

# Clinical Outcomes and Risk Factors for Death in Critically Ill Patients with Carbapenem-Resistant *Klebsiella pneumoniae* Treated with Ceftazidime-Avibactam: A Retrospective Study

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**Purpose:** Carbapenem-Resistant *Klebsiella pneumoniae* (CRKP) is a significant public health threat, because it is associated with substantial morbidity and mortality. However, the risk factors associated with treatment failure of ceftazidime-avibactam (CAZ-AVI) and the need for CAZ-AVI-based combination remain unclear.

**Methods:** We conducted a retrospective study of critically ill patients (age: > 18 years) diagnosed with CRKP infections and treated with CAZ-AVI for at least 24 h between June 2020 and December 2022 at Henan Provincial People's Hospital.

**Results:** This study included a total of 103 patients who received CAZ-AVI. Of these, 91 (88.3%) patients received the standard dosage of 2.5 g every q8h, while only 20 (19.4%) received monotherapy. The Kaplan–Meier curves showed that the all-cause 30-day mortality was significantly higher among patients who experienced septic shock than those who did not. There was no significant difference in mortality between monotherapy and combination therapy. Dose reduction of CAZ-AVI was associated with a significantly increased mortality rate. Independent risk factors for the 30-day mortality included higher APACHE II score (HR: 1.084, 95% CI: 1.024–1.147,  $p = 0.005$ ) and lower lymphocyte count (HR: 0.247, 95% CI: 0.093–0.655,  $p = 0.005$ ). Conversely, a combination therapy regimen containing carbapenems was associated with lower mortality (HR: 0.273, 95% CI: 0.086–0.869,  $p = 0.028$ ).

**Conclusion:** Our study suggests that CAZ-AVI provides clinical benefits in terms of survival and clinical response in critically ill patients with CRKP infection. A higher APACHE II score and lower lymphocyte count were associated with 30-day mortality, while the combination therapy regimen containing carbapenems was the only protective factor. CAZ-AVI dose reduction was associated with an increased mortality rate. Further large-scale studies are needed to validate these findings.

**Keywords:** carbapenem-resistant *Klebsiella pneumoniae*, ceftazidime-avibactam, retrospective study, combination therapy

## Introduction

Carbapenem-resistant *Enterobacteriaceae* (CRE), particularly Carbapenem-Resistant *Klebsiella pneumoniae* (CRKP), pose a significant public health threat because of their contribution to substantial morbidity and mortality.<sup>1,2</sup> Data from the European Antimicrobial Resistance Surveillance Network (EARS-Net) showed a progressive increase in the proportion of CRKP isolates from clinical specimens, increasing from 2.2% in 2009 to 19.4% in 2012.<sup>3</sup> Similarly, in China, CRKP has emerged as a major concern, with *K. pneumoniae* resistance to imipenem swiftly escalating from 15.6% in 2015 to 29% in

2023, according to information from the China Antimicrobial Surveillance Network (CHINET, [www.chinets.com](http://www.chinets.com)). However, treating CRKP infections in developing countries presents significant challenges owing to limited access to new therapeutic agents. Ceftazidime-avibactam (CAZ-AVI), a novel  $\beta$ -lactam/ $\beta$ -lactamase inhibitor combination, was approved for use in China in September 2019.<sup>4</sup> Avibactam is a non- $\beta$ -lactam,  $\beta$ -lactamase inhibitor, which exhibits activity against Ambler class A (eg, extended-spectrum- $\beta$ -lactamases [ESBLs], *K. pneumoniae* carbapenemases [KPCs]) and class C (AmpC) and some class D(OXA) enzymes.<sup>5,6</sup> In China, CAZ-AVI has been approved for the treatment of complicated intra-abdominal infection (cIAI), hospital-acquired pneumonia (HAP), and other infections caused by multidrug-resistant gram-negative organisms in adult patients with limited treatment options.

Several studies have demonstrated that CAZ-AVI is more effective against CRKP than polymyxins and tigecycline.<sup>7–10</sup> However, the risk factors associated with treatment failure of CAZ-AVI remain unclear. Additionally, previous in vitro studies have shown that CAZ-AVI, when combined with other agents, exhibits high synergistic activity against CRKP.<sup>11–13</sup> Nonetheless, it is currently unclear whether there is a benefit from combined medication. Hence, we conducted a retrospective study to evaluate the outcomes and risk factors for death in patients with severe CRKP infections treated with CAZ-AVI, as well as the effects of combined therapy.

## Materials and Methods

### Patients

This study was conducted from June 2020 to December 2022 at Henan Provincial People's Hospital, a central China tertiary care hospital with 5000 beds. Critically ill patients diagnosed with CRKP infections, aged >18 years, and treated with CAZ-AVI for at least 24 h were included in the study. Medical ethics approval was granted by the medical ethics committee of Henan Provincial People's Hospital. As patient data were analyzed anonymously and patient confidentiality maintained, the need for patient consent was waived. This study was performed in accordance with the tenets of Declaration of Helsinki.

### Clinical Data and Outcomes

Demographic information, comorbidities, laboratory data, microbiological data, treatment regimen, and infection outcomes were collected from the hospital's electronic medical records system by clinical pharmacists. Patients received either a standard dose or a reduced dose based on their level of renal impairment. For patients with moderate-to-severe renal impairment ( $\text{eCrCL} \leq 50 \text{ mL/min}$ ), the dosage of CAZ-AVI was based according to the drug's instructions. CRKP infection was defined as a positive clinical specimen together with signs and symptoms of infection in a patient. Clinical response was defined as a documented improvement in the signs and symptoms of infection as reported by clinicians.<sup>14</sup> Microbiologic eradication was defined as a negative culture after CAZ-AVI therapy, when repeat cultures were available.<sup>15</sup> The primary outcome was 30-day mortality following the initiation of CAZ-AVI treatment.

### Microbiology

CRKP was defined as bacteria that tested resistant to any carbapenem (meropenem, ertapenem, or imipenem) or were positive for carbapenemase production.<sup>16</sup> The Vitek 2 system (bioMérieux, Marcy l'Étoile, France) and the Phoenix100 automated system (Becton Dickinson Co., Sparks, MD, USA) were used for identification of CRKP isolates. Matrix-assisted lasers desorption/ionization time-of-flight mass spectrometry (MALDI-TOF-MS) (Bruker Corporation, Karlsruhe, Germany) was used to confirm the identity of the isolate. Minimum inhibitory concentration (MIC) values for antimicrobial agents were determined by an automated broth microdilution method (Becton Dickinson Co., Sparks, MD, USA). Antibiotic susceptibility testing results were compared using the agar disk diffusion method (Becton Dickinson Co.), in accordance with the Clinical and Laboratory Standards Institute (CLSI) breakpoints.

### Statistical Analysis

Categorical variables were expressed as frequencies (percentages) and evaluated with the chi-squared test or two-tailed Fisher's exact test. Non-normally distributed continuous variables were expressed as the median with interquartile range (IQR) and evaluated with the Mann–Whitney non-parametric test. Multivariate Cox regression analysis was used to

identify independent risk factors for 30-day mortality. Hazard ratios (HR) and 95% confidence intervals were calculated for all associations. All statistical analyses were carried out with SPSS software (version 25.0; IBM Corporation, Armonk, NY, USA) and Prism 7.0 (GraphPad) software. A two-sided P-value <0.05 was considered to indicate statistically significant differences.

## Results

### Patient Characteristics

A total of 103 patients (median age: 61 years; 74.8% male) who received CAZ-AVI were included in this study. The most common comorbidity was hypertension (n = 43, 41.7%), followed by cardiovascular disease (n = 27, 26.2%), and diabetes mellitus (n = 25, 24.3%). A total of 41 patients (39.8%) were admitted to the ICU, and 68 patients (66%) had previous exposure to carbapenems within 3 months before infection. Pneumonia was the most prevalent type of infection, accounting for 84.5% of all cases, followed by bloodstream infections, which accounted for 40.8% cases. Of the 103 patients, only 11 isolates showed carbapenemase production, whereas all the isolates showed serine  $\beta$ -lactamases (SBL) production. More than 90% of the patients were admitted to the ICU during their hospitalization. Among them, 83 patients received mechanical ventilation, and 21 patients received continuous renal replacement therapy. The median APACHE II score was 16 (Table 1).

### Microbiological Characteristics

Table 2 summarizes the results of drug sensitivity testing for 92 CRKP strains. All strains were susceptible to polymyxin and CAZ-AVI. Of the isolates tested, 95.6% (87/91) were susceptible to tigecycline, followed by TMP-SMX (33.7%) and amikacin (27.2%). Most strains showed resistance to imipenem (92.4%), meropenem (93.5%), and ciprofloxacin (96.7%).

### Treatment and Outcomes

Among the patients who received treatment with CAZ-AVI, 91 (88.3%) received the standard dosage of 2.5 g every q8h, and only 20 (19.4%) received monotherapy. The most commonly used drugs in combination were carbapenems and

**Table 1** Characteristics of Patients Treated with CAZ-AVI\*

Characteristics	All Patients (n=103)	Septic Shock (n=50)	Non-Septic Shock (53)	p value*
<b>Demographics</b>				
Age (year)	61.0(47.0–71.0)	58.0(48.8–68.3)	64.0(39.5–72.0)	0.887
Male gender	77.0(74.8%)	36.0(72.0%)	41.0(77.4%)	0.532
Female gender	26.0(25.2%)	14.0(28.0%)	12.0(22.6%)	
<b>Comorbidities</b>				
Diabetes mellitus	25.0(24.3%)	14.0(28.0%)	11.0(20.8%)	0.391
Hypertension	43.0(41.7%)	20.0(40.0%)	23.0(43.4%)	0.727
Cardiovascular disease	27.0(26.2%)	15.0(30.0%)	12.0(22.6%)	0.396
Hematological malignancy	11.0(10.7%)	6.0(12.0%)	5.0(9.4%)	0.673
Solid tumor	14.0(13.6%)	7.0(14.0%)	7.0(13.2%)	0.907
Organ transplantation	6.0(5.8%)	3.0(6.0%)	3.0(5.7%)	1.000
<b>Hospitalization within 3 months prior to infection</b>	79.0(76.7%)	39.0(78.0%)	40.0(75.5%)	0.762
<b>ICU admission within 3 months prior to infection</b>	41.0(39.8%)	21.0(42.0%)	20.0(37.7%)	0.659

(Continued)

Table I (Continued).

Characteristics	All Patients (n=103)	Septic Shock (n=50)	Non-Septic Shock (53)	p value*
Carbapenems exposure within 3 months prior to infection	68.0(66.0%)	35.0(70.0%)	33.0(62.3%)	0.407
Source of infection				
Pneumonia	87.0(84.5%)	43.0(86.0%)	44.0(83.0%)	0.676
Intra-abdominal infection	15.0(14.6%)	7.0(14.0%)	8.0(15.1%)	0.875
Urinary tract infection	13.0(12.6%)	6.0(12.0%)	7.0(13.2%)	0.854
Skin and soft tissue infection	1.0(1.0%)	1.0(2.0%)	0(0.0%)	0.977
Bloodstream infection	42.0(40.8%)	29.0(58.0%)	13.0(24.5%)	0.001
Biliary/hepatic infection	1.0(1.0%)	1.0(2.0%)	0(0.0%)	0.977
Surgical sites infection	5.0(4.9%)	2.0(4.0%)	3.0(5.7%)	1.000
Central nervous system infection	7.0(6.8%)	3.0(6.0)	4.0(7.5%)	1.000
Catheter-related infection	6.0(5.8%)	5.0(10.0%)	1.0(1.9%)	0.182
Carbapenemases				
SBL	11.0(10.7%)	4.0(8.0%)	7.0(13.2%)	0.392
Not tested	92.0(89.3%)	46.0(92.0)	46.0(86.8%)	
Severity of illness and complications during the CRKP infection				
ICU admission	93.0(90.3%)	45.0(90.0%)	48.0(90.6%)	1.000
Length of ICU (days)	15.0(8.0–24.0)	15.5(8.0–24.0)	14.0(7.5–24.5)	0.851
Mechanical ventilation	83.0(80.6%)	42.0(84.0%)	41.0(77.4%)	0.394
Requirement of CRRT	21.0(20.4%)	13.0(26.0%)	8.0(15.1%)	0.170
APACHE II score	16.0(10.0–22.0)	16.5(9.5–22.8)	16.0(9.5–21.5)	0.719
Laboratory variables at the time of CRKP infection				
White blood cell count (10 <sup>9</sup> cells/L)	11.4(6.4–14.5)	10.3(5.9–14.6)	11.5(6.9–14.7)	0.490
Lymphocyte count (10 <sup>9</sup> cells/L)	0.8(0.4–1.3)	0.7(0.3–1.2)	0.8(0.4–1.4)	0.379
Neutrophil count (10 <sup>9</sup> cells/L)	8.8(5.2–12.0)	9.3(4.5–12.6)	8.8(5.3–11.7)	0.964
Creatinine (mg/dL)	72.0(50.5–125.8)	86.5(55.3–162.8)	60.5(42.5–112.5)	0.062
Alkaline phosphatase (U/L)	13.6(7.6–21.8)	13.6(7.4–23.4)	13.6(7.5–19.3)	0.433
Total bilirubin (μmol/L)	16.9(9.7–32.7)	19.6(10.4–38.5)	16.3(9.2–27.5)	0.222
Albumin (g/L)	32.6(29.0–36.9)	33.0(27.5–37.5)	32.1(29.7–36.4)	0.921
Procalcitonin(ng/mL)	1.9(0.4–8.8)	2.3(0.5–15.5)	1.3(0.4–5.1)	0.241
C-reactive protein (mg/L)	102.3(35.0–167.9)	102.5(35.6–173.3)	97.3(28.9–155.0)	0.891

(Continued)

Table 1 (Continued).

Characteristics	All Patients (n=103)	Septic Shock (n=50)	Non-Septic Shock (53)	p value*
<b>Ceftazidime-avibactam treatment</b>				
Dosage of CAZ-AVI				0.051
2.5g every 8 h	91.0(88.3%)	41.0(82.0%)	50.0(94.3%)	
Dose reduction	12.0(11.7%)	9.0(18.0%)	3.0(5.7%)	0.807
Duration (days)	8.0(5.0–13.0)	8.0(5.0–13.3)	8.0(5.5–12.0)	
Monotherapy	20.0(19.4%)	7.0(14.0%)	13.0(24.5%)	0.177
Combination therapy regimen with				
Carbapenems	33.0(32.0%)	15.0(30.0%)	18.0(34.0%)	0.667
Tigecycline	37.0(35.9%)	22.0(44.0%)	15.0(28.3%)	
Polymyxin B	27.0(26.2%)	16.0(32.0%)	11.0(20.8%)	0.195
TMP-SMX	7.0(6.8%)	3.0(6.0%)	4.0(7.5%)	
Length of Hospital days	29.0(20.0–41.0)	30.0(19.8–41.3)	29.0(20.0–41.0)	0.764
<b>Outcomes</b>				
Microbiological eradication	43.0/64.0(67.2%)	24.0/33.0(72.7%)	19.0/31.0(61.3%)	0.330
Clinical response	64.0(62.1%)	30.0(60.0%)	34.0(64.2%)	
30-day mortality	29.0(28.2%)	20.0(40.0%)	9.0(17.0%)	0.009

**Notes:** \*The data are presented as n (%) or median (interquartile range).

**Abbreviations:** ICU, intensive care unit; CRRT, continuous renal replacement therapy; CAZ-AVI, ceftazidime-avibactam; TMP-SMX, trimethoprim/sulfamethoxazole; CRKP, Carbapenem-Resistant *Klebsiella pneumoniae*.

Table 2 Antibiotic Susceptibility Results for CRKP Isolates

Antibiotic	Isolates Tested	Sensitive	Intermediate	Resistance
CAZ-AVI	91	91(100%)	0	0
Colistin	90	90(100%)	0	0
Tigecycline	91	87(95.6%)	2(2.2%)	2(2.2%)
TMP-SMX	92	31(33.7%)	0	61(66.3%)
Meropenem	92	6(6.5%)	0	86(93.5%)
Imipenem	92	5(5.4%)	2(2.2%)	85(92.4%)
Aztreonam	92	4(4.3%)	0	88(95.7%)
Gentamicin	89	18(20.2%)	0	71(79.8%)
Amikacin	92	25(27.2%)	0	67(72.8%)
Ciprofloxacin	92	2(2.2%)	1(1.1%)	89(96.7%)
Levofloxacin	91	4(4.4%)	2(2.2%)	85(93.4%)

**Abbreviations:** CAZ-AVI, ceftazidime-avibactam; TMP-SMX, trimethoprim/sulfamethoxazole; CRKP, Carbapenem-Resistant *Klebsiella pneumoniae*.

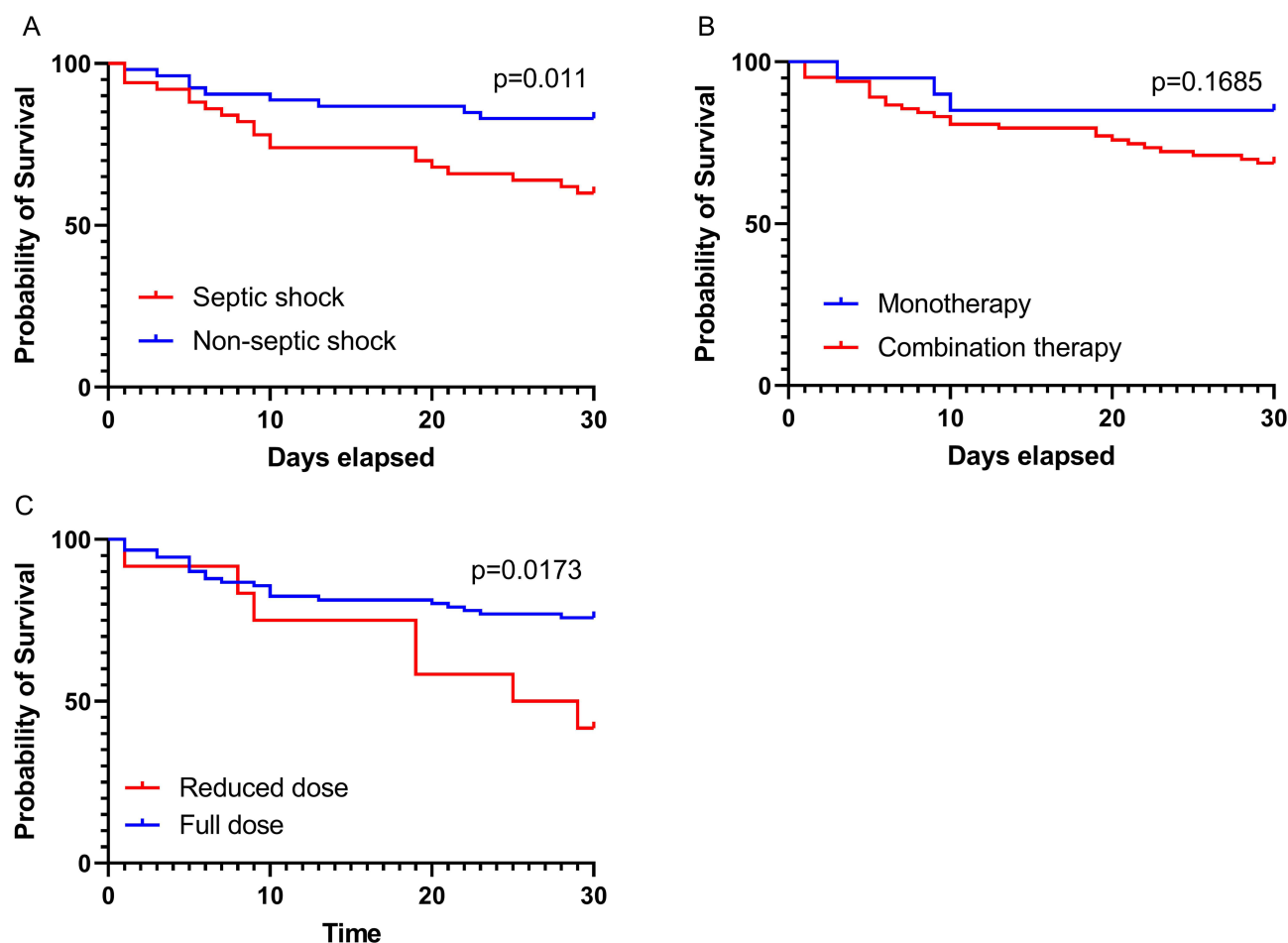
tigecycline, followed by polymyxin B. The overall clinical response rate was 62.1% and the 30-day mortality rate was 28.2%, with a significant difference in the 30-day mortality rate between septic patients (shocked and non-shocked) (Table 1). The Kaplan–Meier curves showed that all-cause 30-day mortality was significantly higher in septic shock patients than non-septic shock patients (Figure 1A). No difference in mortality was found between monotherapy and combination therapy (Figure 1B). With regard to CAZ-AVI dosage, dose reduction significantly increased mortality (Figure 1C). Receiver operating characteristic (ROC) analysis showed that lymphocyte count had an area under the curve of 0.71, an optimal cut-off value of 0.52, with a sensitivity of 69%, and specificity of 75.7% (Figure 2).

## Risk Factors for 30-Day Mortality

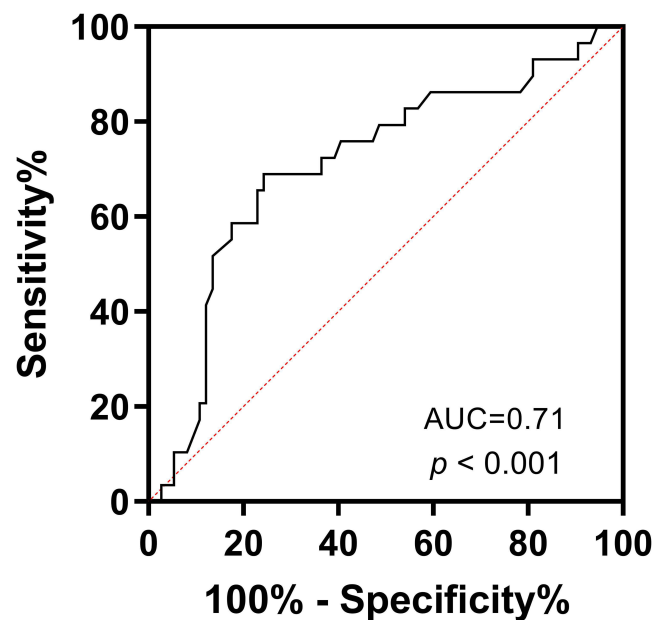
In the multivariable analysis, the independent risk factor for 30-day mortality included higher APACHE II score (HR: 1.084, 95% CI: 1.024–1.147,  $p = 0.005$ ) and lower lymphocyte count (HR: 0.247, 95% CI: 0.093–0.655,  $p = 0.005$ ). Conversely, the combination therapy regimen containing carbapenems was related to low mortality (HR: 0.273, 95% CI: 0.086–0.869,  $p = 0.028$ ) (Table 3).

## Discussion

In this study, we evaluated the outcomes and risk factors associated with 30-day mortality in 103 critically ill patients who received CAZ-AVI for CRKP. Previous studies have reported a mortality rate of 8–37.5% among patients treated by CAZ-AVI for CRKP.<sup>8,17–20</sup> In this study, we report a 30-day mortality rate of 28.2%. The difference may be because of the patients' age, site of infection, and severity of disease. In our study, >90% patients were admitted to the ICU, and



**Figure 1** Kaplan–Meier curves for mortality. (A) Survival for patients stratified by septic shock. (B) Survival for patients stratified by therapy regimen. (C) Survival for patients stratified by administration dosage.



**Figure 2** ROC curve of lymphocyte count.

almost half of the patients had septic shock. Besides, we found a higher mortality in patients with septic shock, which is consistent with previous reports.<sup>16,21</sup>

Severity of illness of CRKP in most studies was assessed with the APACHE II scoring system at infection onset.<sup>22–27</sup> A meta-analysis conducted by Qian et al reported that the average APACHE II score at the time of diagnosis of CRKP infection was considerably higher in patients who did not survive than in those who survived.<sup>28</sup> Zheng et al identified that a higher APACHE II score was a risk factor for mortality.<sup>27</sup> Similarly, in our study, a higher APACHE II score was

**Table 3** Multivariable Cox Regression of Risk Factors for 30-Day Mortality

Risk Factors	HR	95% CI	p
Male gender	1.088	0.348–3.400	0.884
Diabetes mellitus	2.139	0.903–5.068	0.084
ICU admission	0.236	0.053–1.057	0.059
Requirement of CRRT	2.458	0.860–7.027	0.093
Higher APACHE II score	1.084	1.024–1.147	<b>0.005</b>
Lower lymphocyte count	0.247	0.093–0.655	<b>0.005</b>
Lower albumin	0.997	0.932–1.068	0.940
CAZ-AVI dose reduction	0.643	0.150–2.752	0.552
Septic shock	2.024	0.869–4.714	0.102
Combination therapy regimen with carbapenems	0.273	0.086–0.869	<b>0.028</b>
Combination therapy regimen with tigecycline	1.251	0.540–2.902	0.601

**Note:** P value < 0.05 are bolded.

**Abbreviations:** ICU, intensive care unit; CRRT, continuous renal replacement therapy; CAZ-AVI, ceftazidime-avibactam.



independently associated with increased mortality. Hence, APACHE II score is a crucial and useful scoring system for clinicians to evaluate the severity of diseases and predict the outcome of patients with CRKP.

Among carbapenem-resistant *Enterobacteriaceae*-infected patients, previous studies revealed that higher mortality has been observed in immunosuppressed patients, including the presence of hematological malignancies and immunosuppressive therapy.<sup>29,30</sup> This implies that the patient's immune function may play a crucial role in carbapenem-resistant infection. Lin et al reported that impaired lymphocyte function was a critical factor in influencing individual outcomes in patients with carbapenem-resistant infection.<sup>31</sup> Cheng et al reported that the CD4+CD28+ T cell count was significantly lower in CRE than non-CRE septic patients and a lower cell count was significantly associated with a higher 28-day mortality.<sup>32</sup> In our study, lower lymphocyte count was independently associated with increased mortality, which indicated that lymphocyte function might be a useful marker for early diagnosis of CRKP infection and outcome prediction.

We found combination therapy regimen containing carbapenems significantly reduced mortality; this finding was consistent with the previous study by Zheng et al.<sup>27</sup> Subgroup analysis in their study showed that carbapenems were recognized as effective concomitant agents to decrease the 30-day mortality. This might be because of the synergistic effect of CAZ-AVI and carbapenems in vitro.<sup>11,33</sup> In our study, we found CAZ-AVI dose reduction significantly increased mortality. This finding was consistent with those reported in Phase III pivotal trials of CAZ-AVI, wherein a significantly lower clinical cure rate was reported in patients treated with CAZ-AVI exhibiting moderate renal impairment than those treated with meropenem.<sup>34</sup> Additionally, a meta-analysis conducted by Gatti et al revealed that renal dosing adjustments of CAZ-AVI were associated with a higher risk of mortality.<sup>35</sup> This could be because implementing recommended dosing adjustments in renal patients may result in suboptimal pharmacokinetic/pharmacodynamic (PK/PD) targets, thereby increasing the risk of clinical failure in patients receiving CAZ-AVI. It should be noted that these findings require confirmation through larger studies in the future to further validate their implications.

This study has some limitations. First, it was a single-center study and the antibiotic susceptibility and treatment regimen of CRKP in other regions and populations may not be consistent. Second, we used retrospective data that could not fully record clinical variables. Third, this study focused on ICU patients, and the conclusions may therefore not be applicable to other patients.

## Conclusion

This study identified the clinical outcomes and risk factors associated with 30-day mortality in critically ill patients with CRKP infection who received CAZ-AVI treatment. Our study suggests CAZ-AVI provides clinically benefits in terms of survival and clinical response in patients with CRKP infection. A higher APACHE II score, and lower lymphocyte count were associated with the 30-day mortality, while the combination therapy regimen containing carbapenems was the only protective factor. Compared to the full dose, a reduced dose of CAZ-AVI was associated with an increased mortality rate. These findings may help clinicians treat CRKP infections and reduce mortality in the future.

## Abbreviations

CLSI, Clinical and Laboratory Standards Institute; CRE, Carbapenem-resistant *Enterobacteriaceae*; CRKP, Carbapenem-Resistant *Klebsiella pneumoniae*; HAP, Hospital-acquired pneumonia; HR, Hazard ratios; MIC, Minimum inhibitory concentration; ROC, Receiver operating characteristic; APACHE, Acute Physiology and Chronic Health Evaluation; IQR, Interquartile range.

## Data Sharing Statement

The authors confirm that the data supporting the findings of this study are available within the article.

## Ethics Approval and Informed Consent

This study was conducted following the Declaration of Helsinki and obtained approval from the clinical research ethics committee of Henan Provincial People's Hospital, and the need for obtaining written informed consent was waived due to the retrospective nature of this study.



## Author Contributions

All authors made a significant contribution to the work reported in the conception, study design, execution, acquisition of data, analysis, and interpretation. All authors took part in drafting, revising, or critically reviewing the article, gave final approval of the version to be published, and have agreed on the journal to which the article has been submitted. All authors agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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