applied when determining the value of peripheral blood inflammation indices as indicators for monitoring tumor recurrence and metastasis.

An exploratory evaluation for optimal cut-off values of NLR, PLR, and SII to predict chemotherapeutic response was undertaken using the receiver operating characteristic (ROC) curve analysis. Logistic regression models were applied for univariate and multivariate analyses. Candidate variables with P < 0.1 in univariate analysis were subsequently entered into multivariable models, and those resulting variables with P < 0.05 were considered independent risk factors of poor chemotherapy response.

A predictive scoring model was established to predict patients' response to neoadjuvant chemotherapy based upon the odds ratio (OR) values of significant variables identified by univariate analysis: (a) if the corresponding OR value > 1, the number was divided by 2 and rounded to the nearest integer to create a score; (b) if the OR value < 1, its reciprocal was divided by 2 and rounded to the nearest integer, and the negative of the calculation was used as the final score. The constant 3 and the individual scores for each variable were summed together to generate a total risk score. The optimal cut-off value of the predictive scoring model was calculated using the maximum Youden's index of the ROC curve, and the chosen cut-off value was used to evaluate the area under the curve (AUC), sensitivity, and specificity values of the prediction scoring model. All statistical analyses were performed using SPSS version 26.0 (IBM). Two-tailed significance values were used, and P<0.05 was considered statistically significant.

#### Results

# Relationship Between Pretherapeutic NLR/PLR/SII and Clinicopathological Characteristics

#### Clinicopathological Characteristics of the 1489 Patients

A total of 1489 patients (median age, 51 years, range: 23–89) were included in the clinicopathological characteristic analysis. HR+HER2- breast cancers represented over half of the patients (52.0%), followed by HR+HER2+ (19.6%), HR-HER2+ (13.4%), and TNBC (13.0%) subtypes, while 2% had unknown molecular subtype. Cases classified as invasive ductal carcinoma reached 67.4%, which might be underestimated because the histologic patterns of 260 patients were incompletely specified invasive carcinoma. Other clinicopathological features of the patients were shown in Table 1.

#### Relationship Between Peripheral Blood Inflammation Indices and Clinicopathological Characteristics

The median neutrophil, platelet, and lymphocyte counts of the 1489 patients were 3.81×109/L (IQR, 3.03–4.80×109/L), 219×109/L (IOR, 181–258×109/L), and 1.75×109/L (IOR, 1.39–2.12×109/L), respectively. Since no validated NLR, PLR, and SII cut-off values have been reported in the literature, we performed ROC curve analyses for these parameters and most of the clinicopathological characteristics, which undesirably yielded AUCs<0.6. Therefore, the medians of the three peripheral blood inflammation indices were chosen as cut-off values for grouping: NLR, 2.18 (≤2.18 vs >2.18); PLR, 124.10 (≤124.10 vs >124.10); and SII, 475.00 (\(\leq 475.00\) vs \(>475.00\)); patients were categorized into either low or high groups.

Table 2 showed the characteristics of patients grouped according to the three peripheral blood inflammation indices. At least one of the three parameters was significantly correlated with lymph node stage, tumor AJCC stage, T stage, Ki-67 expression, HER2 status, and histological grade, among others. For instance, AJCC stage 0-1 vs 2-4 were

https://doi.org/10.2147/OTT.S434193 OncoTargets and Therapy 2023:16

 $\begin{tabular}{ll} \textbf{Table I} & \textbf{Clinicopathological Characteristics of All I489 Patients with Breast Cancer} \end{tabular}$ 

Characteristics	Patients	Percentage (%)
All patients	1489	100.0
Neoadjuvant chemotherapy		
No	1222	82.1
Yes	267	17.9
Age		
Median (range)	51 (23–89)	·
<35	66	4.4
35–49	574	38.6
≥50	849	57.0
вмі		
<25	1116	74.9
≥25	373	25.1
Menstrual status		
Premenopausal	716	48.1
Postmenopausal	773	51.9
Lymph node involvement		
No	861	57.8
Yes	628	42.2
Lesion		
Single focal	1397	93.8
Multifocal	92	6.2
T stage <sup>a</sup>		
ТО	39	2.6
ті	824	55.3
T2	545	36.6
ТЗ	59	4.0
T4	19	1.3
pN (n=1222) <sup>b</sup>		
pN0	832	68.1
pΝI	279	22.8
pN2	86	7.0
pN3	25	2.0

Table I (Continued).

Characteristics	Patients	Percentage (%)
AJCC stage <sup>a</sup>		
Stage 0	39	2.6
Stage I	545	36.6
Stage III	656	44.1
Stage III	241	16.2
Stage IV	8	0.5
Histological type		
Carcinoma in situ	39	2.6
IDC	1004	67.4
ILC	25	1.8
Tubular carcinoma	11	0.7
Mucinous carcinoma	21	1.4
Others <sup>c</sup>	389	26.1
ER status		
Negative	463	31.1
Positive	1014	68.1
Unknown	12	0.8
PR status		
Negative	529	35.5
Positive	951	63.9
Unknown	9	0.6
Ki-67		
<14%	351	23.6
≥14%	1106	74.3
Unknown	32	2.1
HER2 status		
Negative	974	65.4
Positive	492	33.0
Unknown	23	1.5
Molecular subtype		
HR+HER2-	775	52.0
TNBC	194	13.0

Table I (Continued).

Characteristics	Patients	Percentage (%)	
HR-HER2+	199	13.4	
HR+HER2+	292	19.6	
Unknown	29	2.0	
Histological grade (n=1222) <sup>b</sup>			
GI	127	10.4	
G2	605	49.5	
G3	356	29.1	
Unknown	134	11.0	
LVI (n=1222) <sup>b</sup>			
No	854	69.9	
Yes	256	20.9	
Unknown	112	9.2	
Side			
Left	772	51.9	
Right	709	47.6	
Double	8	0.5	
Location			
Upper outer	740	49.7	
Lower outer	192	12.9	
Lower inner	102	6.9	
Upper inner	273	18.3	
Other or unknown	182	12.2	
Blood parameters (median, IQR)			
Neutrophil count	3.81 [3.03, 4.80]		
Platelet count	219 [181, 258]		
Lymphocyte count	1.75 [1.39, 2.12]		
NLR	2.18 [1.64, 2.94]		
PLR	124.10 [100.00, 1	57.21]	
SII	475.00 [329.75, 6	74.45]	

**Notes:** <sup>a</sup>The higher one of the clinical and pathological staging for patients receiving neoadjuvant chemotherapy; pathological staging for others. <sup>b</sup>Patients receiving neoadjuvant chemotherapy were not analyzed. <sup>c</sup>Unspecified invasive carcinoma, other special types of invasive carcinoma, and mixed invasive carcinoma.

Abbreviations: BMI, body mass index; AJCC, American Joint Committee on Cancer; IDC, invasive ductal carcinoma; ILC, invasive lobular carcinoma; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth factor receptor 2; TNBC, triplenegative breast cancer; HR, hormone receptor; LVI, lymphovascular invasion; IQR, interquartile range; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index.

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**Table 2** The Correlation Between Pretherapeutic NLR/PLR/SII and Clinicopathological Characteristics Among All 1489 Patients with Breast Cancer

Characteristics	NLR [n, (%	5)]		PLR [n, (%)]			SII [n, (%)]		
	≤2.18	>2.18	P	≤124.10	>124.10	P	≤475.00	>475.00	P
Age			0.000			0.000			0.000
<50	264 (35.5)	376 (50.5)		268 (36.0)	372 (50.0)		257 (34.5)	383 (51.5)	
≥50	480 (64.5)	369 (49.5)		477 (64.0)	372 (50.0)		488 (65.5)	361 (48.5)	
BMI*			0.131			0.066			0.164
<25	545 (73.3)	571 (76.6)		543 (72.9)	575 (77.0)		570 (76.5)	546 (73.4)	
≥25	199 (26.7)	174 (23.4)		202 (27.1)	171 (23.0)		175 (23.5)	198 (26.6)	
Menstrual status			0.000			0.000			0.000
Premenopausal	300 (40.3)	416 (55.8)		298 (40.0)	418 (56.2)		281 (37.7)	435 (58.5)	
Postmenopausal	444 (59.7)	329 (44.2)		477 (60.0)	326 (43.8)		464 (62.3)	309 (41.5)	
Lymph node involvement			0.414			0.389			0.584
No	438 (58.9)	423 (56.8)		439 (58.9)	422 (56.7)		436 (58.5)	425 (57.1)	
Yes	306 (41.1)	322 (43.2)		306 (41.1)	322 (43.3)		309 (41.5)	319 (42.9)	
Lesion			0.995			0.084			0.835
Single focal	698 (93.8)	699 (93.8)		707 (94.9)	690 (92.7)		698 (93.7)	699 (94.0)	
Multifocal	46 (6.2)	46 (6.2)		38 (5.1)	54 (7.3)		47 (6.3)	45 (6.0)	
T stage			0.020			0.371			0.040
T0-I	453 (61.1)	410 (55.1)		440 (59.2)	423 (56.9)		451 (60.7)	412 (55.5)	
T2-4	289 (38.9)	334 (44.9)		303 (40.8)	320 (43.1)		292 (39.3)	331 (44.5)	
N stage <sup>a</sup>			0.024			0.057			0.052
N0-2	608 (98.9)	589 (97.0)		613 (98.7)	584 (97.2)		618 (98.7)	579 (97.1)	
N3	7 (1.1)	18 (3.0)		8 (1.3)	17 (2.8)		8 (1.3)	17 (2.9)	
AJCC stage <sup>b</sup>			0.007			0.407			0.059
0-l stage	317 (42.6)	267 (35.8)		300 (40.3)	284 (38.2)		310 (41.6)	274 (36.8)	
II–IV stage	427 (57.4)	478 (64.2)		445 (59.7)	460 (61.8)		435 (58.4)	470 (63.2)	
Histological type			0.132			0.316			0.552
In situ/tubular/mucinous	42 (5.6)	29 (3.9)		41 (5.5)	30 (4.0)		40 (5.4)	31 (4.2)	
IDC/ILC	499 (67.1)	530 (71.1)		517 (69.4)	512 (68.8)		512 (68.7)	517 (69.5)	
Others	203 (27.3)	186 (25.0)		187 (25.1)	202 (27.2)		193 (25.9)	196 (26.3)	
ER status			0.793			0.166			0.144
Negative	229 (31.0)	234 (31.7)		219 (29.7)	244 (33.0)		218 (29.6)	245 (33.1)	
Positive	509 (69.0)	505 (68.3)		519 (70.3)	495 (67.0)		519 (70.4)	495 (66.9)	

Table 2 (Continued).

Characteristics	NLR [n, (%	5)]		PLR [n, (%)]			SII [n, (%)]		
	≤2.18	>2.18	P	≤124.10	>124.10	P	≤475.00	>475.00	P
PR status			0.786			0.303			0.505
Negative	262 (35.4)	267 (36.1)		255 (34.5)	274 (37.0)		258 (34.9)	271 (36.6)	
Positive	478 (64.6)	473 (63.9)		485 (65.5)	466 (63.0)		481 (65.1)	470 (63.4)	
Ki-67			0.615			0.014			0.242
<14%	179 (24.7)	172 (23.5)		195 (26.9)	156 (21.3)		183 (25.4)	168 (22.8)	
≥14%	547 (75.3)	559 (76.5)		531 (73.1)	575 (78.7)		537 (74.6)	569 (77.2)	
HER2			0.150			0.015			0.020
Negative	500 (68.2)	474 (64.7)		509 (69.4)	465 (63.4)		506 (69.3)	468 (63.6)	
Positive	233 (31.8)	259 (35.3)		224 (30.6)	268 (36.6)		224 (30.7)	268 (36.4)	
Molecular subtype			0.022			0.011			0.027
HR+HER2-	409 (56.1)	366 (50.1)		418 (57.3)	357 (48.9)		413 (56.9)	362 (49.3)	
TNBC	88 (12.1)	106 (14.5)		88 (12.1)	106 (14.5)		90 (12.4)	104 (14.2)	
HR-HER2+	105 (14.4)	94 (12.9)		96 (13.2)	103 (14.1)		95 (13.1)	104 (14.2)	
HR+HER2+	127 (17.4)	165 (22.6)		128 (17.5)	164 (22.5)		128 (17.6)	164 (22.3)	
Histological grade			0.053			0.065			0.002
GI-2	381 (70.0)	351 (64.5)		385 (69.9)	347 (64.6)		395 (71.6)	337 (62.9)	
G3	163 (30.0)	193 (35.5)		166 (30.1)	190 (35.4)		157 (28.4)	199 (37.1)	
LVI			0.449			0.685			0.895
No	430 (77.9)	424 (76.0)		436 (77.4)	418 (76.4)		433 (76.8)	421 (77.1)	
Yes	122 (22.1)	134 (24.0)		127 (22.6)	129 (23.6)		131 (23.2)	125 (22.9)	
Side			0.854			0.159			0.872
Left	385 (51.7)	387 (51.9)		368 (49.4)	404 (54.3)		380 (51.0)	392 (52.7)	
Right	354 (47.6)	355 (47.7)		373 (50.1)	363 (45.2)		361 (48.5)	348 (46.8)	
Double	5 (0.7)	3 (0.4)		4 (0.5)	4 (0.5)		4 (0.5)	4 (0.5)	
Location			0.416			0.413			0.461
Upper outer	374 (58.3)	366 (55.0)		380 (58.3)	360 (55.0)		373 (57.6)	367 (55.7)	
Lower outer	96 (15.0)	96 (14.4)		96 (14.7)	96 (14.7)		100 (15.4)	92 (14.0)	
Lower inner	49 (7.6)	53 (8.0)		52 (8.0)	50 (7.6)		51 (7.9)	51 (7.7)	
Upper inner	122 (19.0)	151 (22.7)		124 (19.0)	149 (22.7)		124 (19.1)	149 (22.6)	

**Notes**: \*A significant value difference in low-weight patients (BMI<18.5) was identified as more patients with high NLR were underweight (*P*<0.05). <sup>a</sup>N0 vs N1-N3 showed no significant difference between the high and low NLR/PLR/SII groups. <sup>b</sup>Stage 0-IIIB vs IIIC-IV showed significant differences between the high and low PLR and SII groups (*P*<0.05). *P*: p-value, bold p-value indicated a statistical difference.

Abbreviations: BMI, body mass index; AJCC, American Joint Committee on Cancer; IDC, invasive ductal carcinoma; ILC, invasive lobular carcinoma; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth factor receptor 2; TNBC, triple-negative breast cancer; HR, hormone receptor; LVI, lymphovascular invasion; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index.

significantly different between different NLR groups, and stage 0–3b vs 3c-4 were significantly different between different PLR and SII groups. Importantly, these blood parameter values increased in parallel with the grade and stage of breast cancer. Although obesity (BMI≥25) appeared not related to parameter values, a significant value difference for low-weight patients was identified by further analysis as more patients with high NLR were underweight (BMI<18.5) (P<0.05). In addition, a Mann–Whitney *U*-test revealed that patients with high PLR had significantly lower BMIs than patients with lower PLR (P=0.001). These findings suggested some relationship between BMI and peripheral blood inflammation indices, especially NLR and PLR. No correlation was found between the three peripheral blood inflammation indices and lymph node involvement, ER status, PR status, lesion number, lymphovascular invasion (LVI), histologic grade, or tumor location.

The respective correlation between pretherapeutic NLR/PLR/SII and clinicopathological characteristics across subtypes of breast cancer was roughly in line with the overall population. Notably, these peripheral parameters appeared to have better potential to predict the characteristics of HR+ breast cancer (Supplementary Table 2).

# Predictive Value of Pretherapeutic NLR/PLR/SII for Chemotherapy Response Best Discriminating Value of NLR/PLR/SII for Predicting Poor Chemotherapy Response

For therapeutic response analysis, 258 patients undergoing neoadjuvant chemotherapy were included. Pathologists determined the response evaluation of neoadjuvant chemotherapy according to the postoperative pathological MP grading system. MP grades 1–2 indicated a poor chemotherapy response, while MP grades 3–5 indicated a good chemotherapy response. Accordingly, patients were classified into two groups: poor responders (n=43) and good responders (n=215). Clinicopathological characteristics of these 258 patients were shown in Table 3.

Table 3 Clinicopathological Characteristics of 258 Patients Undergoing Neoadjuvant Chemotherapy

Characteristics	n (%) (258, 100%)	Good Responder (n=215)	Poor Responder (n=43)	P
Age				0.955
<50	115 (44.6)	96 (44.7)	19 (44.2)	
≥50	143 (55.4)	119 (55.3)	24 (55.8)	
BMI				0.029
<25	180 (69.8)	156 (72.6)	24 (55.8)	
≥25	78 (30.2)	59 (27.4)	19 (44.2)	
Menstrual status				1.000
Premenopausal	132 (51.2)	110 (51.2)	22 (51.2)	
Postmenopausal	126 (48.8)	105 (48.8)	21 (48.8)	
Tumor T stage				0.017
TI-3	240 (93.0)	204 (94.9)	36 (83.7)	
T4	18 (7.0)	11 (5.1)	7 (16.3)	
Lymph node involvement				1.000
No	30 (11.6)	25 (11.6)	5 (11.6)	
Yes	228 (88.4)	190 (88.4)	38 (88.4)	

Table 3 (Continued).

Characteristics	n (%) (258, 100%)	Good Responder (n=215)	Poor Responder (n=43)	P
Lesion				0.701
Single focal	245 (95.0)	203 (94.4)	42 (97.7)	
Multifocal	13 (5.0)	12 (5.6)	I (2.3)	
AJCC stage <sup>a</sup>				0.093
Stage II–III	251 (97.3)	211 (98.1)	40 (93.0)	
Stage IV	7 (2.7)	4 (1.9)	3 (7.0)	
Histological type				0.009
Unspecified or others	131 (50.8)	117 (54.4)	14 (32.6)	
IDC	127 (49.2)	98 (45.6)	29 (67.4)	
ER status				0.082
Negative	115 (44.6)	101 (47.3)	14 (32.6)	
Positive	143 (55.4)	114 (53.0)	29 (67.4)	
PR status				0.009
Negative	125 (48.4)	112 (52.1)	13 (30.2)	
Positive	133 (51.6)	103 (47.9)	30 (69.8)	
Ki-67				0.028
<14%	38 (14.7)	27 (12.6)	11 (25.6)	
≥ 4%	220 (85.3)	188 (87.4)	32 (74.4)	
HER2 status				0.000
Negative	133 (51.6)	100 (46.5)	33 (76.7)	
Positive	125 (48.4)	115 (53.5)	10 (23.3)	
Molecular subtype				0.001
HR+HER2-	94 (36.4)	68 (31.6)	26 (60.5)	
TNBC	39 (15.1)	32 (14.9)	7 (16.3)	
HR-HER2+	55 (21.3)	52 (24.2)	3 (7.0)	
HR+HER2+	70 (27.1)	63 (29.3)	7 (16.3)	
Neoadjuvant chemotherapy regimen				0.224
Anthracycline-based	16 (6.2)	13 (6.0)	3 (7.0)	
Taxane-based	55 (21.3)	50 (23.3)	5 (11.6)	
Combination	187 (72.5)	152 (70.7)	35 (81.4)	

Table 3 (Continued).

Characteristics	n (%) (258, 100%)	Good Responder (n=215)	Poor Responder (n=43)	P
Chemotherapy cycle				0.530
≤6	101 (39.1)	86 (40.0)	15 (34.9)	
>6	157 (60.9)	129 (60.0)	28 (65.1)	
NLR				0.122
<1.77	73 (28.3)	65 (30.2)	8 (18.6)	
≥1.77	185 (71.7)	150 (69.8)	35 (81.4)	
PLR				0.126
<137	153 (59.3)	132 (61.4)	21 (48.8)	
≥137	105 (40.7)	83 (38.6)	22 (51.2)	
SII				0.020
<586.40	155 (60.1)	136 (63.3)	19 (44.2)	
≥586.40	103 (39.9)	79 (36.7)	24 (55.8)	
Neutrophil count				0.065
Median [IQR]		4.00 [3.01–4.91]	4.08 [3.50–5.63]	
Platelet count				0.005
Median [IQR]		225 [192–267]	266 [213–326]	
Lymphocyte count				0.732
Median [IQR]		1.80 [1.41–2.13]	1.78 [1.31–2.41]	

Notes: <sup>a</sup>7 (2.7%) patients with oligometastasis undergoing "neoadjuvant chemotherapy" before the palliative operation were also analyzed for the relationship between AJCC stage and chemotherapy reaction (here only refers to the local reaction of the breast). P: p-value, bold p-value indicated a statistical difference.

Abbreviations: BMI, body mass index; AJCC, American Joint Committee on Cancer; IDC, invasive ductal carcinoma; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth factor receptor 2; TNBC, triple-negative breast cancer; HR, hormone receptor; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index; IQR, interquartile range.

Since there were no established cut-off values for the three peripheral blood parameters, ROC analysis was performed, and cut-off points were calculated using the maximum Youden's index of the curve. The "optimal" cut-off values were thus determined as NLR, 1.777; PLR, 137.31 or 139.75; and SII 586.40; the corresponding AUCs of the ROC curves were 0.545 [95% confidence interval (CI) 0.450–0.641; P=0.347], 0.560 (95% CI 0.461–0.658; P=0.215), and 0.602 (95% CI 0.503-0.700; P=0.035), respectively. Remarkably, the first two AUCs were small and did not achieve statistical significance. Hence, we calculated variable ORs ranging from 1.60 to 2.20 for NLR and 127 to 155 for PLR in the cohort, and minimum P-values were obtained at 1.77 for NLR and 137 for PLR. Based on this analysis, we categorized patients into high ( $\geq 1.77$ , n=185) and low NLR (< 1.77, n=73), high PLR ( $\geq 137$ , n=105), and low PLR (< 137, n=153), and high  $(\ge 586.40, n=103)$  and low SII (< 586.40, n=155) groups.

#### Relationship Between Clinicopathological Characteristics and Response to Neoadjuvant Chemotherapy

Univariate analysis showed that patients with higher pretherapeutic SII (as a continuous variable or with a cut-off value of 586.40), obesity (BMI≥25), T4 tumor, invasive ductal carcinoma, PR-positive status, lower Ki-67, HER2-negative status (all P<0.05), ER-positive status and AJCC stage-high tumors (both P<0.1) tended to be resistant to neoadjuvant

chemotherapy. A subsequent multivariate logistic regression analysis revealed high SII and HER2-negative status to be independent predictors of poor neoadjuvant chemotherapy response (Table 4).

Interestingly, although NLR and PLR as categorical variables were not significantly correlated with poor chemotherapy response, univariate analysis suggested that NLR and PLR as continuous variables were significantly associated with

Table 4 Univariate and Multivariable Analysis for Risk Factors of Poor Chemotherapy Response

Variables	Univari	Univariate Analysis			Multivariable Analysis		
	OR	95% CI	P	OR	95% CI	P	
SII							
≥586.40 vs <586.40	2.175	1.121-4.218	0.022	2.359	1.143-4.866	0.020	
NLR							
≥1.77 vs <1.77	1.896	0.834-4.310	0.127				
PLR							
≥137 vs <137	1.666	0.863-3.217	0.128				
BMI							
≥25 vs <25	2.093	1.069-4.100	0.031	1.667	0.796–3.488	0.175	
Age							
≥50 vs <50	1.019	0.527–1.970	0.955				
Menstrual status							
Postmenopausal vs Premenopausal	1.000	0.519–1.925	1.000				
Tumor T stage							
T4 vs TI-3	3.606	1.311–9.918	0.013	2.534	0.802-8.010	0.113	
Lymph node involvement							
Yes vs No	1.000	0.360–2.777	1.000				
Lesion							
Multifocal vs Single focal	0.403	0.051-3.182	0.389				
AJCC stage							
Stage IV vs Stage II–III	3.956	0.853-18.355	0.079	2.841	0.517-15.630	0.230	
Histological type							
IDC vs Others	2.473	1.238-4.940	0.010	2.081	0.985-4.397	0.055	
ER status							
Positive vs Negative	1.835	0.919–3.665	0.085	0.809	0.303–2.156	0.671	
PR status							
Positive vs Negative	2.509	1.242-5.072	0.010	1.996	0.746–5.340	0.169	
Ki-67							
≥ 4% vs < 4%	0.418	0.189-0.925	0.031	0.805	0.323–2.008	0.642	

Table 4 (Continued).

Variables	Univari	ate Analysis		Multiva	Multivariable Analysis	
	OR	95% CI	P	OR	95% CI	P
HER2 status						
Positive vs Negative	0.264	0.124-0.562	0.001	0.329	0.145-0.747	0.008
Molecular subtype <sup>a</sup>			0.003			
TNBC vs HR+HER2-	0.572	0.225-1.456	0.241			
HR-HER2+ vs HR+HER2-	0.151	0.043-0.526	0.003			
HR+HER2+ vs HR+HER2-	0.291	0.118-0.716	0.007			
Chemotherapy regimen <sup>b</sup>			0.252			
Taxane-based vs Anthracycline-based	0.433	0.091-2.054	0.292			
Combination vs Anthracycline-based	0.998	0.270-3.691	0.977			
Chemotherapy cycle						
>6 vs ≤6	1.244	0.628–2.466	0.531			

**Notes:** <sup>a</sup>Molecular subtype was not co-included with ER/PR/HER2 in the multivariate logistic regression model due to collinearity. <sup>b</sup>Different treatment regimens, ie neoadjuvant chemotherapy or neoadjuvant chemotherapy plus single/dual ERBB2-targeted neoadjuvant therapy, were not significantly associated with response to neoadjuvant therapy in patients with HER2-positive breast cancer. *P*: p-value, bold p-value indicated a statistical difference. **Abbreviations**: OR, odds ratio; CI, confidence interval; BMI, body mass index; AJCC, American Joint Committee on Cancer; IDC, invasive ductal carcinoma; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth factor receptor 2; TNBC, triple-negative breast cancer; HR, hormone receptor; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index.

neoadjuvant chemotherapy resistance (P=0.008, P=0.050, respectively), and NLR remained significant in multivariate analysis (OR=1.383, 95% CI 1.049–1.823, P=0.021, adjusted for PLR, BMI, AJCC stage, histological type, ER status, PR status, Ki-67 expression, and HER2 status). Furthermore, HR+HER2- breast cancer was an independent predictor of poor chemotherapy response (OR=2.534, 95% CI 1.220–5.263, P=0.013), with adjustment for SII, BMI, AJCC stage, histological type, Ki-67 expression.

Factors affecting pCR were analyzed in patients with stage II–III breast cancer (n=251, 87 patients with pCR). Univariate analysis revealed that higher AJCC stage, non-IDC histological type, ER-negative status, PR-negative status, high Ki-67 expression, HER2-positive status, and ≥6 chemotherapy cycles were significantly associated with increased pCR possibility. Notably, SII was unlikely to predict pCR in this study (OR=0.999, 95% CI 0.998–1.000) and was unquestionably rejected after multivariate analysis, while AJCC stage, HER2 status, and histological type were the only factors remaining with a significant correlation with pCR (Figure 3).

#### Development of an SII-Based Predictive Scoring Model for Breast Cancer Chemotherapy Efficacy

To determine in advance who may not benefit from chemotherapy, we developed a novel predictive scoring model capable of predicting chemotherapy efficacy in breast cancer patients based on variables with univariate associations of P<0.05. Notably, histological type was not included in the model as this variable was not usually determined by core needle biopsy pathology. We converted OR values for each variable into corresponding scores, and individual scores were summed together to generate a total risk score of 0 to 8. The specific process of assignment can be found in the Statistical analysis section, and full details were shown in Table 5.

Next, we constructed ROC curves for predicting chemotherapy resistance using patient risk scores. The AUC of this curve was 0.751 (95% CI 0.675-0.827; P=0.000; Figure 4a). Hosmer-Lemeshow Goodness-of-Fit test further confirmed the model had a good fit (P=0.202). The optimal cut-off value of the model was 2.5 according to the maximum Youden's index of the ROC curve (sensitivity, 86.0%; specificity, 58.6%). Therefore, neoadjuvant chemotherapy efficacy was more likely to be poor for patients with a risk score  $\geq 3$ . Subsequently, we conducted stratification analysis according to the

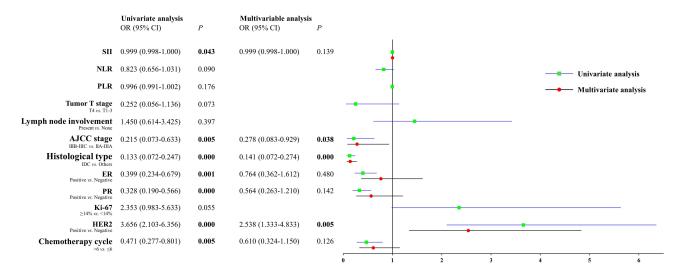


Figure 3 Univariate and multivariate analyses of pathological complete response by forest plots. P: p-value, bold p-value indicated a statistical difference. Other bold text represents methods or variables for regression analysis.

Abbreviations: OR, odds ratio; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index; AJCC, American Joint Committee on Cancer; IDC, invasive ductal carcinoma; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth factor receptor 2.

four molecular subtypes to demonstrate that, compared to HR- subtypes, this model had a better predictive ability for chemotherapy response for HR+HER2- and HR+HER2+ breast cancer (AUC: 0.657 and 0.763, respectively, all P < 0.05, Supplementary Table 3).

We tested our SII-based model with an independent cohort and achieved good results in predicting chemotherapeutic responses, with an accuracy of 88.9% (Table 6 and Supplementary Table 4). When SII was removed from the model, the AUC value dropped to 0.727. Moreover, we established another scoring model, which accounted for only those variables with multivariate associations of P < 0.05 (SII and HER2), and it yielded a lower AUC of 0.695 (Figure 4b).

**Table 5** The Predictive Scoring System for Breast Cancer Chemotherapy Efficacy

Variables	OR Value	Prediction Score Points
SII		
≥586.40	2.175	I
<586.40	1.000	0
ВМІ		
≥25	2.093	I
<25	1.000	0
Tumor T stage		
Т4	3.606	2
TI-3	1.000	0
PR status		
Positive	2.509	I
Negative	1.000	0

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Table 5 (Continued).

Variables	OR Value	Prediction Score Points
Ki-67		
≥14%	0.418	-1
<14%	1.000	0
HER2 status		
Positive	0.264	-2
Negative	1.000	0
Constant		3
Total risk score		0–8

Abbreviations: SII, systemic immune-inflammation index; BMI, body mass index; PR, progesterone receptor; HER2, human epidermal growth factor receptor 2; OR, odds

# Potential of NLR, PLR, and SII for Monitoring Recurrence and Metastasis in Breast **Cancer Patients**

Fifty-three eligible patients were enrolled in this cohort. Dynamic peripheral blood inflammation index changes before treatment and during follow-up were summarized in Table 7. Peripheral blood parameters were collected before treatment (NLR0, PLR0, and SII0), 3-6 months after (neo)adjuvant chemotherapy and surgery completion (NLR1, PLR1, and SII1), and within 3 days before and after recurrence/metastasis (NLR2, PLR2, and SII2). Friedman rank-sum test was applied to analyze the respective dynamic changes of NLR, PLR, or SII at different time points, but no significant differences were observed. A paired Wilcoxon signed-rank test was subsequently used to further analyze NLR/PLR/SII changes between any two time points. PLR1 and PLR0 were significantly different (P=0.026), but PLR2 and PLR1 were not (P=0.465), suggesting that PLR is not suitable for monitoring recurrence. SII1 and SII0 were comparable and statistically nonsignificant; nonetheless, SII2 had a nominal upward trend compared with SII1 (493.99 [337.93-791.49] vs 430.45 [279.18–659.70], P=0.073). ROC curve analysis indicated that the specificity of SII2 in identifying recurrence/ metastasis was greater than 98.0% when its cut-off value was set at 900.

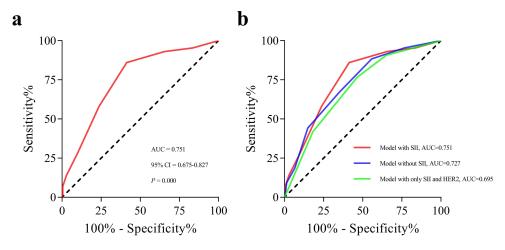


Figure 4 The newly established predictive scoring model efficiently predicts poor chemotherapeutic response in breast cancer patients. (a) Receiver operating characteristic (ROC) and area under the curve (AUC) analyses pertaining to pretherapeutic systemic immune-inflammation index (SII)-based predictive scoring model. (b) Comparison between the SII-based predictive scoring model and other models. Figure 4 was generated by GraphPad Prism version 8. Abbreviations: HER2, human epidermal growth factor receptor 2; CI, confidence interval; P, p-value.

Table 6 The Accuracy of the Predictive Scoring Model Tested by an Independent Cohort

	Actual Poor Chemotherapy Response [n]	Actual Good Chemotherapy Response [n]
Predictive poor chemotherapy response	6	2
Predictive good chemotherapy response	4	42

Table 7 Dynamic Changes of NLR/PLR/SII Before, During, and After Initial Treatment

Peripheral Blood Parameters	то	ті	Т2	P
NLR (median, IQR)	2.19 [1.69–2.79]	2.32 [1.80–3.30]	2.34 [1.84–3.45]	0.393
PLR (median, IQR)	118.64 [89.31–153.01]*	129.41 [103.62–178.91]*	134.84 [100.65–158.78]	0.089
SII (median, IQR)	448.34 [308.50–596.69]	430.45 [279.18–659.70] <sup>a</sup>	493.99 [337.93–791.49] <sup>a</sup>	0.371

Notes: \*The values of PLR were statistically different between T0 and T1 time points (P<0.05). a The values of SII on T2 was nominal higher than those on T1 (P=0.073). Abbreviations: T0, before treatment; T1, 3–6 months after the completion of (neo)adjuvant chemotherapy and surgery; T2, within 3 days before and after recurrence/metastasis; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; SII, systemic immune-inflammation index; IQR, interquartile range; P, p-value.

#### Discussion

Peripheral blood inflammation indices, which were originally developed to reflect the systemic inflammation and stress intensity in critical patients but have since proven to possess predictive and prognostic values across a wide range of diseases, 19,20 have the advantages of being easily accessible, cost-effective, repeatable, and noninvasive. Herein, we retrospectively analyzed the correlation of NLR/PLR/SII with clinicopathological characteristics, chemotherapy response, and relapse in breast cancer, revealing the potential of these peripheral blood inflammation indices for clinical application.

Specifically, we found that NLR, PLR, and SII were significantly higher for TNBC/HER2-enriched cancers or those with higher tumor stage, Ki-67 expression, and histological grade, which is in line with previous research findings. 21,22 However, we did not find a significant correlation between the three peripheral blood inflammation indices and ER status, PR status, the number of lesions, lymphovascular invasion, and histological type. Consistent with the findings of Zhu et al.<sup>23</sup> the higher pretherapeutic peripheral blood inflammation indices in our study were significantly related to young age (<50 years) and premenopausal status. Inversely, there are studies showing that elderly patients are more likely to have high NLR,<sup>22</sup> in which 437 cases were included, and the average age of patients reached 63.6 years. Therefore, different sample characteristics may explain the different findings. Indeed, there is always controversy in the results of various studies regarding the relationship between peripheral blood parameters and the clinicopathological characteristics of patients. For instance, the studies by Ulas et al, <sup>24</sup> Pistelli et al, <sup>25</sup> and Jiang et al <sup>13</sup> found that the level of NLR, PLR, and SII could not reflect any clinicopathological characteristics of breast cancer patients. Importantly, in addition to the differences in the research population, the optimal cut-off values of these peripheral blood inflammation indices remain unclear, <sup>12,21</sup> possibly contributing to the inconsistent results. In this study, the median 2.18, 124.10, and 475.00 of the NLR, PLR, and SII were used as cut-off values when we investigate the predictive value of these indicators on the clinicopathological characteristics. Although not based on the maximum Youden index, these cut-off points demonstrated clinically meaningful results in the succeeding statistical analysis, especially in HR+ cancers.

Many studies have shown that patients with lower NLR, PLR, and SII are more likely to achieve pCR after chemotherapy. <sup>17,26</sup> In contrast, some studies have shown that NLR and PLR cannot independently predict pCR. <sup>15–18</sup> Here, we revealed the independent predictive power of SII/NLR for poor chemotherapy response, but not pCR. Patients with an SII beyond a cut-off value of 586.40 were more likely to have a poor chemotherapeutic response. Notably, this cut-off value is similar to those reported in the related literature. <sup>21</sup> Unfortunately, we failed to find an optimal NLR cut-off value. The meta-analysis of Ethier et al showed that the cut-off value of NLR predicting the prognosis of breast

cancer patients in previous studies was in the interval of 1.9–5.0, with a median of 3. 11 Therefore, many studies exploring the prognosis of breast cancer defined the NLR cut-off point as 3,27-29 but some scholars28,29 and we cannot obtain statistically significant results by using this cut-off value (data not shown). In future studies, we will recruit more cases and improve the experimental scheme, further explore the optimal cut-off value of NLR and verify the SII cut-off value proposed here. Additionally, the molecular HR+HER2- subtyping was found to act as an independent predictor of poor chemotherapeutic response, which is highly consistent with previous studies. 17,30 Previous evidence shows that histological type of IDC, 31 obesity, 32 high degree of tumor invasion, 33 positive PR status, 30 and low Ki-6734 may be related factors of poor response to chemotherapy, which is also consistent with our findings, but these relationships no longer exist in the multivariate analysis. Some literature also reveals that age, <sup>15</sup> neoadjuvant chemotherapy cycle, <sup>15</sup> lymph node involvement, 35 neoadjuvant chemotherapy regimen, 35,36 combined targeted therapy 37 and among others are related to the efficacy of chemotherapy, but we did not confirm the correlation between them in our research. Since the core needle biopsy of our clinical center did not determine the histological grade of the tumor most of the time, this factor was not included in the logistic regression analysis of poor chemotherapy response, although the histological grade has been showed by some scholars to independently predict the chemotherapy response.<sup>33</sup> However, some studies asserted that histological grade is not an independent predictor of chemotherapy response, 17 which therefore, needs to be further clarified in future studies. Pretherapeutic PLR was not significantly correlated with poor chemotherapeutic response, regardless of its use as a continuous variable or with a cut-off value of 137 for univariate and multivariate binary logistic regression. Indeed, PLR, which is the "twin brother" of NLR, has generally been found inferior to NLR in predicting pCR or prognosis, 33,38,39 which is also consistent with our findings.

At present, some studies have used models based on peripheral blood inflammation indices to predict the efficacy of neoadjuvant chemotherapy in breast cancer patients<sup>23</sup> or prognosis. <sup>40,41</sup> Zhu et al<sup>23</sup> established a model based on NLR, tumor size, hormone receptor status, and Ki-67 expression level, with an AUC of 0.705. In this study, a new predictive scoring model based on SII was established to predict the likelihood of a poor chemotherapy response in breast cancer patients, with an AUC of 0.751 (95% CI 0.675-0.827; P=0.000). When we removed SII from this model, the AUC dropped to 0.727, indicating the importance of SII to the model. In addition to SII, BMI, tumor T stage, Ki-67, and HER2 status were included in the model. Further stratified analysis of the four molecular subtypes revealed that the model has a better predictive ability for HR+ breast cancer, which may be attributed to a previous finding that the causality between high BMI and a worse outcome is stronger in HR+ breast cancer, but not other subtypes. 42 These results are clinically important, as this breast cancer subtype has a low pCR rate. Accordingly, neoadjuvant chemotherapy should not be the first choice for HR+ patients with a risk score  $\geq 3$ , and the surgery could be performed first. Certainly, although this study only involved patients receiving neoadjuvant chemotherapy, the results of the study can be analogized to all patients who need chemotherapy, especially considering we even included the patients with oligometastasis in the analysis.

Evidence indicates that the dynamic changes of peripheral blood inflammation indices have important predictive and monitoring significance for breast cancer patients.<sup>27,43</sup> Our results revealed that SII tended to increase during the followup period. In addition, ROC curve analysis showed a specificity of recognizing cancer relapse greater than 98.0% at an SII cut-off value of 900. Therefore, we recommend that patients suspected of relapse with a peripheral SII value below 900 undergo further examination to assess whether the tumor has indeed recurred. Notably, many factors can alter peripheral blood indicators, for example, tamoxifen also has side effects related to neutropenia. 44 However, endocrine therapy has little effect on peripheral blood count in practice, so it is generally recognized that these parameters continue to reflect the immune microenvironment even if endocrine therapy is started. 45 Nevertheless, the effect of radiotherapy on peripheral blood cannot be ignored. 46 Correspondingly, we set the second time for blood sampling to 3–6 months after the finish of surgery and (neo)chemotherapy, to dodge the influence of radiotherapy on peripheral blood inflammation indices. Moreover, we also excluded cases with abnormal values of the neutrophil count, lymphocyte count, or platelet count during the second blood collection. In future studies, we will further control variables that affect these indices and increase the blood sampling frequency to obtain better results.

Our research shows that the value of SII in the prediction, prognosis, and monitoring of breast cancer patients is more valuable than NLR and PLR, which is also coincident with the results of Jiang et al<sup>47</sup> and Jiang et al<sup>13</sup> Surely, the value of NLR, PLR, and SII in the diagnosis and treatment of breast cancer is not limited to this. Evidence suggests that these indicators may also

have predictive value for the treatment response of endocrine therapy. 48 eribulin, 49 and everolimus, 50 as well as the necessity of axillary lymph node dissection.<sup>51</sup> Furthermore, these parameters are reported to have the potential to differentially diagnose breast cancer and benign breast diseases. 15,52 Actually, in addition to NLR, PLR, and SII, there are many other inflammatory parameters derived from complete blood counts, including neutrophil count, lymphocyte count, platelet count, and derived NLR [neutrophil count/(white blood cell count minus neutrophil count) ratio], lymphocyte/monocyte ratio, neutrophil/monocyte ratio, red blood cell volume distribution width, platelet distribution width/platelet ratio, 38,53,54 and other systemic inflammation parameters derived from the blood such as albumin/globulin ratio, 55 C-reactive protein, 56 and prognostic nutritional index, 57 have all been found to be related to the diagnosis, prognosis, and management of breast cancer. Similarly, in our study, a significant difference in platelet count between the poor chemotherapy response group and the sensitive chemotherapy response group was also observed (P<0.01), but there was no significant difference in neutrophil count and lymphocyte count between the two groups. Most scholars believe that the combined use of multiple indicators can often provide better and more valuable information for the diagnosis and treatment of breast cancer. 17,55,56 In this study, when we tried to combine NLR and PLR as a joint indicator, not only ROC curve analysis but also regression analysis of poor chemotherapy response had better predictive power than either alone. When we further combined another inflammation-related indicator—BMI (body mass index), a more statistically significant result was achieved (data not shown). Therefore, the combined utilization of a number of systemic inflammation parameters with no collinearity-ship will also be a key direction of our next research.

Two main limitations must be acknowledged. Firstly, as a single-center retrospective study, the results of the study are not necessarily applicable to other patient populations. Secondly, the sample sizes analyzed in the latter two analyses are relatively small (n=258, n=53, respectively), which may have an impact on some research results. Notwithstanding these limitations, our study provides evidence support for better predicting the clinicopathological characteristics, therapeutic response, and relapse of breast cancer patients, but it needs to be further verified in prospective studies with larger sample sizes in the future.

### **Conclusions**

Pretherapeutic NLR, PLR, and SII were correlated with multiple clinicopathological characteristics of patients with breast cancer, especially in HR+ cancers. Higher peripheral blood inflammation indices indicate higher risk factors for breast cancer. Breast cancer patients with higher pretherapeutic SII and/or NLR were more likely to have a worse result of neoadjuvant chemotherapy, and an SII cut-off point of 586.40 was observed to be reasonable, feasible, and effective. Besides, SII has a certain value in monitoring the recurrence and metastasis of breast cancer. Collectively, SII may be the superior predictor among the three indices.

#### **Abbreviations**

AJCC, American Joint Committee on Cancer; AUC, area under the curve; BMI, body mass index; CI, confidence interval; ER, estrogen receptor; HER2, human epidermal growth factor receptor-2; HR, hormone receptor; IDC, invasive ductal carcinoma; IL, interleukin; ILC, invasive lobular carcinoma; IQR, interquartile range; LVI, lymphovascular invasion; MP, Miller-Payne; NLR, neutrophil-to-lymphocyte Ratio; OR, odds ratio; pCR, pathological complete response; PLR, platelet-to-lymphocyte ratio; PLT, platelet; PR, progesterone receptor; ROC, receiver operating characteristic; ROS, reactive oxygen species; SII, systemic immune-inflammation index; TNBC, triple-negative breast cancer.

# **Data Sharing Statement**

The data used to support the findings of this study are included within the article.

# **Ethics Approval and Consent to Participate**

This study was reviewed and approved by Institutional Review Board of the Second Affiliated Hospital of Zhejiang University School of Medicine (ethical approval NO. 2021-0295), which waived the informed consent requirement due to the retrospective design of the study. This study complied with the Declaration of Helsinki. The patient data was maintained with confidentiality.

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# **Acknowledgments**

The authors wish to thank all patients who participated in this study.

# **Funding**

This work was funded by Natural Science Foundation of Zhejiang Province (LQ19H160045).

#### Disclosure

The authors declare that there are no conflicts of interest.

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