

#### ORIGINAL RESEARCH

# Association Between Serum Anion Gap and Mortality in Critically III Patients with COPD in ICU: Data from the MIMIC IV Database

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Background: Serum anion gap (AG) has been proven to be associated with prognosis in critically ill patients. However, few studies have investigated the association between AG and all-cause mortality in critically ill patients with chronic obstructive pulmonary disease (COPD).

**Objective:** We hypothesized that the initial AG level would predict the mortality risk in critically ill patients with COPD.

Methods: This retrospective cohort study was based on the Medical Information Mart for Intensive Care (MIMIC) IV database. We extracted demographics, vital signs, laboratory tests, comorbidity, and scoring systems from the first 24 hours after patient ICU admission. Multivariable logistic regression analysis models were used to explore the association between serum AG levels and mortality. Interaction and stratified analyses were conducted including age, gender and comorbidity.

Results: A total of 5531 critically ill patients with COPD were enrolled, composed of 53.6% male and 46.4% female with a median age of 73 years. The all-cause mortality of these patients during ICU hospitalization was 13.7%. The risk of all-cause mortality increased as the AG level increased in the univariate logistic regression analysis (OR=1.13, 95% CI: 1.11-1.15, p<0.01). After adjusting for all the covariates in multivariate logistic regression analysis, the odds ratio was 1.06 (95% CI: 1.04-1.09, p<0.01). Compared with the lowest AG group Q1 (≤11mmol/L), the adjusted OR value for AG and mortality in Q2 (12–13mmol/L) was 0.89 (95% CI: 0.63–1.25, p=0.502), Q3 (14–15mmol/L) was 0.95 (95% CI: 0.68–1.34, p=0.788), and Q4 (≥16mmol/L) was 1.49 (95% CI: 1.10-2.02, p=0.009) respectively. In addition, the results of the subgroup and stratified analyses were robust.

**Conclusion:** AG is positively related to all-cause mortality in critically ill patients with COPD.

Keywords: AG, COPD, mortality, MIMIC IV

# Introduction

Chronic obstructive pulmonary disease (COPD) is a heterogeneous clinical syndrome characterized by injury to the airway, airspace and lung vascular, and is often accompanied by a group of comorbidities, such as respiratory failure, cardiovascular disease, malignancies. COPD is a major cause of mortality worldwide characterized by progressive decline in lung function, and the exacerbation of COPD often leads to the needing of corticosteroids, antibiotics, or even hospitalization.<sup>2-4</sup> Studies have shown that patients with COPD are at a higher risk of mortality in intensive care units (ICUs).<sup>5</sup> Critically ill patients with COPD are particularly vulnerable to developing acidosis due to hypoxia and metabolic disorders leading to the overproduction of acid.

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The serum anion gap (AG) is the difference between the unmeasured cations and anions in the blood and is composed of organic acids, serum albumin, phosphate, and sulfate. AG is used to detect acid-base disorders and analyze the primary metabolic acidosis.<sup>6</sup> Recent studies have shown that a high AG level is associated with increased mortality in ICU patients with conditions such as aortic aneurysm,<sup>7</sup> sepsis,<sup>8</sup> acute kidney injury(AKI) requiring continuous renal replacement therapy(CRRT),<sup>9</sup> cardiogenic shock,<sup>10</sup> acute pancreatitis<sup>11</sup> and hip fractures.<sup>12</sup>

However, few studies have investigated the association between AG and all-cause mortality in critically ill patients with COPD. Therefore, we aimed to conduct a large retrospective cohort study using the MIMIC IV database to further explore the relationship between AG and mortality in this group of patients. Our hypothesis was that high AG would be associated with an increased risk of mortality in critically ill patients with COPD, and we anticipated that a simple AG measurement might be a useful laboratory predictor of prognosis in these individuals.

## **Methods**

## Data Source

The Medical Information Mart for Intensive Care (MIMIC) IV database (version: 1.0) is a large database containing information on over 50,000 patients who were hospitalized in the ICU of Beth Israel Deaconess Medical Center in Boston, MA, from 2008 to 2019.<sup>13</sup> This database is freely accessible and open to researchers who wish to use it for medical research purposes. The authors of this study used Structured Query Language (SQL) with PostgreSQL (version 9.6) to extract data relevant to their study from the MIMIC IV database. It is important to note that the use of this database was approved by the Massachusetts Institute of Technology (MIT) and Beth Israel Deaconess Medical Center (BIDMC), and one of the authors, Xiaojing Chen, completed the Collaborative Institutional Training Initiative (CITI) examination, received authorization to enter the MIMIC IV database, and obtained certification (ID: 11765076).

#### Patient Selection

All the ICU consecutive adult patients (aged>18 years) who had a diagnosis of COPD using International Classification of Diseases (ICD)-9 and ICD-10 codes (code = 49,120, 49,121, 49,122, 496, J44, J44, J440, J441 and J44) in MIMIC IV database were selected in the present study. Only the first ICU admission record was selected. Patients who did not measure serum anion gap within the first 24 hours after being admitted to ICU were excluded. This study was was performed with the approval of Ethics Committee of Inner Mongolia People's Hospital (202306109L).

#### Data Extraction

We extracted demographic parameters (age, gender, race), vital signs, laboratory tests, comorbidity diseases, mortality, the length of ICU stay and scoring systems from the first 24 hours after patient ICU admission. Vital signs including heart rate (HR), mean arterial pressure (MBP), respiratory rate (RR) and temperature were used as the mean value. The following laboratory parameters were also extracted: the first anion gap, white blood cell (WBC), hematocrit, hemoglobin, platelets, creatinine, blood urea nitrogen (BUN) and glucose. The comorbidities included congestive heart failure (CHF), renal disease, cerebrovascular disease, peptic ulcer disease, malignancy and sepsis. We also calculated Charlson Comorbidity Index (CCI) for each patient. Sepsis calculated depended on the sequential organ failure assessment (SOFA) score, <sup>14</sup> and SOFA was based on the scores of six different systems, including the respiratory, cardiovascular, hepatic, coagulation, renal and neurological. The endpoint of our study was all-cause mortality in hospitals.

# Statistical Analysis

Patients were classified into four groups based on the quartile distribution of serum AG. Continuous variables were expressed as mean  $\pm$  standard deviation (SD) or median (interquartile range [IQR]), while categorical variables were presented as proportions (percentages). The two-sample t-test was used for inter-group comparisons of continuous variables, while the chi-squared test was used for categorical variables.

Logistic regression analysis models were used to determine the odds ratios (ORs) and 95% confidence intervals (CIs) for the association between serum AG levels/quartiles and the risk of mortality. The lowest quartile of serum AG was

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used as the reference group. Model I adjusted for age, gender, race, and vital signs (heart rate, respiratory rate, mean blood pressure, temperature). Model II further adjusted for comorbidities (congestive heart failure [CHF], cerebrovascular disease, peptic ulcer, renal disease, malignancy, and sepsis), platelet count, blood urea nitrogen (BUN), white blood cell (WBC) count, creatinine, hematocrit, hemoglobin, glucose, and Charlson Comorbidity Index (CCI). Subgroup analysis was performed to assess whether the effect of serum AG levels on mortality differed across subgroups. The statistical software packages R (<a href="http://www.R-project.org">http://www.R-project.org</a>, The R Foundation) and Free Statistics software versions 1.7 were used for the analyses, and a *P*-value of less than 0.05 was considered statistically significant.

# Results

# Participants Characteristics

In the MIMIC IV database, there were initially 5684 critically ill patients with COPD. After excluding 153 patients due to missing data (see Figure 1 for details), a total of 5531 patients were included in the final analysis, with 53.6% male and 46.4% female with a median age of 73 years. Among the included patients, the all-cause mortality during ICU hospitalization was 13.7%. Table 1 presents the baseline characteristics of all participants.

# Relationship Between Serum Anion Gap and Mortality

Table 2 shows the risk of all-cause mortality increased as the AG level increased in univariate logistic regression analysis (OR=1.13, 95% CI: 1.11–1.15, p<0.01). Table 3 shows after adjusting for all the covariates in multivariate logistic regression analysis, the odds ratio is 1.06 (95% CI: 1.04–1.09, p<0.01), and the ORs representing the strength of the association between serum AG and mortality in various multivariate logistic regression models for all participants. Compared with the lowest AG group Q1 (≤11mmol/L), the adjusted OR value for AG and mortality in Q2 (12–13mmol/L) was 0.89 (95% CI: 0.63–1.25,

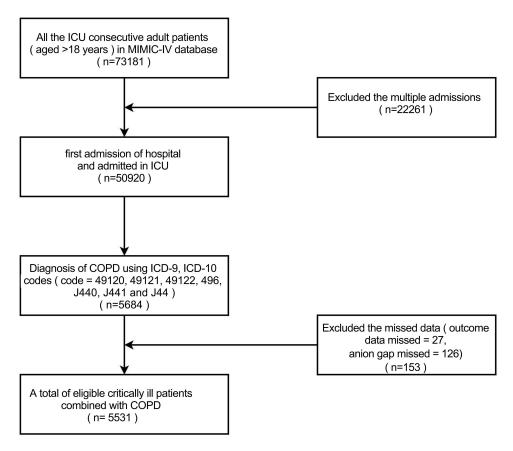


Figure 1 Flowchart of subject screening.

Abbreviations: ICU, intensive care unit; MIMIC-IV, Medical Information Mart for Intensive Care version IV; COPD, chronic obstructive pulmonary disease.

Table I Baseline Characteristics of Participants According to Anion Gap

Variables	Total	Anion Gap (mmol/L)				
		QI (≤II)	Q2 (12-13)	Q3 (14–15)	Q4 (≥I6)	1
Patients (N)	5531	1279	1255	1133	1864	
Gender, N (%)						0.325
Male	2965 (53.6)	704 (55)	646 (51.5)	609 (53.8)	1006 (54)	
Female	2566 (46.4)	575 (45)	609 (48.5)	524 (46.2)	858 (46)	
Race, N (%)						0.002
White	4027 (85.1)	947 (85.9)	944 (87.4)	832 (85.8)	1304 (82.6)	
Black	370 (7.8)	68 (6.2)	71 (6.6)	75 (7.7)	156 (9.9)	
Others	335 (7.1)	88 (8)	65 (6)	63 (6.5)	119 (7.5)	
Age (years)						0.007
<73	2759 (49.9)	687 (53.7)	635 (50.6)	544 (48)	893 (47.9)	
≥73	2772 (50.1)	592 (46.3)	620 (49.4)	589 (52)	971 (52.1)	
ICU stay (days)	2.2 (1.2, 4.4)	2.1 (1.2, 4.2)	2.1 (1.2, 4.2)	2.1 (1.2, 4.4)	2.3 (1.2, 4.7)	0.232
Vital signs						
Heart rate (bpm)	85.3 ± 15.4	83.4 ± 13.6	83.8 ± 14.5	84.7 ± 15.7	87.9 ± 16.4	< 0.001
MBP (mmHg)	77.3 ± 10.6	76.2 ± 9.4	77.5 ± 10.3	78.5 ± 11.4	77.1 ± 10.8	< 0.001
RR (bpm)	19.7 ± 3.7	18.9 ± 3.4	19.3 ± 3.6	20.0 ± 3.6	20.5 ± 3.9	< 0.001
Temperature (°C)	36.8 ± 0.5	36.8 ± 0.4	36.8 ± 0.5	36.8 ± 0.5	36.8 ± 0.5	0.566
Comorbidity (n,%)						
CHF	2356 (42.6)	485 (37.9)	505 (40.2)	456 (40.2)	910 (48.8)	< 0.001
Cerebrovascular disease	841 (15.2)	163 (12.7)	188 (15)	199 (17.6)	291 (15.6)	0.011
Peptic ulcer	153 (2.8)	39 (3)	21 (1.7)	29 (2.6)	64 (3.4)	0.027
Renal disease	1376 (24.9)	181 (14.2)	256 (20.4)	269 (23.7)	670 (35.9)	< 0.001
Malignancy	861 (15.6)	160 (12.5)	200 (15.9)	192 (16.9)	309 (16.6)	0.006
Sepsis	2921 (52.8)	626 (48.9)	601 (47.9)	577 (50.9)	1117 (59.9)	< 0.001
Laboratory parameters						
Glucose (mg/dL)	121.1 ± 43.0	113.8 ± 33.6	117.5 ± 37.1	122.0 ± 42.2	128.0 ± 51.0	< 0.001
WBC (10 <sup>9</sup> /L)	9.6 (7.0, 13.0)	9.1 (6.6, 12.0)	9.2 (6.8, 12.6)	9.4 (7.1, 12.6)	10.3 (7.4, 14.1)	< 0.001
Platelet (10 <sup>9</sup> /L)	196.8 ± 97.3	186.5 ± 94.0	200.3 ± 95.6	196.7 ± 90.1	201.5 ± 104.1	< 0.001
Hemoglobin (g/L)	10.2 ± 2.2	9.8 ± 2.1	10.2 ± 2.1	10.5 ± 2.2	10.3 ± 2.3	< 0.001
Hematocrit (%)	31.3 ± 6.7	29.9 ± 6.5	31.3 ± 6.5	32.2 ± 6.6	31.6 ± 6.9	< 0.001
BUN (mg/dL)	20.0 (13.0, 31.0)	16.0 (11.0, 23.0)	18.0 (12.0, 26.0)	20.0 (14.0, 29.0)	26.0 (16.0, 44.0)	< 0.001
Creatinine (mg/dL)	0.9 (0.7, 1.4)	0.8 (0.6, 1.0)	0.8 (0.6, 1.2)	1.0 (0.7, 1.3)	1.2 (0.8, 2.1)	< 0.001
Scoring systems						
CCI	7.4 ± 2.6	6.7 ± 2.4	7.1 ± 2.5	7.4 ± 2.5	7.9 ± 2.6	< 0.001

Abbreviations: Q, quartile; ICU, Intensive Care Unit; bpm, beats per minute; MBP, mean blood pressure; RR, respiratory rate; CHF, congestive heart failure; WBC, white blood cells; BUN, blood urea nitrogen; CCI, Charlson Comorbidity Index.

 $\begin{tabular}{ll} \textbf{Table 2} Association Between Anion Gap (AG) and Covariates \\ with Mortality Risk \\ \end{tabular}$ 

Variable	OR_95CI	P-value	
Anion gap(mmol/L)	1.13 (1.11~1.15)	<0.001	
Anion gap(mmol/L)			
QI (≤II)	I (Ref)		
Q2 (12–13)	1.18 (0.9~1.56)	0.231	
Q3 (14–15)	1.57 (1.2~2.05)	0.001	
Q4 (≥16)	3.08 (2.45~3.87)	<0.001	
Gender			
Male	I (Ref)		
Female	0.89 (0.76~1.04)	0.146	

(Continued)

Table 2 (Continued).

Variable	OR_95CI	<i>P</i> -value	
Age(years)	1.03 (1.03~1.04)	<0.001	
Age(years)			
Age<73	I (Ref)		
Age≥73	1.94 (1.66~2.27)	<0.001	
Race			
White	I (Ref)		
Black	0.9 (0.64~1.27)	0.564	
Others	1.04 (0.74~1.46)	0.82	
Scoring systems			
CCI	1.19 (1.16~1.23)	<0.001	
Vital sings			
HR(bpm)	1.03 (1.02~1.03)	<0.001	
MBP (mmHg)	0.97 (0.96~0.97)	<0.001	
RR (bpm)	1.13 (1.11~1.16)	<0.001	
Temperature( $^{\circ}$ C)	0.66 (0.56~0.76)	<0.001	
Comorbidity			
NO	I (Ref)		
CHF	1.3 (1.11~1.51)	0.001	
Cerebrovascular disease	1.41 (1.16~1.72)	0.001	
Peptic ulcer	I (0.63~I.59)	0.996	
Renal disease	1.5 (1.27~1.77)	<0.001	
Malignancy	1.86 (1.55~2.24)	<0.001	
Sepsis	3.21 (2.7~3.83)	<0.001	
Laboratory parameters			
Hematocrit (%)	0.97 (0.96~0.99)	<0.001	
Hemoglobin (g/L)	0.89 (0.86~0.92)	<0.001	
Platelet(10 <sup>8</sup> /L)	0.98 (0.98~0.99)	<0.001	
WBC (10 <sup>9</sup> /L)	1.06 (1.05~1.07)	<0.001	
BUN (mg/dL)	1.02 (1.02~1.03)	<0.001	
Creatinine (mg/dL)	1.29 (1.22~1.36)	<0.001	
Glucose (10mg/dL)	1.02 (1.01~1.04)	0.006	

**Abbreviations**: OR, odds ratio; Cl, confidence interval; Ref, reference; Q, quartile; CCl, Charlson Comorbidity Index; bpm, beats per minute; HR, heart rate; MBP, mean blood pressure; RR, respiratory rate; CHF, congestive heart failure; WBC, white blood cells; BUN, blood urea nitrogen.

Table 3 Association Between Anion Gap (AG) and All-Cause Mortality Risk in Different Models

Variable	N.total	N.event_%	Crude Model		Model-I		Model-II	
			OR (95CIs)	P value	OR (95CIs)	P value	OR (95CIs)	P value
AG(mmol/L)	5531	760 (13.7)	1.13 (1.11~1.15)	<0.001	1.09 (1.07~1.11)	<0.001	1.06 (1.04~1.09)	<0.001
AG group(mmol/L)								
<b>QI</b> (≤II)	1279	104 (8.1)	I (Ref)		I (Ref)		I (Ref)	
<b>Q2</b> (12–13)	1255	119 (9.5)	1.18 (0.9~1.56)	0.231	0.96 (0.69~1.34)	0.82	0.89 (0.63~1.25)	0.502
<b>Q3</b> (14–15)	1133	138 (12.2)	1.57 (1.2~2.05)	0.001	1.13 (0.82~1.56)	0.448	0.95 (0.68~1.34)	0.788
<b>Q4</b> (≥16)	1864	399 (21.4)	3.08 (2.45~3.87)	<0.001	2.05 (1.56~2.68)	<0.001	1.49 (1.1~2.02)	0.009
P for trend				<0.001		<0.001		0.001

Notes: Crude model adjusted for: none. Model-I adjusted for: age, gender, race and vital signs (heart rate, respiratory rate, mean blood pressure, temperature). Model II adjusted for: age, gender, race, vital signs(heart rate, respiratory rate, mean blood pressure, temperature), comorbidity (congestive heart failure, cerebrovascular disease, peptic ulcer, renal disease malignancy and sepsis), platelet, BUN, WBC, creatinine, hematocrit, hemoglobin, glucose, and Charlson Comorbidity Index.

Abbreviations: AG, anion gap; Q, quartile; OR, odds ratio; CI, confidence interval; Ref, reference.

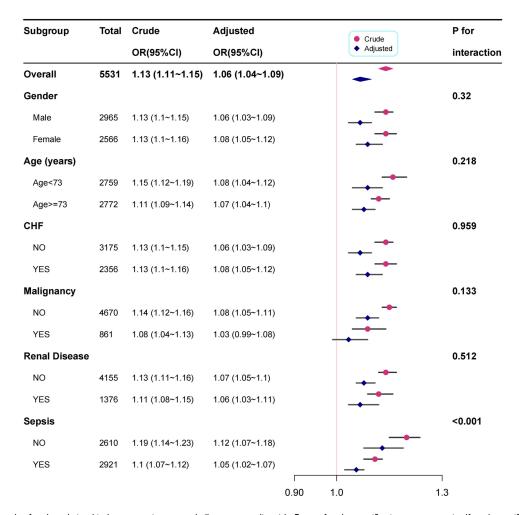


Figure 2 Forrest plot for the relationship between anion gap and all-cause mortality risk. Except for the stratification component itself, each stratification factor was adjusted for all other variables (age, gender, race, heart rate, respiratory rate, mean blood pressure, temperature, congestive heart failure, cerebrovascular disease, peptic ulcer, renal disease, malignancy, sepsis, platelet, BUN, WBC, creatinine, hematocrit, hemoglobin, glucose and Charlson Comorbidity Index).

Abbreviations: OR, odds ratio; CI, confidence interval; CHF, congestive heart failure.

p=0.502), Q3 (14–15mmol/L) was 0.95 (95% CI: 0.68–1.34, p=0.788), Q4 ( $\geq$ 16mmol/L) was 1.49 (95% CI: 1.10–2.02, p=0.009) respectively.

In univariate logistic regression analysis (Table 2), several covariates were associated with mortality. The mortality risk increased with age, heart rate, respiratory rate, white blood cell count, blood urea nitrogen, creatinine, glucose and Charlson Comorbidity Index scores. Comorbidities except for peptic ulcer were positively correlated with mortality, while temperature, mean blood pressure, hematocrit, hemoglobin and platelet were negatively correlated with mortality.

# Subgroup Analysis

To further examine the robustness of the relationship between serum AG levels and mortality, we conducted a subgroup analysis based on gender, age and comorbidities, which is shown in the forest plot in Figure 2. The results indicated that the association between serum AG and mortality were consistent across patients with different genders, age and comorbidities. The interaction analysis revealed that sepsis had interactions with AG in predicting mortality (P<0.05).

#### Discussion

In aggregate, the current large retrospective cohort study showed that high serum AG levels were associated with increased mortality risk in critically ill patients with COPD who are admitted to the ICU, as per the MIMIC IV database. The multivariate logistic regression analysis revealed that, even after accounting for confounding variables, high serum

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AG was independently associated with all-cause mortality in these patients. Furthermore, compared with the lowest AG group, the highest AG group had a 1.49-fold increased risk of all-cause mortality. These findings are consistent with earlier research.

COPD is a leading cause of mortality worldwide,<sup>2–4</sup> especially among critically ill patients in the ICU, possibly due to its association with acid-base disturbances. The acute exacerbation of COPD may cause severe acidemia, which can lead to myocardial depression, weakness of respiratory muscle, an increase in pro-inflammatory cytokines and so on, exerting a major impact on a poor clinical outcome.<sup>15</sup> Metabolic acidosis is a strong predictor of prognosis in critically ill patients.<sup>16</sup> AG is commonly used to evaluate acid-base disorders and analyze primary metabolic acidosis.<sup>6</sup> High AG metabolic acidosis is a subcategory of metabolic acidosis,<sup>9</sup> and therefore, AG may be a useful predictor of adverse clinical outcomes in ICU patients.<sup>17</sup> Recent retrospective studies have shown that elevated AG is associated with increased mortality in ICU patients with aortic aneurysm,<sup>7</sup> AKI requiring CRRT,<sup>9</sup> cardiogenic shock,<sup>10</sup> acute pancreatitis<sup>11</sup> and hip fractures.<sup>12</sup> Moreover, other studies have examined the correlation between AG and mortality in ICU patients. Wang et al<sup>18</sup> found that high AG (>14mmol/L) within 48 hours after tissue plasminogen activator (rtPA) treatment in patients with cerebral infarction was linked to significantly higher overall, 1-year, and 4-year mortality. Dafal A.<sup>19</sup> and Mehta HJ.<sup>20</sup> discovered that high AG levels were associated with increased mortality and longer stays in the MICU. These results suggest that AG can be used to identify ICU patients at risk of mortality early on.

In contrast, the relationship between serum AG and mortality in critically ill patients has yielded inconsistent findings in previous studies. Boniatti et al conducted a single-center prospective study with 175 ICU patients and found no significant difference in AG (P=0.619) or corrected AG (P=0.106) between survivors and non-survivors.<sup>21</sup> Mitra et al illustrated that AG has limited value as a sole measure.<sup>22</sup> In a large retrospective diagnostic-validation study that included 4159 patients with sepsis, the authors observed that AG was a poor predictor of changes in mortality but may be useful in further risk-stratifying patients for ongoing sepsis care when lactate levels are unavailable.<sup>23</sup> However, a meta-analysis<sup>16</sup> does not endorse using a single AG measurement for risk stratification in critically ill patients. Differences in study designs, statistical heterogeneity, and the significant variation between patient cohorts could account for the discrepancies in previous reports.

Our study's subgroup analyses revealed that the association between serum AG and mortality persisted and that sepsis had significant interactions with AG in predicting mortality (P<0.05, Figure 2). Sepsis is a serious life-threatening condition worldwide, with a high risk of death<sup>24</sup> that is often complicated by organ dysfunction and acid-base balance disorders. AG is a sensitive indicator of metabolic disturbance, and Zhu et al demonstrated that high AG was significantly associated with increased sepsis mortality risk (P < 0.001). Therefore, recognizing an increase in AG levels early is essential to prevent sepsis progression and improve outcomes. However, additional studies are required to investigate the clinical value of AG for early sepsis management.

#### Limitations

This study is a retrospective cohort study based on the MIMIC IV database, and it has limitations. First, inherent biases may exist because of the retrospective nature of the data. However, we endeavored to screen all patients who met the criteria to avoid this issue. Second, we only measured serum AG for the first admission to the ICU and did not evaluate its dynamic variation, more studies were needed. Finally, although we adjusted for confounding variables, other unknown and underlying factors may still exist. Therefore, considering these considerations, further extensive multicenter prospective studies are necessary to verify the role of serum AG as a prognostic factor for predicting adverse clinical outcomes in critically ill patients with COPD.

## Conclusion

Our findings suggest that serum AG is positively associated with all-cause mortality in critically ill patients with COPD. Further studies are needed to support the use of AG clinically for early identification of critically ill COPD patients with higher mortality.

# **Abbreviations**

AG, anion gap; bpm, beats per minute; AKI, acute kidney injury; BNU, blood urea nitrogen; COPD, chronic obstructive pulmonary disease; CHF, congestive heart failure; CCI, Charlson Comorbidity Index; CI, confidence interval; CRRT, continuous renal replacement therapy; HR, heart rate; HR, hazard ratio; ICU, Intensive Care Unit; MIMIC, Medical Information Mart for Intensive Care; MBP, mean blood pressure; Q, quartile; RR, respiratory rate; Ref, reference; SOFA, sequential organ failure assessment; WBC, white blood cells.

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#### **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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#### **Disclosure**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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