

Study on pivot-point vibration of molecular bond-rupture events by quartz crystal microbalance for biomedical diagnostics

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Abstract: Bond-rupture scanning for biomedical diagnostics is examined using quartz crystal microbalance (QCM) experiments and microparticle mechanics modeling calculations. Specific and nonspecific interactions between a microparticle and its binding QCM surface can be distinguished by gradually increasing the amplitude of driving voltage applied to QCM and monitoring its frequency changes. This research proposes a mechanical model of interactions between biological molecules and a QCM substrate surface. The mechanical force required to break a biotin–streptavidin bond was calculated through a one-pivot-point bottom-up vibration model. The bond-rupture force increases with an increase of the microparticle radius, the QCM resonant frequency, and the amplitude of driving voltage applied to the QCM. The significance of the research on biological molecular bond rupture is extremely important in characterizing microbial (such as cells and virus) specificity, due to the force magnitude needed to break bonds using a transducer.

Keywords: bond rupture, mechanical force, biomolecular binding energy spectra, quartz crystal microbalance (QCM)

Introduction

Molecular bond-rupture scanning is an effective method for the measurement of the energy level necessary to break a bond and distinguishing specific and nonspecific binding of biological molecules. Because of differences in energy levels, nonspecific binding can be eliminated by introducing energy mechanically through displacement of a resonant quartz crystal with quartz crystal microbalance (QCM).¹ By increasing the voltage applied to QCM, the nonspecific binding breaks first with lower energy, followed by the specific binding. Due to the many advantages that a bond-rupture biosensor possesses, such as high efficiency, real-time, economy, and high sensitivity, it is applicable for a specific recognition immunoassay test, especially for the study of the interaction of biological molecules, detection of biological molecules, and real-time, online trace analysis of biological substances.

Based on the intention to explore biomolecular binding, there have been a number of reported successful bond-rupture tests with varied results. Yuan et al¹ applied both static scanning and dynamic scanning methods to monitor the interaction of streptavidin-coated polystyrene microspheres and a biotinylated QCM surface. They simultaneously measured the frequency change and noise signals from the rupture of biotin–streptavidin bonds. Dultsev et al² and Cooper et al³ reported successful bond-rupture tests and successful noise capture with microspheres. The specific binding that Dultsev et al² used was also biotin–streptavidin, whereas Cooper et al³ used a herpes

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simplex virus-glycoprotein D binding system. Edvardsson et al⁴ attempted bond rupture using a modified QCM-D instrument but failed to rupture the bonds.

To obtain the relationship between driving voltage applied to a resonator and the mechanical force of bond rupture, this paper presents a mechanical model—one-pivot-point bottom-up vibration—of the principle of molecular bond rupture, theoretical analysis, and solution of the mechanical force generated by QCM. Based on the results obtained, comprehensive conclusions are discussed. More importantly, a pivot vibration model will be used not only to evaluate the bond-rupture force but also to characterize the surface bonding mechanism of biotin and streptavidin. The movement of a microsphere on a QCM surface can be described as translation,⁵ rotation,⁶ and pivot vibration.⁷ Based on the classification of pivot structures, there are three major types in pivot vibration models, such as one point,⁸ two points,⁹ and a bulk.⁷ Figure 1 shows the relationship of all vibration modes and a brief summary of concepts in the pivot vibration mode.

One-pivot-point vibration was discussed⁸ in the study of frequency of a beetle-style scanning probe microscope's scanhead. Voltages applied to electrodes induced transverse bending and axial compression or elongation via the inverse piezoelectric effect, controlling the motion of the scanning probe microscopy (SPM) scanhead. Brukman and Carpick⁸ identified a new vibration mode of the head: pivot vibration, shown in Figure 1A. In addition, they proposed the pivot vibration frequency with the equation as follows:

$$f_{\text{pivot}} = \sqrt{\frac{EI\pi^2}{64L^3}} \div \sqrt{\frac{J + M_{\text{disk}}R^2}{R^2} + M_{\text{leg}}} \quad (1)$$

where R is the radial distance from the legs to the center of the disk, M is the true mass of the disk and probe equipment,

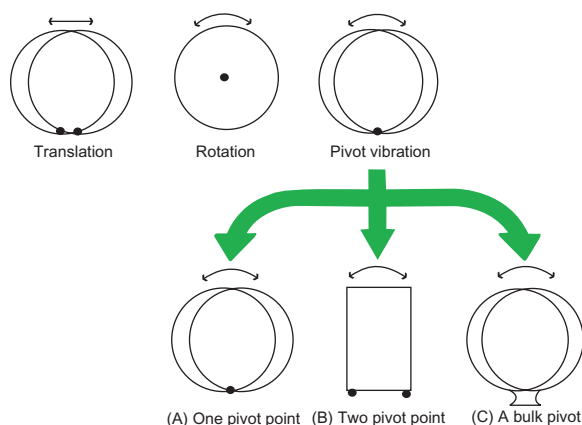


Figure 1 The schematic diagram of vibration modes.

and J is the mass polar moment of inertia of the disk and probe equipment about the disk's central axis. $M_{\text{leg}} = A_{\text{xs}}L\rho$, where A_{xs} is the cross-sectional area of a leg.

Two-pivot-point vibration was discussed in the n-stories building model in an earthquake. The common analytical method is seismic isolation,⁹ as shown in Figure 1B. The base in the isolation system was simplified into a two-point pivot. The equation of motion for the structure is expressed in the matrix form as:

$$[M_s]\{\ddot{x}_s\} + [C_s]\{\dot{x}_s\} + [K_s]\{x_s\} = -[M_s]\{r\}\{\ddot{x}_b + \ddot{x}_g\} \quad (2)$$

where $[M_s]$, $[C_s]$, and $[K_s]$ are the mass, damping, and stiffness matrices of the structure, respectively; $\{x_s\} = \{x_1, x_2, \dots, x_N\}^T$, $\{\dot{x}_s\}$, and $\{\ddot{x}_s\}$ are the unknown relative floor displacement, velocity, and acceleration vectors, respectively; \ddot{x}_b and \ddot{x}_g are the relative acceleration of base mass and earthquake ground acceleration, respectively; and $\{r\}$ is the vector of influence coefficients.

Murthy Peri and Cetinkaya⁷ did an experiment on noncontact adhesion measurement and compared the rolling motion with the axial displacement of the bond. A chemical bond was treated as a bulk pivot, which is shown in Figure 1C. They calculated the natural frequency of this oscillator, which is shown in the following equation:

$$\omega_n = \frac{1}{r^{3/2}} \sqrt{\frac{45 W_A}{4 \rho}} \quad (3)$$

where W_A is the work of adhesion, ρ is the mass density of the particle material, and r is the particle radius. Pivot vibration is the combination of vibration and rotation. The number of pivot points should be chosen based on experimental conditions.

Methodology and simulation mode

A piezoelectric model of thickness shear mode

One-pivot-point bottom-up vibration was proposed to analyze the interaction between a microsphere and a substrate in bond-rupture experiments. QCM converts the mass changes of the quartz crystal electrode surface into an output signal's frequency changes¹⁰ by a crystal oscillator circuit¹¹ and then produces highly precise data from a computer and other auxiliary equipment. The commonly used AT-cut quartz crystal oscillates almost in a thickness shear mode (TSM).¹² When the crystal vibrates, one of the two diagonals on the side of the crystal stretches while the other shortens, and the

wave nodal plane goes through the central chip, paralleling with the main plane. This shear vibration mode, which is relevant to the thickness, is shown in Figure 2A. The oscillating frequency of this mode is very high, and the chip can be ground to be very thin.

In order for a mechanical force to break a bond, surface displacement of the AT-cut TSM quartz crystal was first taken into account. Most AT-cut TSM piezoelectric quartz crystals are excited with a vertical field. Under electric field E and along the y direction, thickness shear vibration was generated on the z surface, where the piezoelectric constant is e_{26} and elastic stiffness constant is c_{66}^D . The density of the quartz is ρ . The displacement of the quartz along the x axis, u , is described by the one-dimensional wave equation:

$$\frac{\partial^2 u}{\partial t^2} = c^2 \frac{\partial^2 u}{\partial y^2} \quad (4)$$

Here, c is the wave velocity ($c = \sqrt{c_{66}^D/\rho}$). The general solution for the wave equation is:

$$u = (A \cos ky + B \sin ky) \exp(j\omega t) \quad (5)$$

Here, $k = \omega/c$. The fourth-class piezoelectric equation of the AT-cut TSM quartz is defined as follows:¹³ $E_2 = -h_{26}S_6 + D_2/\epsilon_{22}$ and $T_6 = c_{66}^D S_6 - h_{26}D_2$. Here, E_2 is the electric field along the y direction, and T_6 is the shear stress in the xy plane. h_{26} is the piezoelectric constant matrix, and ϵ_{22} is the dielectric constant matrix. Shear strain in the xy plane is $S_6 = \partial u/\partial y$, and D_2 is the

electric displacement along the y direction. If the electric field E_2 is given as $E_2 = E = E_0 \exp(j\omega t)$, then the electric displacement D_2 is:

$$D_2 = \epsilon_{22}(E_2 + h_{26}S_6) = \epsilon_{22}(E_0 + h_{26}(-Ak \sin ky + Bk \cos ky)) \times \exp(j\omega t) = D_0 \exp(j\omega t)$$

and the shear stress

$$T_6 = (c_{66}^D(-Ak \sin ky + Bk \cos ky) - h_{26}D_0) \exp(j\omega t).$$

At the free surface, the boundary condition is $T_{6,y=0} = T_{6,y=1} = 0$. A and B in Equation (5) can be substituted. The solution for the AT-cut TSM crystal wave equation is:

$$u = \frac{h_{26}D_0}{c_{66}^D k} \left[\frac{\cos k(h-y) - \cos ky}{\sin kh} \right] \exp(j\omega t) \quad (6)$$

The x -axial displacement u of the chip surface when $y = h$ is $u = A \exp(j\omega t)$. $A = h_{26}D_0/c_{66}^D k [1 - \cos kh/\sin kh]$ is the maximum displacement of a TSM chip.

A mechanical model of the interaction of microspheres and QCM surface

If a streptavidin-coated microsphere was bound on the QCM surface by biotin-streptavidin interaction,^{1,14} a uniform microsphere was approximately attached to the QCM surface through a flexible bond, as shown in Figure 2B. The sphere's vibration can be considered as a mechanical mode, as shown in Figure 2C. The surface displacement of QCM is a few nanometers or several tens of nanometers, as reported by Edvardsson et al.¹⁵ With regard to the microsphere radius at a micron level, it can be assumed that the bottom of the microsphere vibrated with the quartz crystal. In order to take pivot vibration into account, it is necessary to postulate the fixed top point of a microsphere. The microsphere vibrated around the vertex A, as shown in Figure 2C. The displacement of the bottom B is determined by the horizontal displacement of the piezoelectric plate's surface, as discussed previously as u . Then, the line speed of point B is given as follows: $v(t) = du(t)/dt = jA\omega \exp(j\omega t)$. The angular acceleration of point B is $\beta(t) = 1/R dv(t)/dt = -A/R \omega^2 \exp(j\omega t)$. The rotational moment around point A is $M(t) = I\beta(t) = -I A/R \omega^2 \exp(j\omega t)$. Here, I is the moment of inertia rotating around point A. The calculation of the moment of inertia rotating around vertex A is given as follows: $I = \int_0^{2R} l^2 dm = 6/5 mR^2$. Then, the rotational torque is $M(t) = -I A/R \omega^2 \exp(j\omega t) = -6/5 mRA\omega^2 \exp(j\omega t)$. Moment $M = Fl = F \cdot 2R$, so the force F that the TSM chip

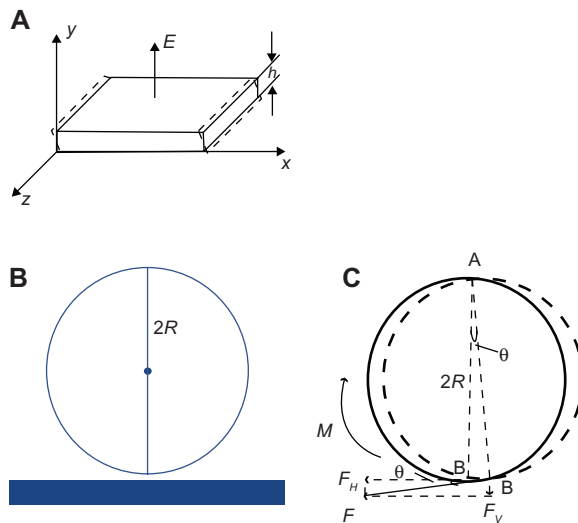


Figure 2 The mechanical model of the interaction of the sphere and with quartz crystal microbalance platform. (A) The thickness shear oscillation of a piezoelectric quartz crystal. E is the electric field direction of the piezoelectric effect. h is the thickness of the quartz. (B) Diagram of the flexible connection. (C) The mechanical model of the sphere rotating around the vertex.

drives the microsphere to vibrate at (as is shown in Figure 2C) is $F(t) = M(t)/2R = -3/5 mA\omega^2 \exp(j\omega t)$. The angle between F and horizontal direction is $\tan \theta = \mu/2R = A/2R \exp(j\omega t)$ and $\sin \theta = u/\sqrt{u^2 + 4R^2} = A \exp(j\omega t)/\sqrt{A^2 \exp(j2\omega t) + 4R^2}$. Therefore, the vertical component of F is:

$$F_v(t) = F(t) \sin \theta = -\frac{3}{5} mA^2 \omega^2 \frac{\exp(j2\omega t)}{\sqrt{A^2 \exp(j2\omega t) + 4R^2}} \quad (7)$$

when $\exp(j2\omega t) = 1$, the vertical component F_v reaches the maximum (ie, $t = n\pi/\omega$, $n = 0, 1, 2, 3, \dots$):

$$|F_{v \max}| = \frac{3}{5\sqrt{A^2 + 4R^2}} mA^2 \omega^2 \quad (8)$$

As is shown in Figure 3, bond-rupture events happened between the microspheres and QCM. The forces exerted on the molecular bond include F' by the microsphere, the horizontal force F_{qh} , and the vertical force F_{qv} by QCM surface and gravity G_2 (negligible). Given that the molecular bond was approximately rigid, the maximum vertical force F_{BR} exerted on the molecular bond by the microsphere will be equal to the vertical component of F in value.

$$|F_{BR \max}| = |-F_{v \max}| = \frac{3}{5\sqrt{A^2 + 4R^2}} mA^2 \omega^2 \quad (9)$$

Equation (9) is a generally applicable formula and is suitable for most macromolecular models, such as biotin-coated polystyrene with streptavidin and streptavidin-coated polystyrene with biotin. For further discussion, select streptavidin-coated polystyrene microsphere binding biotin as the interaction system. The microsphere's mass is $m = 4/3 \pi \rho R^3$. Here, polystyrene's density ρ is 1050 kg/m³.¹⁶ Borovsky et al¹⁷ measured the relationship between the amplitude of the QCM and the voltage by experiments: $A = CQV_d$, where $C = 1.4 \times 10^{-12}$ is a constant determined experimentally, Q the QCM merit factor (Q factor), and V_d the driving voltage.

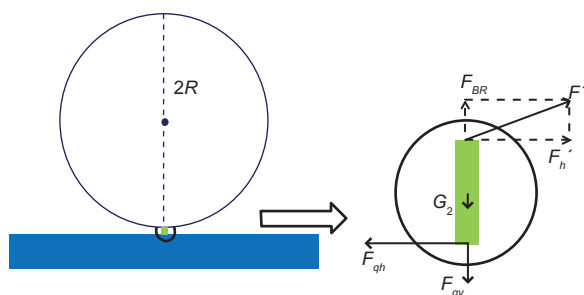


Figure 3 Stress analysis of a molecular bond.

Therefore, we can find the relationship between bond-rupture force and particle radius, the applied voltage, and frequency at the QCM electrode center.

$$F_{BR} = \frac{4\pi\rho R^3 (CQV_d)^2 \omega^2}{5\sqrt{(CQV_d)^2 + 4R^2}} = \frac{16\pi^3 \rho R^3 (CQV_d)^2 f_0^2}{5\sqrt{(CQV_d)^2 + 4R^2}} \quad (10)$$

It is worth mentioning that the mass of streptavidin-coated polystyrene microsphere contains two parts: the mass of polystyrene microsphere m_{PS} and surface-coating streptavidin $n_{SA} \cdot m_{SA}$. But the mass of surface-coating streptavidin is too small to be considered compared with polystyrene microsphere. So the bond rupture force we get in Equation (10) will be almost the same, without any significant difference.

Results and discussion

Effect on bond-rupture force F_{BR}

If QCM resonance frequency (f_0) was 10 MHz, Q factor (Q) 2500, and drive voltage (V_d) 10 V, the amplitude (A) would be 35 nm, according to $A = CQV_d$. Under this scenario, the bond-rupture force F_{BR} (Equation (10)) can be simplified as $F_{BR} = 1.274 \times 10^4 R^3 / \sqrt{1.225 \times 10^{-15} + 4R^2}$. The supporting document of Appendix 1 shows the relationship between F_{BR} and the radius R at the QCM electrode center.

If the driving voltage and the resonant frequency are constants, the bond-rupture force provided by QCM will increase with the microsphere radius. The force to break a single biotin–streptavidin molecular bond, as measured by Grubmuller et al,¹⁸ was 0.3 nN. If the microsphere radius is less than a critical value R , the mechanical force that QCM provides will not break the bond. If the QCM resonance frequency is 10 MHz, Q factor 2500, and driving voltage 10 V, then the critical value is 0.217 μ m. So the mechanical force by QCM vibration will be not enough to break such a bond, due to there being a microsphere of less than 0.217 μ m radius. The bond-rupture experiment will be successful only if the microsphere radius is larger than the critical value. This is a reason why upon increasing the driving amplitude after adsorption of a significant amount of 200 nm streptavidin-coated polystyrene spheres no desorption was observed.⁴ Comprehensive analysis of bond-rupture events should be carried out to determine the essential critical values and experimental parameters needed. Therefore, an appropriate microsphere radius is one of the most important selection criteria in bond-rupture experiments.¹⁴ The others, such as QCM resonant frequency and driving voltage, will be discussed here.

If the microsphere radius (R), QCM Q factor (Q), and driving voltage (V_d) are chosen as 1 μ m, 2500 V, and 10 V,

respectively, the bond rupture force F_{BR} (Equation (10)) can be simplified as $F_{BR} = 6.370 \times 10^{-23} f_0^2$. The supporting document of Appendix 2 shows the relationship between F_{BR} at the QCM electrode center and its resonant frequency f_0 . In this situation, the bond-rupture force provided by QCM increases with the resonant frequency dramatically, due to being proportional to f_0^2 . If the resonant frequency is less than a critical value f_0 , the mechanical force that QCM provides will not break the bond.

When the particle radius is fixed to 1 μm , the Q factor 2500, and the driving voltage 10 V, then the critical value is 2.17 MHz. That is, when the resonance frequency is less than 2.17 MHz, the mechanical force that QCM provides will not break the biotin–streptavidin molecular bond. So under the conditions that the voltage amplitude and particle radius are fixed, only when the resonance frequency is larger than a certain value will the bond-rupture experiment succeed. Therefore, an appropriate resonance frequency should be selected in bond-rupture experiments.

If the microsphere radius (R), QCM Q factor (Q), and resonant frequency (f_0) were chosen as 1 μm , 2500 MHz, and 10 MHz, respectively, then the bond-rupture force F_{BR} (Equation (10)) can be simplified as $F_{BR} = 1.274 \times 10^{-10} V_d^2 / \sqrt{1.225 \times 10^{-5} V_d^2 + 4}$. The supporting document of Appendix 3 shows the relationship between F_{BR} at the QCM electrode center and its driving voltage V_d . Under this circumstance, the bond-rupture force provided by QCM increases with driving voltage. If driving voltage is less than a critical value V_d , the mechanical force due to QCM vibration will not break the bond.

If the microsphere radius, Q factor, and resonant frequency are constants, such as 1 μm , 2500 MHz, and 10 MHz, respectively, then the critical value of QCM driving voltage is 2.17 V. The vibrating QCM should be driven at least 2.17 V, and then a streptavidin-coated microsphere can fly off the QCM surface. Therefore, an appropriate QCM driving voltage is one of the most important selection criteria in bond-rupture experiments.

Minimum bond-rupture force

Comparison of QCM resonant frequency, driving voltage, and microsphere radius used for bond-rupture experiments by three research groups is summarized in Table 1. The Q factors of QCM were, respectively, 2500, 4000, and 5000, according to Edvardsson et al.,⁴ Yuan et al.,¹ and Dultsev et al.² According to Equation (10), the magnitude of the bond-rupture force of the respective groups was also calculated, as shown in Table 1.

Table 1 Comparison of bond-rupture force F_{BR} with regard to quartz crystal microbalance resonant frequency f_0 , applied potential V_d , and microsphere radius R

	Edvardsson et al's ⁴ research group	Yuan et al's ¹ research group	Dultsev et al's ² research group
f_0/MHz	5	10	15
V_d/V	10	3.5	6
$R/\mu\text{m}$	0.1	3	2.5
F_{BR}/nN	0.016	17.983	129.016

The same biotin–streptavidin interaction was carried out by three groups, but the calculated bond-rupture force of the first group was less than 0.3 nN, a minimum threshold of breaking a biotin–streptavidin molecular bond by QCM. This explains why Edvardsson et al failed to break the specific binding. The other two groups' bond-rupture forces were much greater than 0.3 nN. Here, the proposed one-pivot-point bottom-up vibration model is successfully demonstrated for bond-rupture events.

Figure 4 illustrates a schematic interaction between streptavidin coated on a polystyrene microsphere and biotin immobilized on a QCM surface, according to a resonance frequency profile of bond-rupture scanning measured by Yuan et al.¹ The characteristics of QCM were Q factor of 4000 and resonant frequency of 10 MHz, and microspheres of 3 μm radius were tested.

As shown in Figure 4, driving voltage increased from 3.5 V to 12 V. Specific binding broke gradually, which was caused by energy levels of specific bindings. If different energy levels were attributed to biotin being immobilized on a QCM gold surface and interacting with one streptavidin biomolecule on a polystyrene microsphere's surface, the one-pivot-point bottom-up vibration model can be further developed to determine how a microsphere is attached to a

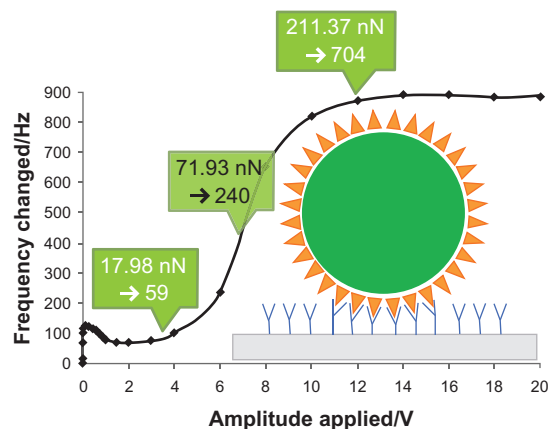


Figure 4 A model of interaction between streptavidin and biotin.

QCM surface through multiple streptavidin–biotin bonds. The microsphere will not disengage from the QCM surface until all biotin–streptavidin bonds rupture.

According to Equation (10), the bond-rupture force of our group experiment¹ was 17.98 nN at 3.5 V. Single biotin–streptavidin molecular bond strength was reported as 0.3 nN,¹⁸ so the number of streptavidin–biotin bonds ruptured is approximately $17.98/0.3 = 59$ at 3.5 V. As indicated in Figure 4, the calculated number of streptavidin–biotin bonds ruptured is, respectively, 240 and 704 at 7 V and 12 V, due to the respective bond rupture of energy levels of 71.93 nN and 211.37 nN. It showed a wide range of possible numbers of streptavidin–biotin bonds from 59 to 704. There was a dramatic increase of resonant frequency at 7 V, which is evidence that a majority of streptavidin–biotin bonds were ruptured by the QCM bond rupture of mechanical energy level of 71.93 nN, corresponding to 240 streptavidin–biotin bonds.

Biotin–streptavidin binding mechanism

A streptavidin molecule has four biotin binding sites, and the number of streptavidin molecules involved in binding is $n_s = n_b / \bar{n}$. Here, n_b is the total number of bindings (ie, the number of biotin molecules) and \bar{n} is the average number of binding sites of streptavidin ($0 < \bar{n} \leq 4$). When comparing the size of a streptavidin molecule with a polystyrene microsphere, streptavidin is supposed to be evenly distributed on the polystyrene surface. As shown in Figure 5A, the contact area S between the microsphere and substrate can be alternated by $n_s ab$, $n_s ac$, or $n_s bc$, where $[a\ b\ c]$ are dimensions of a streptavidin molecule $[a\ b\ c] = [5.62\ 8.50\ 5.17]$ nm.¹⁹

So the minimum contact area is $S_{\min} = n_b ac / \bar{n}$ and the maximum contact area is $S_{\max} = n_b ab / \bar{n}$. According to the spherical crown area formula $S = 2\pi RH$, $H = S/2\pi R$, R is

Table 2 Calculation of number of streptavidin binding sites at different voltages

Contact model	V_d/V	n_b	S/nm^2	H/nm	\bar{n}
Minimum	3.5	59	1714	0.09	0.2
	7	240	6973	0.37	0.9
	12	704	20455	1.09	2.7
Median	3.5	59	2593	0.14	0.4
	7	240	10547	0.56	1.4
	12	704	30937	1.64	4.1
Maximum	3.5	59	2818	0.15	0.4
	7	240	11465	0.61	1.5
	12	704	33630	1.79	4.5

the microsphere radius, H is the height of a spherical crown, which must meet $H = l$, as illustrated in Figure 5B, and l is the biotin–streptavidin bond length 0.4 nm.¹⁸ Therefore, the average number of streptavidin binding sites must satisfy:

$$\bar{n} = \frac{n_b ab}{2\pi Rl} \quad (11)$$

According to our previous result¹ of static-mode bond-rupture of biotin and streptavidin, the average streptavidin binding sites can be predicted by a number of biotin–streptavidin bonds at three different voltages, as shown in Figure 4. This leads to streptavidin being immobilized by three types of orientation, resulting in minimum, median, and maximum contact areas. The detailed results are listed in Table 2.

The average binding sites of the specific binding that broke at 3.5 V should satisfy the inequality $0.2 < \bar{n} < 0.4$, which meets the condition $0 < \bar{n} \leq 4$. At 7 V of the turning point of the mass changed profile, as shown in Figure 4, the majority of specific bindings (biotin–streptavidin) were ruptured at this point. It indicates an average binding site of 0.9–1.5. The data at 12 V showed an average binding site number at least larger than 2.7, and even larger than 4. This is clearly evidence that the interaction of biotin and streptavidin is supposed to be a one-binding-site model.

Conclusion

Molecular bond-rupture scanning is an advanced high-sensitivity immunoassay biosensing technology, the development of which provides a new and efficient detection method for biomedical diagnostics and environmental monitoring. The analysis of mechanical force is instructive. In this research, emphasis is placed on the theoretical analysis of the relationship between the mechanical force provided by QCM and the several influencing factors at a nanoscale level. The selection of microparticle radius, QCM resonance frequency, and voltage

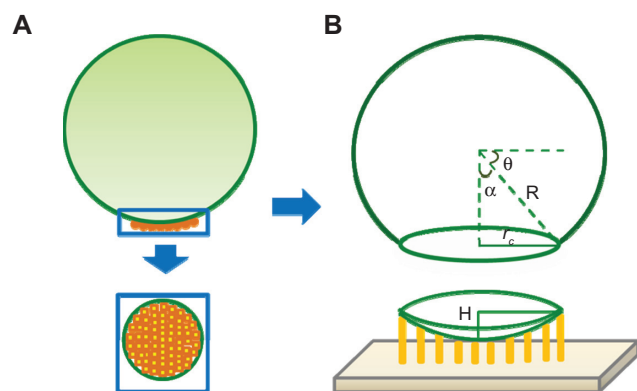


Figure 5 The binding sites calculation model. (A) Streptavidin molecules on the polystyrene microsphere surface. (B) The schematic diagram of the microsphere's spherical crown.

amplitude in the biosensor should be considered carefully. The results have given great significance to theoretical research and practical applications of a bond-rupture biosensor, paving the way for extensively spreading to related areas.

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Disclosure

The authors report no conflicts of interest in this work.

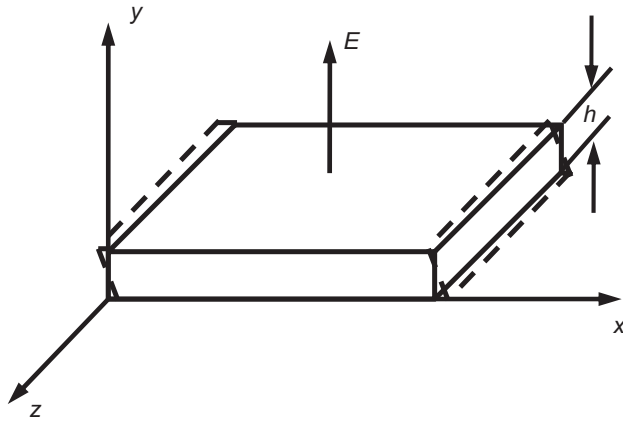
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Appendices

Appendix 1: A piezoelectric model of thickness shear mode

Most AT-cut TSM piezoelectric quartz crystals are excited with a vertical field as follows:



Under electric field E along the y -direction, thickness shear vibration generated on the z surface, where piezoelectric constant is e_{26} , and elastic stiffness constant is c_{66}^D . The density of the quartz is ρ . The displacement of the quartz along the x -axis, u , can be described by the one-dimensional wave equation:

$$\frac{\partial^2 u}{\partial t^2} = c^2 \frac{\partial^2 u}{\partial y^2} \quad (1)$$

Here c is the wave velocity ($c = \sqrt{c_{66}^D/\rho}$). The general solution for the wave equation is:

$$u = (A \cos ky + B \sin ky) \exp(j\omega t) \quad (2)$$

Here $k = \omega/c$.

The fourth class piezoelectric equation of the AT-cut TSM quartz is defined as follows:¹

$$E_2 = -h_{26}S_6 + D_2/\epsilon_{22} \quad (3)$$

$$T_6 = c_{66}^D S_6 - h_{26} D_2 \quad (4)$$

Here E_2 is the electric field along the y -direction, and T_6 is the shear stress in the xy plane. h_{26} is the piezoelectric constant matrix, and ϵ_{22} is the dielectric constant matrix. Shear strain in the xy plane is $S_6 = \partial u/\partial y$, and D_2 is the electric displacement along the y -direction. If the electric field E_2 is given as:

$$E_2 = E = E_0 \exp(j\omega t)$$

Then, according to Eq. 3, the electric displacement D_2 is:

$$\begin{aligned} D_2 &= \epsilon_{22}(E_2 + h_{26}S_6) \\ &= \epsilon_{22}(E_0 + h_{26}(-Ak \sin ky + Bk \cos ky)) \exp(j\omega t) \\ &= D_0 \exp(j\omega t) \end{aligned} \quad (5)$$

According to Eq. 4, the shear stress T_6 is:

$$T_6 = (c_{66}^D(-Ak \sin ky + Bk \cos ky) - h_{26}D_0) \exp(j\omega t) \quad (6)$$

At the free surface, the boundary condition is:

$$T_6 \cdot y=0 = T_6 \cdot y=l = 0 \quad (7)$$

Substitute Eq. 6 into Eq. 7, then obtain A and B. Substituting A and B into Eq. 2, the solution for AT-cut TSM crystal wave equation is:

$$u = \frac{h_{26}D_0}{c_{66}^D k} \left[\frac{\cos k(h-y) - \cos ky}{\sin kh} \right] \exp(j\omega t) \quad (8)$$

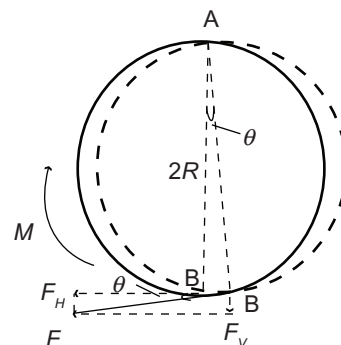
The x -axial displacement u of the chip surface, ie, when $y = h$, is:

$$u = A \exp(j\omega t) \quad (9)$$

Here $A = h_{26}D_0/c_{66}^D k [1 - \cos kh/\sin kh]$, is the maximum displacement of a TSM chip.

Appendix 2: A mechanical model of the interaction of microspheres and QCM surface

If a streptavidin-coated microsphere is bound on the QCM surface vis biotin-streptavidin interaction,² a uniform microsphere is approximately attached to the QCM surface through a flexible bond. The sphere's vibration can be considered a mechanical mode of the sphere rotating around the vertex as shown:



The surface displacement of QCM is at a few nanometers or several tens of nanometers, as reported by Edvardsson et al.³ With regard to the microsphere radius at the micron level, it can be assumed that the bottom of the microsphere vibrates with the quartz crystal. In order to take pivot vibration into account, it is necessary to postulate the fixed top point of a microsphere. The microsphere vibrates around the vertex A. The displacement of the bottom B is determined by the horizontal displacement of the piezoelectric plate's surface, shown in Eq. 9:

$$u = A \exp(j\omega t)$$

Then the line speed of point B is given as follows:

$$v(t) = \frac{du(t)}{dt} = jA\omega \exp(j\omega t) \quad (10)$$

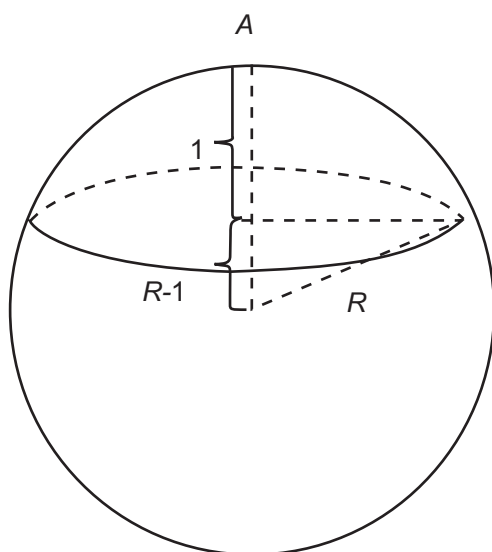
The angular acceleration of point B is:

$$\beta(t) = \frac{1}{R} \frac{dv(t)}{dt} = -\frac{A}{R} \omega^2 \exp(j\omega t) \quad (11)$$

The rotational moment around point A is:

$$M(t) = I\beta(t) = -I \frac{A}{R} \omega^2 \exp(j\omega t) \quad (12)$$

Here I is the moment of inertia rotating around point A. The model of calculation of the moment of inertia is illustrated as follows:



Therefore, the moment of inertia rotating around vertex A is given as follows:

$$\begin{aligned} I &= \int_0^{2R} l^2 dm \\ &= \pi \rho \int_0^{2R} l^2 [R^2 - |R-l|^2] dl \\ &= \pi \rho \int_0^{2R} (2Rl^3 - l^4) dl \\ &= \pi \rho \left(\frac{1}{2} Rl^4 - \frac{1}{5} l^5 \right) \Big|_0^{2R} \\ &= \frac{8}{5} \pi \rho R^5 \\ &= \frac{6}{5} mR^2 \end{aligned} \quad (13)$$

Then the rotational torque is:

$$M(t) = -I \frac{A}{R} \omega^2 \exp(j\omega t) = -\frac{6}{5} mRA\omega^2 \exp(j\omega t) \quad (14)$$

Moment $M = F \cdot l = F \cdot 2R$, so the force F that the TSM chip drives the microsphere to vibrate is:

$$F(t) = \frac{M(t)}{2R} = -\frac{3}{5} mA\omega^2 \exp(j\omega t) \quad (15)$$

The angle between F and horizontal direction is:

$$\tan \theta = \frac{u}{2R} = \frac{A}{2R} \exp(j\omega t) \quad (16)$$

$$\sin \theta = \frac{u}{\sqrt{u^2 + 4R^2}} = \frac{A \exp(j\omega t)}{\sqrt{A^2 \exp(j2\omega t) + 4R^2}} \quad (17)$$

The vertical component of F is:

$$F_v(t) = F(t) \sin \theta = -\frac{3}{5} mA^2 \omega^2 \frac{\exp(j2\omega t)}{\sqrt{A^2 \exp(j2\omega t) + 4R^2}} \quad (18)$$

When $\exp(j2\omega t) = 1$, the vertical component F_v reaches the maximum. That is, $t = n\pi / \omega$, $n = 0, 1, 2, 3, \dots$,

$$|F_{v\max}| = \frac{3}{5\sqrt{A^2 + 4R^2}} mA^2 \omega^2 \quad (19)$$

As is shown in Figure 3, bond-rupture events happened between the microspheres and QCM. The forces exerted on the molecular bond include F' by the microsphere, the horizontal force $F_{qh'}$ and the vertical force F_{qv} by QCM's surface, and gravity G_2 (negligible). The maximum

vertical force F_{BR} exerted on the molecular bond by the microsphere is:

$$|F_{BR\max}| = |-F_{v\max}| = \frac{3}{5\sqrt{A^2 + 4R^2}} mA^2 \omega^2 \quad (20)$$

If the streptavidin-coated microsphere was a uniform polystyrene microsphere, its mass is:

$$m = \frac{4}{3} \pi \rho R^3 \quad (21)$$

Here polystyrene's density ρ is 1050 kg/m³.⁴

Borovsky et al⁵ measured the relationship between the amplitude of the QCM and the voltage by experiments:

$$A = CQV_d \quad (22)$$

where $C = 1.4 \times 10^{-12}$ is a constant determined experimentally, Q the QCM merit factor (Q factor), and V_d is the driving voltage.

By substituting Eq. 21 and 22 into Eq. 20, we can find the relationship between bond rupture force and particle radius, the applied voltage and frequency at the QCM electrode center.

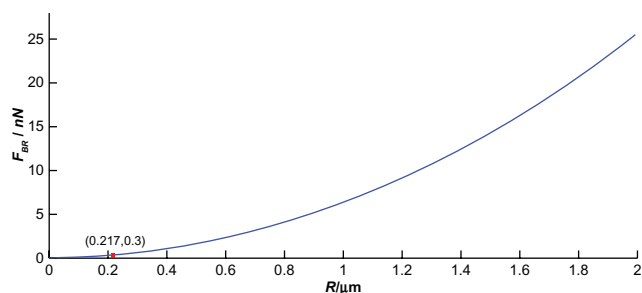
$$F_{BR} = \frac{4\pi\rho R^3 (CQV_d)^2 \omega^2}{5\sqrt{(CQV_d)^2 + 4R^2}} = \frac{16\pi^3 \rho R^3 (CQV_d)^2 f_0^2}{5\sqrt{(CQV_d)^2 + 4R^2}} \quad (23)$$

Appendix 3: The relationship between bond rupture force F_{BR} and the particle radius R

If QCM resonance frequency (f_0) was 10 MHz; Q factor (Q) 2500; drive voltage (V_d) 10 V, the amplitude (A) would be 35 nm according to Eq. 22. Under this scenario, the bond rupture force F_{BR} is:

$$F_{BR} = \frac{1.274 \times 10^4 R^3}{\sqrt{1.225 \times 10^{-15} + 4R^2}} \quad (24)$$

The relationship between the bond-rupture force and particle radius is shown in Appendix 1.

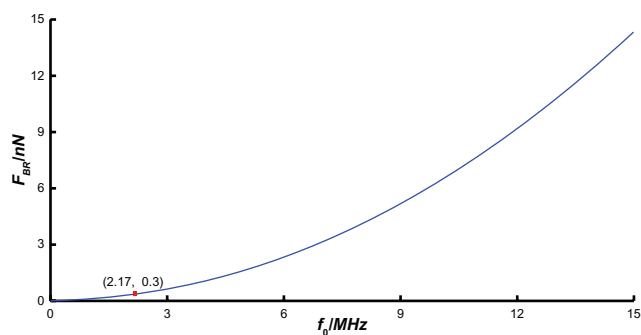


Appendix 4: The relationship between bond-rupture force F_{BR} and QCM resonant frequency f_0

If the microsphere radius (R), QCM Q factor (Q), and driving voltage (V_d) were chosen as 1 μm, 2500 V, and 10 V, respectively; according to Eq. 23, the bond rupture force F_{BR} is:

$$F_{BR} = 6.370 \times 10^{-23} f_0^2 \quad (25)$$

The relationship between the bond-rupture force and the resonant frequency is shown in Appendix 2.

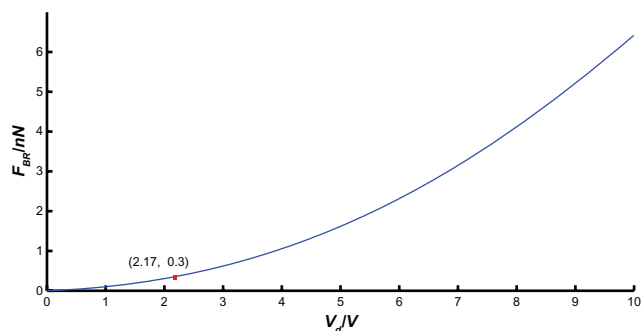


Appendix 5: The relationship between bond-rupture force F_{BR} and driving voltage V_d

If the microsphere radius (R), QCM Q factor (Q), and resonant frequency (f_0) were chosen as 1 μm, 2500 MHz, and 10 MHz, respectively; then the bond rupture force F_{BR} is:

$$F_{BR} = \frac{1.274 \times 10^{-10} V_d^2}{\sqrt{1.225 \times 10^{-5} V_d^2 + 4}} \quad (26)$$

The relationship between the bond-rupture force and the driving voltage is shown in Appendix 3.



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